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Image# 15950818247

### FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

|   | Tottler Illan                    |                      | Office Use Only                |                     |                 |   |  |  |  |  |  |
|---|----------------------------------|----------------------|--------------------------------|---------------------|-----------------|---|--|--|--|--|--|
| 1. NAME OF TO COMMITTEE (in full)                           | YPE OR PRINT <b>1</b>            |                      | mple: If typir<br>r the lines. | ig, type            | 12FE4M5         |   |  |  |  |  |  |
| The Caterpillar Inc. Emp                                    | loyee Politic                    | al Action Cor        | nmittee                        |                     |                 |   |  |  |  |  |  |
|   |                                  |                      |                                |                     |                 |   |  |  |  |  |  |
| ADDRESS (number and street)                                 | 100 N.E. Adams                   | Street               |                                |                     |                 |   |  |  |  |  |  |
| Check if different  |                                  |                      |                                |                     |                 |   |  |  |  |  |  |
| than previously reported. (ACC)                             | Peoria                           |                      |                                |                     | LL L            | 61629-1430  |  |  |  |  |  |
| 2. FEC IDENTIFICATION NUM                                   | IBER ▼                           | CITY 🛦               |                                |                     | STATE A         | ZIP CODE ▲  |  |  |  |  |  |
| C C00148031   |                                  | 3. IS THIS<br>REPORT |                                | IEW<br>N) <b>OR</b> | AM<br>(A)       | ENDED   |  |  |  |  |  |
| 4. TYPE OF REPORT (Choose One)                              | (b) Monthly<br>Report<br>Due On: | X Feb 20 (M2)        |                                | May 20 (M5)         | Aug             | 20 (M8) Nov 20 (M11)<br>(Non-Election<br>Year Only) |  |  |  |  |  |
| (a) Quarterly Reports:                                      |                                  | Mar 20 (M3)          |                                | lun 20 (M6)         | H               | 20 (M9) Dec 20 (M12) (Non-Election Year Only)       |  |  |  |  |  |
| April 15<br>Quarterly Report (Q1)                           | (c) 12-Day                       | Apr 20 (M4)          |                                | lul 20 (M7)         | General (       | 20 (M10) Jan 31 (YE)<br>12G) Runoff (12R)           |  |  |  |  |  |
| July 15<br>Quarterly Report (Q2)                            | PRE-E                            |                      | Primary (12P Convention (      | -                   | Special (       |   |  |  |  |  |  |
| October 15 Quarterly Report (Q3)                            |                                  |                      | Convention (                   | .20)                | opoolar (       | .=5)  |  |  |  |  |  |
| January 31<br>Year-End Report (YE)                          |                                  | Election on          | M M /                          | D   D /             | Y               | in the<br>State of                                  |  |  |  |  |  |
| July 31 Mid-Year<br>Report (Non-election<br>Year Only) (MY) |                                  | Election for the:    | General (300                   | i)                  | Runoff (3       | 0R) Special (30S)                                   |  |  |  |  |  |
| Termination Report (TER)                                    | Порон                            | Election on          | M = M /                        | D D /               | Y = Y = Y = Y   | in the State of                                     |  |  |  |  |  |
| 5. Covering Period 01                                       | / D D / 01                       | 2015                 | through                        | 01_                 | 31/             | 2015  |  |  |  |  |  |
| certify that I have examined this                           | ·                                | •                    | wledge and b                   | pelief it is tru    | e, correct and  | complete.   |  |  |  |  |  |
| Type or Print Name of Treasurer                             | Michael C. Morris                | son                  |                                |                     |                 |   |  |  |  |  |  |
| Signature of Treasurer Michael                              | C. Morrison                      |                      | [Electronically                | <i>Filed]</i> D     | ate 02          | / DDD / YDY Y Y 2015                                |  |  |  |  |  |
| NOTE: Submission of false, erroneon                         | us, or incomplete                | information may su   | bject the pers                 | son signing th      | is Report to th | e penalties of 2 U.S.C. §437g.                      |  |  |  |  |  |
| Office<br>Use<br>Only                                       |                                  |                      |                                |                     |                 | FEC FORM 3X<br>Rev. 12/2004                         |  |  |  |  |  |

### SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

#### The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 01 01 2015 To: 01 31 2015

|     |  | COLUMN A<br>This Period | COLUMN B<br>Calendar Year-to-Date |
|-----|--|-------------------------|-----------------------------------|
| 6.  | (a) Cash on Hand  January 1,  2015   |                         | 251081.24                         |
|     | (b) Cash on Hand at Beginning of Reporting Period  | 251081.24               |                                   |
|     | (c) Total Receipts (from Line 19)  | 77067.34                | 77067.34                          |
|     | (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)         | 328148.58               | 328148.58                         |
| 7.  | Total Disbursements (from Line 31)   | 13611.38                | 13611.38                          |
| 8.  | Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))                       | 314537.20               | 314537.20                         |
| 9.  | Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) | 0.00                    |                                   |
| 10. | Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)        | 0.00                    |                                   |

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

#### The Caterpillar Inc. Employee Political Action Committee

|  | COLUMN A                      | COLUMN B |  |  |  |  |  |
|--|-------------------------------|----------|--|--|--|--|--|
| I. Receipts  | I. Receipts Total This Period |          |  |  |  |  |  |
| Contributions (other than loans) From:  (a) Individuals/Persons Other  Than Political Committees |                               |          |  |  |  |  |  |
| (i) Itemized (use Schedule A)  | 27102.08                      | 27102.08 |  |  |  |  |  |
| (ii) Unitemized(iii) TOTAL (add  | 49963.32                      | 49963.32 |  |  |  |  |  |
| Lines 11(a)(i) and (ii)  | 77065.40                      | 77065.40 |  |  |  |  |  |
| (b) Political Party Committees   | 0.00                          | 0.00     |  |  |  |  |  |
| (such as PACs)(d) Total Contributions (add Lines   | 0.00                          | 0.00     |  |  |  |  |  |
| 11(a)(iii), (b), and (c)) (Carry  Totals to Line 33, page 5)                                     | 77065.40                      | 77065.40 |  |  |  |  |  |
| Transfers From Affiliated/Other Party Committees   | 0.00                          | 0.00     |  |  |  |  |  |
| All Loans Received   | 0.00                          | 0.00     |  |  |  |  |  |
| Loan Repayments Received   | 0.00                          | 0.00     |  |  |  |  |  |
| Offsets To Operating Expenditures (Refunds, Rebates, etc.)                                       |                               |          |  |  |  |  |  |
| (Carry Totals to Line 37, page 5) Refunds of Contributions Made                                  | 0.00                          | 0.00     |  |  |  |  |  |
| to Federal Candidates and Other Political Committees Other Federal Receipts                      | 0.00                          | 0.00     |  |  |  |  |  |
| (Dividends, Interest, etc.)  Transfers from Non-Federal and Levin Funds                          | 1.94                          | 1.94     |  |  |  |  |  |
| (a) Non-Federal Account (from Schedule H3)   | 0.00                          | 0.00     |  |  |  |  |  |
| (b) Levin Funds (from Schedule H5)   | 0.00                          | 0.00     |  |  |  |  |  |
| (c) Total Transfers (add 18(a) and 18(b))  | 0.00                          | 0.00     |  |  |  |  |  |
|  |                               |          |  |  |  |  |  |
| Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶                             | 77067.34                      | 77067.34 |  |  |  |  |  |
| Total Federal Receipts (subtract Line 18(c) from Line 19)▶                                       | 77067.34                      | 77067.34 |  |  |  |  |  |

