10/12/2007 19:01

(Rev. 02/2003)

Image# 27931330246

FEC FORM 3X

(Choose One)

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(TER)

Covering Period

Office

Use

Only

1. NAME OF

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee Office Use Only **USE FEC MAILING LABEL** Example:If typing, type COMMITTEE (in full) OR TYPE OR PRINT ₩ over the lines Headwaters Incorporated PAC 1 1 1 1 10653 S. River Front Parkway ADDRESS (number and street) Suite 300 Check if different than previously UT South Jordan 84095 reported. (ACC) FEC IDENTIFICATION NUMBER STATE. ZIPCODE 🛋 CITY A IS THIS NEW **AMENDED** C00388751 Х REPORT OR (N) (A) **TYPE OF REPORT** (b) Monthly Nov 20 (M11) Feb 20 (M2) May 20 (M5) Aug 20 (M8) (Non-Election Year Only) Report Due On: Dec 20 (M12) (Non-Election Year Only) Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) (a) Quarterly Reports: Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE) April 15 Quarterly Report(Q1) (c) 12-Day Primary (12P) General (12G) Runoff (12R) July 15 PRE-Election Quarterly Report(Q2) Report for the: Convention (12C) Special (12G) October 15 Quarterly Report(Q3) January 31 Quarterly Report(YE) in the Election on State of July 31 Mid-Year (d) 30-Day Report(Non-election Year Only) (MY) Post -Election General (30G) Runoff (30R) Special (30S) Report for the: Termination Report in the Election on State of 07 0 1 2007 09 30 2007 through I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. Mr. John N. Ward Type or Print Name of Treasurer Electronically Filed by Mr. John N. Ward 10 12 2007 Signature of Treasurer Date NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g. **FEC FORM 3X**

Image# 27931330247

FEC Form 3X (Rev. 02/2003)

SUMMARY PAGE

OF RECEIPTS AND DISBURSEMENTS

Page 2

Write or Type Committee Name Headwaters Incorporated PAC [®] D ^UD 0.7 2007 0 1 2007 0.9 3 0 Report Covering the Period: From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand [°]2007 135710.72 January 1 (b) Cash on Hand at 120441.30 Begining of Reporting Period 15394.41 56624.99 (c) Total Receipts (from Line 19) (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 135835.71 192335.71 6(a) and 6(c) for Column B) 14000.00 70500.00 7. Total Disbursements (from Line 31) Cash on Hand at Close of Reporting Period 121835.71 121835.71 (subtract Line 7 from Line 6(d)) 9. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E street, NW Washington, DC 20463

> Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 02/2003) Page 3

Write or Type Committee Name Headwaters Incorporated PAC

Report Covering the Period:

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From:

01

^Y 2007

o. 0 9

^D 3^D 0

^Y 2007

	I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11.	Contributions (other than loans) From: (a) Individuals/Persons Other		
	Than Political Committees (i) Itemized (use Schedule A)	15077.41	50138.52
	(ii) Unitemized	317.00	6486.47
	(iii) TOTAL (add Lines 11(a)(i) and (ii)	15394.41	56624.99
	(b) Political Party Committees	0.00	0.00
	(c) Other Political Committees (such as PACs)	0.00	0.00
	11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5)	15394.41	56624.99
2.	Transfers From Affiliated/Other Party Committees	0.00	0.00
3.	All Loans Received	0.00	0.00
	Loan Repayments Received Offsets To Operating Expenditures	0.00	0.00
	(Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) Refunds of Contributions Made	0.00	0.00
Ο.	to Federal candidates and Other Political Committees	0.00	0.00
7.	Other Federal Receipts (Dividends, Interest, etc.)	0.00	0.00
8.	Transfers from Non-Federal and Levin Funds		
	(a) Non-Federal Account (from Schedule H3)	0.00	0.00
	(b) Levin Funds (from Schedule H5)	0.00	0.00
	(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19.	Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	15394.41	56624.99
20.	Total Federal Receipts (subtract Line 18(c) from Line 19)	15394.41	56624.99

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003) Page 4

	II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
	erating Expenditures: — Shared Federal/Non-Federal —		
(α)	Activity (from Schedule H4)	0.00	0.00
	(i) Federal Share	0.00	0.00
	(ii) Non-Federal Share	0.00	0.00
(b)	Other Federal Operating	0.00	0.00
(c)	Expenditures Total Operating Expenditures	0.00	0.00
(0)	(add 21(a)(i), (a)(ii) and (b))	0.00	0.00
	ansfers to Affiliated/Other Party	0.00	0.00
. Co	ntributions to	0.00	0.00
Fed	deral Candidates/Committeesd Other Political Committees	13000.00	69500.00
	ependent Expenditure	0.00	0.00
. Čo	e Schedule E)ordinated Expenditures Made by Party	0.00	0.00
Coi (us	mmittees (2 U.S.C. 441a(d))	0.00	0.00
. Loa	an Repayments Made	0.00	0.00
		0.00	0.00
Ref	ans Madefunds of Contributions To:	0.00	0.00
(a)	Individuals/Persons Other Than Political Committees	0.00	0.00
(b)	Political Party Committees	0.00	0.00
(c)		0.00	0.00
<i>(</i> 1)	(such as PACs)	0.00	0.00
(d)	Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	0.00
. Oth	ner Disbursements	1000.00	1000.00
. Fe	deral Election Activity (2 U.S.C 431(20))		
	Shared Federal Election Activity		
	(from Schedule H6)	0.00	0.00
	(i) Federal Share	0.00	0.00
	(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c	Total Federal Election Activity (add	0.00	0.00
`	Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
То	tal Disbursements (add Lines 21(c), 22,		
23	, 24, 25, 26, 27, 28(d), 29 and 30(c))	14000.00	70500.00
. То	otal Federal Disbursements		
,	ubtract Line 21(a)(ii) from Line 30(a)(ii)	1 1000 00	70500.00
	om Line 31)	14000.00	70500.00

