



**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

**Securities Industry and Financial Markets Association Political Action Committee**

Report Covering the Period: From:  /  /  To:  /  /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2012"/>		<input type="text" value="253204.51"/>
(b) Cash on Hand at Beginning of Reporting Period.....	<input type="text" value="171837.23"/>	
(c) Total Receipts (from Line 19) .....	<input type="text" value="13662.68"/>	<input type="text" value="267071.19"/>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<input type="text" value="185499.91"/>	<input type="text" value="520275.70"/>
7. Total Disbursements (from Line 31).....	<input type="text" value="10252.47"/>	<input type="text" value="345028.26"/>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<input type="text" value="175247.44"/>	<input type="text" value="175247.44"/>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<input type="text" value="0.00"/>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<input type="text" value="0.00"/>	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463  
  
Toll Free 800-424-9530  
Local 202-694-1100

**DETAILED SUMMARY PAGE**  
of Receipts

Write or Type Committee Name

**Securities Industry and Financial Markets Association Political Action Committee**

Report Covering the Period: From:  /  /  To:  /  /

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	10428.68	192510.08
(ii) Unitemized .....	3234.00	6860.94
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	13662.68	199371.02
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	65500.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....	13662.68	264871.02
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	2191.17
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	9.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶	13662.68	267071.19
20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶	13662.68	267071.19

**DETAILED SUMMARY PAGE**  
of Disbursements

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	252.47	2519.26
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	252.47	2519.26
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	10000.00	342500.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements .....	0.00	9.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	10252.47	345028.26
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	10252.47	345028.26

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	13662.68	264871.02
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	13662.68	264871.02
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) .....	252.47	2519.26
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	2191.17
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	252.47	328.09

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 OF 18
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Ms. Trudy Albinger Bixby**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 4820 15th Avenue North  
 City Saint Petersburg State FL Zip Code 33713-5127  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Raymond James Financial, Inc. Occupation SVP, Customer Operations  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt 10 / 01 / 2012  
**Transaction ID : 20395188**  
 Amount of Each Receipt this Period 250.00  
 Contribution

**B. Daniel E Harvey**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 166 West 18th Apt 9C  
 City New York State NY Zip Code 10011-5479  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Barclays Capital Occupation Manager  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt 10 / 01 / 2012  
**Transaction ID : 20398400**  
 Amount of Each Receipt this Period 250.00  
 Contribution

**C. James Rosenthal**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 241 Central Park West Apt 9F  
 City New York State NY Zip Code 10024-4796  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Morgan Stanley Occupation COO  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 5000.00

Date of Receipt 10 / 02 / 2012  
**Transaction ID : 20398762**  
 Amount of Each Receipt this Period 5000.00  
 Contribution

<b>SUBTOTAL</b> of Receipts This Page (optional).....	5500.00
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 OF 18
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial) <b>A. Mr. Brock Guice</b>		Date of Receipt 10 / 03 / 2012 <b>Transaction ID : 20400496</b>
Mailing Address 2913 Leila Avenue		Amount of Each Receipt this Period 500.00
City Tampa	State FL	Zip Code 33611-4418
FEC ID number of contributing federal political committee. C		Contribution
Name of Employer Raymond James Financial, Inc.	Occupation Manager, Finance and Administration	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	

Full Name (Last, First, Middle Initial) <b>B. Andrew Zolper</b>		Date of Receipt 10 / 05 / 2012 <b>Transaction ID : 20401506</b>
Mailing Address 1600 Beach Dr. NE		Amount of Each Receipt this Period 250.00
City Saint Petersburg	State FL	Zip Code 33704-4818
FEC ID number of contributing federal political committee. C		Contribution
Name of Employer Raymond James Financial, Inc.	Occupation Sr. VP	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

