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### FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

|   |                               |  | Office Us                  | se Only                                     |
|---|-------------------------------|--|----------------------------|---|
| NAME OF TY COMMITTEE (in full)                              | PE OR PRINT ▼                 | Example: If typing, type over the lines. | 12FE4M5                    |   |
| AXA Equitable Life Insur                                    | rance Company Pol             | itical Action Committee                  | (AXA Equitable F           | PAC)  |
|   |                               |  |                            |   |
| ADDRESS (number and street)                                 | 1290 Avenue of the America    | S  |                            |   |
| Check if different  |                               |  |                            |   |
| than previously reported. (ACC)                             | New York                      |  | NY 10104                   | 1   |
| 2. FEC IDENTIFICATION NUM                                   | BER ▼ CIT                     | Y 🛦                                      | STATE ▲                    | ZIP CODE ▲                                  |
| C C00161901   |                               | STHIS EPORT X NEW (N) OR                 | AMENDED (A)                |   |
| 4. TYPE OF REPORT (Choose One)                              | Report                        | 20 (M2) May 20 (M5)                      | Aug 20 (M8)                | Nov 20 (M11)<br>(Non-Election<br>Year Only) |
| (a) Quarterly Reports:                                      | Due On: Mar                   | 20 (M3) Jun 20 (M6)                      | Sep 20 (M9)                | Dec 20 (M12)<br>(Non-Election<br>Year Only) |
| April 15  | Apr                           | 20 (M4) Jul 20 (M7)                      | Oct 20 (M10)               | Jan 31 (YE)                                 |
| Quarterly Report (Q1)  July 15                              | (c) 12-Day  PRE-Election      | Primary (12P)                            | General (12G)              | Runoff (12R)                                |
| Quarterly Report (Q2)                                       | Report for the:               | Convention (12C)                         | Special (12S)              |   |
| October 15 Quarterly Report (Q3)                            |                               | M M / D D /                              | YYYY                       | in the                                      |
| January 31<br>Year-End Report (YE)                          | Election                      |  |                            | State of                                    |
| July 31 Mid-Year<br>Report (Non-election<br>Year Only) (MY) | (d) 30-Day  POST-Election     | General (30G)                            | Runoff (30R)               | Special (30S)                               |
| Termination Report (TER)                                    | Report for the:  Election     | n on                                     | Y Y Y Y Y                  | in the<br>State of                          |
| 5. Covering Period 01                                       | 01 / 2014                     | through 01                               | 31 / 20                    | 14  |
| I certify that I have examined this                         | Report and to the best of     | my knowledge and belief it is to         | ue, correct and comple     | te.   |
| Type or Print Name of Treasurer                             | Josh Braverman                |  |                            |   |
| Signature of Treasurer Josh Bra                             | ıverman                       | [Electronically Filed]                   | Date 02 / 10               | D / Y Y Y Y Y 2014                          |
| NOTE: Submission of false, erroneou                         | us, or incomplete information | n may subject the person signing         | this Report to the penalti | es of 2 U.S.C. §437g.                       |
| Office  |                               |  |                            | FORM 3X                                     |
| Use Only  |                               |  |                            | Rev. 12/2004                                |

## SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

#### AXA Equitable Life Insurance Company Political Action Committee (AXA Equitable PAC)

01 2014 Report Covering the Period: 2014 31 From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 250430.83 January 1, 2014 (b) Cash on Hand at 250430.83 Beginning of Reporting Period..... 7100.00 7100.00 (c) Total Receipts (from Line 19) ..... (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 257530.83 257530.83 6(a) and 6(c) for Column B)..... 10000.00 10000.00 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 247530.83 247530.83 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) ..... 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) .....