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3)	15394.41	56624.99
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	15394.41	56624.99
66. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	0.00	0.00
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
88. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

S	CHEDULE A (FEC Form 3X)		Use separate schedule(s)	FOR LINE NUMBER: PAGE 6 / 26
	EMIZED RECEIPTS		or each category of the	(check only one)
•••	LIMIZED RECEIF 13		Detailed Summary Page	X 11a 11b 11c 12
	· · · · · · · · · · · · · · · · · · ·	-1		13 14 15 16 17
or	ry information copied from such Reports and Sta for commercial purposes, other than using the r	atements may name and add	r not be sold or used by any persitress of any political committee to	on for the purpose of soliciting contributions of solicit contributions from such committee.
\setminus	NAME OF COMMITTEE (In Full)			
\angle	Headwaters Incorporated PAC			
A.	Full Name (Last, First, Middle Initial) Mr. Thomas Patrick Amerson			Date of Receipt
	Mailing Address 5290 Mud Creek Road			09 28 7 2007
	City	State	Zip Code	Transaction ID: SA11A1.6616
	Adger	AL	35006	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		134.61
	Name of Employer Headwaters Energy Services	Occupation Plant Ma		biweekly payroll deduction \$19.23
	Receipt For:		Year-to-Date ▼	1
	Primary General		204.60	1
	Other (specify)	0 0	384.60	
В.	Full Name (Last, First, Middle Initial) Mr. Scott Ballard			Date of Receipt
	Mailing Address 4390 Wasatch Meadow	09 28 7 2007		
	City	State	Zip Code	Transaction ID: SA11A1.6617
	West Jordan	UT	84088	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		134.61
	Name of Employer Headwaters Incorporated	Occupation Treasure		biweekly payroll deduction \$19.23
	Receipt For:	Aggregate	Year-to-Date ▼	
	Primary General Other (specify) ▼		384.60	
_	Full Name (Last, First, Middle Initial)			
C.	Mr. Kirk A. Benson			Date of Receipt
	Mailing Address 4184 W. Alpine Cove D	rive		09 28 7 2007
	City	State	Zip Code	Transaction ID: SA11A1.6620
	Alpine	UT	84004	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		1346.10
	Name of Employer Headwaters Incorporated	Occupation Chief Exe	n ecutive Officer	biweekly payroll deduction \$192.30
	Receipt For:		Year-to-Date ▼	
	Primary General Other (specify) ▼		3846.00	
				1015.00
s	UBTOTAL of Receipts This Page (optional)			1615.32
_	OTAL This Period (last page this line number o	nlv)		

S	CHEDULE A (FEC Form 3X)		Use separate schedule(s)		PAGE 7/26
	EMIZED RECEIPTS		or each category of the	(check only one)	
••	EMIZED RECEIL 10		Detailed Summary Page		1c 12 5 16 17
۸۰	ny information copied from such Reports and St.	otomonto mo	reat he cold or wood by any norce		
or	for commercial purposes, other than using the	name and add	dress of any political committee to	solicit contributions from such	n committee.
	NAME OF COMMITTEE (In Full)				
$ \rangle$	Headwaters Incorporated PAC				
\angle					
Α.	Full Name (Last, First, Middle Initial) Mr. Robert E. Darby			Date of Receipt	
	Mailing Address 27011 Timberline Drive			M M / D D /	YYYY
				09 28	2007
	City	State	Zip Code	Transaction ID: SA11/	A1.6621
	San Antonio	TX	78260	Amount of Each Receip	t this Period
	FEC ID number of contributing	С			134.61
	federal political committee.			hive aldy paymall dady	ation .
	Name of Employer Headwaters Construction	Occupation		biweekly payroll dedu \$19.23	ction
	Mtls	General I			
	Receipt For: Primary General	Aggregate	Year-to-Date ▼		
	Other (specify)	' '	279.99		
	outer (operating)	0 0	1 1 1 1 1 1 1		
	Full Name (Last, First, Middle Initial)				
В.				Date of Receipt	
	Mailing Address 501 Windward Way			09 28 2007	
	City	State	Zip Code	Transaction ID: SA11/	
	Woodstock	GA	30189	Amount of Each Receip	
	FEC ID number of contributing			Timoditi di Ladii 1886p	1 1 1 1
	federal political committee.	C			140.00
	Name of Employer	Occupation	า	biweekly payroll dedu	ction
	Name of Employer Headwaters Resources	FGD Mar		\$20.00	
	Receipt For:	_	Year-to-Date ▼		
	Primary General		400.00		
	Other (specify)	0 0	400.00		
	Full Name (Lock First Middle 1975)				
C.	Full Name (Last, First, Middle Initial) Jim DeLizzio			Date of Receipt	
	Mailing Address 532 Barry Drive			M M / D D /	YYYY
				09 28	2007
	City	State	Zip Code	Transaction ID: SA11/	
	Springfield	AL	19064	Amount of Each Receip	t this Period
	FEC ID number of contributing federal political committee.	C			134.61
				hiwookly poyroll dodu	otion
	Name of Employer Headwaters Resources	Occupation		biweekly payroll dedu \$19.23	Stion
		VP, Fina			
	Receipt For: Primary General	Aggregate	Year-to-Date ▼		
	Other (specify)		365.39		
_					
					400.00
s	UBTOTAL of Receipts This Page (optional)				409.22
Г					
T	OTAL This Period (last page this line number of	nly)			

