Image# 13962860253 PAGE 1 / 10

# FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

								Office Us	e Only	
1.	NAME OF COMMITTEE (in full)	TYPE OR F	PRINT ▼		mple: If typir r the lines.	ng, type	12FE4M	5		
S	VB Financial Group F	PAC								1
_										
L										
AD	DRESS (number and street)	3005 Tas	man Dr							
r	Check if different									
ŀ	than previously reported. (ACC)	Santa Cl	ara				CA	95054		
2.	FEC IDENTIFICATION NU	MBER ▼		CITY ▲		5	STATE 🛦		ZIP COE	DE 🛦
	C C00333658		;	3. IS THIS REPORT		N) OR		MENDED A)		
4.	TYPE OF REPORT (Choose One)	(b) Mon Rep	ort	Feb 20 (M2)		May 20 (M5)	Au	g 20 (M8)		Nov 20 (M11) (Non-Election Year Only)
	(a) Quarterly Reports:	Due	On:	Mar 20 (M3)	X	Jun 20 (M6)	Se	p 20 (M9)		Dec 20 (M12) (Non-Election Year Only)
	April 15			Apr 20 (M4)		Jul 20 (M7)	Oc	t 20 (M10)		Jan 31 (YE)
	April 15 Quarterly Report (Q	1) (c)	12-Day	П	Primary (12P	)	Genera	l (12G)	П	Runoff (12R)
	July 15 Quarterly Report (Q.	2)	PRE-Election			_	4		ш.	, ,
	October 15 Quarterly Report (Q.	3)	Report for the	ie:	Convention (	120)	Special	(125)		
	January 31 Year-End Report (Y		E	lection on	M = M /	D D /	Y   Y   Y	Y	in the State of	
	July 31 Mid-Year Report (Non-election Year Only) (MY)	(d)	30-Day POST-Electi		General (300	G)	Runoff	(30R)		Special (30S)
	Termination Report		Report for the	ne:	M = M /	D D /	Y = Y = Y =	Y	in the	
	(TER)		E	lection on					State of	
5.	Covering Period 05	01		013	through	M M 05	31	201	3	
l ce	ertify that I have examined thi	s Report a	nd to the be	st of my know	wledge and b	pelief it is tru	e, correct a	nd complet	e.	
Тур	pe or Print Name of Treasurer	Rebecca	Olson							
Sig	nature of Treasurer Rebec	ca Olson			[Electronically	Filed] D	ate 06	M / D 06	D /	2013
NO	TE: Submission of false, errone	ous, or inco	mplete inforr	mation may su	biect the pers	son sianina th	is Report to	the penaltie	es of 2 U	.S.C. §437a.
	Office Office	122, 3. 11100			., a.o por			T		
	Use Only								<b>FOR</b> l ev. 12/20	

FEC <b>Form 3X</b> (Rev. 02/2003)	SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS	Page <b>2</b>
Write or Type Committee Name		<u> </u>
SVB Financial Group PAC		
Report Covering the Period: From:	5 01 / 2013 To	: 05 31 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, 2013		11859.07
(b) Cash on Hand at Beginning of Reporting Period	20497.70	
(c) Total Receipts (from Line 19)	3915.00	20640.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	24412.70	32499.07
7. Total Disbursements (from Line 31)	2600.00	10686.37
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	21812.70	21812.70
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
X This committee has qualified as a multical	andidate committee. (see FEC FORM 1M)	
	For further information contact:	
	Federal Election Commission 999 E Street, NW	

Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

SVB F	Financial	Group	<b>PAC</b>
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oort Covering the Period: From: 05	01 2013 To	
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
,		
(i) Itemized (use Schedule A)	3235.00	18465.00
(ii) Unitemized(iii) TOTAL (add	680.00	2175.00
Lines 11(a)(i) and (ii)	3915.00	20640.00
,	0.00	0.00
(such as PACs)	0.00	0.00
11(a)(iii), (b), and (c)) (Carry	3015.00	20640.00
, , , , , , , , , , , , , , , , , , , ,	3313.00	7
Party Committees	0.00	0.00
All Loans Received	0.00	0.00
	0.00	0.00
· · · · · · · · · · · · · · · · · · ·	0.00	0.00
	7	0.00
	0.00	0.00
Other Federal Receipts		
·	0.00	0.00
	7	
a) Non-Federal Account		
(from Schedule H3)	0.00	0.00
b) Levin Funds (from Schedule H5)	0.00	0.00
c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
	Contributions (other than loans) From:  a) Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A)	Contributions (other than loans) From: a) Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A)

