REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Co... ...tee
(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
Investment Management Political Action Committee of the Investment Company Institute

ADDRESS (number and street) ☐ Check if different than previously reported
1401 H Street NW

CITY, STATE and ZIP CODE
Washington, DC 20005

FEC ADMINISTRATION
Mar 10 9 50 AM '94

2. FEC IDENTIFICATION NUMBER
C00105981

3. ☐ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report
☐ July 15 Quarterly Report
☐ October 15 Quarterly Report
☐ January 31 Year End Report
☐ July 31 Mid Year Report (Non-election Year Only)
☐ Termination Report

Monthly Report Due On:
☐ February 20 ☐ June 20 ☐ October 20
☒ March 20 ☐ July 20 ☐ November 20
☐ April 20 ☐ August 20 ☐ December 20
☐ May 20 ☐ September 20 ☐ January 31

☐ Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____

☐ Thirtieth day report following the General Election on _____ in the State of _____.

(b) Is this Report an Amendment? ☐ YES ☒ NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period 02/01/94 through 02/28/94		
6. (a) Cash on Hand January 1, 19 94		$ 194,767.22
(b) Cash on Hand at Beginning of Reporting Period	$ 213,319.72	
(c) Total Receipts (from Line 18)	$ 3,475.52	$ 22,121.86
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$ 216,795.24	$ 216,889.08
7. Total Disbursements (from Line 30)	$ 42,794.00	$ 42,887.84
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$ 174,001.24	$ 174,001.24
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0.00	

For Further Information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
C. Richard Pogue

Signature of Treasurer
C. Richard Pogue

Date
3/9/94

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

			3					

FEC FORM 3X
(revised 9/93)

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE Investment Management Political Action Committee of the Investment Company Institute

REPORT COVERING PERIOD FROM 02/01/94 TO 02/28/94

	COLUMN A Total This Period	COLUMN B Calendar Year
I. Receipts		
11. Contributions (other than loans) From:		
a. Individual/Persons Other Than Political Committees		
i. Itemized (use Schedule A)	2,250.00	12,350.00
ii. Unitemized	979.00	9,252.00
iii. Total (add i and ii) ➤	3,229.00	21,602.00
b. Political Party Committees		
c. Other Political Committees (such as PACs)		
d. Total Contributions (add a iii, b and c) ➤	3,229.00	21,602.00
12. Transfers From Affiliated/Other Party Committees		
13. All Loans Received		
14. Loan Repayments Received		
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)		
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees		
17. Other Federal Receipts (Dividends, Interest, etc.)	246.52	519.86
18. Transfers from Nonfederal Account for Joint Activity		
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) ➤	3,475.52	22,121.86
20. Total Federal Receipts (subtract line 18 from line 19) ➤	3,475.52	22,121.86
II. Disbursements		
21. Operating Expenditures:		
a. Shared Federal/Non-Federal Activity (from Schedule H4)		
i. Federal Share		
ii. Non-Federal Share		
b. Other Federal Operating Expenditures		
c. Total Operating Expenditures (add a i, a ii, and b) ➤		
22. Transfers to Affiliated/Other Party Committees		
23. Contributions to Federal Candidates/Committees and Other Political Committees	42,750.00	42,750.00
24. Independent Expenditures (use Schedule E)		
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)		
26. Loan Repayments Made		
27. Loans Made		
28. Refunds of Contributions To:		
a. Individuals/Persons Other Than Political Committees		
b. Political Party Committees		
c. Other Political Committees (such as PACs)		
d. Total Contribution Refunds (add a, b and c) ➤		
29. Other Disbursements Bank Charges	44.00	137.84
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) ➤	42,794.00	42,887.84
31 Total Federal Disbursements (subtract line 21 a ii from line 30) ➤	42,794.00	42,887.84
III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans)(from line 11d)	3,229.00	21,602.00
33. Total Contribution Refunds (from line 28d)	0.00	0.00
34. Net Contributions (other than loans)(subtract line 33 from 32)	3,229.00	21,602.00
35. Total Federal Operating Expenditures (add 21 a i and 21 b) ➤	0.00	0.00
36. Offsets to Operating Expenditures (from line 15)	0.00	0.00
37. Net Operating Expenditures (subtract line 36 from 35) ➤	0.00	0.00

0 4 0 3 8 1 5 1 2 5 1

SCHEDULE A ITEMIZED RECEIPTS Page 1 of 1
For Line Number: 11a(i)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: Investment Management PAC of the Investment Company Institute

Name	Name of Employer	Date	Amount
Stephen J. Hodgdon 1 Parkview Plaza Oakbrook Terrace, IL 60181	Van Kampen Merritt Companies, Occupation Director of Marketing	02/09/94	250.00
	Aggregate Year-to-Date-->	250.00	
Name	Name of Employer	Date	Amount
Gary Kreps 50 California Street San Francisco, CA 94111	G.T. Global Funds Occupation Chief Investment Officier	02/17/94	500.00
	Aggregate Year-to-Date-->	500.00	
Name	Name of Employer	Date	Amount
Earle A. Malm II 50 California Street San Francisco, CA 94111	G.T. Global Funds Occupation Vice President Marketing	02/17/94	500.00
	Aggregate Year-to-Date-->	500.00	
Name	Name of Employer	Date	Amount
Raymond R. Cunningham 50 California Street San Francisco, CA 94111	G.T. Global Funds Occupation Sr. Vice President	02/17/94	250.00
	Aggregate Year-to-Date-->	250.00	
Name	Name of Employer	Date	Amount
F. Christian Wignall 50 California Street San Francisco, CA 94111	G.T. Global Funds Occupation Chief Investment Officer	02/17/94	750.00
	Aggregate Year-to-Date-->	750.00	