	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS	Use separate schedule(s) or each category of the Detailed Summary Page	Check only one) X 11a
Ar	ny information copied from such Reports and Statements m for commercial purposes, other than using the name and a	ay not be sold or used by any perso	n for the purpose of soliciting contributions
or	NAME OF COMMITTEE (In Full) Headwaters Incorporated PAC	adress of any political committee to	solicit contributions from such committee.
Α.	Full Name (Last, First, Middle Initial) Mr. Gordon Deppe Mailing Address 9 Candlewood Drive		Date of Receipt
	City State West Windsor NJ	Zip Code 08550	Transaction ID: SA11A1.6624 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.		115.38
		ion s Manager ate Year-to-Date ▼ 365.37	\$19.23
В.	Full Name (Last, First, Middle Initial) Mr. Daniel A. Diadiun, II Mailing Address 4505 Autumn Ridge Circle		Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City State Midland MI	Zip Code 48642	Transaction ID: SA11A1.6625 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.		105.00
	· !	Region Controller	biweekly payroll deduction \$15.00
	Receipt For: Primary General Other (specify) ▼	ate Year-to-Date ▼ 300.00	
C.	Full Name (Last, First, Middle Initial) Mr. Patrick Dunn Mailing Address 22145 Daleview Drive		Date of Receipt
	City State	Zip Code	0 9 2 8 2 0 0 7 Transaction ID: SA11A1.6626
	Nori MI	48374	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.		249.99
		ion P Specialty Sales & Marketing ate Year-to-Date ▼	weekly payroll deduction \$19.23
	Primary General Other (specify) ▼	769.20	
s	UBTOTAL of Receipts This Page (optional)	·····	470.37
Т	OTAL This Period (last page this line number only)	>	

S	CHEDULE A (FEC Form 3X)		Use separate schedule(s)	FOR LINE NUMBER: PAGE 9 / 26
	EMIZED RECEIPTS		or each category of the	(check only one)
••			Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16 17
Δr	y information copied from such Reports and Stat	emente may	y not be sold or used by any ners	
or	for commercial purposes, other than using the na	me and add	dress of any political committee to	o solicit contributions from such committee.
\setminus	NAME OF COMMITTEE (In Full)			
	Headwaters Incorporated PAC			
Α.	Full Name (Last, First, Middle Initial) Mr. Gary England			Date of Receipt
	Mailing Address 2980 E Granite Slope La	ne		09 28 2007
	City	State	Zip Code	Transaction ID: SA11A1.6628
	Sandy	UT	84092	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		538.44
	Name of Employer Headwaters Resources	Occupation Vice Pres		biweekly payroll deduction \$76.92
	Receipt For:		e Year-to-Date ▼	\dashv
	Primary General	, iggi ogalo		7
	Other (specify) ▼		1538.40	
<u> </u>	Full Name (Last, First, Middle Initial) Mr. Alan G. Falls			Date of Receipt
	Mailing Address 2362 North Trail			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: SA11A1.6629
	Midland	MI	48642	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		140.00
	Name of Employer Headwaters Resources	Occupation Eastern F	n Region Area Manager	biweekly payroll deduction \$20.00
	Receipt For:	Aggregate	Year-to-Date ▼	
	Primary General Other (specify) ▼		400.00	
<u> </u>	Full Name (Last, First, Middle Initial) Mr. Peter P. Fote			Date of Receipt
J .	Mailing Address 611 North Cortez			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: SA11A1.6630
	Salt Lake City	UT	84103	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		134.61
	Name of Employer Headwaters Inc.	Occupation Director of	n of Capital Projects	biweekly payroll deduction \$19.23
	Receipt For:	Aggregate	Year-to-Date ▼	
	Primary General Other (specify) ▼	0 0	384.60	
s	UBTOTAL of Receipts This Page (optional)			813.05
	,		•	
	OTAL This Period (last page this line number on	lv)	ı	

SCH	EDULE A (FEC Form 3X)		Use separate schedule(s)	FOR LINE NUMBER:	PAGE 10/26
	NIZED RECEIPTS		or each category of the	(check only one)	□ 44. □ 46
			Detailed Summary Page	X 11a 11b 14	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
Any in	formation copied from such Reports and Sta	tements may	not be sold or used by any perso		
or for o	commercial purposes, other than using the n	ame and add	dress of any political committee to	solicit contributions from	such committee.
1	ME OF COMMITTEE (In Full)				
) He	adwaters Incorporated PAC				
	l Name (Last, First, Middle Initial) Kenneth R. Frailey			Date of Receipt	
_	iling Address 263 North First East			M M / D D D D D D D D D D D D D D D D D	
City	/	State	Zip Code	Transaction ID: S	
<u>To</u>	oele	UT	84074	Amount of Each R	
	C ID number of contributing eral political committee.	C			1076.95
Na	me of Employer adwaters Energy Services	Occupation	n	biweekly payroll of \$153.85	deduction
		1	els President		
Re	ceipt For: Primary General	Aggregate	e Year-to-Date ▼		
	Other (specify) ▼		3076.90		
	I Name (Last, First, Middle Initial) Daniel Garson			Date of Receipt	
	iling Address 1370 Grand Avenue #B			M M / D D	/ Y Y Y Y
	1070 Grand 7 Worldo 112			0 9 2 8	
City		State	Zip Code	Transaction ID: S	SA11A1.6634
<u>Sa</u>	n Marcos	CA	92078	Amount of Each R	eceipt this Period
	C ID number of contributing eral political committee.	C			182.00
Na	me of Employer adwaters Const Matls	Occupation	n	biweekly payroll of \$26.00	deduction
		Area Mar			
Re	ceipt For: Primary General	Aggregate	e Year-to-Date ▼		
	Other (specify)		520.00		
_	I Name (Last, First, Middle Initial) William H. Gehrmann			Date of Receipt	
-	iling Address 5248 CR 302			M M / D D	
				09 28	
City		State	Zip Code	Transaction ID: S	
	cogdoches	TX	75961	Amount of Each R	eceipt this Period
	C ID number of contributing eral political committee.	С			1076.95
Na He	me of Employer adwaters Resources	Occupation President		biweekly payroll of \$153.85	deduction
Re	ceipt For:	Aggregate	e Year-to-Date ▼		
	Primary General Other (specify) ▼	0 0	3077.00]	
SUBT	OTAL of Receipts This Page (optional)				2335.90
	,			-	• • • • • •
TOTA	AL This Period (last page this line number or	nly)			