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

	II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date			
	perating Expenditures:  Allocated Federal/Non-Federal  Activity (from Schedule H4)		Culonal Four to Duto			
	(i) Federal Share	0.00	0.00			
	(ii) Non-Federal Share	0.00	0.00			
(b)						
(	Expenditures	0.00	0.00			
(c)	Total Operating Expenditures					
_	(add 21(a)(i), (a)(ii), and (b))▶	0.00	0.00			
	ansfers to Affiliated/Other Party	0.00	0.00			
<ol><li>Co</li></ol>	ommitteesontributions to	0.00	0.00			
Fe an	ederal Candidates/Committees  d Other Political Committees	2600.00	10686.37			
4. Ind	dependent Expenditures					
(u:	se Schedule E) pordinated Party Expenditures	0.00	0.00			
(2	U.S.C. §441a(d))	0.00	0.00			
(u	se Schedule F)	0.00	0.00			
6. Lo	oan Repayments Made	0.00	0.00			
7. Lo	pans Made	0.00	0.00			
в. не (а)			0.00			
	Than Political Committees	0.00	0.00			
(b)	) Political Party Committees	0.00	0.00			
(c)		, , , ,				
, ,	(such as PACs)	0.00	0.00			
(d)	,	0.00	0.00			
	(add Lines 28(a), (b), and (c))▶	7 7	7			
9. Ot	ther Disbursements	0.00	0.00			
		7				
	ederal Election Activity (2 U.S.C. §431(20))					
(a)	) Allocated Federal Election Activity					
	(from Schedule H6) (i) Federal Share	0.00	0.00			
	(i) I edelai Silaie	7				
	(ii) "Levin" Share	0.00	0.00			
(b)	) Federal Election Activity Paid Entirely					
	With Federal Funds	0.00	0.00			
(c)	•	0.00	0.00			
	Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00			
I. To	atal Disbursements (add Lines 21(c), 22,					
	3, 24, 25, 26, 27, 28(d), 29 and 30(c))	2600.00	10686.37			
		7				
	tal Federal Disbursements					
	ubtract Line 21(a)(ii) and Line 30(a)(ii)	2000.00	10686.37			
tro	om Line 31)	2600.00	10080.37			

#### **DETAILED SUMMARY PAGE**

FEC Form 3X (Rev. 02/2003) III. Net Contributions/Operating Ex-

penditures

(from Line 11(d), page 3) .....

(from Line 28(d)).....

(subtract Line 34 from Line 33) .....

(add Line 21(a)(i) and Line 21(b)) ........▶

(from Line 15, page 3).....

(subtract Line 37 from Line 36) .....

33. Total Contributions (other than loans)

35. Net Contributions (other than loans)

36. Total Federal Operating Expenditures

37. Offsets to Operating Expenditures

38. Net Operating Expenditures

34. Total Contribution Refunds

of Disbursements

Page 5 **COLUMN A** COLUMN B **Total This Period** Calendar Year-to-Date 3915.00 20640.00 0.00 0.00 3915.00 20640.00 0.00 0.00 0.00 0.00 0.00 0.00

F	F	6	Α	N	0	2	6

Use separate schedule(s) for each category of the Detailed Summary Page

F	OR	LINE	NU	MBER	PAGE		6	OF		10				
(0	(check only one)													
	X	11a		11b		11c		12						
		13		14		15		16	;		17			

