

# FEC FORM 3

# REPORT OF RECEIPTS AND DISBURSEMENTS

For An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full)

TYPE OR PRINT ▼

Example: If typing, type over the lines.

12FE4M5

PENALOSA, JOSE

ADDRESS (number and street)

55 E. LEXINGTON AVE.

Check if different than previously reported. (ACC)

PHOENIX

AZ

85012

2. FEC IDENTIFICATION NUMBER ▼

C C00559179

CITY ▲

STATE ▲

ZIP CODE ▲

STATE ▼ DISTRICT

3. IS THIS REPORT

NEW (N)

OR

AMENDED (A)

AZ

07

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report (Q1)

July 15 Quarterly Report (Q2)

October 15 Quarterly Report (Q3)

January 31 Year-End Report (YE)

Termination Report (TER)

(b) 12-Day PRE-Election Report for the:

Primary (12P)

General (12G)

Runoff (12R)

Convention (12C)

Special (12S)

Election on

M M /

D D /

Y Y Y Y

in the State of

(c) 30-Day POST-Election Report for the:

General (30G)

Runoff (30R)

Special (30S)

Election on

M M /

D D /

Y Y Y Y

in the State of

5. Covering Period

M M /

D D /

Y Y Y Y

through

M M /

D D /

Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Jose Penalosa

Signature of Treasurer Jose Penalosa

[Electronically Filed]

Date

M M /

D D /

Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only

FEC FORM 3 (Revised 02/2003)

**SUMMARY PAGE**  
of Receipts and Disbursements

Write or Type Committee Name  
**PENALOSA, JOSE**

Report Covering the Period: From:  /  /  To:  /  /

	COLUMN A This Period	COLUMN B Election Cycle-to-Date
<b>6. Net Contributions (other than loans)</b>		
(a) Total Contributions (other than loans) (from Line 11(e))....	5000.00	14222.00
(b) Total Contribution Refunds (from Line 20(d)) .....	0.00	0.00
(c) Net Contributions (other than loans) (subtract Line 6(b) from Line 6(a)).....	5000.00	14222.00
<b>7. Net Operating Expenditures</b>		
(a) Total Operating Expenditures (from Line 17) .....	13910.29	14590.78
(b) Total Offsets to Operating Expenditures (from Line 14).....	0.00	479.83
(c) Net Operating Expenditures (subtract Line 7(b) from Line 7(a)).....	13910.29	14110.95
<b>8. Cash on Hand at Close of Reporting Period (from Line 27).....</b>	356.05	
<b>9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D).....</b>	0.00	
<b>10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D).....</b>	8000.00	

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

**DETAILED SUMMARY PAGE**  
of Receipts

FEC Form 3 (Revised 12/2003)

Write or Type Committee Name

**PENALOSA, JOSE**

Report Covering the Period: From:  /  /  To:  /  /

I. RECEIPTS	COLUMN A Total This Period	COLUMN B Election Cycle-to-Date
11. CONTRIBUTIONS (other than loans) FROM:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	750.00	7550.00
(ii) Unitemized.....	50.00	2472.00
(iii) TOTAL of contributions from individuals ▶	800.00	10022.00
(b) Political Party Committees.....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) The Candidate.....	4200.00	4200.00
(e) TOTAL CONTRIBUTIONS (other than loans) (add Lines 11(a)(iii), (b), (c), and (d))..	5000.00	14222.00
12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES .....	0.00	0.00
13. LOANS:		
(a) Made or Guaranteed by the Candidate.....	0.00	0.00
(b) All Other Loans.....	0.00	0.00
(c) TOTAL LOANS (add Lines 13(a) and (b)).....	0.00	0.00
14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.) .....	0.00	479.83
15. OTHER RECEIPTS (Dividends, Interest, etc.).....	0.00	155.11
16. <b>TOTAL RECEIPTS</b> (add Lines 11(e), 12, 13(c), 14, and 15) (Carry Total to Line 24, page 4)..... ▶	5000.00	14856.94