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

| II. Disbursements   | COLUMN A Total This Period | COLUMN B<br>Calendar Year-to-Date |
|---|----------------------------|-----------------------------------|
| Operating Expenditures: —                                     | Total Tills Tellou         | Calendar Tear-10-Date             |
| (a) Allocated Federal/Non-Federal Activity (from Schedule H4) |                            |                                   |
| (i) Federal Share   | 0.00                       | 0.00                              |
| (") N 5 1 101   | 0.00                       | 0.00                              |
| (ii) Non-Federal Share(b) Other Federal Operating             | 0.00                       | 0.00                              |
| Expenditures  | 111.38                     | 111.38                            |
| (c) Total Operating Expenditures                              |                            |                                   |
| (add 21(a)(i), (a)(ii), and (b)) ▶                            | 111.38                     | 111.38                            |
| Transfers to Affiliated/Other Party                           |                            |                                   |
| CommitteesContributions to                                    | 0.00                       | 0.00                              |
| Federal Candidates/Committees and Other Political Committees  | 12500.00                   | 12500.00                          |
| Independent Expenditures                                      | 0.00                       | 0.00                              |
| (use Schedule E) Coordinated Party Expenditures               | 0.00                       | 0.00                              |
| (2 U.S.C. §441a(d))<br>(use Schedule F)                       | 0.00                       | 0.00                              |
| ř   |                            |                                   |
| Loan Repayments Made  | 0.00                       | 0.00                              |
| Loans Made  | 0.00                       | 0.00                              |
| Refunds of Contributions To:                                  |                            |                                   |
| (a) Individuals/Persons Other Than Political Committees       | 0.00                       | 0.00                              |
| ř   |                            |                                   |
| (b) Political Party Committees                                | 0.00                       | 0.00                              |
| (c) Other Political Committees                                | 0.00                       | 0.00                              |
| (such as PACs)  | 0.00                       | 7                                 |
| (d) Total Contribution Refunds                                |                            |                                   |
| (add Lines 28(a), (b), and (c))▶                              | 0.00                       | 0.00                              |
|   |                            | 1000.00                           |
| Other Disbursements   | 1000.00                    | 1000.00                           |
| Federal Election Activity (2 U.S.C. §431(20))                 |                            |                                   |
| (a) Allocated Federal Election Activity                       |                            |                                   |
| (from Schedule H6)  |                            |                                   |
| (i) Federal Share   | 0.00                       | 0.00                              |
| (ii) "Levin" Share  | 0.00                       | 0.00                              |
| (b) Federal Election Activity Paid Entirely                   | 7                          |                                   |
| With Federal Funds  | 0.00                       | 0.00                              |
| (c) Total Federal Election Activity (add                      |                            |                                   |
| Lines 30(a)(i), 30(a)(ii) and 30(b))▶                         | 0.00                       | 0.00                              |
| Total Disbursements (add Lines 21(c), 22,                     |                            |                                   |
| 23, 24, 25, 26, 27, 28(d), 29 and 30(c))                      | 13611.38                   | 13611.38                          |
| L   |                            |                                   |
| Total Federal Disbursements                                   |                            |                                   |
| (subtract Line 21(a)(ii) and Line 30(a)(ii)                   | 13611.38                   | 13611.38                          |
| from Line 31)   | 13011.30                   | 13011.30                          |

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

| III. Net Contributions/Operating Expenditures                                | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 33. Total Contributions (other than loans) (from Line 11(d), page 3)         | 77065.40                      | 77065.40                          |
| 34. Total Contribution Refunds (from Line 28(d))                             | 0.00                          | 0.00                              |
| S5. Net Contributions (other than loans) (subtract Line 34 from Line 33)     | 77065.40                      | 77065.40                          |
| 36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶ | 111.38                        | 111.38                            |
| 7. Offsets to Operating Expenditures (from Line 15, page 3)                  | 0.00                          | 0.00                              |
| 88. Net Operating Expenditures (subtract Line 37 from Line 36)               | 111.38                        | 111.38                            |

|  | FOR LINE     | NUMBER: | PAGE | = 6 OI | Г |
|--|--------------|---------|------|--------|---|
| Use separate schedule(s)                       | (check only  | / one)  |      |        |   |
| for each category of the Detailed Summary Page | <b>X</b> 11a | 11b     | 11c  | 12     |   |
|  | 13           | 14      | 15   | 16     |   |

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| Any information copied from such Reports and or for commercial purposes, other than using the                            | Statements may not be sold or used by any personance name and address of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
|--|---|---|
| NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee   | Political Action Committee  |   |
| Full Name (Last, First, Middle Initial)  Kent Adams  Mailing Address 2120 West End Avenue P OBox 340001                  |   | Date of Receipt  01 30 2015   |
| City Nashville FEC ID number of contributing   | State Zip Code<br>TN 37203  | Transaction ID : A2015-100168  Amount of Each Receipt this Period  416.67                   |
| federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  □ Primary □ General  □ Other (specify) ▼ | Occupation Vice President  Aggregate Year-to-Date ▼  416.67   |   |
| Full Name (Last, First, Middle Initial)  Mary Bell  Mailing Address 400 Regency Forest Drive  Suite 400  City            | State Zip Code  | Date of Receipt  01   |
| Cary FEC ID number of contributing federal political committee.  | NC 27518  | Amount of Each Receipt this Period 416.67   |
| Name of Employer Caterpillar Inc.  Receipt For:  Primary General Other (specify) ▼                                       | Occupation Vice President  Aggregate Year-to-Date ▼  416.67   |   |
| Full Name (Last, First, Middle Initial)  Robin Beran  Mailing Address 100 NE Adams                                       |   | Date of Receipt  01 30 2015   |
| City Peoria  FEC ID number of contributing federal political committee.  | State Zip Code IL 61629   | Transaction ID : A2015-100238  Amount of Each Receipt this Period  416.67                   |
| Name of Employer  Caterpillar Inc.  Receipt For:  Primary General  Other (specify) ▼                                     | Occupation Director of Global Tax & Trade  Aggregate Year-to-Date ▼  416.67                         |   |
| SUBTOTAL of Receipts This Page (optional)  |   | 1250.01   |
| TOTAL This Period (last page this line number  | · only)   |   |