or for commercial purposes, other than using	ng the name and address of any political committee	
NAME OF COMMITTEE (In Full)  SVB Financial Group PAC		
Full Name (Last, First, Middle Initial)  Mary Dent		Date of Receipt
Mailing Address 3003 Tasman Dr		05 02 2013
City	State Zip Code	Transaction ID : 11AI-375
Santa Clara	CA 95054	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	85.00
Name of Employer	Occupation	7
SVB Financial Group	General Counsel	
Receipt For: 2013	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼ Calendar Year	935.00	
Full Name (Last, First, Middle Initial)  Mary Dent	'	Date of Receipt
Mailing Address 3003 Tasman Dr		05 16 2013
City	State Zip Code	Transaction ID : 11AI-386
Santa Clara	CA 95054	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	85.00
Name of Employer	Occupation	7
SVB Financial Group	General Counsel	
Receipt For: 2013  Primary General  Other (specify) ▼ Calendar Year	Aggregate Year-to-Date ▼ 935.00	
Full Name (Last, First, Middle Initial)		Data of Bookin
Mailing Address 3003 Tasman Dr		Date of Receipt
City	State Zip Code	05 31 2013 Transaction ID : 11AI-395
Santa Clara	CA 95054	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	85.00
Name of Employer	Occupation	-
SVB Financial Group	General Counsel	
Receipt For: 2013  Primary General	Aggregate Year-to-Date ▼	
Other (specify)  Calendar Year	935.00	
SUBTOTAL of Receipts This Page (option	nal)	255.00
TOTAL This Period (last page this line nu	mber only)	

Use separate schedule(s) for each category of the Detailed Summary Page

FOF	LINE	INO	MBER	PAGE	-	1	OF		10					
(che	(check only one)													
×	11a		11b		11c		12	<u>)</u>						
	13		14		15		16	3		17				

Any information copied from such Reports and Son for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full)		
SVB Financial Group PAC		
Full Name (Last, First, Middle Initial)  A. Michael Lempres  Mailing Address 4641 Clark PI NW		Date of Receipt
Maning Address 4041 Clafk PI NVV		05 02 2013
City	State Zip Code	Transaction ID : 11AI-377
Washington	DC 20007	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	40.00
Name of Employer	Occupation	
SVB Financial Group	Assistant General Counsel	
Receipt For: 2013  Primary General  Other (specify) ▼ Calendar Year	Aggregate Year-to-Date ▼  290.00	
Full Name (Last, First, Middle Initial)  Michael Lempres		Date of Receipt
Mailing Address 4641 Clark PI NW	M = M / D = D / Y = Y = Y	
City	State Zip Code	05 16 2013 Transaction ID : 11Al-387
Washington	DC 20007	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	40.00
Name of Employer SVB Financial Group	Occupation Assistant General Counsel	
Receipt For: 2013  Primary General  Other (specify) ▼ Calendar Year	Aggregate Year-to-Date ▼ 290.00	
Full Name (Last, First, Middle Initial)  Michael Lempres		Date of Receipt
Mailing Address 4641 Clark PI NW		05 31 2013
City	State Zip Code	Transaction ID : 11AI-396
Washington	DC 20007	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	40.00
Name of Employer	Occupation	
SVB Financial Group	Assistant General Counsel	
Receipt For: 2013	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼ Calendar Year	290.00	
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	120.00
TOTAL This Period (last page this line number of	only)	

FOR LINE NUMBER: **PAGE** (check only one) X 11a 11b 11c

8 OF 10 Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) SVB Financial Group PAC Full Name (Last, First, Middle Initial) Christie Ma Date of Receipt Mailing Address 3003 Tasman Dr 2013 02 City Zip Code State Transaction ID: 11AI-378 CA Santa Clara 95054 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation **SVB Financial Group** Attorney Receipt For: 2013 Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Calendar Year Full Name (Last, First, Middle Initial) B. Christie Ma Date of Receipt Mailing Address 3003 Tasman Dr 05 16 2013 City State Zip Code Transaction ID: 11AI-388 Santa Clara CA 95054 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **SVB Financial Group** Attorney Receipt For: 2013 Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Cálendar Year Full Name (Last, First, Middle Initial) c. Christie Ma Date of Receipt Mailing Address 3003 Tasman Dr 05 31 2013 City Zip Code State Transaction ID: 11AI-397 CA Santa Clara 95054 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee.