For further information contact:

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

#### AXA Equitable Life Insurance Company Political Action Committee (AXA Equitable PAC)

| Re  | eport Covering the Period: From: 01   | 01 2014 To:                   | 01 31 2014                        |  |  |
|-----|---|-------------------------------|-----------------------------------|--|--|
|     | I. Receipts   | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |  |  |
| 11. | Contributions (other than loans) From:  (a) Individuals/Persons Other  Than Political Committees      |                               |                                   |  |  |
|     | (i) Itemized (use Schedule A)   | 660.00                        | 660.00                            |  |  |
|     | (ii) Unitemized(iii) TOTAL (add   | 6440.00                       | 6440.00                           |  |  |
|     | Lines 11(a)(i) and (ii)▶  | 7100.00                       | 7100.00                           |  |  |
|     | (b) Political Party Committees  | 0.00                          | 0.00                              |  |  |
|     | (such as PACs)(d) Total Contributions (add Lines  | 0.00                          | 0.00                              |  |  |
|     | 11(a)(iii), (b), and (c)) (Carry  Totals to Line 33, page 5) ▶  | 7100.00                       | 7100.00                           |  |  |
| 12. | Transfers From Affiliated/Other Party Committees  | 0.00                          | 0.00                              |  |  |
| 13. | All Loans Received  | 0.00                          | 0.00                              |  |  |
|     | Loan Repayments Received  | 0.00                          | 0.00                              |  |  |
| 15. | Offsets To Operating Expenditures (Refunds, Rebates, etc.)  | 0.00                          | 0.00                              |  |  |
| 16. | (Carry Totals to Line 37, page 5)<br>Refunds of Contributions Made<br>to Federal Candidates and Other | 3.00                          | 0.00                              |  |  |
| 17  | Political Committees  | 0.00                          | 0.00                              |  |  |
|     | Other Federal Receipts (Dividends, Interest, etc.)  Transfers from Non-Federal and Levin Funds        | 0.00                          | 0.00                              |  |  |
|     | (a) Non-Federal Account (from Schedule H3)  | 0.00                          | 0.00                              |  |  |
|     | (b) Levin Funds (from Schedule H5)  | 0.00                          | 0.00                              |  |  |
|     | (c) Total Transfers (add 18(a) and 18(b))   | 0.00                          | 0.00                              |  |  |
|     |   |                               |                                   |  |  |
| 19. | Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶                                  | 7100.00                       | 7100.00                           |  |  |
| 20. | Total Federal Receipts (subtract Line 18(c) from Line 19)▶  | 7100.00                       | 7100.00                           |  |  |

