REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

1. NAME OF COMMITTEE (in full)
Auction Markets Political Action Committee of the Chicago Board of Trade

ADDRESS (number and street) ☐ Check if different than previously reported
1455 Pennsylvania Avenue, N.W.

CITY, STATE and ZIP CODE
Washington, DC 20004

RECEIVED
FEDERAL ELECTION
COMMISSION MAIL ROOM

NOV 30 11 49 AM '98

2. FEC IDENTIFICATION NUMBER
C 000 59832

3. ☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

a) ☐ April 15 Quarterly Report
☐ July 15 Quarterly Report
☐ October 15 Quarterly Report
☐ January 31 Year End Report
☐ July 31 Mid Year Report (Non-election Year Only)
☐ Termination Report

Monthly Report Due On:

☐ February 20	☐ June 20	☐ October 20
☐ March 20	☐ July 20	☐ November 20
☐ April 20	☐ August 20	☐ December 20
☐ May 20	☐ September 20	☐ January 31

☐ Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____.

☒ Thirtieth day report following the General Election on 11/3/98 in the State of IL.

b) Is this Report an Amendment? ☐ YES ☒ NO

SUMMARY 5. Covering Period 10/15/98 through 11/23/98	**COLUMN A This Period**	**COLUMN B Calendar Year-to-Date**
6. (a) Cash on Hand January 1, 1998		$ 299,364.99
(b) Cash on Hand at Beginning of Reporting Period	$ 330,036.10	
(c) Total Receipts (from Line 19)	$ 13,969.39	$ 246,848.62
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$ 344,005.49	$ 546,213.61
7. Total Disbursements (from Line 30)	$ 3,061.50	$ 205,269.62
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$ 340,943.99	$ 340,943.99
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0.00	

For Further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
Glen M. Johnson

Signature of Treasurer

Date 11/24/98

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 9/93)

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE
Auction Markets Political Action Committee of the Chicago Board of Trade

REPORT COVERING PERIOD
FROM: 10/15/98 TO: 11/23/98

	COLUMN A Total This Period	COLUMN B Calendar Year
I. Receipts		
11. Contributions (other than loans) From:		
a. Individual/Persons Other Than Political Committees		
i. Itemized (use Schedule A)	$12,750.00	$231,500.00
ii. Unitemized	$360.00	$4,730.00
iii. Total (add i and ii) »	$13,110.00	$236,230.00
b. Political Party Committees	$0.00	$0.00
c. Other Political Committees (such as PACs)	$0.00	$2,000.00
d. Total Contributions (add a iii, b and c) »	$13,110.00	$238,230.00
12. Transfers From Affiliated/Other Party Committees	$0.00	$0.00
13. All Loans Received	$0.00	$0.00
14. Loan Repayments Received	$0.00	$0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)	$0.00	$0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	$0.00	$277.78
17. Other Federal Receipts (Dividends, Interest, etc.)	$859.39	$8,340.84
18. Transfers from Nonfederal Account for Joint Activity	$0.00	$0.00
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) »	$13,969.39	$246,848.62
20. Total Federal Receipts (subtract line 18 from line 19) »	$13,969.39	$246,848.62
II. Disbursements		
21. Operating Expenditures:		
a. Shared Federal/Non-Federal Activity (from Schedule H4)	$0.00	$0.00
i. Federal Share	$0.00	$0.00
ii. Non-Federal Share	$61.50	$745.38
b. Other Federal Operating Expenditures	$61.50	$745.38
c. Total Operating Expenditures (add a i, a ii, and b) »	$0.00	$0.00
22. Transfers to Affiliated/Other Party Committees	$3,000.00	$202,000.00
23. Contributions to Federal Candidates/Committees and Other Political Committees	$0.00	$0.00
24. Independent Expenditures (use Schedule E)	$0.00	$0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)	$0.00	$0.00
26. Loan Repayments Made	$0.00	$0.00
27. Loans Made	$0.00	$0.00
28. Refunds of Contributions To:		
a. Individuals/Persons Other Than Political Committees	$0.00	$0.00
b. Political Party Committees	$0.00	$0.00
c. Other Political Committees (such as PACs)	$0.00	$0.00
d. Total Contribution Refunds (add a, b and c) »	$0.00	$0.00
29. Other Disbursements	$0.00	$2,524.24
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) »	$3,061.50	$205,269.62
31. Total Federal Disbursements (subtract line 21 a ii from line 30) »	$3,061.50	$205,269.62
III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans)(from line 11d)	$13,110.00	$238,230.00
33. Total Contribution Refunds (from line 28d)	$0.00	$0.00
34. Net Contributions (other than loans)(subtract line 33 from 32)	$13,110.00	$238,230.00
35. Total Federal Operating Expenditures (add 21 a i and 21 b) »	$61.50	$745.38
36. Offsets to Operating Expenditures (from line 15)	$0.00	$0.00
37. Net Operating Expenditures (subtract line 36 from 35) »	$61.50	$745.38

SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 11(a)(i)

Contributions From Individuals (Itemized)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation / Aggregate Year-to-Date	Date (month, day, year)	Amount of Each Receipt this Period
Torrey L. Conard **29 W. 244 Oak Knoll** **West Chicago, IL 60185-1387** Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: **Broker** Occupation: **Self** Aggregate Year-to-Date: **$ 1,000.00**	**11/11/98**	**$500.00**
James J. Cull **3226 N Clifton** **Chicago, IL 60657** Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: **Self** Occupation: **Commodities Trader** Aggregate Year-to-Date: **$ 500.00**	**10/19/98**	**$500.00**
Edmund Anthony DeNapoli **2542 W. St. Paul, Apt. 210** **Chicago, IL 60642** Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: **Hanley Group** Occupation: **Trader** Aggregate Year-to-Date: **$ 250.00**	**10/16/98**	**$250.00**
Thomas A. DiSanto **1660 N. Hudson, Unit 3D** **Chicago, IL 60614** Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: **Self** Occupation: **Commodities Trader** Aggregate Year-to-Date: **$ 500.00**	**10/16/98**	**$500.00**
Mitchell L Dolins **427 Briarhill Rd** **Deerfield, IL 60015** Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: **Birchwood Trading, LLC** Occupation: **Options Trader** Aggregate Year-to-Date: **$ 500.00**	**11/2/98**	**$500.00**
George P. Hanley **1310 Scott** **Winnetka, IL** Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: **Self** Occupation: **Trader** Aggregate Year-to-Date: **$ 500.00**	**11/2/98**	**$500.00**
Jeffrey P. Higgins **1500 N. Orleans** **Chicago, IL 60610** Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: **Self** Occupation: **Commodities Trader** Aggregate Year-to-Date: **$ 250.00**	**10/16/98**	**$250.00**

SUBTOTAL of Receipts This Page (optional)	**$ 3,000.00**
TOTAL This Period (last page this line number only)	

SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 2 OF 4

FOR LINE NUMBER 11(a)(i)

Contributions From Individuals (itemized)

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NAME OF COMMITTEE (in Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation / Aggregate Year-to-Date	Date (month, day, year)	Amount of Each Receipt this Period
Daniel P. Huber 875 Bryant Ave Winnetka, IL 60093 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Self Occupation: Trader/Broker Aggregate Year-to-Date: $ 500.00	11/2/98	$500.00
Paul R. T. Johnson Jr. 4021 N. Kostner Chicago, IL 60641-1926 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Self Occupation: Commodities Trader Aggregate Year-to-Date: $ 1,000.00	10/19/98	$1,000.00
Carol L. Jorissen 1424 N. Orleans St. Chicago, IL 60610 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Self Occupation: Trader Aggregate Year-to-Date: $ 250.00	11/18/98	$250.00
John P. Kelley 940 Watercress Drive Naperville, IL 60540 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Self Occupation: Commodities Trader Aggregate Year-to-Date: $ 2,000.00	11/2/98	$1,000.00
Rodger W. Knight 163 Bentley Lane Wilmette, IL 60093 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Self Occupation: Trader Aggregate Year-to-Date: $ 500.00	11/2/98	$500.00
Arnold W. Lau 2757 Ridge Avenue Evanston, IL 60201 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Lakota Trading Occupation: Market Maker Aggregate Year-to-Date: $ 1,000.00	11/2/98	$1,000.00
Dennis M. O'Shaughnessy 3550 E. Calle Puerta De Acero Tucson, AZ 85718 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Self Occupation: Trader Aggregate Year-to-Date: $ 1,000.00	11/13/98	$500.00

SUBTOTAL of Receipts This Page (optional)	$ 4,750.00
TOTAL This Period (last page this line number only)	

SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 11(a)(i)

Contributions From Individuals (itemized)