Full Name (Last, First, Middle Initial) <b>C. Ms. Sheryl K. Williams</b>		Date of Receipt 10 / 05 / 2012 <b>Transaction ID : 20401858</b>
Mailing Address 2215 Mermaid Point NE		Amount of Each Receipt this Period 250.00
City Saint Petersburg	State FL	Zip Code 33703-3441
FEC ID number of contributing federal political committee. C		Contribution
Name of Employer Raymond James Financial, Inc.	Occupation Vice President, Loan Operations	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	1000.00
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 OF 18
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial) <b>A. Mr. Gregory C. Williams</b>		Date of Receipt M M M / D D D / Y Y Y Y Y Y 10 / 05 / 2012
Mailing Address 2215 Mermaid Point NE		<b>Transaction ID : 20401859</b>
City Saint Petersburg	State FL	Zip Code 33703-3441
FEC ID number of contributing federal political committee. C	Amount of Each Receipt this Period 1000.00	
Name of Employer Raymond James Financial, Inc.	Occupation Senior Vice President	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

Full Name (Last, First, Middle Initial) <b>B. Mr. Michael White</b>		Date of Receipt M M M / D D D / Y Y Y Y Y Y 10 / 11 / 2012
Mailing Address 880 Carillon Parkway		<b>Transaction ID : 20429776</b>
City Saint Petersburg	State FL	Zip Code 33716-1102
FEC ID number of contributing federal political committee. C	Amount of Each Receipt this Period 500.00	
Name of Employer Raymond James Financial, Inc.	Occupation Director	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	

Full Name (Last, First, Middle Initial) <b>C. William A Geary</b>		Date of Receipt M M M / D D D / Y Y Y Y Y Y 10 / 15 / 2012
Mailing Address 4715 Calnita Pl		<b>Transaction ID : 20439444</b>
City Jackson	State MS	Zip Code 39211-5804
FEC ID number of contributing federal political committee. C	Amount of Each Receipt this Period 250.00	
Name of Employer Raymond James Financial, Inc.	Occupation Sr. VP	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	1750.00
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 9 OF 18
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Mr. Kenneth E. Bentsen Jr.**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1101 New York Ave, NW  
 8th Floor  
 City Washington State DC Zip Code 20005-4269  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer: Securities Industry and Financial Mark  
 Occupation: EVP, Public Policy and Advocacy  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 3654.65

Date of Receipt: 10 / 03 / 2012  
**Transaction ID : 20439457**  
 Amount of Each Receipt this Period: 192.35

**B. Ms. Lisa Bleier**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1101 New York Ave, NW  
 8th Floor  
 City Washington State DC Zip Code 20005-4269  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer: Securities Industry and Financial Mark  
 Occupation: Managing Director  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 365.39

Date of Receipt: 10 / 03 / 2012  
**Transaction ID : 20439458**  
 Amount of Each Receipt this Period: 19.23

**C. Ms. Kyle Brandon**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 120 Broadway  
 35th Floor  
 City New York State NY Zip Code 10271-3599  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer: Securities Industry and Financial Mark  
 Occupation: Managing Director, DOR  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 1806.10

Date of Receipt: 10 / 03 / 2012  
**Transaction ID : 20439459**  
 Amount of Each Receipt this Period: 96.15

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	307.73
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 10 OF 18
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

<input type="checkbox"/> 12	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Ms Kim Chamberlain**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 120 Broadway  
 35th Floor  
 City New York State NY Zip Code 10271-3599  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director & Counsel, State Gov  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **769.00**

Date of Receipt  
 10 / 03 / 2012  
**Transaction ID : 20439460**  
 Amount of Each Receipt this Period  
**38.50**

**B. Ms. Cheryl Crispen**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1101 New York Ave, NW  
 City Washington State DC Zip Code 20005-4213  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation Executive Vice President, Communicatio  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **1826.85**

Date of Receipt  
 10 / 03 / 2012  
**Transaction ID : 20439461**  
 Amount of Each Receipt this Period  
**96.15**

**C. Ms. Bradley Gaskins Edgell**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1101 New York Ave, NW  
 8th Floor  
 City Washington State DC Zip Code 20005-4269  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **653.50**

Date of Receipt  
 10 / 03 / 2012  
**Transaction ID : 20439462**  
 Amount of Each Receipt this Period  
**38.50**

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<b>173.15</b>
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 11 OF 18  
(check only one)  
 11a     11b     11c     12  
 13     14     15     16     17

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)  
**A. Tracy Eichler**

Mailing Address 120 Broadway  
 35th Floor

City State Zip Code  
 New York NY 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
 Securities Industry and Financial Mark Director