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

| II. Disbursements   | COLUMN A Total This Period | COLUMN B<br>Calendar Year-to-Date |  |  |
|---|----------------------------|-----------------------------------|--|--|
| . Operating Expenditures:  (a) Allocated Federal/Non-Federal                          |                            | Calcillati i Cal-10-Date          |  |  |
| Activity (from Schedule H4)   |                            |                                   |  |  |
| (i) Federal Share   | 0.00                       | 0.00                              |  |  |
| (ii) New Federal Obers  | 0.00                       | 0.00                              |  |  |
| (ii) Non-Federal Share(b) Other Federal Operating                                     | 0.00                       | 0.00                              |  |  |
| Expenditures  | 0.00                       | 0.00                              |  |  |
| (c) Total Operating Expenditures  |                            |                                   |  |  |
| (add 21(a)(i), (a)(ii), and (b))▶   | 0.00                       | 0.00                              |  |  |
| Transfers to Affiliated/Other Party   |                            |                                   |  |  |
| Committees Contributions to   | 0.00                       | 0.00                              |  |  |
| Federal Candidates/Committees and Other Political Committees                          | 10000.00                   | 10000.00                          |  |  |
| Independent Expenditures  | 0.00                       | 0.00                              |  |  |
| (use Schedule E)  Coordinated Party Expenditures                                      | 0.00                       | 0.00                              |  |  |
| (2 U.S.C. §441a(d))<br>(use Schedule F)   | 0.00                       | 0.00                              |  |  |
| (use scriedule i )  | 7 7                        | 0.00                              |  |  |
| Loan Repayments Made  | 0.00                       | 0.00                              |  |  |
|   | 0.00                       | 0.00                              |  |  |
| Loans Made Refunds of Contributions To:   | 0.00                       | 0.00                              |  |  |
| (a) Individuals/Persons Other Than Political Committees                               | 0.00                       | 0.00                              |  |  |
| man Folitical Committees  | 0.00                       |                                   |  |  |
| (b) Political Party Committees  | 0.00                       | 0.00                              |  |  |
| (c) Other Political Committees  |                            |                                   |  |  |
| (such as PACs)  | 0.00                       | 0.00                              |  |  |
| (d) Total Contribution Refunds  |                            |                                   |  |  |
| (add Lines 28(a), (b), and (c))▶  | 0.00                       | 0.00                              |  |  |
|   |                            |                                   |  |  |
| Other Disbursements   | 0.00                       | 0.00                              |  |  |
| F   |                            |                                   |  |  |
| Federal Election Activity (2 U.S.C. §431(20)) (a) Allocated Federal Election Activity |                            |                                   |  |  |
| (from Schedule H6)  |                            |                                   |  |  |
| (i) Federal Share   | 0.00                       | 0.00                              |  |  |
| ``  |                            |                                   |  |  |
| (ii) "Levin" Share  | 0.00                       | 0.00                              |  |  |
| (b) Federal Election Activity Paid Entirely   |                            |                                   |  |  |
| With Federal Funds  | 0.00                       | 0.00                              |  |  |
| (c) Total Federal Election Activity (add  | 0.00                       | 0.00                              |  |  |
| Lines 30(a)(i), 30(a)(ii) and 30(b))▶   | 0.00                       | 0.00                              |  |  |
| Total Disbursements (add Lines 21(c), 22,   |                            |                                   |  |  |
| 23, 24, 25, 26, 27, 28(d), 29 and 30(c))  | 10000.00                   | 10000.00                          |  |  |
| _   | 7                          | 7 7                               |  |  |
| Total Federal Disbursements   |                            |                                   |  |  |
| (subtract Line 21(a)(ii) and Line 30(a)(ii)   | 40000 00                   | 10000.00                          |  |  |
| from Line 31)   | 10000.00                   | 10000.00                          |  |  |

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

| III. Net Contributions/Operating Expenditures                              | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |  |  |
|--|-------------------------------|-----------------------------------|--|--|
| 3. Total Contributions (other than loans) (from Line 11(d), page 3)        | 7100.00                       | 7100.00                           |  |  |
| 4. Total Contribution Refunds (from Line 28(d))                            | 0.00                          | 0.00                              |  |  |
| 5. Net Contributions (other than loans) (subtract Line 34 from Line 33)    | 7100.00                       | 7100.00                           |  |  |
| 6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) | 0.00                          | 0.00                              |  |  |
| 7. Offsets to Operating Expenditures (from Line 15, page 3)                | 0.00                          | 0.00                              |  |  |
| 8. Net Operating Expenditures (subtract Line 37 from Line 36)              | 0.00                          | 0.00                              |  |  |

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

| FOR LINE NUMBER: |                  |   |     |  | PAGE | : | 6   | OF | 7  |   |    |
|------------------|------------------|---|-----|--|------|---|-----|----|----|---|----|
|                  | (check only one) |   |     |  |      |   |     |    |    |   |    |
|                  |                  | X | 11a |  | 11b  |   | 11c |    | 12 |   |    |
|                  |                  |   | 13  |  | 14   |   | 15  |    | 16 | ; | 17 |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) AXA Equitable Life Insurance Company Political Action Committee (AXA Equitable PAC) Full Name (Last, First, Middle Initial) PETER GOLDEN Date of Receipt Mailing Address 1290 Avenue of the Americas 31 2014 City State Zip Code Transaction ID: PR2176757732467 NY New York 10104-0101 Amount of Each Receipt this Period FEC ID number of contributing 330.00 federal political committee. Name of Employer Occupation Divisional VP - ADL AXA Distributors, LLC Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$330.00 Bi-Weekly) 330.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** JANET ELIE Date of Receipt Mailing Address 80 SCOTT SWAMP ROAD 01 31 2014 City State Zip Code Transaction ID: PR2263126132467 **FARMINGTON** CT 06032-2847 Amount of Each Receipt this Period FEC ID number of contributing 330.00 federal political committee. Name of Employer Occupation AXA Distributors, LLC RVP - ADL Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$330.00 Bi-Weekly) 330.00 Other (specify) Full Name (Last, First, Middle Initial) Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General

| SUBTOTAL of Receipts This Page (optional)           | 660 | ).00 |
|---|-----|------|
| TOTAL This Period (last page this line number only) | 660 | 0.00 |
|   |     |      |