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation / Aggregate Year-to-Date	Date (month, day, year)	Amount of Each Receipt this Period
Chesi C. Obermeier 2122 W Hopkins Place Chicago, IL 60620 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Moe Options, Inc. Occupation: Broker Aggregate Year-to-Date: $ 1,500.00	11/2/98	$1,500.00
John Michael Ruth 111 Bay Drive Itasca, IL 60143 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Self Occupation: Trader Aggregate Year-to-Date: $ 250.00	10/16/98	$250.00
David J. Ryan 411 3rd St. Hinsdale, IL 60521 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Self Occupation: Broker Aggregate Year-to-Date: $ 2,500.00	11/2/98 11/12/98	$500.00 $500.00
Ralph Sarkisian 1745 N. Hermitage, Unit F Chicago, IL 60622 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Self Occupation: Commodities Trader Aggregate Year-to-Date: $ 250.00	10/16/98	$250.00
Robert E. Shute 3660 N Lake Shore Dr, Apt 2501 Chicago, IL 60613-5312 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Self Occupation: Trader Aggregate Year-to-Date: $ 500.00	11/2/98	$500.00
Charles W. Wafer P O Box 2174 Rancho Santa Fe, CA 92067 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Self Occupation: Trader Aggregate Year-to-Date: $ 500.00	10/21/98	$500.00
Marvin H. Wenger 1805 Clavey Road Highland Park, IL 60035 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: SMW Trading Company, Inc. Occupation: Broker Aggregate Year-to-Date: $ 500.00	10/15/98	$500.00

SUBTOTAL of Receipts This Page (optional)	$ 4,500.00
TOTAL This Period (last page this line number only)	

SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 11(a)(i)

Contributions From Individuals (Itemized)

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NAME OF COMMITTEE (in Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Charles F. Whitman **835 W. Division, #7** **Chicago, IL 60614**	**Self**	**11/10/98**	**$500.00**
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation: **Commodities Trader** Aggregate Year-to-Date: **$ 500.00**		

SUBTOTAL of Receipts This Page (optional)	**$ 500.00**
TOTAL This Period (last page this line number only)	**$ 12,750.00**

SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1

FOR LINE NUMBER 17

Other Federal Receipts (Dividends, Interest, etc.)

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Bank of America 231 South LaSalle Street Chicago, IL 60697	Interest	10/30/98	$859.39
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation Aggregate Year-to-Date $ 8,340.84		

SUBTOTAL of Receipts This Page (optional)	$ 859.39
TOTAL This Period (last page this line number only)	$ 859.39

SCHEDULE B **ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1

FOR LINE NUMBER 21(b)

Other Federal Operating Expenditures

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement this Period
Bank of America 231 South LaSalle Street Chicago, IL 60697	Bank Charges Disbursement For: ☐ Primary ☐ General ☐ Other:	10/30/98	$46.10
Bank of America 231 South LaSalle Street Chicago, IL 60697	Bank Charges Disbursement For: ☐ Primary ☐ General ☐ Other:	11/23/98	$15.40

SUBTOTAL of Disbursements This Page (optional)	$61.50
TOTAL This Period (last page this line number only)	$61.50

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1

FOR LINE NUMBER 23

Contributions to Federal Candidates/Committees

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement this Period
Dickey for Congress Campaign Committee Post Office Box 8766 Pine Bluff, AR 71611	Returned Check #4307 dated 10/8/98 for Jay Dickey (AR-4-R). Disbursement For: ☐ Primary ☒ General ☐ Other: 1998	11/17/98	($500.00)
Friends of Lane Evans Committee P.O. Box 5263 Rock Island, IL 61204	Contribution: Lane Evans (IL-17-D) Disbursement For: ☐ Primary ☒ General ☐ Other: 1998	10/27/98	$1,000.00
Levin for Congress Committee 436 New Jersey Avenue, SE Washington, DC 20003	Contribution: Sander M. Levin (MI-12-D) Disbursement For: ☐ Primary ☒ General ☐ Other: 1998	11/2/98	$500.00
Lipinski for Congress Committee 6242 W 59th Street Chicago, IL 60638	Contribution: William O. Lipinski (IL-3-D) Disbursement For: ☐ Primary ☒ General ☐ Other: 1998	10/22/98	$2,000.00

SUBTOTAL of Disbursements This Page (optional)	$3,000.00
TOTAL This Period (last page this line number only)	$3,000.00

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

☒	Hand Delivered	Date of Receipt 11/30/98
☐	First Class Mail	POSTMARKED
☐	Registered/Certified Mail	POSTMARKED
☐	No Postmark	
☐	Postmark Illegible	
☐	Received from the House office of Records and Registration	Date of Receipt
☐	Received from the Senate Office of Public Records	Date of Receipt
☐	Other (Specify):	Postmarked _____ and/or Date of Receipt
☐	Electronic Filing	

AW
PREPARER

11/30/98
DATE PREPARED

(4/98)