Receipt For:  
 Primary     General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
 365.37

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 10 / 03 / 2012

**Transaction ID : 20439463**

Amount of Each Receipt this Period  
 19.23

Full Name (Last, First, Middle Initial)  
**B. Ms. Lisa Fues**

Mailing Address 1101 New York Ave, NW  
 8th Floor

City State Zip Code  
 Washington DC 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
 Securities Industry and Financial Mark Vice President

Receipt For:  
 Primary     General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
 288.47

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 10 / 03 / 2012

**Transaction ID : 20439464**

Amount of Each Receipt this Period  
 19.23

Full Name (Last, First, Middle Initial)  
**C. Marin E. Gibson**

Mailing Address 120 Broadway  
 35th Floor

City State Zip Code  
 New York NY 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
 Securities Industry and Financial Mark Managing Director & Counsel

Receipt For:  
 Primary     General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
 1330.02

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 10 / 03 / 2012

**Transaction ID : 20439465**

Amount of Each Receipt this Period  
 70.00

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 108.46

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 12 OF 18
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial) <b>A. F. Willson Craigie</b>			Date of Receipt M M / D D / Y Y Y Y Y 10 / 17 / 2012
Mailing Address 9711 Old Country Trace			<b>Transaction ID : 20445551</b>
City Richmond	State VA	Zip Code 23238-5737	Amount of Each Receipt this Period 500.00
FEC ID number of contributing federal political committee. C		Contribution	
Name of Employer Raymond James Financial, Inc.	Occupation Sr. VP	Aggregate Year-to-Date ▼ 500.00	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) <b>B. Bella Loykhter Allaire</b>			Date of Receipt M M / D D / Y Y Y Y Y 10 / 17 / 2012
Mailing Address 300 Beach Drive			<b>Transaction ID : 20445559</b>
City Saint Petersburg	State FL	Zip Code 33701-3482	Amount of Each Receipt this Period 250.00
FEC ID number of contributing federal political committee. C		Contribution	
Name of Employer Raymond James Financial, Inc.	Occupation EVP	Aggregate Year-to-Date ▼ 250.00	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) <b>C. Van Thompson</b>			Date of Receipt M M / D D / Y Y Y Y Y 10 / 17 / 2012
Mailing Address 5202 Lexington Rd			<b>Transaction ID : 20445651</b>
City Paris	State KY	Zip Code 40361-9047	Amount of Each Receipt this Period 250.00
FEC ID number of contributing federal political committee. C		Contribution	
Name of Employer Raymond James Financial, Inc.	Occupation Regional Manager	Aggregate Year-to-Date ▼ 250.00	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼			

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	1000.00
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 13 OF 18
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Mr. Kenneth E. Bentsen Jr.**  
Full Name (Last, First, Middle Initial)

Mailing Address 1101 New York Ave, NW  
8th Floor

City Washington State DC Zip Code 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark  
Occupation: EVP, Public Policy and Advocacy

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
3847.00

Date of Receipt  
10 / 17 / 2012  
**Transaction ID : 20445966**

Amount of Each Receipt this Period  
192.35

**B. Ms. Lisa Bleier**  
Full Name (Last, First, Middle Initial)

Mailing Address 1101 New York Ave, NW  
8th Floor

City Washington State DC Zip Code 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark  
Occupation: Managing Director

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
384.62

Date of Receipt  
10 / 17 / 2012  
**Transaction ID : 20445967**

Amount of Each Receipt this Period  
19.23

**C. Ms. Kyle Brandon**  
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway  
35th Floor

City New York State NY Zip Code 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark  
Occupation: Managing Director, DOR

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
1902.25

Date of Receipt  
10 / 17 / 2012  
**Transaction ID : 20445968**

Amount of Each Receipt this Period  
96.15

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 307.73

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 14 OF 18
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Ms Kim Chamberlain**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 120 Broadway  
 35th Floor  
 City New York State NY Zip Code 10271-3599  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director & Counsel, State Gov  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **807.50**

Date of Receipt **10 / 17 / 2012**  
**Transaction ID : 20445969**  
 Amount of Each Receipt this Period **38.50**

