

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.
ICAP Global Broking Inc. Political Action Committee (ICAP PAC)

ADDRESS (number and street)
 Check if different than previously reported. (ACC)

2. FEC IDENTIFICATION NUMBER ▼ CITY ▲ STATE ▲ ZIP CODE ▲
 3. IS THIS REPORT NEW (N) OR AMENDED (A)

4. TYPE OF REPORT (Choose One)
(a) Quarterly Reports:
 April 15 Quarterly Report (Q1)
 July 15 Quarterly Report (Q2)
 October 15 Quarterly Report (Q3)
 January 31 Year-End Report (YE)
 July 31 Mid-Year Report (Non-election Year Only) (MY)
 Termination Report (TER)
(b) Monthly Report Due On:
 Feb 20 (M2) May 20 (M5) Aug 20 (M8) Nov 20 (M11) (Non-Election Year Only)
 Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only)
 Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE)
(c) 12-Day PRE-Election Report for the:
 Primary (12P) General (12G) Runoff (12R)
 Convention (12C) Special (12S)
Election on / / in the State of
(d) 30-Day POST-Election Report for the:
 General (30G) Runoff (30R) Special (30S)
Election on / / in the State of

5. Covering Period / / through / /

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Paul Stolbof

Signature of Treasurer Paul Stolbof [Electronically Filed] Date / /

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

ICAP Global Broking Inc. Political Action Committee (ICAP PAC)

Report Covering the Period: From: / / To: / /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2016"/>		68358.08
(b) Cash on Hand at Beginning of Reporting Period.....	68358.08	
(c) Total Receipts (from Line 19)	4774.62	4774.62
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	73132.70	73132.70
7. Total Disbursements (from Line 31).....	0.00	0.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	73132.70	73132.70
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

ICAP Global Broking Inc. Political Action Committee (ICAP PAC)

Report Covering the Period: From: / / To: / /

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	4249.77	4249.77
(ii) Unitemized	524.85	524.85
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	4774.62	4774.62
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	4774.62	4774.62
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶	4774.62	4774.62
20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶	4774.62	4774.62

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

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II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	0.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	0.00	0.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	0.00	0.00

DETAILED SUMMARY PAGE
of Disbursements

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Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	4774.62	4774.62
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	4774.62	4774.62
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 OF 9
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
ICAP Global Broking Inc. Political Action Committee (ICAP PAC)

A. Michael Campbell
Full Name (Last, First, Middle Initial)

Mailing Address 1100 Plaza Five
Harborside Financial Center

City Jersey City State NJ Zip Code 07922

FEC ID number of contributing federal political committee. **C**

Name of Employer ICAP Global Broking Inc. Occupation F/X Broker

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
250.02

Date of Receipt
03 / 31 / 2016
Transaction ID : SA11AI.4311

Amount of Each Receipt this Period
250.02

Memo Item
Semi-monthly payroll deduction - \$41.67

B. Jason Cohen
Full Name (Last, First, Middle Initial)

Mailing Address 1100 Plaza Five
Harborside Financial Center

City Jersey City State NJ Zip Code 07922

FEC ID number of contributing federal political committee. **C**

Name of Employer ICAP Global Broking Inc. Occupation Chief Operating Officer - EBS

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
249.96

Date of Receipt
03 / 31 / 2016
Transaction ID : SA11AI.4310

Amount of Each Receipt this Period
249.96

Memo Item
Semi-monthly payroll deduction - \$41.66

C. Gregory Compa
Full Name (Last, First, Middle Initial)

Mailing Address 1100 Plaza Five
Harborside Financial Center

City Jersey City State NJ Zip Code 07922

FEC ID number of contributing federal political committee. **C**

Name of Employer ICAP Global Broking Inc. Occupation Chief Compliance Officer - SEF

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
750.00

Date of Receipt
03 / 31 / 2016
Transaction ID : SA11AI.4308

Amount of Each Receipt this Period
750.00

Memo Item
Semi-monthly payroll deduction - \$125

SUBTOTAL of Receipts This Page (optional)..... ▶ 1249.98

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 OF 9
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
ICAP Global Broking Inc. Political Action Committee (ICAP PAC)

