

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

AXA Equitable Life Insurance Company Political Action Committee (AXA PAC)

ADDRESS (number and street) ▼

1290 Avenue of the Americas

☐ Check if different than previously reported. (ACC)

New York

NY

10104

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00161901

3. IS THIS
REPORTNEW
(N)

OR

AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☒ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y

in the
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y

in the
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Christopher Denham

Signature of Treasurer

Christopher Denham

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

AXA Equitable Life Insurance Company Political Action Committee (AXA PAC)

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y
03 01 2016 To: M M / D D / Y Y Y Y Y Y
03 31 2016

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y Y 2016		178821.90
(b) Cash on Hand at Beginning of Reporting Period.....	170326.24	
(c) Total Receipts (from Line 19)	10002.80	26547.14
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	180329.04	205369.04
7. Total Disbursements (from Line 31)	0.00	25000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	180329.04	180369.04
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

AXA Equitable Life Insurance Company Political Action Committee (AXA PAC)

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	3		0	1		2	0	1	6		

To:

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	3		3	1		2	0	1	6		

I. Receipts
COLUMN A
Total This Period
COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

5746.80

10914.80

(ii) Unitemized

4256.00

15632.34

(iii) TOTAL (add

Lines 11(a)(i) and (ii).....▶

10002.80

26547.14

(b) Political Party Committees

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5)

10002.80

26547.14

12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

13. All Loans Received

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

0.00

17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3).....

0.00

0.00

(b) Levin Funds (from Schedule H5)

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),
12, 13, 14, 15, 16, 17, and 18(c)).....▶

10002.80

26547.14

20. Total Federal Receipts

(subtract Line 18(c) from Line 19)

10002.80

26547.14

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	25000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	0.00	25000.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	0.00	25000.00

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	10002.80	26547.14
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	10002.80	26547.14
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	0.00	0.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

AXA Equitable Life Insurance Company Political Action Committee (AXA PAC)

Full Name (Last, First, Middle Initial)

A. ALLEN ZABUSKY

Mailing Address 525 WASHINGTON BOULEVARD

City State Zip Code
 JERSEY CITY NJ 07310-1606

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

SVP & CONTROLLER

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
 03 / 31 / 2016

Transaction ID : PR1018383041148

Amount of Each Receipt this Period

70.00

☐ Memo Item

P/R Deduction (\$70.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. LUIS GABRIEL CHIAPPY

Mailing Address 9130 SOUTH DADELAND BLVD.
 SUITE 1400

City State Zip Code
 MIAMI FL 33156-7818

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Advisors, LLC

Occupation

District Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
 03 / 31 / 2016

Transaction ID : PR1018385341148

Amount of Each Receipt this Period

100.00

☐ Memo Item

P/R Deduction (\$100.00 Monthly)

Full Name (Last, First, Middle Initial)

C. DAVE HATTEM

Mailing Address 1290 Ave. of the Americas

City State Zip Code
 New York NY 10104-0101

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

SR. EXE DIR. & DEP GEN COUNSEL

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1152.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
 03 / 31 / 2016

Transaction ID : PR1018390841148

Amount of Each Receipt this Period

384.00

☐ Memo Item

P/R Deduction (\$384.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

554.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (In Full)

AXA Equitable Life Insurance Company Political Action Committee (AXA PAC)

Full Name (Last, First, Middle Initial)

A. DAVID KARR

Mailing Address 124 PLYMOUTH ROAD

City State Zip Code
 LOWER GWYNEDD PA 19002-1971

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Advisors, LLC

Occupation

EVP --BM---Philadelphia

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1152.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 03 31 2016

Transaction ID : PR1018399641148

Amount of Each Receipt this Period

384.00

☐ Memo Item

P/R Deduction (\$384.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. DAVID KAM

Mailing Address 1290 Ave. of the Americas

City State Zip Code
 New York NY 10104-0101

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

SVP & SENIOR ACTUARY

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 03 31 2016

Transaction ID : PR1018406241148

Amount of Each Receipt this Period

70.00

☐ Memo Item

P/R Deduction (\$70.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. TED BEAL Sr

Mailing Address 333 Thornall Street
 8th

City State Zip Code
 Edison NJ 08837-2220

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Advisors

Occupation

EVP Branch Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 03 31 2016

Transaction ID : PR1018409041148

Amount of Each Receipt this Period

150.00

☐ Memo Item

P/R Deduction (\$150.00 Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

604.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (In Full)