S	CHEDULE A (FEC Form 3X)		Use separate schedule(s)	FOR LINE NUMBER: PAGE 11/26
IT	EMIZED RECEIPTS		or each category of the Detailed Summary Page	(check only one) X 11a
Ar or	y information copied from such Reports and Statemer for commercial purposes, other than using the name a	nts may and add	not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Headwaters Incorporated PAC			
Α.	Full Name (Last, First, Middle Initial) Mr. Michael A. Gipson			Date of Receipt
	Mailing Address PO Box 168			09 28 2007
	City St	tate	Zip Code	Transaction ID: SA11A1.6636
	<u>Helper</u> U	<u>T</u>	84526	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.			134.61
	Headwatere Energy Services	cupation int Ma		biweekly payroll deduction \$19.23
	Receipt For: Primary General Other (specify) Age	gregate	Year-to-Date ▼ 384.60	
В.				Date of Receipt
	Mailing Address 9002 381 Avenue, SE			09 / 28 / Y Y Y Y Y Y
	•	tate	Zip Code	Transaction ID: SA11A1.6639
	<u>Snoqualmie</u> W	/A	98065	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.			134.61
	Headwatere Recourees	cupation estern	n Region Area Manager	biweekly payroll deduction \$19.23
		gregate	Year-to-Date ▼	
	Primary General Other (specify) ▼		384.60	
C .	Full Name (Last, First, Middle Initial) Mr. Rod Hansen			Date of Receipt
	Mailing Address 1597 West 1500 North			09 / 28 / 2007
	,	tate	Zip Code	Transaction ID: SA11A1.6641
	<u>Delta</u> <u>U</u>	Т	84624	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.			140.00
	Headwaters Resources Op		ns Manager	biweekly payroll deduction \$20.00
		gregate	Year-to-Date ▼	
	Primary General Other (specify) ▼		400.00	
s	UBTOTAL of Receipts This Page (optional)			409.22
\vdash	OTAL This Period (last page this line number only)			

SCHEDULE A (FEC Form 3X)		Use separate schedule(s)	FOR LINE NUMBER: PAGE 12 / 26
ITEMIZED RECEIPTS		or each category of the	(check only one)
TI LIMIZED NECEIF 13		Detailed Summary Page	X 11a 11b 11c 12
Any information copied from such Reports and Sta	tomonte may	rot he sold or used by any person	13 14 15 16 17
or for commercial purposes, other than using the na	ame and add	dress of any political committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full)			
Headwaters Incorporated PAC			
Full Name (Last, First, Middle Initial) Everette Harris			Date of Receipt
Mailing Address 23 Miller Drive			09 / 28 / Y Y Y Y Y
City	State	Zip Code	Transaction ID: SA11A1.6642
Ivyland	PA	18974	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		90.00
Name of Employer Headwaters Tech Innovation	Occupation	n Operations Engineering	biweekly payroll deduction \$15.00
Receipt For:		Year-to-Date ▼	
Primary General		005.00	1
Other (specify) ▼		285.00	
Full Name (Last, First, Middle Initial) 3. Mr. Jeffrey J. Hayden			Date of Receipt
Mailing Address 11300 Vixen Drive			09 28 2007
City	State	Zip Code	Transaction ID: SA11A1.6643
<u>Evansville</u>	IN	47712	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		140.00
Name of Employer Headwaters Energy Services	Occupation Director of	n of Coarse Coal	biweekly payroll deduction \$20.00
Receipt For:	Aggregate	Year-to-Date ▼	
Primary General Other (specify) ▼		400.00	
Full Name (Last, First, Middle Initial) C. Mr. Brett A. Hickman			Date of Receipt
Mailing Address 87 North Foxhill Road			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: SA11A1.6644
North Salt Lake	UT	84054	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		1076.95
Name of Employer Headwaters Inc	Occupation Vice Pres		biweekly payroll deduction \$153.85
Receipt For:		Year-to-Date ▼	
Primary General		3077.00	1
Other (specify) ▼	0 0	3077.00	
SUBTOTAL of Receipts This Page (optional)			1306.95
TOTAL This Period (last page this line number or	nly)		