|   | FOF  | R LINE  | NU | MBER | : | PAGE | 7  | OF | 31          |
|---|------|---------|----|------|---|------|----|----|-------------|
| Use separate schedule(s)                          | (che | ck only | or | ne)  |   |      |    |    |             |
| for each category of the<br>Detailed Summary Page | X    | 11a     |    | 11b  |   | 11c  | 12 |    |             |
|   |      | 13      |    | 14   |   | 15   | 16 |    | $\Box_{12}$ |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) J. Blumenshine Date of Receipt Mailing Address 100 NE Adams 30 2015 City State Zip Code Transaction ID: A2015-100526 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Deputy General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas Bluth Date of Receipt Mailing Address 100 NE Adams 01 30 2015 City State Zip Code Transaction ID: A2015-100624 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial)

c. David Bozeman Date of Receipt Mailing Address 100 NE Adams 30 2015 01 Zip Code City State Transaction ID: A2015-100844 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Senior Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 490.00 Other (specify)

| SUBTOTAL of Receipts This Page (optional)           | Ξ | Ξ | , | Ξ | Ξ | 7 | Ξ | 132 | 23.34 | 4 |
|---|---|---|---|---|---|---|---|-----|-------|---|
| TOTAL This Period (last page this line number only) | Ī | _ | 7 |   | _ | 7 | _ |     |       |   |

490.00

|   | FOR LIN  | E NU | JMBER | : | PAGE | 8  | OF |  |
|---|----------|------|-------|---|------|----|----|--|
| Use separate schedule(s) for each category of the | (check o | ´    | , ′   |   |      | ,  |    |  |
| Detailed Summary Page                             | X 11a    |      | 11b   |   | 11c  | 12 |    |  |
| , ,   | 1 12     |      | 1/1   |   | 15   | 16 |    |  |

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| Any information copied from such Reports and S or for commercial purposes, other than using the       | Statements may not be sold or used by any personal parame and address of any political committee to | on for the purpose of soliciting contributions solicit contributions from such committee. |
|---|---|---|
| NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee F  | Political Action Committee  |   |
| Full Name (Last, First, Middle Initial)  Otto Breitschwerdt  Mailing Address 400 Regency Forest Drive |   | Date of Receipt   |
| Suite 400   |   | 01 30 2015  |
| City  | State Zip Code  | Transaction ID : A2015-100632   |
| Cary  | NC 27518  | Amount of Each Receipt this Period  |
| FEC ID number of contributing federal political committee.  | C   | 207.38  |
| Name of Employer  | Occupation  |   |
| Caterpillar Inc.  | DirectorSupply Chain Mgmt.  |   |
| Receipt For:  | Aggregate Year-to-Date ▼  |   |
| Primary General Other (specify) ▼   | 207.38  |   |
| Full Name (Last, First, Middle Initial)  3. James Buda  |   | Date of Receipt   |
| Mailing Address 100 NE Adams  | Chate 77 O i  | 01 30 7 2015  |
| City  | State Zip Code IL 61629   | Transaction ID : A2015-100368   |
| Peoria  | IL 61629  | Amount of Each Receipt this Period  |
| FEC ID number of contributing federal political committee.  | C   | 416.67  |
| Name of Employer  | Occupation  |   |
| Caterpillar Inc.  | Exec. VP Law & Public Policy  |   |
| Receipt For:  | Aggregate Year-to-Date ▼  |   |
| Primary General  Other (specify) ▼  | 416.67  |   |
| Full Name (Last, First, Middle Initial)  C. Michael Carr  |   | Date of Receipt   |
| Mailing Address 12301 Old Galena Road   |   | 01 30 / Y Y Y Y Y Y   |
| City  | State Zip Code  | Transaction ID : A2015-100558   |
| Mossville   | IL 61552  | Amount of Each Receipt this Period  |
| FEC ID number of contributing federal political committee.  | C   | 416.66  |
| Name of Employer  | Occupation  |   |
| Caterpillar Inc.  | Sr. Business Resource Manager   |   |
| Receipt For:  | Aggregate Year-to-Date ▼  |   |
| Primary General  Other (specify) ▼  | 416.66  |   |
| SUBTOTAL of Receipts This Page (optional)   |   | 1040.71   |
| TOTAL This Period (last page this line number   | only)   |   |

FOR LINE NUMBER: **PAGE** 9 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) John J Cheek Date of Receipt Mailing Address 100 NE Adams 30 2015 City State Zip Code Transaction ID: A2015-99887 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 209.13 federal political committee. Name of Employer Occupation Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 209.13 Other (specify) Full Name (Last, First, Middle Initial) B. Andre Christophe Date of Receipt Mailing Address 500 N Morton Ave 01 30 2015 City State Zip Code Transaction ID: A2015-101050 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 211.05 federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 211.05 Other (specify) Full Name (Last, First, Middle Initial) c. Frank Crespo Date of Receipt Mailing Address 12301 Old Galena Road 30 01 2015 City State Zip Code Transaction ID: A2015-101068 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 500.00 С federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 920.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

|   | FOF  | R LINE  | NU    | IMBER | : | PAGE | • | 10 OF | - | 31 |
|---|------|---------|-------|-------|---|------|---|-------|---|----|
| Use separate schedule(s)                          | (che | ck only | or or | ne)   |   |      |   |       |   |    |
| for each category of the<br>Detailed Summary Page | X    | 11a     |       | 11b   |   | 11c  |   | 12    |   |    |
|   |      | 13      |       | 14    |   | 15   |   | 16    |   | 17 |

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NAME OF COMMITTEE (In Full)