AXA Equitable Life Insurance Company Political Action Committee (AXA PAC)

Full Name (Last, First, Middle Initial)

A. CHRISTOPHER NOONAN

Mailing Address 12377 MERIT DRIVE
SUITE 1500

City State Zip Code
DALLAS TX 75251-2224

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Advisors, LLC

Occupation

District Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

03 / 31 / 2016

Transaction ID : PR1018418341148

Amount of Each Receipt this Period

100.00

☐ Memo Item

P/R Deduction (\$100.00 Monthly)

Full Name (Last, First, Middle Initial)

B. SUSAN LAVALLEE

Mailing Address 3010 CHILLINGHAM WAY

City State Zip Code
BALDWINVILLE NY 13027-8458

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

MD - Employer Sponsor Ops.

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

342.00

Date of Receipt

03 / 31 / 2016

Transaction ID : PR1907711541148

Amount of Each Receipt this Period

114.00

☐ Memo Item

P/R Deduction (\$114.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. KEVIN MOLLOY

Mailing Address 110 WEST 86TH STREET
Apt. 9A

City State Zip Code
New York NY 10024-4060

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

Head of Employer Sponsored

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

03 / 31 / 2016

Transaction ID : PR1916440741148

Amount of Each Receipt this Period

150.00

☐ Memo Item

P/R Deduction (\$150.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

364.00

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 17

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

AXA Equitable Life Insurance Company Political Action Committee (AXA PAC)

Full Name (Last, First, Middle Initial)

A. CHANG CHUNMailing Address 3435 Wilshie Blvd.
Suite 2500

City	State	Zip Code
Los Angeles	CA	90010-2201

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Advisors

Occupation

EVP--LAMC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

206.71

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	31	/	2016

Transaction ID : PR1926422141148

Amount of Each Receipt this Period

74.80

☐ Memo Item

P/R Deduction (\$74.80 Monthly)

Full Name (Last, First, Middle Initial)

B. DROR NIR

Mailing Address 1633 Broadway

City	State	Zip Code
New York	NY	10019-6708

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Advisors, LLC

Occupation

EVP---NY Metro

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

495.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	31	/	2016

Transaction ID : PR1926422841148

Amount of Each Receipt this Period

165.00

☐ Memo Item

P/R Deduction (\$165.00 Monthly)

Full Name (Last, First, Middle Initial)

C. RYAN BECKMailing Address 2825 E. Cottonwood Pkwy
Suite 430

City	State	Zip Code
Salt Lake City	UT	84121-7055

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Advisors

Occupation

EVP---BM Salt Lake City

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

495.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	31	/	2016

Transaction ID : PR1926905241148

Amount of Each Receipt this Period

165.00

☐ Memo Item

P/R Deduction (\$165.00 Monthly)

SUBTOTAL of Receipts This Page (optional)..... ▶**TOTAL** This Period (last page this line number only)..... ▶

404.80

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (In Full)

AXA Equitable Life Insurance Company Political Action Committee (AXA PAC)

Full Name (Last, First, Middle Initial)

A. JAMES MELLIN

Mailing Address 5460 NORTH LAKE DRIVE

City

WHITEFISH BAY

State

WI

Zip Code

53217-5374

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Advisors

Occupation

Chief Sales Office HQs

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

492.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
03 / 31 / 2016

Transaction ID : PR1928263341148

Amount of Each Receipt this Period

252.00

☐ Memo Item

P/R Deduction (\$252.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. JOSEPH DI MORA

Mailing Address 120 Madison Street

City

Syracuse

State

NY

Zip Code

13202-2821

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Advisors

Occupation

EVP---Syracuse Branch

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

495.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
03 / 31 / 2016

Transaction ID : PR1937997241148

Amount of Each Receipt this Period

165.00

☐ Memo Item

P/R Deduction (\$165.00 Monthly)

Full Name (Last, First, Middle Initial)

C. NICK LANE

Mailing Address 1290 Avenue of the Americas

City

New York

State

NY

Zip Code

10104-0101

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

SVP - AXA ADVISORS BUSINESS PLATFORM

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
03 / 31 / 2016

Transaction ID : PR2148756041148

Amount of Each Receipt this Period

200.00

☐ Memo Item

P/R Deduction (\$200.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)..... ►

617.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)

ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

AXA Equitable Life Insurance Company Political Action Committee (AXA PAC)