SCHEDULE A (FEC Form	3X)	Use separate schedule(s)	FOR LINE NUMBER: PAGE 13 / 26
ITEMIZED RECEIPTS	,	or each category of the	(check only one)
		Detailed Summary Page	X 11a 11b 11c 12 15 16 17
Any information copied from such Repor	ts and Statements may	not be sold or used by any pers	on for the purpose of soliciting contributions
	sing the name and add	dress of any political committee to	o solicit contributions from such committee.
NAME OF COMMITTEE (In Full)			
Headwaters Incorporated PAC			
Full Name (Last, First, Middle Initial) A. Mr. Craig R. Hickman			Date of Receipt
Mailing Address 413 W Walnut	Street		09 28 2007
City	State	Zip Code	Transaction ID: SA11A1.6645
Hinsdale	IL	60521	Amount of Each Receipt this Period
FEC ID number of contributing	C		1076.95
federal political committee.	C		
Name of Employer Headwaters Specialty Chem-	Occupation		biweekly payroll deduction \$153.85
icals Receipt For:	President	t e Year-to-Date ▼	_
Primary General	Aggregate		7
Other (specify) ▼	0 0	3077.00	
Full Name (Last, First, Middle Initial) 3. Mr. Ron Hutchcraft			Date of Receipt
Mailing Address 947 E Ruth Stre	eet		09 28 2007
City	State	Zip Code	Transaction ID: SA11A1.6646
<u>Sullivan</u>	IN	47882	Amount of Each Receipt this Period
FEC ID number of contributing	C		105.00
federal political committee.	9		
Name of Employer Headwaters Energy Services	Occupation		biweekly payroll deduction \$15.00
		Coal Procurement Midwest	_
Receipt For: Primary General	Aggregate	Year-to-Date ▼	7
Other (specify) ▼	0 0	285.00	
Full Name (Last, First, Middle Initial) C. Mr. Jimmy Lambert	l		Date of Receipt
Mailing Address 11240 FM 937			M M / D D / Y Y Y Y
0''	2	7: 0 1	09 28 2007
City _Thornton	State TN	Zip Code 76887	Transaction ID: SA11A1.6647 Amount of Each Receipt this Period
FEC ID number of contributing		70007	
federal political committee.	C		140.00
Name of Employer Headwaters Resources	Occupation	1	biweekly payroll deduction \$20.00
Receipt For:	Manager Aggregate	Year-to-Date ▼	\dashv
Primary General	7.1991.094.0		7
Other (specify) ▼		379.25	
SUBTOTAL of Receipts This Page (op	tional)		1321.95
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TOTAL This Period (last page this line	number only)		

