



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-3

January 22, 1998

Lewis Bart Stone, Esq.
New York Republican Federal
Campaign Committee
c/o Rogers & Wells
200 Park Avenue
New York, NY 10166

Identification Number: C00055582

Reference: Mid-Year (1/1/97-6/30/97) and 12 Day Pre-General (7/1/97-10/15/97)
Reports

Dear Mr. Stone:

This letter is to inform you that as of January 21, 1998, the Commission has not received your response to our requests for additional information, dated December 29, 1997. These notices request information essential to full public disclosure of your federal election campaign finances. To ensure compliance with the provisions of the Federal Election Campaign Act (the Act), please respond to these requests (copies enclosed).

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions regarding this matter, please contact Donald Averett on our toll-free number (800) 424-9530 or our local number (202) 219-3580.

Sincerely,

A handwritten signature in cursive script that reads "John D. Gibson".

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosures



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

DEC 29 1997

Lewis Bart Stone, Esq.
New York Republican Federal
Campaign Committee
c/o Rogers & Wells, 200 Park Avenue
New York, NY 10166

Identification Number: C00055582

Reference: 12 Day Pre-General Election Report (7/1/97-10/15/97)

Dear Mr. Stone:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

- Your calculations for Lines 11(a)(iii) and 11(d), Columns A and B appear to be incorrect. Please provide the corrected totals on the Detailed Summary Page.
- You must make an attempt to obtain the full name, mailing address, occupation and name of employer for all individuals who contribute more than \$200 in a calendar year. Please amend your report to include the omitted information.

A committee may demonstrate "best efforts" to obtain the required information by providing the Commission with a description of its procedures for requesting the information and may also supply a copy of a solicitation: the committee must request the contributor information in initial solicitations; make follow-up requests (if necessary); report the information; and file amendments to disclose previously unreported information. Each solicitation must include a clear and conspicuous request

NEW YORK REPUBLICAN FEDERAL CAMPAIGN COMMITTEE
PAGE 2

for the information. If a committee receives a contribution that exceeds the \$200 threshold but lacks contributor information, the committee must, within 30 days, make an additional written or oral request for the information. Please note that a written request may not include an additional solicitation or material on any other subject, other than thanking the contributor for the donation and must include a pre-addressed return post card or envelope for the contributor's response. An oral request must be documented in writing. Committees must also disclose information that was not provided by the contributor, but is available in any of the committee's records for that current election cycle. Furthermore, if a committee receives contributor information after the contributions have been reported, it must submit, with its next report, an amended memo Schedule A listing all the contributions for which additional information was received or file, on or before the next reporting date, amendments to the previous reports on which the contributions were originally disclosed. See 11 CFR §104.3(a)(4)(i) and 11 CFR §104.7.

-Line 12 of the Detailed Summary Page of your report discloses a total of \$388,031 in transfers from affiliated/other party committees. The sum of the entries itemized on Schedule A, however, indicates the total to be \$774,662. Please note, Schedule A for Line 12 includes a page which you may not have intended to file.

-Your report includes computer produced formats of Schedules A, B, H1, H3 and H4. Computer produced formats may only be used upon prior approval of the Commission. You should submit a separate sample format with a cover letter requesting approval. Until your format has been approved, FEC forms must be used. 11 CFR §104.2(d)

-Schedule H3 of your report discloses transfers received from your non-federal account for the "6/10 Golf Outing" fundraising event which occurred outside the permissible transfer period. Please be advised that transfers for shared activity must be made within a 70-day time period: no more than 10 days before or 60 days after the payment to the vendor. 11 CFR §§106.5(g)(2) and 106.6(e)(2) Please clarify the nature of these transfers-in from the non-federal account.

NEW YORK REPUBLICAN FEDERAL CAMPAIGN COMMITTEE
PAGE 3

The Commission recommends that you immediately transfer the total excessive amount received by your federal account outside the 70-day time period back to your non-federal account. Although the Commission may take further legal action concerning this prohibited activity, your prompt action will be taken into consideration.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,



Donald L. Averett
Senior Reports Analyst
Reports Analysis Division

17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65
66
67
68
69
70
71
72
73
74
75
76
77
78
79
80
81
82
83
84
85
86
87
88
89
90
91
92
93
94
95
96
97
98
99
100