The Code will be a functional particle of the purpose of solicities and address of any political committee to solicit contributions from such committee.

| The Caterpillar Inc. Employee                                | Political Action Committee |   |
|--|----------------------------|---|
| Full Name (Last, First, Middle Initial)  Christopher Curfman |                            | Date of Receipt                             |
| Mailing Address 300 Hamilton Blvd. Suite 101                 |                            | 01 30 2015                                  |
| City   | State Zip Code             | Transaction ID : A2015-100649               |
| Peoria   | IL 61629-3800              | Amount of Each Receipt this Period          |
| FEC ID number of contributing federal political committee.   | C                          | 400.00                                      |
| Name of Employer   | Occupation                 |   |
| Caterpillar Inc.   | Vice President             |   |
| Receipt For: Primary General                                 | Aggregate Year-to-Date ▼   |   |
| Other (specify) ▼  | 400.00                     |   |
| Full Name (Last, First, Middle Initial)  Michael DeWalt      |                            | Date of Receipt                             |
| Mailing Address 100 NE Adams                                 |                            | 01 30 2015                                  |
| City   | State Zip Code             | Transaction ID: A2015-99891                 |
| Peoria   | IL 61629                   | Amount of Each Receipt this Period          |
| FEC ID number of contributing federal political committee.   | C                          | 425.00                                      |
| Name of Employer   | Occupation                 |   |
| Caterpillar Inc.   | Vice President             |   |
| Receipt For:   | Aggregate Year-to-Date ▼   |   |
| Primary General  |                            |   |
| Other (specify) ▼  | 425.00                     |   |
| Full Name (Last, First, Middle Initial)  James Duensing      |                            | Date of Receipt                             |
| Mailing Address 2120 West End Avenue P OBox 340001           |                            | 01 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City   | State Zip Code             | Transaction ID : A2015-100395               |
| Nashville  | TN 37203                   | Amount of Each Receipt this Period          |
| FEC ID number of contributing federal political committee.   | C                          | 416.67                                      |
| Name of Employer   | Occupation                 |   |
| Caterpillar Inc.   | Executive VP / CFO         |   |
| Receipt For:   | Aggregate Year-to-Date ▼   |   |
| Primary General  |                            |   |
| Other (specify) ▼  | 416.67                     |   |
| SUBTOTAL of Receipts This Page (optional)                    |                            | 1241.67                                     |
| TOTAL This Period (last page this line number                | conly)                     |   |

FOR LINE NUMBER: PAGE 11 OF 31 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Patricia Eastwood Date of Receipt Mailing Address 2120 West End Avenue P OBox 340001 30 2015 City State Zip Code Transaction ID: A2015-99951 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 211.87 federal political committee. Name of Employer Occupation Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 211.87 Other (specify) Full Name (Last, First, Middle Initial) B. Bonnie Fetch Date of Receipt Mailing Address Building H Dock 13 01 30 2015 City State Zip Code Transaction ID: A2015-100584 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 214.74 federal political committee. Name of Employer Occupation Caterpillar Inc. **Business Unit Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 214.74 Other (specify) Full Name (Last, First, Middle Initial) c. Gregory Folley Date of Receipt Mailing Address 12301 Old Galena Road 30 01 2015 City State Zip Code Transaction ID: A2015-100316 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 С federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 843.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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| for each category of the<br>Detailed Summary Page | X    | 11a     |       | 11b         |   | 11c  |   | 12    |    |
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|  | Statements may not be sold or used by any pers<br>he name and address of any political committee to |   |
|--|---|---|
| NAME OF COMMITTEE (In Full)                                | B. W. J. A. C.  |   |
| The Caterpillar Inc. Employee                              | Political Action Committee  |   |
| Full Name (Last, First, Middle Initial)  Thomas G Frake    |   | Date of Receipt   |
| Mailing Address 100 NE Adams                               |   | 01 30 2015  |
| City   | State Zip Code  | Transaction ID : A2015-100640                                     |
| Peoria   | IL 61629  | Amount of Each Receipt this Period                                |
| FEC ID number of contributing federal political committee. | C   | 416.67  |
| Name of Employer   | Occupation  |   |
| Caterpillar Inc.   | Vice President  |   |
| Receipt For:   | Aggregate Year-to-Date ▼  |   |
| Primary General  Other (specify) ▼                         | 416.67  |   |
| Full Name (Last, First, Middle Initial)  Alan Frese        |   | Date of Receipt   |
| Mailing Address 6744 S Howell Ave                          |   | M = M / D = D / Y = Y = Y   |
| City   | State Zip Code  | 01 30 2015<br>Transaction ID : A2015-100361                       |
| Oak Creek  | WI 53154  | Transaction ID : A2015-100361  Amount of Each Receipt this Period |
| FEC ID number of contributing                              | 00.0  |   |
| federal political committee.                               | C   | 238.44  |
| Name of Employer   | Occupation  |   |
| Caterpillar Inc.   | Solutions & Technology Mgr.   |   |
| Receipt For:   | Aggregate Year-to-Date ▼  |   |
| Primary General  Other (specify) ▼                         | 238.44  |   |
| Full Name (Last, First, Middle Initial)  Edward Goodrich   |   | Date of Receipt   |
| Mailing Address 2120 West End Avenue<br>P OBox 340001      |   | 01 30 2015  |
| City   | State Zip Code  | Transaction ID : A2015-100508                                     |
| Nashville  | TN 37203  | Amount of Each Receipt this Period                                |
| FEC ID number of contributing federal political committee. | C   | 416.67  |
| Name of Employer   | Occupation  |   |
| Caterpillar Inc.   | Vice PresidentCFSC  |   |
| Receipt For:   | Aggregate Year-to-Date ▼  |   |
| Primary General  Other (specify) ▼                         | 416.67  |   |
| SUBTOTAL of Receipts This Page (optional)                  |   | 1071.78   |
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FOR LINE NUMBER: PAGE 13 OF 31 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Gerald Gorman Date of Receipt Mailing Address 2120 West End Avenue P OBox 340001 30 2015 City State Zip Code Transaction ID: A2015-100438 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Chief Information Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) B. Stephen Gosselin Date of Receipt Mailing Address 501 SW Jefferson 01 30 2015 City State Zip Code Transaction ID: A2015-100377 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) c. Kenneth Gray Date of Receipt Mailing Address 100 NE Adams 30 01 2015 City State Zip Code Transaction ID: A2015-100366 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 203.18 С federal political committee. Name of Employer Occupation Caterpillar Inc. WW Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 203.18 Other (specify) 1036.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 14 OF 31 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Timothy Gryl Date of Receipt Mailing Address 500 N Morton Ave 30 2015 City State Zip Code Transaction ID: A2015-100224 Morton IL 61550 Amount of Each Receipt this Period FEC ID number of contributing C 230.28 federal political committee. Name of Employer Occupation Caterpillar Service Parts Mgr. Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 230.28 Other (specify) Full Name (Last, First, Middle Initial) B. Jerome Guilford Jr Date of Receipt Mailing Address 100 NE Adams 30 01 2015 City State Zip Code Transaction ID: A2015-101162 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Genl Mgr Lifecycle Prods/Servs Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) c. Steven Guse Date of Receipt Mailing Address 100 NE Adams 30 01 2015 City State Zip Code Transaction ID: A2015-100350 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 260.23 С federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 260.23 Other (specify) 907.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 15 OF 31 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Bradley Halverson Date of Receipt Mailing Address 100 NE Adams 30 2015 City State Zip Code Transaction ID: A2015-100495 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Group President & CFO Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) B. John Hassiepen Date of Receipt Mailing Address 100 Ne Adams 01 30 2015 City State Zip Code Transaction ID: A2015-100486 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 222.26 federal political committee. Name of Employer Occupation Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 222.26 Other (specify) Full Name (Last, First, Middle Initial) c. Kimberly S Hauer Date of Receipt Mailing Address 100 NE Adams 30 01 2015 City State Zip Code Transaction ID: A2015-100866 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 С federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 1055.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