**B. Ms. Cheryl Crispen**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1101 New York Ave, NW  
 City Washington State DC Zip Code 20005-4213  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation Executive Vice President, Communicatio  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **1923.00**

Date of Receipt **10 / 17 / 2012**  
**Transaction ID : 20445970**  
 Amount of Each Receipt this Period **96.15**

**C. Ms. Bradley Gaskins Edgell**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1101 New York Ave, NW  
 8th Floor  
 City Washington State DC Zip Code 20005-4269  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **692.00**

Date of Receipt **10 / 17 / 2012**  
**Transaction ID : 20445971**  
 Amount of Each Receipt this Period **38.50**

**SUBTOTAL** of Receipts This Page (optional)..... **173.15**  
**TOTAL** This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 15 OF 18
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Tracy Eichler**  
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway  
35th Floor

City New York State NY Zip Code 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Director

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
384.60

Date of Receipt  
10 / 17 / 2012  
Transaction ID : 20445972

Amount of Each Receipt this Period  
19.23

**B. Ms. Lisa Fues**  
Full Name (Last, First, Middle Initial)

Mailing Address 1101 New York Ave, NW  
8th Floor

City Washington State DC Zip Code 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Vice President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
307.70

Date of Receipt  
10 / 17 / 2012  
Transaction ID : 20445973

Amount of Each Receipt this Period  
19.23

**C. Marin E. Gibson**  
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway  
35th Floor

City New York State NY Zip Code 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director & Counsel

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
1400.02

Date of Receipt  
10 / 17 / 2012  
Transaction ID : 20445974

Amount of Each Receipt this Period  
70.00

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	108.46
<b>TOTAL</b> This Period (last page this line number only).....▶	10428.68

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. PNC Bank**

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement  
Credit Card Fees

001  
Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

M M M /  D D D /  Y Y Y Y Y Y  
10 / 05 / 2012

**Transaction ID : 20463860**

Amount of Each Disbursement this Period

2.98

Credit Card Fees

Full Name (Last, First, Middle Initial)

**B. PNC Bank**

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement  
Bank Fees

001  
Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

M M M /  D D D /  Y Y Y Y Y Y  
10 / 02 / 2012

**Transaction ID : 20463861**

Amount of Each Disbursement this Period

47.71

Bank Fees

Full Name (Last, First, Middle Initial)

**C. PNC Bank**

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement  
Credit Card Fees

001  
Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

M M M /  D D D /  Y Y Y Y Y Y  
10 / 02 / 2012

**Transaction ID : 20463863**

Amount of Each Disbursement this Period

201.78

Credit Card Fees

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

**TOTAL** This Period (last page this line number only)..... ▶

252.47

252.47

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Rush Holt For Congress**

Mailing Address PO Box 782

City Pennington State NJ Zip Code 08534

Purpose of Disbursement  
Contribution

011

Candidate Name

**Rep. Rush D. Holt**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2012  
 Primary  General  
 Other (specify) ▼

State: NJ District: 12

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
10			12			2012			

**Transaction ID : 20431793**

Amount of Each Disbursement this Period

2000.00
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Contribution

Full Name (Last, First, Middle Initial)

**B. Tom Reed For Congress**

Mailing Address PO Box 450

City Victor State NY Zip Code 14564

Purpose of Disbursement  
Contribution

011

Candidate Name

**Rep. Tom Reed**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2012  
 Primary  General  
 Other (specify) ▼

State: NY District: 29

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
10			12			2012			

**Transaction ID : 20431794**

Amount of Each Disbursement this Period

1000.00
---------

Contribution

Full Name (Last, First, Middle Initial)

**C. Judy Biggert For Congress**

Mailing Address P.O. Box 4198

City Naperville State IL Zip Code 60567

Purpose of Disbursement  
Contribution

011

Candidate Name

**Rep. Judy Biggert**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2012  
 Primary  General  
 Other (specify) ▼

State: IL District: 13

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
10			16			2012			

**Transaction ID : 20445100**

Amount of Each Disbursement this Period

3000.00
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Contribution

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

6000.00
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**TOTAL** This Period (last page this line number only)..... ▶

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