**DETAILED SUMMARY PAGE**  
of Disbursements

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Election Cycle-to-Date
17. OPERATING EXPENDITURES.....	13910.29	14590.78
18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES .....	0.00	0.00
19. LOAN REPAYMENTS:		
(a) Of Loans Made or Guaranteed by the Candidate.....	0.00	0.00
(b) Of All Other Loans .....	0.00	0.00
(c) TOTAL LOAN REPAYMENTS (add Lines 19(a) and (b)).....	0.00	0.00
20. REFUNDS OF CONTRIBUTIONS TO:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees.....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) TOTAL CONTRIBUTION REFUNDS (add Lines 20(a), (b), and (c)).....	0.00	0.00
21. OTHER DISBURSEMENTS .....	10.00	10.11
22. <b>TOTAL DISBURSEMENTS</b> (add Lines 17, 18, 19(c), 20(d), and 21) ►	13920.29	14600.89

**III. CASH SUMMARY**

23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD.....	9276.34
24. TOTAL RECEIPTS THIS PERIOD (from Line 16, page 3).....	5000.00
25. SUBTOTAL (add Line 23 and Line 24).....	14276.34
26. TOTAL DISBURSEMENTS THIS PERIOD (from Line 22).....	13920.29
27. CASH ON HAND AT CLOSE OF REPORTING PERIOD (subtract Line 26 from Line 25).....	356.05

**SCHEDULE A (FEC Form 3)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 5 OF 11
	<input checked="" type="checkbox"/> 11a 12 <input type="checkbox"/> 11b 13a <input type="checkbox"/> 11c 13b <input type="checkbox"/> 11d 14 <input type="checkbox"/> 15	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**PENALOSA, JOSE**

**A.** Full Name (Last, First, Middle Initial)  
**Miguel Aguilar**

Mailing Address 4622 E. Wilshire Dr.

City Phoenix State AZ Zip Code 85008

FEC ID number of contributing federal political committee. **C**

Name of Employer Heat & Frost Insulators Occupation Union rep

Receipt For:  
 Primary  General  
 Other (specify)

Election Cycle-to-Date **750.00**

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 06 / 16 / 2014

**Transaction ID : SA11AI.4263**

Amount of Each Receipt this Period  
**500.00**

**B.** Full Name (Last, First, Middle Initial)  
**Chris Herstam**

Mailing Address

City Phoenix State AZ Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Lewis Roca Rothgerber Occupation Government Relations

Receipt For:  
 Primary  General  
 Other (specify)

Election Cycle-to-Date **250.00**

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 05 / 31 / 2014

**Transaction ID : SA11AI.4298**

Amount of Each Receipt this Period  
**250.00**

**C.** Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:  
 Primary  General  
 Other (specify)

Election Cycle-to-Date

Date of Receipt  
 M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

**SUBTOTAL** of Receipts This Page (optional).....

**TOTAL** This Period (last page this line number only).....

**750.00**

**750.00**

**SCHEDULE A (FEC Form 3)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 OF 11
	<input type="checkbox"/> 11a 12 <input type="checkbox"/> 11b 13a <input type="checkbox"/> 11c 13b <input checked="" type="checkbox"/> 11d 14 <input type="checkbox"/> 15	

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NAME OF COMMITTEE (In Full)  
**PENALOSA, JOSE**

**A.** Full Name (Last, First, Middle Initial)  
**JOSE PENALOSA**

Mailing Address 55 E. LEXINGTON AVE

City State Zip Code  
PHOENIX AZ 85012

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
PENALOSA & ASSOCIATES P.C. ATTORNEY

Receipt For: 2014  
 Primary  General  
 Other (specify)

Election Cycle-to-Date  
1279.83

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 05 / 13 / 2014

**Transaction ID : SA11D.4310**

Amount of Each Receipt this Period  
800.00

**B.** Full Name (Last, First, Middle Initial)  
**JOSE PENALOSA**

Mailing Address 55 E. LEXINGTON AVE

City State Zip Code  
PHOENIX AZ 85012

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
PENALOSA & ASSOCIATES P.C. ATTORNEY

Receipt For: 2014  
 Primary  General  
 Other (specify)

Election Cycle-to-Date  
2279.83

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 05 / 28 / 2014

**Transaction ID : SA11D.4312**

Amount of Each Receipt this Period  
1000.00

**C.** Full Name (Last, First, Middle Initial)  
**JOSE PENALOSA**

Mailing Address 55 E. LEXINGTON AVE

City State Zip Code  
PHOENIX AZ 85012

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
PENALOSA & ASSOCIATES P.C. ATTORNEY

Receipt For: 2014  
 Primary  General  
 Other (specify)