|   | FOR LINE NUMBER: | PAGE | 16 OF             | 31  |
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| Use separate schedule(s)                          | (check only one) |      |                   |     |
| for each category of the<br>Detailed Summary Page | X 11a 11b        | 11c  | 12                |     |
|   | 13 14            | 15   | ີ <sub>16</sub> Γ | 717 |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Timothy Heilig Date of Receipt Mailing Address 1600 Progress Drive 80 2015 City State Zip Code Transaction ID: A2015-98533 Albertville AL 35950 Amount of Each Receipt this Period FEC ID number of contributing C 357.14 federal political committee. Name of Employer Occupation **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 357.14 Other (specify) Full Name (Last, First, Middle Initial) B. Gwenne Henricks Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 01 30 2015 City State Zip Code Transaction ID: A2015-100420 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) c. Lance High Date of Receipt Mailing Address 100 NE Adams St. 30 01 2015 City State Zip Code Transaction ID: A2015-100627 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 480.00 federal political committee. Name of Employer Occupation **Deputy General Counsel** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) 1253.81 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Barbara Hodel Date of Receipt Mailing Address 500 N Morton Ave 30 2015 City State Zip Code Transaction ID: A2015-100179 Morton IL 61550 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Dir.Cat Parts Distribution Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) B. Craig Johnson Date of Receipt Mailing Address 100 NE Adams 01 30 2015 City State Zip Code Transaction ID: A2015-99932 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Deputy General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) c. Denise Johnson Date of Receipt Mailing Address 100 Tractor Drive 30 01 2015 **Building CV** City State Zip Code Transaction ID: A2015-100855 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation

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416.67

Vice President

Aggregate Year-to-Date ▼

Caterpillar Inc. Receipt For:

Primary

Other (specify)

General

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Kathryn Karol Date of Receipt Mailing Address Suite 750 1730 Pennsylvania Ave Nw 30 2015 City Zip Code State Transaction ID: A2015-100862 DC Washington 20006 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) B. Pablo Koziner Date of Receipt Mailing Address 2200 Pacific Hwy 01 30 2015 City State Zip Code Transaction ID: A2015-100191 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Name of Employer Occupation Solar Turbines Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) c. Julie Lagacy Date of Receipt Mailing Address 100 NE Adams 30 01 2015 City State Zip Code Transaction ID: A2015-100488 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc.

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Aggregate Year-to-Date ▼

Receipt For:

Primary

Other (specify)

General

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Stuart Levenick Date of Receipt Mailing Address 100 NE Adams 30 2015 City State Zip Code Transaction ID: A2015-100658 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Group President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) B. James Lock Date of Receipt Mailing Address 1720 Kingsbury 01 30 2015 City State Zip Code Transaction ID: A2015-101025 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 500.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify)

Full Name (Last, First, Middle Initial) c. Douglas McCallen Date of Receipt Mailing Address 400 Regency Forest Drive 30 2015 01 Suite 400 Zip Code City State Transaction ID: A2015-99881 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 416.67 С federal political committee. Name of Employer Occupation Rgnl/Group Financial Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify)

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### SCHEDULE A (FEC Form 3X)

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| IT<br>_ | EMIZED RECEIPTS   |                            | Use separate schedule(s) for each category of the Detailed Summary Page | (check only one)       X       11a       11b       11c       12       13       14       15       16       17 |
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|         | ny information copied from such Reports and Si<br>for commercial purposes, other than using the |                            |   |  |
|         | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P                                     | olitical A                 | ction Committee   |  |
| Α.      | Full Name (Last, First, Middle Initial) Kelsey Milman Mailing Address 100 NE Adams              |                            |   | Date of Receipt  01 30 2015  |
|         | City Peoria   | State<br>IL                | Zip Code<br>61629   | Transaction ID : A2015-100164  Amount of Each Receipt this Period  |
|         | FEC ID number of contributing federal political committee.                                      | С                          |   | 217.61   |
|         | Name of Employer  Caterpillar Inc.  Receipt For:  Primary  General                              |                            | oorate Counsel<br>Year-to-Date ▼  |  |
| _       | Other (specify)  Full Name (Last, First, Middle Initial)  |                            | 217.61  |  |
| B.      | Richard Moore  Mailing Address 100 NE Adams   |                            |   | Date of Receipt  O1 30 2015  |
|         | City<br>Peoria  | State<br>IL                | Zip Code<br>61629   | Transaction ID : A2015-100460  Amount of Each Receipt this Period  |
|         | FEC ID number of contributing federal political committee.                                      | С                          |   | 204.81   |
|         | Name of Employer<br>Caterpillar Inc.  | Occupation<br>DirectorInve | estor Relations   |  |
|         | Receipt For:  Primary General  Other (specify) ▼  | Aggregate                  | Year-to-Date ▼ 204.81   |  |
| С.      |   |                            |   | Date of Receipt  |
|         | Mailing Address Suite 750  1730 Pennsylvania Ave Nw City  | State                      | Zip Code  | 01 30 2015<br>Transaction ID : A2015-100772  |
|         | Washington  | DC                         | 20006   | Amount of Each Receipt this Period   |
|         | FEC ID number of contributing federal political committee.                                      | С                          |   | 264.68   |
|         | Name of Employer  | Occupation                 | vt. Affairs Director  |  |
|         | Caterpillar Inc.  Receipt For:  Primary  General  Other (specify)                               |                            | Year-to-Date ▼  264.68  |  |
| s       | UBTOTAL of Receipts This Page (optional)  |                            |   | 687.10   |
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### SCHEDULE A (FEC Form 3X)