A. Daniel Godbey
Full Name (Last, First, Middle Initial)

Mailing Address 1100 Plaza Five
Harborside Financial Center

City Jersey City State NJ Zip Code 07922

FEC ID number of contributing federal political committee. **C**

Name of Employer ICAP Global Broking Inc. Occupation CFO-Energy

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
249.99

Date of Receipt
03 / 31 / 2016
Transaction ID : SA11AI.4304

Amount of Each Receipt this Period
249.99

Memo Item
Monthly payroll deduction - 83.33

B. Richard Kerschner
Full Name (Last, First, Middle Initial)

Mailing Address 1100 Plaza Five
Harborside Financial Center

City Jersey City State NJ Zip Code 07922

FEC ID number of contributing federal political committee. **C**

Name of Employer ICAP Global Broking Inc. Occupation Chief Corporate Development Officer

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
249.96

Date of Receipt
03 / 31 / 2016
Transaction ID : SA11AI.4313

Amount of Each Receipt this Period
249.96

Memo Item
Semi-monthly payroll deduction - \$41.66

C. Daniel Lago
Full Name (Last, First, Middle Initial)

Mailing Address 1100 Plaza Five
Harborside Financial Center

City Jersey City State NJ Zip Code 07922

FEC ID number of contributing federal political committee. **C**

Name of Employer ICAP Global Broking Inc. Occupation Head of Equities

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
624.96

Date of Receipt
03 / 31 / 2016
Transaction ID : SA11AI.4303

Amount of Each Receipt this Period
624.96

Memo Item
Semi-monthly payroll deduction - \$104.16

SUBTOTAL of Receipts This Page (optional)..... ▶ 1124.91

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 OF 9
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
ICAP Global Broking Inc. Political Action Committee (ICAP PAC)

A. Fred Quinto
Full Name (Last, First, Middle Initial)

Mailing Address 1100 Plaza Five
Harborside Financial Center

City Jersey City State NJ Zip Code 07922

FEC ID number of contributing federal political committee. **C**

Name of Employer ICAP Global Broking Inc. Occupation Broker

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
499.98

Date of Receipt
03 / 31 / 2016
Transaction ID : SA11AI.4307

Amount of Each Receipt this Period
499.98

Memo Item
Semi-monthly payroll deduction - \$83.33

B. Christina Sanchez Hall
Full Name (Last, First, Middle Initial)

Mailing Address 1100 Plaza Five
Harborside Financial Center

City Jersey City State NJ Zip Code 07922

FEC ID number of contributing federal political committee. **C**

Name of Employer ICAP Global Broking Inc. Occupation Broker

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
624.96

Date of Receipt
03 / 31 / 2016
Transaction ID : SA11AI.4301

Amount of Each Receipt this Period
624.96

Memo Item
Semi-monthly payroll deduction - \$104.16

C. Eisso VanderMuelen
Full Name (Last, First, Middle Initial)

Mailing Address 1100 Plaza Five
Harborside Financial Center

City Jersey City State NJ Zip Code 07922

FEC ID number of contributing federal political committee. **C**

Name of Employer ICAP Global Broking Inc. Occupation Product Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
249.96

Date of Receipt
03 / 31 / 2016
Transaction ID : SA11AI.4306

Amount of Each Receipt this Period
249.96

Memo Item
Semi-monthly payroll deduction - \$41.66

SUBTOTAL of Receipts This Page (optional).....	1374.90
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 OF 9
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

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NAME OF COMMITTEE (In Full)
ICAP Global Broking Inc. Political Action Committee (ICAP PAC)

A. Nick Vitalo
 Full Name (Last, First, Middle Initial)
 Mailing Address 1100 Plaza Five
 Harborside Financial Center
 City Jersey City State NJ Zip Code 07922
 FEC ID number of contributing federal political committee. **C**
 Name of Employer ICAP Global Broking Inc. Occupation Senior Vice President
 Receipt For:
 Primary General
 Other (specify) ▼
 Aggregate Year-to-Date ▼ 499.98

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 03 / 31 / 2016
Transaction ID : SA11AI.4314
 Amount of Each Receipt this Period
 499.98
 Memo Item
 Semi-monthly payroll deduction - \$83.33

B.
 Full Name (Last, First, Middle Initial)
 Mailing Address
 City State Zip Code
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Occupation
 Receipt For:
 Primary General
 Other (specify) ▼
 Aggregate Year-to-Date ▼

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 Amount of Each Receipt this Period
 Memo Item

C.
 Full Name (Last, First, Middle Initial)
 Mailing Address
 City State Zip Code
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Occupation
 Receipt For:
 Primary General
 Other (specify) ▼
 Aggregate Year-to-Date ▼

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 Amount of Each Receipt this Period
 Memo Item

SUBTOTAL of Receipts This Page (optional).....▶	499.98
TOTAL This Period (last page this line number only).....▶	4249.77