Apt. 565 City Salt Lake City UT 84117 FEC ID number of contributing federal political committee. Name of Employer Headwaters Resources Primary Other (specify) ▼ C C Full Name (Last, First, Middle Initial) B. Mr. James A. Lepinski Mailing Address 909 E Rocky Mouth Lane	12 16 17 utions ittee.
Detailed Summary Page 11a	utions ittee.
Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contrib or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such comm NAME OF COMMITTEE (In Full) Headwaters Incorporated PAC Full Name (Last, First, Middle Initial) A. Mr. Charles Lawton, III Mailing Address 5095 S. Sandpiper Drive Apt. 565 City State Zip Code Salt Lake City UT 84117 FEC ID number of contributing federal political committee. Name of Employer Headwaters Resources Name of Employer Headwaters Resources Primary General Other (specify) ▼ Other (specify) ▼ Full Name (Last, First, Middle Initial) B. Mr. James A. Lepinski Mailing Address 909 E Rocky Mouth Lane	utions ittee.
or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such commod NAME OF COMMITTEE (In Full) Headwaters Incorporated PAC Full Name (Last, First, Middle Initial) A. Mr. Charles Lawton, III Mailing Address 5095 S. Sandpiper Drive Apt. 565 City State Zip Code Salt Lake City UT 84117 FEC ID number of contributing federal political committee. Name of Employer Headwaters Resources Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) B. Mr. James A. Lepinski Mailing Address 909 E Rocky Mouth Lane	ittee.
NAME OF COMMITTEE (In Full) Headwaters Incorporated PAC Full Name (Last, First, Middle Initial) A. Mr. Charles Lawton, III Mailing Address 5095 S. Sandpiper Drive Apt. 565 City State Zip Code Salt Lake City UT 84117 FEC ID number of contributing federal political committee. Name of Employer Headwaters Resources Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) B. Mr. James A. Lepinski Mailing Address 909 E Rocky Mouth Lane	I Y I Y
Headwaters Incorporated PAC Full Name (Last, First, Middle Initial) A. Mr. Charles Lawton, III Mailing Address 5095 S. Sandpiper Drive Apt. 565 City State Zip Code Salt Lake City UT 84117 FEC ID number of contributing federal political committee. Name of Employer Headwaters Resources Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) B. Mr. James A. Lepinski Mailing Address 909 E Rocky Mouth Lane	
Full Name (Last, First, Middle Initial) A. Mr. Charles Lawton, III Mailing Address 5095 S. Sandpiper Drive	
A. Mr. Charles Lawton, III Mailing Address 5095 S. Sandpiper Drive Apt. 565 City State Zip Code Salt Lake City UT 84117 FEC ID number of contributing federal political committee. Name of Employer Headwaters Resources Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) B. Mr. James A. Lepinski Mailing Address 909 E Rocky Mouth Lane Date of Receipt M M M / D D / Y Y Y Date of Receipt M M M / D D / Y Y Y Date of Receipt M M M / D D / Y Y Y Date of Receipt M M M / D D / Y Y Y Date of Receipt	
Mailing Address 5095 S. Sandpiper Drive Apt. 565 City State Zip Code Salt Lake City UT 84117 FEC ID number of contributing federal political committee. Name of Employer Headwaters Resources Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) B. Mr. James A. Lepinski Mailing Address 909 E Rocky Mouth Lane	
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City Salt Lake City FEC ID number of contributing federal political committee. Name of Employer Headwaters Resources Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Mr. James A. Lepinski Mailing Address 909 E Rocky Mouth Lane Transaction ID: SA11A1.66 Amount of Each Receipt this P Diweekly payroll deduction \$19.23	0 0 1
Salt Lake City FEC ID number of contributing federal political committee. Name of Employer Headwaters Resources Receipt For: Primary Other (specify) ▼ Pull Name (Last, First, Middle Initial) Mr. James A. Lepinski Mailing Address 909 E Rocky Mouth Lane Amount of Each Receipt this P Diweekly payroll deduction \$19.23 Amount of Each Receipt this P Amount of Each Receipt this P Diweekly payroll deduction \$19.23	
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Full Name (Last, First, Middle Initial) Full Name (Last, First, Middle Initial) Mr. James A. Lepinski Mailing Address 909 E Rocky Mouth Lane	-
Headwaters Resources Director of Ops & Financial Analysis	34.61
Receipt For: Primary Other (specify) Full Name (Last, First, Middle Initial) Mr. James A. Lepinski Mailing Address 909 E Rocky Mouth Lane	
Receipt For: Primary Other (specify) Full Name (Last, First, Middle Initial) B. Mr. James A. Lepinski Mailing Address 909 E Rocky Mouth Lane	
Primary General Other (specify) Full Name (Last, First, Middle Initial) Mr. James A. Lepinski Mailing Address 909 E Rocky Mouth Lane	
Full Name (Last, First, Middle Initial) B. Mr. James A. Lepinski Mailing Address 909 E Rocky Mouth Lane	
B. Mr. James A. Lepinski Mailing Address 909 E Rocky Mouth Lane Date of Receipt	
B. Mr. James A. Lepinski Mailing Address 909 E Rocky Mouth Lane Date of Receipt	
Mailing Address 909 E Rocky Mouth Lane	
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09 28 2	007
City State Zip Code Transaction ID: SA11A1.66	19
<u>Draper</u> UT 84020 Amount of Each Receipt this P	eriod
FEC ID number of contributing federal political committee	34.61
rederal political committee.	
Name of Employer Occupation Sign Sign Sign Sign Sign Sign Sign Sig	
Headwaters Incorporated VP, New Technology	
Receipt For: Aggregate Year-to-Date ▼	
Primary General 384.60	
Other (specify) ▼	
Full Name (Last, First, Middle Initial)	
C. Ms. Sharon Madden Date of Receipt	
	007
City State Zip Code Transaction ID: SA11A1.66	
Salt Lake City UT 84124 Amount of Each Receipt this P	
FEC ID number of contributing	82.00
federal political committee.	02.00
Name of Employer Occupation Section Se	
Name of Employer Headwaters Incorporated Occupation Director of Investor Relations	
Receipt For: Aggregate Year-to-Date ▼	
Primary General 520.00	
Other (specify) ▼ 520.00	
SUPTOTAL of Passints This Page (entiand)	
SUBTOTAL of Receipts This Page (optional)	51.22

TOTAL This Period (last page this line number only)