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| TEMIZED RECEIPTS  | for each category of the Detailed Summary Page  | X   11a   |
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| NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee Poli   | tical Action Committee  |   |
| Peoria  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary  Other (specify) ▼  A | State Zip Code IL 61629  Ccupation fg. Logistics & Transp. Mgr. ggregate Year-to-Date ▼ | Date of Receipt  01 30 2015  Transaction ID: A2015-101182  Amount of Each Receipt this Period  250.00   |
| Peoria  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  CE   | State Zip Code IL 61629  C ccupation EOChairman of the Board ggregate Year-to-Date ▼    | Date of Receipt  O1 30 2015  Transaction ID : A2015-100312  Amount of Each Receipt this Period  1333.34 |
| Franklin  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Property For:                             | State Zip Code IN 46131  C ccupation roduct Manager ggregate Year-to-Date ▼  218.28     | Date of Receipt  O1 30 2015  Transaction ID: A2015-100756  Amount of Each Receipt this Period  218.28   |
| SUBTOTAL of Receipts This Page (optional)   | <b>&gt;</b>   | 1801.62   |
| TOTAL This Period (last page this line number only  | )   |   |

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Robert Paternoga Date of Receipt Mailing Address 12301 Old Galena Road 30 2015 City State Zip Code Transaction ID: A2015-100662 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Reman BU Mgr - Engines Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) **B.** Thomas Pellette Date of Receipt Mailing Address 100 NE Adams 01 30 2015 City State Zip Code Transaction ID: A2015-100675 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President

| Receipt For:  Primary General  Other (specify) ▼   | Aggregate Year-to-Date ▼ 416.67  |  |
|--|--|--|
| Full Name (Last, First, Middle Initial)  C. Douglas Petterson  Mailing Address P O Box 999  Highway42 East  City  Clayton  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary  General  Other (specify) | State Zip Code NC 27528  C  Occupation General Manager  Aggregate Year-to-Date ▼  416.67 | Date of Receipt  101 30 2015  Transaction ID: A2015-100278  Amount of Each Receipt this Period  416.67 |
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FOR LINE NUMBER: PAGE 23 OF 31 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Edward Rapp Date of Receipt Mailing Address 100 NE Adams 30 2015 City State Zip Code Transaction ID: A2015-99879 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Group President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) B. David Roeder Date of Receipt Mailing Address 1600 Progress Drive 01 80 2015 City State Zip Code Transaction ID: A2015-98517 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 192.31 federal political committee. Name of Employer Occupation **Progress Rail Services** SVP Eng & Track Serv Bus Unit Receipt For: Aggregate Year-to-Date ▼ Primary General 384.62 Other (specify) Full Name (Last, First, Middle Initial) c. James Rooney Date of Receipt Mailing Address 100 NE Adams 30 01 2015 City State Zip Code Transaction ID: A2015-100072 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 460.00 federal political committee. Name of Employer Occupation Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 460.00 Other (specify) 1068.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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| for each category of the<br>Detailed Summary Page | X    | 11a     |    | 11b  |   | 11c  |     | 12    |   |    |
| _ common common, cage                             |      | 13      |    | 14   |   | 15   |     | 16    |   | 17 |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Jason Sage Date of Receipt Mailing Address 12301 Old Galena Road 30 2015 City State Zip Code Transaction ID: A2015-100131 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Name of Employer Occupation Div HRSup Chain & Qual Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) B. Evelyn Savage Date of Receipt Mailing Address 12301 Old Galena Road 01 30 2015 City State Zip Code Transaction ID: A2015-101188 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.07 federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 416.07 Other (specify) Full Name (Last, First, Middle Initial) **c.** Edward Scott Date of Receipt Mailing Address 100 NE Adams 30 01 2015 City State Zip Code Transaction ID: A2015-100458 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Corporate Treasurer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify)

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SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 25 OF 31 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Rodney Shurman Date of Receipt Mailing Address 3701 State Road 26 East 30 2015 City Zip Code State Transaction ID: A2015-100211 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 206.34 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 206.34 Other (specify) Full Name (Last, First, Middle Initial) B. James Sibley Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 01 30 2015 City State Zip Code Transaction ID: A2015-100413 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 208.20 federal political committee. Name of Employer Occupation Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 208.20 Other (specify) Full Name (Last, First, Middle Initial) c. Theodore Simison Date of Receipt Mailing Address 100 Tractor Drive 30 01 2015 **Building CV** City State Zip Code Transaction ID: A2015-101086 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 200.80 С federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 200.80 Other (specify) 615.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

|   | FOF  | LINE    | NU | MBER | : | PAGE | 2 | 26 OF | = | 31 |
|---|------|---------|----|------|---|------|---|-------|---|----|
| Use separate schedule(s)                          | (che | ck only | or | ne)  |   |      |   |       |   |    |
| for each category of the<br>Detailed Summary Page | X    | 11a     |    | 11b  |   | 11c  |   | 12    |   |    |
|   |      | 13      |    | 14   |   | 15   |   | 16    |   | 17 |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Dennis Skarvan Date of Receipt Mailing Address 100 NE Adams 30 2015 City State Zip Code Transaction ID: A2015-100037 Peoria IL 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Deputy General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) B. Sally Stiles Date of Receipt Mailing Address 100 NE Adams 01 30 2015 City State Zip Code Transaction ID: A2015-100572 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Dir. of Tax & Trade Operations Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) c. Mark Stratton Date of Receipt Mailing Address 100 NE Adams St. 30 01 2015 City State Zip Code Transaction ID: A2015-100513 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. General ManagerReman Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 1250.01

SUBTOTAL of Receipts This Page (optional).....