Subtotal of Receipts this page..... 2,250.00
Total this period..... 2,250.00

SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1

FOR LINE NUMBER 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full) Investment Management Political Action Committee of the Investment Company Institute

A. Full Name, Mailing Address and ZIP Code Interest Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer N/A Occupation Aggregate Year-to-Date $ 519.86	Date (month, day, year) 02/28/94	Amount of Each Receipt this Period 246.52
B. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date $	Date (month, day, year)	Amount of Each Receipt this Period
C. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify)	Name of Employer Occupation Aggregate Year-to-Date $	Date (month, day, year)	Amount of Each Receipt this Period
D. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date $	Date (month, day, year)	Amount of Each Receipt this Period
E. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date $	Date (month, day, year)	Amount of Each Receipt this Period
F. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date $	Date (month, day, year)	Amount of Each Receipt this Period
G. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date $	Date (month, day, year)	Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)	
TOTAL This Period (last page this line number only)	246.52

7 4 0 3 8 1 6 1 2 5 3

SCHEDULE B ITEMIZED DISBURSEMENTS Page 1 of 4
For Line Number: 21

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: Investment Management PAC of the Investment Company Institute

Name	Purpose of Disbursement	Date	Amount
Braun for U.S. Senate 201 N. Wells Suite 1420 Chicago, IL 60606	Moseley-Braun - Senate Illinois Disbursement for: OTHER - Debt Retirment	02/01/94	5,000.00
Committee for Sam Gibbons P.O. Box 2884 Washington, DC 20013	Gibbons - Congress Florida(11) Disbursement for: PRIMARY	02/01/94	2,000.00
Faircloth for Senate P.O.Box 28585 Raleigh, NC 27611	Faircloth - Senate North Carolina Disbursement for: OTHER - Debt Retirment	02/07/94	500.00
Coyne for Congress 555 New Jersey Avenue, NW #201 Washington, DC 20001	Coyne - Congress Pennsylvania(14) Disbursement for: PRIMARY	02/07/94	1,000.00
John D. Dingell for Congress Committee Suite 201 Washington, DC 20001	Dingell - Congress Michigan(16) Disbursement for: PRIMARY	02/07/94	2,000.00
Citizens for Bunning 1717 Dixie Highway, Suite 180 Fort Wright, KY 41011	Bunning - Congress Kentucky(04) Disbursement for: PRIMARY	02/15/94	750.00
Citizens for Sarbanes P.O. Box 26222 Baltimore, MD 21210	Sarbanes - Senate Maryland Disbursement for: GENERAL	02/15/94	5,000.00
Dreier for Congress Committee P.O. Box 1110 Covina, CA 91722	Dreier - Congress California(28) Disbursement for: PRIMARY	02/15/94	500.00
Bill Thomas Campaign Committee Washington, DC 20026	Thomas - Congress California(21) Disbursement for: PRIMARY	02/15/94	500.00

Subtotal of disbursements this page..... 17,250.00

2438354254

SCHEDULE B ITEMIZED DISBURSEMENTS Page 2 of 2
For Line Number: 27

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: Investment Management PAC of the Investment Company Institute

Name	Purpose of Disbursement	Date	Amount
Craig Washington for Congress Committee Houston, TX 77252	Washington - Congress Texas(18) Disbursement for: PRIMARY	02/15/94	1,000.00
Roth Senate Committee 425 Second Street, NE Washington, DC 20002	Roth - Senate Delaware Disbursement for: GENERAL	02/15/94	1,000.00
Friends of Jerry Kleczka 4200 Christine Place Alexandria, VA 22311	Kleczka - Congress Wisconsin(04) Disbursement for: PRIMARY	02/22/94	1,000.00
Rostenkowski for Congress c/o 555 New Jersey Avenue, NW Suite 201 Washington, DC 20001	Rostenkowski - Congress Illinois(05) Disbursement for: PRIMARY	02/22/94	5,000.00
Friends of Connie Mack 1211 North Westshore Blvd. Suite 314 Tampa, FL 33607	Mack, III - Senate Florida Disbursement for: PRIMARY	02/22/94	2,000.00
Congressman Bart Gordon Committee Murfreesboro, TN 37133	Gordon - Congress Tennessee(06) Disbursement for: PRIMARY	02/22/94	500.00
Democratic Senatorial Campaign Committee Washington, DC 20003	DSCC - Senate Disbursement for: OTHER - Debt Retirment	02/25/94	15,000.00

Total this period..... 42,750.00

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

☑	Hand Delivered	DATE OF RECEIPT 3-10-94
☐	First Class Mail	POSTMARKED
☐	Registered/Certified Mail	POSTMARKED
☐	No Postmark	
☐	Postmark Illegible	
☐	Received from the House Office of Records and Registration	DATE OF RECEIPT
☐	Received from the Senate Office of Public Records	DATE OF RECEIPT
☐	Other (Specify):	POSTMARKED and/or DATE OF RECEIPT

MG.
PREPARER

3-10-94
DATE PREPARED

FEC FORM 70-2 (12/92)

2 4 0 3 8 1 5 1 2 5 5