Full Name (Last, First, Middle Initial)

A. GEORGETTE GELLER

Mailing Address 1266 East Main Street

City

Stamford

State

CT

Zip Code

06902-3529

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Advisors

Occupation

EVP--Branch Mgr--Conn

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

03 / 31 / 2016

Transaction ID : PR2164789941148

Amount of Each Receipt this Period

80.00

☐ Memo Item

P/R Deduction (\$80.00 Monthly)

Full Name (Last, First, Middle Initial)

B. TIMOTHY MAGUIRE

Mailing Address 40 Monument road

City

Bala Cynwyd

State

PA

Zip Code

19004-1737

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Advisors

Occupation

EVP---Northeast Division

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

03 / 31 / 2016

Transaction ID : PR2170755641148

Amount of Each Receipt this Period

80.00

☐ Memo Item

P/R Deduction (\$80.00 Monthly)

Full Name (Last, First, Middle Initial)

C. MICHEL PERRIN

Mailing Address 1290 Avenue of the Americas

City

New York

State

NY

Zip Code

10104-0101

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

SVP & ACTUARY

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

03 / 31 / 2016

Transaction ID : PR2176757641148

Amount of Each Receipt this Period

70.00

☐ Memo Item

P/R Deduction (\$70.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

230.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

AXA Equitable Life Insurance Company Political Action Committee (AXA PAC)

Full Name (Last, First, Middle Initial)

A. TRACEY GRAY-WALKER

Mailing Address 1355 MARTINE AVENUE

City
PLAINFIELD

State
NJ

Zip Code
07060-3127

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

MD - Life & Savings Association Distri

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

342.00

Date of Receipt

03 / 31 / 2016

Transaction ID : PR2195072541148

Amount of Each Receipt this Period

114.00

☐ Memo Item

P/R Deduction (\$114.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. DILLAN MICUS

Mailing Address 14851 N. Scottsdale Rd
Suite 103

City
Scottsdale

State
AZ

Zip Code
85254-2790

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Advisors, LLC

Occupation

EVP--Scottsdale AZ

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

495.00

Date of Receipt

03 / 31 / 2016

Transaction ID : PR2247853641148

Amount of Each Receipt this Period

165.00

☐ Memo Item

P/R Deduction (\$165.00 Monthly)

Full Name (Last, First, Middle Initial)

C. PETER ROSCHKE

Mailing Address 525 WASHINGTON BOULEVARD

City
JERSEY CITY

State
NJ

Zip Code
07310-1606

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

SOLUTIONS DELIVERY DIRECTOR-IT

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

880.00

Date of Receipt

03 / 31 / 2016

Transaction ID : PR2258558241148

Amount of Each Receipt this Period

880.00

☐ Memo Item

P/R Deduction (\$880.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1159.00

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

AXA Equitable Life Insurance Company Political Action Committee (AXA PAC)

Full Name (Last, First, Middle Initial)

A. CAROL MACALUSO

Mailing Address 120 MADISON ST

City
SYRACUSEState Zip Code
NY 13202-2821FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

SVP - ACCOUNTING SERVICES AND OPERA/

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	31	/	2016

Transaction ID : PR2441784141148

Amount of Each Receipt this Period

70.00

☐ Memo Item

P/R Deduction (\$70.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. KERMITT BROOKSMailing Address 313 WEST 118TH STREET
PH GCity
New YorkState Zip Code
NY 10026-1057FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

ASSOCIATE GENERAL COUNSEL

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

342.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	31	/	2016

Transaction ID : PR2463744241148

Amount of Each Receipt this Period

114.00

☐ Memo Item

P/R Deduction (\$114.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. TODD SOLASHMailing Address 20 PINE ST
Apt. 2801City
New YorkState Zip Code
NY 10005-1433FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

RETIREMENT SAVINGS

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

342.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	31	/	2016

Transaction ID : PR2491845841148

Amount of Each Receipt this Period

114.00

☐ Memo Item

P/R Deduction (\$114.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

298.00

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

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 (check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

AXA Equitable Life Insurance Company Political Action Committee (AXA PAC)

Full Name (Last, First, Middle Initial)

A. Andrea ANDREA NITZAN

Mailing Address 68 VALLEY VIEW TERRACE

 City
 MONTVALE

 State
 NJ

 Zip Code
 07645-1041

 FEC ID number of contributing
 federal political committee.