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|  | FOR LINE NUMBER: | PAGE 27 OF 31 |
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| Use separate schedule(s)                       | (check only one) |               |
| for each category of the Detailed Summary Page | X 11a 11b 1      | 1c 12         |
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|   | nd Statements may not be sold or used by any per-  |  |
|---|--|--|
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe  | e Political Action Committee   |  |
| Full Name (Last, First, Middle Initial)  Larry Tate  Mailing Address 100 Tractor Drive  Building CV  City  East Peoria  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For:  Primary  General  Other (specify)     | State Zip Code IL 61630  C  Occupation Product Manager  Aggregate Year-to-Date ▼  416.67             | Date of Receipt  O1 30 2015  Transaction ID : A2015-100427  Amount of Each Receipt this Period  416.67 |
| Full Name (Last, First, Middle Initial)  Robert Thompson  Mailing Address 2120 West End Avenue P OBox 340001  City  Nashville  FEC ID number of contributing federal political committee.  Name of Employer  Caterpillar Inc.  Receipt For: Primary General Other (specify) | State Zip Code TN 37203  C  Occupation PresidentInsurance Services  Aggregate Year-to-Date ▼  435.00 | Date of Receipt  O1 30 2015  Transaction ID : A2015-100648  Amount of Each Receipt this Period  435.00 |
| Full Name (Last, First, Middle Initial)  Donald Umpleby III  Mailing Address 100 NE Adams  City Peoria  FEC ID number of contributing federal political committee.  Name of Employer Caterpillar Inc.  Receipt For: Primary Other (specify)                                 | State Zip Code IL 61629  C  Occupation Group President  Aggregate Year-to-Date ▼  416.67             | Date of Receipt  01 30 2015  Transaction ID : A2015-100040  Amount of Each Receipt this Period  416.67 |
| SUBTOTAL of Receipts This Page (optional  | )  | 1268.34  |
| TOTAL This Period (last page this line num  | her only)  |  |

|  | FOR LINE NUMBER: | PAGE 28 OF 3 | 1  |
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| Use separate schedule(s)                       | (check only one) |              |    |
| for each category of the Detailed Summary Page | X 11a 11b        | 11c 12       |    |
| - commercial commency of angle                 | 13 14            | 15 16 1      | 17 |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Tana Utley Date of Receipt Mailing Address 12301 Old Galena Road 30 2015 City State Zip Code Transaction ID: A2015-100419 Mossville IL 61552 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) B. Reid Waitt Date of Receipt Mailing Address 5000 Womack Rd 01 30 2015 City State Zip Code Transaction ID: A2015-100516 NC Sanford 27330 Amount of Each Receipt this Period FEC ID number of contributing 237.31 federal political committee. Name of Employer Occupation Caterpillar Inc. **GMCompact Construction Equip** Receipt For: Aggregate Year-to-Date ▼ Primary General 237.31 Other (specify)

Full Name (Last, First, Middle Initial) c. David Walton Date of Receipt Mailing Address 100 NE Adams 30 01 2015 City State Zip Code Transaction ID: A2015-100194 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 229.84 federal political committee. Name of Employer Occupation Vice PresidentCFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 229.84 Other (specify)

|   | FOF  | LINE    | NU | MBER | : | PAGE | 2 | 29 OF | = | 31 |
|---|------|---------|----|------|---|------|---|-------|---|----|
| Use separate schedule(s)                          | (che | ck only | or | ne)  |   |      |   |       |   |    |
| for each category of the<br>Detailed Summary Page | X    | 11a     |    | 11b  |   | 11c  |   | 12    |   |    |
|   |      | 13      |    | 14   |   | 15   |   | 16    |   | 17 |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Karl Weiss Date of Receipt Mailing Address 100 Tractor Drive **Building CV** 30 2015 City State Zip Code Transaction ID: A2015-99874 East Peoria IL 61630 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name (Last, First, Middle Initial) B. Steven Wunning Date of Receipt Mailing Address 100 NE Adams 01 30 2015 City State Zip Code Transaction ID: A2015-100314 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Group President Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify)

Full Name (Last, First, Middle Initial) c. Ramin Younessi Date of Receipt Mailing Address 12301 Old Galena Road 30 01 2015 City State Zip Code Transaction ID: A2015-101157 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Name of Employer Occupation Caterpillar Inc. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify)

