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December 2, 2021

JEFFREY A. RUPPERT, TREASURER BEATTY FOR CONGRESS 222 EAST TOWN STREET SUITE 2W COLUMBUS, OH 43215

Response Due Date 01/06/2022

IDENTIFICATION NUMBER: C00507368

REFERENCE: AMENDED OCTOBER QUARTERLY REPORT (07/01/2021 - 09/30/2021), RECEIVED 10/18/2021

## Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. Failure to adequately respond by the response date noted above could result in an audit or enforcement action. Additional information is needed for the following 2 item(s):

1. Schedule A of your report discloses one or more contributions that appear to exceed the limits set forth in the Act (see attached).

An individual or a political committee other than an authorized committee or qualified multi-candidate committee may not make a contribution(s) to a candidate for federal office in excess of \$2,900 per election. An authorized committee may not make a contribution(s) to a candidate for federal office in excess of \$2,000 per election. A qualified multi-candidate committee and all affiliated committees may not make a contribution(s) to a candidate for federal office in excess of \$5,000 per election. The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for federal office. (52 U.S.C. § 30116(a) and (f) (formerly 2 U.S.C. § 441a(a) and (f)); 11 CFR § 110.1(b), (e) and (k))

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you must amend your original report with the clarifying information. If any contribution you received exceeds the limits, you may have to refund the excessive amount.

Excessive contributions may be retained if, within 60 days of receipt, the excessive portions are properly redesignated or reattributed. Guidelines for each

## **BEATTY FOR CONGRESS**

Page 2 of 3

option are provided below.

Please note that reattributions only apply to excessive contributions from individuals. An excessive contribution is considered properly reattributed if (1) the contributors provide the committee with written documentation, signed by each contributor, authorizing a reattribution and indicating the amount of the contribution to be attributed to each contributor; or (2) the committee reattributes by presumption the excessive portion of the contribution if the contribution was made on a written instrument from a joint account and was signed by only one of the account holders. In this case, the treasurer must notify the contributors in writing within 60 days of receiving the contribution that the committee intends to reattribute the excessive portion and must give the contributor an opportunity to request a refund. (11 CFR § 110.1(k)(3)(ii)(B))

Please note that you cannot presumptively redesignate an excessive contribution from a multi-candidate committee. Also, a contribution can only be redesignated to a previous election to the extent that the contribution does not exceed the committee's net debts outstanding for that election. (11 CFR § 110.1(b)(3)(i))

If the foregoing conditions for reattributions or redesignations are not met within 60 days of receipt of the contribution, the excessive amount must be refunded. See 11 CFR § 103.3(b)(1).

If you have not already done so, please inform the Commission of your corrective action immediately in writing and provide photocopies of any refund checks and/or letters reattributing or redesignating the contributions in question. Refunds are reported on Line 20(a), (b), or (c), as applicable, of the Detailed Summary Page and on a supporting Schedule B of the report covering the period in which they are made. Redesignations and reattributions are reported as memo entries on Schedule A of the report covering the period in which the authorization for the redesignation and/or reattribution is received. (11 CFR § 104.8(d)(2), (3) and (4))

Although the Commission may take further legal action concerning the acceptance of excessive contributions, your prompt action to refund, redesignate, and/or reattribute the excessive amount will be taken into consideration.

2. Your report fails to provide proper election designations for contributions disclosed on Schedule A supporting Line(s) 11(a)(iii) and 11(c). Authorized committees should indicate the election for which the contribution was designated. If no election is designated, the contribution is applied to the next

## BEATTY FOR CONGRESS

Page 3 of 3

election for the federal office the candidate is seeking after the contribution date. Please be advised that incorrect election designations may result in excessive or impermissible contributions. Please amend your report to clarify election designations P2021. (11 CFR §§ 110.1(b) and 110.2(b))

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. Requests for extensions of time in which to respond will not be considered.

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. For information about the report review process or specific filing information for your committee type, please visit www.fec.gov/help-candidates-and-committees. For more information about Requests for Additional Information (RFAI), why you received a letter, and how to respond, please visit www.fec.gov/help-candidates-and-committees/request-additional-information. Should you have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1132.

Sincerely,

Chris Jones

Senior Campaign Finance Analyst

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## Apparent Excessive, Prohibited, and Impermissible Contributions Beatty for Congress (C00507368)

**Apparent Excessive Contributions from Individuals** 

Contributor Name	Date	Amount	Election
Gray, Jonathan	9/15/2021	\$5,800.00	P2021
Gray, Mindy	9/15/2021	\$5,800.00	P2021
Jurvetson, Karla	7/15/2021	\$5,800.00	P2022
McCarthy Baldwin, Kathleen	9/14/2021	\$5,800.00	P2021
Perry, Verdun	9/15/2021	\$5,800.00	P2021

**Apparent Excessive Contributions from Committees** 

Contributor Name	Date	Amount	Election
Investment Company Institute Political Action Committee	6/29/2021	\$3,500.00	P2022
Investment Company Institute Political Action Committee	9/30/2021	\$500.00	P2022
Investment Company Institute Political Action Committee	9/30/2021	\$1,500.00	P2022
Truist Financial Corporation Federal PAC (Formerly			
Suntrust Banks Inc. Political Action Co	3/22/2021	\$5,000.00	P2022
Truist Financial Corporation Federal PAC (Formerly			
Suntrust Banks Inc. Political Action Co	7/1/2021	\$2,500.00	P2022

**Apparent Excessive Contributions from Affiliated Committees** 

Contributor Name	Date	Amount	Election
Invesco Holding Company (US), Inc.			
Political Action Committee	9/30/2021	\$2,500.00	P2022
Massachusetts Mutual Life Insurance Company			
Political Action Committee	9/30/2021	\$5,000.00	P2022