										_
SUBTOTAL of Receipts This Page (optional)			7		7		I	60.0	0	
TOTAL This Period (last page this line number only)	_	Ξ	7	Ξ	 ,	Ξ	Ξ	_	_	

220.00

Occupation

Aggregate Year-to-Date ▼

Attorney

Name of Employer

SVB Financial Group

Receipt For: 2013

Primary

Other (specify)

General

Calendar Year

Use separate schedule(s) for each category of the Detailed Summary Page

F	OR	LINE	NU	MBER	PAGE		9	OF		10				
(0	(check only one)													
	X	11a		11b		11c								
		13		14		15		16	;		17			

or for commercial purposes, other than using	and Statements may not be sold or used by any pering the name and address of any political committee t	
NAME OF COMMITTEE (In Full)		
SVB Financial Group PAC		
Full Name (Last, First, Middle Initial)  Derek Ridgley		Date of Receipt
Mailing Address 1780 Baseline Dr	05 31 2013	
City	State Zip Code	Transaction ID : 11AI-400
Boulder	CO 80302	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	†
SVB Financial Group	Division Risk Manager	
Receipt For: 2013	Aggregate Year-to-Date ▼	7
Primary General	0.0	
Other (specify)  Calendar Year	500.00	
Full Name (Last, First, Middle Initial)  Bruce Wallace		Date of Receipt
Mailing Address 474 Vista Ct		M = M / D = D / Y = Y = Y
City	Stata Zin Coda	05 02 2013
City	State Zip Code CA 94510	Transaction ID : 11AI-384
Benicia	CA 94510	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	2000.00
Name of Employer	Occupation	1
SVB Financial Group	Chief Operating Officer	
Receipt For: 2013	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) <b>v</b> Calendar Year	2000.00	
Full Name (Last, First, Middle Initial)  . Michael Wick		Date of Receipt
Mailing Address 3005 Tasman Dr		05 16 2013
City	State Zip Code	Transaction ID : 11AI-393
Santa Clara	CA 95054	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	300.00
Name of Employer	Occupation	-
SVB Financial Group	Sr. Counsel	
Receipt For: 2013	Aggregate Year-to-Date ▼	7
Primary General		
Other (specify) ▼ Calendar Year	300.00	
SUBTOTAL of Receipts This Page (option	nal)	2800.00
	<u>-</u> _	
TOTAL This Period (last page this line nu	mber only)	3235.00

SCHEDULE B (FEC Form 3X)	Han and the control of the Control	FOR LINE	FOR LINE NUMBER: PAGE 10 OF 10		
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(orleast orliny	,		
	Detailed Summary Page	21b 27	22 X 23 28 28 28		
Any information copied from such Reports and Stater or for commercial purposes, other than using the nan					
or for commercial purposes, other than using the han  NAME OF COMMITTEE (In Full)	ne and address of any politi	cai committee to	Solicit contribution	ons from such committee.	
SVB Financial Group PAC					
Full Name (Last, First, Middle Initial)					
A. Lofgren for Congress				Date of Disbursement	
Mailing Address 123 E San Carlos St			05	31 2013	
,	State Zip Code		Transaction	ID · 23-46	
San Jose Purpose of Disbursement	CA 95112		Transaction	ID . 20-40	
Political Contribution		011	Amount of Ea	ch Disbursement this Period	
Candidate Name Category			2600.00		
Zoe Lofgren  Office Sought:   ✓ House Disburser	ment For: 2014	Type			
	Primary General Other (specify)				
State: CA District: 19	<b>₹</b>				
Full Name (Last, First, Middle Initial)					
3.			Date of Disbursement		
Mailing Address			M M /	)   D / Y   Y   Y   Y	
City	State Zip Code				
Purpose of Disbursement			_		
Candidate Name			Amount of Each Disbursement this Period		
Candidate Name		Category/ Type			
Office Sought: House Disburser	ment For:	71	,	,	
Senate	Primary General				
State: President State:	Other (specify) ▼				
Full Name (Last, First, Middle Initial)			D : (D:)		
C.				rsement	
Mailing Address				)   D / Y   Y   Y   Y	
City	State Zip Code				
Purpose of Disbursement					
Candidate Name  Category/			Amount of Ea	ch Disbursement this Period	
Office Sought: House Disburser	ment For:	Туре		7	
Senate	Primary General				
State: District:	Other (specify) ▼				
$\textbf{SUBTOTAL} \ \ \text{of Disbursements This Page (optional)}$		······		2600.00	
TOTAL This Period (last page this line number only)	)			2600.00	