| SCHEDULE B (FEC Form 3X)  |   | EOD LINE           | NUMBER: PAGE 30 OF 31                      |  |  |  |  |
|---|---|--------------------|--|--|--|--|--|
| ITEMIZED DISBURSEMENTS  | Use separate schedule(s                           | (check only one)   |  |  |  |  |  |
| LIMELD DISCONDENIENTS   | for each category of the<br>Detailed Summary Page | 21h                | 22 🗙 23 24 25 26                           |  |  |  |  |
|   |   | 27                 | 28a 28b 28c 29 30l                         |  |  |  |  |
| Any information copied from such Reports and                    |   |                    |  |  |  |  |  |
| or for commercial purposes, other than using the                | e name and address of any poli                    | tical committee to | solicit contributions from such committee. |  |  |  |  |
| NAME OF COMMITTEE (In Full)                                     |   |                    |  |  |  |  |  |
| The Caterpillar Inc. Employee I                                 | Political Action Commi                            | ttee               |  |  |  |  |  |
| Full Name (Last, First, Middle Initial)                         |   |                    |  |  |  |  |  |
| A. Kirk for Senate  |   |                    | Date of Disbursement                       |  |  |  |  |
| Mik for Seriate   |   |                    | M M / D D / Y Y Y Y                        |  |  |  |  |
| Mailing Address P.O. Box 8                                      |   |                    | 01 14 2015                                 |  |  |  |  |
| -   |   |                    |  |  |  |  |  |
| City  | State Zip Code IL 60093                           |                    | Transaction ID : B543579                   |  |  |  |  |
| Winnetka Purpose of Disbursement                                | IL 60093  | T                  |  |  |  |  |  |
| Contribution  |   | 011                | Amount of Each Disbursement this Period    |  |  |  |  |
| Candidate Name  |   | Category/          |  |  |  |  |  |
| Mark Kirk   |   | Type               | 5000.00                                    |  |  |  |  |
| Office Sought: House Disk                                       | oursement For: 2016                               |                    |  |  |  |  |  |
| Senate  | Primary General                                   |                    |  |  |  |  |  |
| State: IL District:   | Other (specify)                                   |                    |  |  |  |  |  |
|   |   |                    |  |  |  |  |  |
| Full Name (Last, First, Middle Initial)  B. Schock for Congress |   |                    | Date of Disbursement                       |  |  |  |  |
| 5. Schock for Congress  |   |                    | M M / D D / Y Y Y Y                        |  |  |  |  |
| Mailing Address PO Box 10555                                    |   |                    | 01 14 2015                                 |  |  |  |  |
|   |   |                    |  |  |  |  |  |
| City  | State Zip Code                                    |                    | Transaction ID : B543578                   |  |  |  |  |
| Peoria Purpose of Disbursement                                  | IL 61612  |                    |  |  |  |  |  |
| Contribution  |   | 011                | Amount of Each Disbursement this Period    |  |  |  |  |
| Candidate Name  |   | Category/          |  |  |  |  |  |
| Aaron Schock  |   | Type               | 5000.00                                    |  |  |  |  |
| Office Sought: House Disk                                       | oursement For: 2016                               |                    |  |  |  |  |  |
| Senate  | Primary General                                   |                    |  |  |  |  |  |
| President   | Other (specify)                                   |                    |  |  |  |  |  |
| State: IL District: 18  |   |                    |  |  |  |  |  |
| Full Name (Last, First, Middle Initial)                         |   |                    | Date of Disbursement                       |  |  |  |  |
| C. Bluegrass Committee  |   |                    | M M / D D / Y Y Y Y                        |  |  |  |  |
| Mailing Address 220 1/2 E St. NE                                |   |                    | 01 14 2015                                 |  |  |  |  |
|   |   |                    |  |  |  |  |  |
| City  | State Zip Code                                    |                    | Transaction ID : B543580                   |  |  |  |  |
| Washington Purpose of Disbursement                              | DC 20002  |                    |  |  |  |  |  |
| Contribution  |   | 011                | Amount of Each Disbursement this Period    |  |  |  |  |
| Candidate Name  |   | Category/          |  |  |  |  |  |
|   |   | Type               | 2500.00                                    |  |  |  |  |
| Office Sought: House Disk                                       | oursement For: 2015                               |                    | , ,  |  |  |  |  |
| Senate  | Primary General                                   |                    |  |  |  |  |  |
| President   | Other (specify) ▼                                 |                    |  |  |  |  |  |
| State: District:  | Not Applical                                      | ole                |  |  |  |  |  |
| CURTOTAL of Distance and Till D                                 | I)  |                    | 12500.00                                   |  |  |  |  |
| SUBTOTAL of Disbursements This Page (optio                      | riai)   | ·····              | 1200.00                                    |  |  |  |  |
| TOTAL This Period (last page this line number                   | only)   |                    | 12500.00                                   |  |  |  |  |
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| SCHEDULE B (FEC Form 3X)   |  | FOR LINE          | NUMBER: PAGE 31 OF 31                   |
|--|--|-------------------|---|
| TEMIZED DISBURSEMENTS  | DISBURSEMENTS  Use separate schedule(s) (check of the care care category of the care care category of the cate |                   | one)                                    |
|  | Detailed Summary Page  | 21b<br>27         | 22 23 24 25 26<br>28a 28b 28c X 29 30   |
| Any information copied from such Reports and Stater  | nents may not be sold or u   |                   |   |
| or for commercial purposes, other than using the nan   |  |                   |   |
| NAME OF COMMITTEE (In Full)  |  |                   |   |
| The Caterpillar Inc. Employee Polit  | ical Action Commit   | tee               |   |
| Full Name (Last, First, Middle Initial)  |  |                   | B . (B)                                 |
| A. Travis Hutson for Florida Senate  |  |                   | Date of Disbursement                    |
| Mailing Address 469 Giana Way  |  |                   | 01 14 2015                              |
| ,  | State Zip Code   |                   | Transaction ID : B543581                |
| St. Augustine Purpose of Disbursement  | FL 32086   |                   | Transaction ib . b343301                |
| O-2015 State Senate 06 FL  |  | 011               | Amount of Each Disbursement this Period |
| Candidate Name   |  | Category/         | 1000.00                                 |
| Travis Hutson  Office Sought: House Disburser  | ment For: 2015   | Туре              | 1000.00                                 |
| ✓ Senate   | Primary General  |                   |   |
| President  | Other (specify) ▼  |                   |   |
| State: FL District: 06   | Special  |                   |   |
| Full Name (Last, First, Middle Initial)  |  |                   |   |
| J.   |  |                   | Date of Disbursement                    |
| Mailing Address  |  |                   | M M / D D / Y Y Y Y                     |
| Mailing Address  |  |                   |   |
| City   | State Zip Code   |                   |   |
| Purpose of Disbursement  |  |                   |   |
| . a.poso o. z.osa.como   |  |                   | Amount of Each Disbursement this Period |
| Candidate Name   |  | Category/         |   |
|  |  | Type              |   |
| Office Sought: House Disburser Senate  |  |                   |   |
| President  | Primary General Other (specify) ▼  |                   |   |
| State: District:   | • • • • • • • • • • • • • • • • • • •  |                   |   |
| Full Name (Last, First, Middle Initial)  |  |                   |   |
| ).   |  |                   | Date of Disbursement                    |
|  |  |                   | M M / D D / Y Y Y Y                     |
| MadPara Adalahara  |  |                   |   |
| Mailing Address  |  |                   |   |
|  | State Zip Code   |                   |   |
|  | State Zip Code   |                   |   |
| City   | State Zip Code   |                   | Amount of Each Disbursement this Period |
| City   | State Zip Code   | Category/<br>Type | Amount of Each Disbursement this Period |
| City :   | · · · · · · · · · · · · · · · · · · ·  | Category/<br>Type | Amount of Each Disbursement this Period |
| City  Purpose of Disbursement  Candidate Name  Office Sought: House Disburser Senate                   | nent For: Primary General  | Category/<br>Type | Amount of Each Disbursement this Period |
| City  Purpose of Disbursement  Candidate Name  Office Sought: House Disburser Senate President         | nent For:  | Category/<br>Type | Amount of Each Disbursement this Period |
| City  Purpose of Disbursement  Candidate Name  Office Sought: House Disburser Senate                   | nent For: Primary General  | Category/<br>Type | Amount of Each Disbursement this Period |
| City  Purpose of Disbursement  Candidate Name  Office Sought: House Senate President  State: District: | ment For: Primary General Other (specify) ▼  | Туре              |   |
| City  Purpose of Disbursement  Candidate Name  Office Sought: House Disburser Senate President         | ment For: Primary General Other (specify) ▼  | Туре              | Amount of Each Disbursement this Period |