Name of Employer

AXA Financial

Occupation

Executive Vice President - Finance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	31	/	2016

Transaction ID : PR2563949441148

Amount of Each Receipt this Period

☐ Memo Item

P/R Deduction (\$200.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. STEVEN ROSENTHAL

Mailing Address 443 HILLCREST RD

 City
 RIDGEWOOD

 State
 NJ

 Zip Code
 07450-1520

 FEC ID number of contributing
 federal political committee.

Name of Employer

AXA Equitable

Occupation

BP: Life & Savings

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	31	/	2016

Transaction ID : PR2627312641148

Amount of Each Receipt this Period

☐ Memo Item

P/R Deduction (\$70.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. JOSH BRAVERMAN

Mailing Address 610 W. 42ND STREET

 City
 New York

 State
 NY

 Zip Code
 10036-1956

 FEC ID number of contributing
 federal political committee.

Name of Employer

AXA Financial, Inc.

Occupation

Treasurer and Head of Derivatives

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	31	/	2016

Transaction ID : PR2628911741148

Amount of Each Receipt this Period

☐ Memo Item

P/R Deduction (\$70.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

AXA Equitable Life Insurance Company Political Action Committee (AXA PAC)

Full Name (Last, First, Middle Initial)

A. Anthony ANTHONY RECINE

Mailing Address 4 COOPER PLACE

City

Harrison

State

NY

Zip Code

10528-1440

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

Legal/Compliance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

342.00

Date of Receipt

03 / 31 / 2016

Transaction ID : PR2635067441148

Amount of Each Receipt this Period

114.00

☐ Memo Item

P/R Deduction (\$114.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. PRISCILLA BROWN

Mailing Address 730 THOMAS ROAD

City

PHILADELPHIA

State

PA

Zip Code

19118-4601

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

CMO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

960.00

Date of Receipt

03 / 31 / 2016

Transaction ID : PR2636331041148

Amount of Each Receipt this Period

320.00

☐ Memo Item

P/R Deduction (\$320.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. SARAS AGARWAL

Mailing Address 151 CARRIAGE WAY

City

PRINCETON

State

NJ

Zip Code

08540-7320

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

342.00

Date of Receipt

03 / 31 / 2016

Transaction ID : PR2636331141148

Amount of Each Receipt this Period

114.00

☐ Memo Item

P/R Deduction (\$114.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

548.00

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

AXA Equitable Life Insurance Company Political Action Committee (AXA PAC)

Full Name (Last, First, Middle Initial)

A. KENNETH KOZLOWSKI

Mailing Address 70 PINES LAKE DRIVE EAST

City

WAYNE

State

NJ

Zip Code

07470-5005

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

Fund Mgmt Grp.

Receipt For:

☐ Primary
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

342.00

Date of Receipt

M	M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	3			3	1		2	0	1	6		

Transaction ID : PR2636331441148

Amount of Each Receipt this Period

114.00

☐ Memo Item

P/R Deduction (\$114.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. SHARON RITCHEYMailing Address ONE COLUMBUS PLACE
APT S43E

City

New York

State

NY

Zip Code

10019-8215

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

COO

Receipt For:

☐ Primary
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M	M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	3			3	1		2	0	1	6		

Transaction ID : PR2637608841148

Amount of Each Receipt this Period

300.00

☐ Memo Item

P/R Deduction (\$300.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. JAMES O'BOYLE

Mailing Address 22 PETERBUSH DR.

City

MONROE

State

NY

Zip Code

10950-3016

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

MD - Operations and Technology

Receipt For:

☐ Primary
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

342.00

Date of Receipt

M	M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	3			3	1		2	0	1	6		

Transaction ID : PR2637724941148

Amount of Each Receipt this Period

114.00

☐ Memo Item

P/R Deduction (\$114.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

528.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 OF 17
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

AXA Equitable Life Insurance Company Political Action Committee (AXA PAC)

Full Name (Last, First, Middle Initial)

A. STEVEN JOENK

Mailing Address 271 BEDFORD ROAD

City State Zip Code
PLEASANTVILLE NY 10570-2216

FEC ID number of contributing
federal political committee.

C

Name of Employer

AXA Financial, Inc.

Occupation

Fund Mgmt Grp.

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
03 / 31 / 2016

Transaction ID : PR2637733441148

Amount of Each Receipt this Period

100.00

☐ Memo Item

P/R Deduction (\$100.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City State Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

☐ Memo Item

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

100.00

5746.80