Election Cycle-to-Date  
4279.83

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 05 / 29 / 2014

**Transaction ID : SA11D.4314**

Amount of Each Receipt this Period  
2000.00

**SUBTOTAL** of Receipts This Page (optional).....

**TOTAL** This Period (last page this line number only).....

3800.00

**SCHEDULE A (FEC Form 3)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 OF 11
	<input type="checkbox"/> 11a 12 <input type="checkbox"/> 11b 13a <input type="checkbox"/> 11c 13b <input checked="" type="checkbox"/> 11d 14 <input type="checkbox"/> 15	

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NAME OF COMMITTEE (In Full)  
**PENALOSA, JOSE**

**A.** Full Name (Last, First, Middle Initial)  
**JOSE PENALOSA**

Mailing Address **55 E. LEXINGTON AVE**

City **PHOENIX** State **AZ** Zip Code **85012**

FEC ID number of contributing federal political committee. **C**

Name of Employer **PENALOSA & ASSOCIATES P.C.** Occupation **ATTORNEY**

Receipt For: 2014  
 Primary  General  
 Other (specify)

Election Cycle-to-Date  
**4679.83**

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
**06 / 16 / 2014**

**Transaction ID : SA11D.4316**

Amount of Each Receipt this Period  
**400.00**

**B.** Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:  
 Primary  General  
 Other (specify)

Election Cycle-to-Date

Date of Receipt  
 M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

**C.** Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:  
 Primary  General  
 Other (specify)

Election Cycle-to-Date

Date of Receipt  
 M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

**SUBTOTAL** of Receipts This Page (optional).....

**TOTAL** This Period (last page this line number only).....

**400.00**

**4200.00**

**SCHEDULE B (FEC Form 3)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 OF 11			
	<input checked="" type="checkbox"/> 17 20a	<input type="checkbox"/> 18 20b	<input type="checkbox"/> 19a 20c	<input type="checkbox"/> 19b 21	

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NAME OF COMMITTEE (In Full)  
**PENALOSA, JOSE**

Full Name (Last, First, Middle Initial) <b>A. J &amp; R Graphics and Printing</b>		Date of Disbursement M M / D D / Y Y Y Y 04 / 18 / 2014
Mailing Address 638 W. Indian School Rd.		Amount of Each Disbursement this Period 474.90
City Phoenix	State AZ	
Zip Code 85013	Purpose of Disbursement 004	<b>Transaction ID : SB17.4281</b>
Candidate Name <b>Jose Penalosa</b>	Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2014 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)
State: District:		

Full Name (Last, First, Middle Initial) <b>B. MR, LLC</b>		Date of Disbursement M M / D D / Y Y Y Y 06 / 02 / 2014
Mailing Address		Amount of Each Disbursement this Period 2000.00
City BUCKEYE	State AZ	
Zip Code	Purpose of Disbursement 004	<b>Transaction ID : SB17.4292</b>
Candidate Name <b>Jose Penalosa</b>	Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2014 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)
State: District:		

Full Name (Last, First, Middle Initial) <b>c. Sign Here Petitions</b>		Date of Disbursement M M / D D / Y Y Y Y 04 / 14 / 2014
Mailing Address 2234 S. MCCLINTOCK DRIVE		Amount of Each Disbursement this Period 5000.00
City TEMPE	State AZ	
Zip Code 85282	Purpose of Disbursement 001	<b>Transaction ID : SB17.4306</b>
Candidate Name <b>Jose Penalosa</b>	Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2014 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)
State: District:		

<b>SUBTOTAL</b> of Disbursements This Page (optional).....	7474.90
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE B (FEC Form 3)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 OF 11			
	<input checked="" type="checkbox"/> 17 20a	<input type="checkbox"/> 18 20b	<input type="checkbox"/> 19a 20c	<input type="checkbox"/> 19b 21	

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NAME OF COMMITTEE (In Full)  
**PENALOSA, JOSE**

**A. Sign Here Petitions**

Full Name (Last, First, Middle Initial)  
Mailing Address 2234 S. MCCLINTOCK DRIVE

City TEMPE State AZ Zip Code 85282

Purpose of Disbursement  001 Category/Type

Candidate Name **Jose Penalosa**

Office Sought:  House  Senate  President  
Disbursement For: 2014  Primary  General  Other (specify)

State: District:

Date of Disbursement: 05 / 13 / 2014

Amount of Each Disbursement this Period: 4000.00

Transaction ID : SB17.4303

**B. Sign Here Petitions**

Full Name (Last, First, Middle Initial)  
Mailing Address 2234 S. MCCLINTOCK DRIVE