Other (specify)

| SCHEDULE B (FEC Form 3X)  |   | EOD LINE             | NUMBER: PAGE 7 OF 7                       |
|---|---|----------------------|---|
| TEMIZED DISBURSEMENTS   | Use separate schedule(s) for each category of the | FOR LINE (check only | one)                                      |
| z= 0::0=: <b>=::0</b>   | Detailed Summary Page                             | 21b                  | 22 🗙 23 24 25 26                          |
|   |   | 27                   | 28a 28b 28c 29 30                         |
| Any information copied from such Reports and Stater<br>or for commercial purposes, other than using the nam |   |                      |   |
| NAME OF COMMITTEE (In Full)   | and address of any points                         |                      |   |
| AXA Equitable Life Insurance Com  | pany Political Action                             | n Committe           | e (AXA Equitable PAC)                     |
| ·   |   |                      | (   |
| Full Name (Last, First, Middle Initial)   |   |                      | Date of Disbursement                      |
| A. ICI PAC  |   |                      | M M / D D / Y Y Y Y                       |
| Mailing Address 1401 H Street, NW, Suite 1200   |   |                      | 01 13 2014                                |
|   |   |                      |   |
| City S<br>Washington, DC, 20005-2148  | State Zip Code                                    |                      | Transaction ID: 36796240                  |
| Purpose of Disbursement   |   |                      |   |
|   |   | 011                  | Amount of Each Disbursement this Period   |
| Candidate Name  |   | Category/            | 5000.00                                   |
| ICI PAC   |   | Туре                 | 3000.00                                   |
| Office Sought: House Disburser Senate   | nent For:  Primary General                        |                      |   |
| President   | Other (specify)                                   |                      |   |
| State: District:  | ( ) , <del>\</del>                                |                      |   |
| Full Name (Last, First, Middle Initial)   |   |                      |   |
| B. Pittenger For Congress Llc   |   |                      | Date of Disbursement                      |
| Mailing Address PO Box 11207  |   |                      | 01 13 2014                                |
| Mailing Address PO BOX 1120/  |   |                      | 01 13 2014                                |
| City  | State Zip Code                                    |                      | Transaction ID : 36796638                 |
| Charlotte Purpose of Disbursement   | NC 28220  |                      |   |
| Fulpose of Disbursement   |   | 011                  | Amount of Each Disbursement this Period   |
| Candidate Name  |   | Category/            |   |
| Rep. Robert Pittenger   |   | Type                 | 5000.00                                   |
|   | nent For: 2014                                    |                      |   |
| Senate President  | Primary General Other (specify) ▼                 |                      |   |
| State: NC District: 09  | Other (specify)                                   |                      |   |
| Full Name (Last, First, Middle Initial)   |   |                      |   |
| C.  |   |                      | Date of Disbursement                      |
| <del> </del>  |   |                      | M = M / D = D / Y = Y = Y                 |
| Mailing Address   |   |                      |   |
| City  |   |                      |   |
| Purpose of Disbursement   |   |                      |   |
| Purpose of Disbursement   |   |                      | Amount of Fook Dickurson and this Deviced |
| Candidate Name  |   | Category/            | Amount of Each Disbursement this Period   |
|   |   | Type                 |   |
| Office Sought: House Disburser  |   |                      | ,   |
| Senate   President  | Primary General                                   |                      |   |
| State: District:  | Other (specify) ▼                                 |                      |   |
|   |   |                      |   |
| SUBTOTAL of Disbursements This Page (optional)  |   |                      | 10000.00                                  |
|   |   |                      |   |
| TOTAL This Period (last page this line number only)   |   |                      | 10000.00                                  |