SI	CHEDULE A (FEC Form 3X)			FOR LINE NUMBER: PAGE 15 / 26							
ITEMIZED RECEIPTS			Use separate schedule(s) or each category of the	(check only one)							
11	EMIZED RECEIPTS		Detailed Summary Page	X 11a 11b	11c 12						
				13 14	15 16 17						
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$\overline{\ }$	NAME OF COMMITTEE (In Full)										
\rangle	Headwaters Incorporated PAC										
۹.	Full Name (Last, First, Middle Initial) Mr. David A. Marshall			Date of Receipt							
	Mailing Address 05634 East Harbor Drive	9		09 / 28	2007						
	City	State	Zip Code	Transaction ID: SA	\11A1.6651						
	Fruitland Park	<u>FL</u>	34731	Amount of Each Re	ceipt this Period						
	FEC ID number of contributing federal political committee.	C			269.22						
	Name of Employer Headwaters Resources	Occupation	n Region Area Manager	biweekly payroll de \$38.46	eduction						
	Receipt For:		Year-to-Date ▼								
	Primary General			1							
	Other (specify)		769.20								
3.	Full Name (Last, First, Middle Initial) Mr. Niel Nickolaisen			Date of Receipt							
	Mailing Address 128 South 850 East			09 / 28	2007						
	City	State	Zip Code	Transaction ID: SA	\11A1.6653						
	Centerville	UT	84014	Amount of Each Re	ceipt this Period						
	FEC ID number of contributing federal political committee.	C		himalih namali d	140.00						
	Name of Employer Headwaters Incorporated	Occupation Director	n of Process Development	biweekly payroll de \$20.00	eduction						
	Receipt For:	Aggregate	Year-to-Date ▼								
	Primary General Other (specify) ▼		400.00								
_	Full Name (Last, First, Middle Initial) Mr. Harvey J. North			Date of Receipt							
٥.	Mailing Address 7895 Westerly Lane			M M / D D	/ Y Y Y Y						
				09 28	2007						
	City	State	Zip Code	Transaction ID: SA							
	Banbridge Island	WA	98110	Amount of Each Re	ceipt this Period						
	FEC ID number of contributing federal political committee.	C			538.44						
	Name of Employer Headwaters Incorporated	Occupation Vice Pres	n sident, Human Resources	biweekly payroll de \$76.92	eduction						
	Receipt For:	Aggregate	Year-to-Date ▼								
	Primary General		1538.40	1							
	Other (specify) ▼		1000.40								
s	UBTOTAL of Receipts This Page (optional)				947.66						
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S	CHEDULE A (FEC Form 3X)		Use separate schedule(s)	FOR LINE NUMBER: PAGE 16 / 26								
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Δn	y information copied from such Reports and St	atements may	y not be sold or used by any ners									
or	for commercial purposes, other than using the	o solicit contributions from such committee.										
\setminus	NAME OF COMMITTEE (In Full)											
	Headwaters Incorporated PAC											
A.	Full Name (Last, First, Middle Initial) Mr. E. James O'Neil			Date of Receipt								
	Mailing Address 10653 S River Front Pa Suite 300	arkway		09 28 2007								
	City	State	Zip Code	Transaction ID: SA11A1.6656								
	South Jordan	UT	84093	Amount of Each Receipt this Period								
	FEC ID number of contributing federal political committee.	C		105.00								
	Name of Employer Headwaters Energy Services	Occupation Senior V	n P Engineered Fuels	biweekly payroll deduction \$15.00								
	Receipt For:		Year-to-Date ▼									
	Primary General		200.00	7								
	Other (specify) ▼	0 0	300.00	_								
В.	Full Name (Last, First, Middle Initial) Mr. Jeff Ockey			Date of Receipt								
	Mailing Address 1167 Marco Polo Lane			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y								
	City	State	Zip Code	Transaction ID: SA11A1.6655								
	South Jordan	UT	84095	Amount of Each Receipt this Period								
	FEC ID number of contributing federal political committee.	C		134.61								
	Name of Employer Headwaters Resources	Occupation		biweekly payroll deduction \$19.23								
	Name of Employer	Controlle		biweekly payroll deduction \$19.23								
	Name of Employer Headwaters Resources	Controlle	r	biweekly payroll deduction \$19.23								
_	Name of Employer Headwaters Resources Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial)	Controlle	r Year-to-Date ▼	\$19.23								
C.	Name of Employer Headwaters Resources Receipt For: Primary General Other (specify) ▼	Controlle	r Year-to-Date ▼	Date of Receipt								
C.	Name of Employer Headwaters Resources Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Mr. Larry Petersen Mailing Address 200 Shotwell Drive	Controlle Aggregate	r e Year-to-Date ▼ 384.60	Date of Receipt 0 9 2 0 7 2 0 0 7								
 C.	Name of Employer Headwaters Resources Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Mr. Larry Petersen Mailing Address 200 Shotwell Drive City	Controlle	r Year-to-Date ▼ 384.60	Date of Receipt M M								
C.	Name of Employer Headwaters Resources Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Mr. Larry Petersen Mailing Address 200 Shotwell Drive City Franklin	Controlle Aggregate State OH	r e Year-to-Date ▼ 384.60	Date of Receipt M M M D D D Y Y Y Y Y Y Y Y Y Y Y Y Y Y								
 C.	Name of Employer Headwaters Resources Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Mr. Larry Petersen Mailing Address 200 Shotwell Drive City Franklin FEC ID number of contributing federal political committee.	State OH	r Year-to-Date ▼ 384.60 Zip Code 45005	Date of Receipt M M M D D D Y Y Y Y Y Y Y Y Y Y Y Y Y Y								
c.	Name of Employer Headwaters Resources Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Mr. Larry Petersen Mailing Address 200 Shotwell Drive City Franklin FEC ID number of contributing	Controlle Aggregate State OH	r Year-to-Date ▼ 384.60 Zip Code 45005	Date of Receipt M M M D D D Y Y Y Y Y Y Y Y Y Y Y Y Y Y								
C.	Name of Employer Headwaters Resources Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Mr. Larry Petersen Mailing Address 200 Shotwell Drive City Franklin FEC ID number of contributing federal political committee. Name of Employer Hdwtrs Const Mtls - Tapco Receipt For:	State OH C Occupation Plant Ma	r Year-to-Date ▼ 384.60 Zip Code 45005	Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y								
c.	Name of Employer Headwaters Resources Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Mr. Larry Petersen Mailing Address 200 Shotwell Drive City Franklin FEC ID number of contributing federal political committee. Name of Employer Hdwtrs Const Mtls - Tapco	State OH C Occupation Plant Ma	r Year-to-Date ▼ 384.60 Zip Code 45005	Date of Receipt M M M								
	Name of Employer Headwaters Resources Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Mr. Larry Petersen Mailing Address 200 Shotwell Drive City Franklin FEC ID number of contributing federal political committee. Name of Employer Hdwtrs Const Mtls - Tapco Receipt For: Primary General Other (specify) ▼	State OH C Occupation Plant Ma Aggregate	Zip Code 45005 Annager Year-to-Date ▼ 288.45	Date of Receipt M M								
	Name of Employer Headwaters Resources Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Mr. Larry Petersen Mailing Address 200 Shotwell Drive City Franklin FEC ID number of contributing federal political committee. Name of Employer Howtrs Const Mtls - Tapco Receipt For: Primary General	State OH C Occupation Plant Ma Aggregate	Zip Code 45005 Annager Year-to-Date ▼ 288.45	Date of Receipt 2007 Transaction ID: SA11A1.6657 Amount of Each Receipt this Period 115.38 biweekly payroll deduction \$19.23								