City TEMPE State AZ Zip Code 85282

Purpose of Disbursement  001 Category/Type

Candidate Name **Jose Penalosa**

Office Sought:  House  Senate  President  
Disbursement For: 2014  Primary  General  Other (specify)

State: District:

Date of Disbursement: 05 / 27 / 2014

Amount of Each Disbursement this Period: 1000.00

Transaction ID : SB17.4308

**c. USA Wholesale Print**

Full Name (Last, First, Middle Initial)  
Mailing Address 7537 W. THOMAS RD SUITE 1

City PHOENIX State AZ Zip Code 85033

Purpose of Disbursement  004 Category/Type

Candidate Name **Jose Penalosa**

Office Sought:  House  Senate  President  
Disbursement For: 2014  Primary  General  Other (specify)

State: District:

Date of Disbursement: 06 / 13 / 2014

Amount of Each Disbursement this Period: 388.50

Transaction ID : SB17.4293

**SUBTOTAL** of Disbursements This Page (optional) ..... 5388.50

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE B (FEC Form 3)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 10 OF 11	
	<input checked="" type="checkbox"/> 17 20a	<input type="checkbox"/> 18 20b	<input type="checkbox"/> 19a 20c	<input type="checkbox"/> 19b 21

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NAME OF COMMITTEE (In Full)  
**PENALOSA, JOSE**

Full Name (Last, First, Middle Initial) <b>A. USA Wholesale Print</b>		Date of Disbursement MM / DD / YYYY 06 / 27 / 2014
Mailing Address 7537 W. THOMAS RD SUITE 1		Amount of Each Disbursement this Period 398.50
City PHOENIX	State AZ Zip Code 85033	
Purpose of Disbursement	Category/Type 004	<b>Transaction ID : SB17.4289</b>
Candidate Name <b>Jose Penalosa</b>		
Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President Disbursement For: 2014 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	State: District:	

Full Name (Last, First, Middle Initial) <b>B. YCM Advertising Group LLC</b>		Date of Disbursement MM / DD / YYYY 04 / 08 / 2014
Mailing Address 2950 N. 46TH ST.		Amount of Each Disbursement this Period 400.00
City Phoenix	State AZ Zip Code 85018-7755	
Purpose of Disbursement	Category/Type 004	<b>Transaction ID : SB17.4295</b>
Candidate Name <b>Jose Penalosa</b>		
Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President Disbursement For: 2014 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	State: District:	

Full Name (Last, First, Middle Initial) <b>C.</b>		Date of Disbursement MM / DD / YYYY
Mailing Address		Amount of Each Disbursement this Period
City	State Zip Code	
Purpose of Disbursement	Category/Type	
Candidate Name		
Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	State: District:	

<b>SUBTOTAL</b> of Disbursements This Page (optional).....	798.50
<b>TOTAL</b> This Period (last page this line number only).....	13661.90

SCHEDULE D (FEC Form 3)

DEBTS AND OBLIGATIONS

Excluding Loans

(Use separate schedule(s) for each numbered line)

FOR LINE NUMBER: (check only one)

9
X 10

NAME OF COMMITTEE (In Full)

PENALOSA, JOSE

A. Full Name (Last, First, Middle Initial) of Debtor or Creditor

MR, LLC

Nature of Debt (Purpose):

Mailing Address

City State

BUCKEYE

Zip Code

AZ

Outstanding Balance Beginning This Period

0.00

Transaction ID : SD10.4300

Amount Incurred This Period

8000.00

Payment This Period

0.00

Outstanding Balance at Close of This Period

8000.00

B. Full Name (Last, First, Middle Initial) of Debtor or Creditor

Nature of Debt (Purpose):

Mailing Address

City State

Zip Code

Outstanding Balance Beginning This Period

Amount Incurred This Period

Payment This Period

Outstanding Balance at Close of This Period

C. Full Name (Last, First, Middle Initial) of Debtor or Creditor

Nature of Debt (Purpose):

Mailing Address

City State Zip Code

Outstanding Balance Beginning This Period

Amount Incurred This Period

Payment This Period

Outstanding Balance at Close of This Period

1) SUBTOTALS This Period This Page (optional) ...

8000.00

2) TOTALS This Period (last page this line number only) ...

8000.00

3) TOTAL OUTSTANDING LOANS from Schedule C (last page only) ...

0.00

4) ADD 2) and 3) and carry forward to appropriate line of Summary Page (last page only) ...

8000.00