SCHEDULE A (FEC Form 3X)				FOR LINE NUMBER: PAGE 17 / 26							
	· ·		Use separate schedule(s) or each category of the	(check only one)							
П	EMIZED RECEIPTS		Detailed Summary Page	X 11a 11b 11c 12							
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Ar	ny information copied from such Reports and St	atements may	not be sold or used by any perso	on for the purpose of soliciting contributions							
or	for commercial purposes, other than using the	name and add	dress of any political committee to	solicit contributions from such committee.							
	NAME OF COMMITTEE (In Full)										
	Headwaters Incorporated PAC										
Α.	Full Name (Last, First, Middle Initial) Ms Wendy A. Pitcher			Date of Receipt							
	Mailing Address 10972 Bali Lane			09 / 28 / Y Y Y Y Y							
	City	State	Zip Code	Transaction ID: SA11A1.6659							
	San Diego	CA	92126	Amount of Each Receipt this Period							
	FEC ID number of contributing federal political committee.	C		140.00							
	Name of Employer Headwaters Const Matls	Occupation Eldorado	Stone Director	biweekly payroll deduction \$20.00							
	Receipt For:	Aggregate	Year-to-Date ▼								
	Primary General		400.00	1							
	Other (specify) ▼		400.00								
В.	Full Name (Last, First, Middle Initial) Mr. Dale K. Poulson			Date of Receipt							
	Mailing Address 1068 East 220 North			09 / 28 / Y Y Y Y Y							
	City	State	Zip Code	Transaction ID: SA11A1.6660							
	American Fork	UT	84003	Amount of Each Receipt this Period							
	FEC ID number of contributing			140.00							
	federal political committee.	C		140.00							
	Name of Employer	Occupation	า	biweekly payroll deduction							
	Headwaters Resources		of Transportation	\$20.00							
	Receipt For:		Year-to-Date ▼								
	Primary General	33 -3		1							
	Other (specify) ▼		400.00								
<u> </u>	Full Name (Last, First, Middle Initial) Mr. James H. Pouns			Date of Receipt							
	Mailing Address 6230 Cedar Creek			M M / D D / Y Y Y Y							
				09 28 2007							
	City	State	Zip Code	Transaction ID: SA11A1.6661							
	Houston	TX	77057	Amount of Each Receipt this Period							
	FEC ID number of contributing federal political committee.	C		161.49							
	Name of Employer	Occupation	<u> </u>	biweekly payroll deduction							
	Name of Employer Headwaters Construction	Controlle		\$23.07							
	Mtls Receipt For:		· Year-to-Date ▼								
	Primary General	199.19		1							
	Other (specify) ▼		461.40								
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s	UBTOTAL of Receipts This Page (optional)			441.49							
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TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)			Use separate schedule(s)	FOR LINE NUMBER: PAGE 18 / 26							
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\setminus	NAME OF COMMITTEE (In Full)										
	Headwaters Incorporated PAC										
A.	Full Name (Last, First, Middle Initial) Ms Jennifer L. Reynolds			Date of Receipt							
	Mailing Address 1555 Hunsaker Street			09 28 7 2007							
	City	State	Zip Code	Transaction ID: SA11A1.6663							
	Oceanside	CA	92054	Amount of Each Receipt this Period							
	FEC ID number of contributing federal political committee.	C		140.00							
	Name of Employer Hdwtrs Const Matls - Eldo-	Occupation Director of	n of Finance	biweekly payroll deduction \$20.00							
	rado Receipt For:		Year-to-Date ▼								
	Primary General			7							
	Other (specify) ▼	0 0	400.00								
В.	Full Name (Last, First, Middle Initial) Mr. Donald S. Risher			Date of Receipt							
	Mailing Address 405 East 300 North			09 / 28 / 2007							
City Stat			Zip Code	Transaction ID: SA11A1.6664							
	Alpine	UT	84084	Amount of Each Receipt this Period							
	FEC ID number of contributing federal political committee.	C		134.61							
	Name of Employer Headwaters Energy Services	Occupation Manager	1	biweekly payroll deduction \$19.23							
	Receipt For:	Aggregate	Year-to-Date ▼								
	Primary General		384.60	7							
	Other (specify)	0 0	304.00								
C.	Full Name (Last, First, Middle Initial) Mr. Michael J. Robinson			Date of Receipt							
	Mailing Address 978 Anders Road			09 28 7 2007							
	City	State	Zip Code	Transaction ID: SA11A1.6665							
	Lansdale	PA	19446	Amount of Each Receipt this Period							
	FEC ID number of contributing federal political committee.	С		134.61							
	Name of Employer Headwaters Resources	Occupation Eastern F	n Region Area VP	biweekly payroll deduction \$19.23							
	Receipt For:	+	Year-to-Date ▼								
	Primary General Other (specify) ▼	0 0	384.60								
s	UBTOTAL of Receipts This Page (optional)			409.22							
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S	CHEDULE A (FEC Form 3X)	Use separate schedule(s)	FOR LINE NUMBER: PAGE 19 / 26
	EMIZED RECEIPTS	or each category of the	(check only one)
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or	ny information copied from such Reports and Statements for commercial purposes, other than using the name and	address of any political committee to	solicit contributions from such committee.
\setminus	NAME OF COMMITTEE (In Full)		
$ \rangle$	Headwaters Incorporated PAC		
\angle	·		
	Full Name (Last, First, Middle Initial)		Data of Descript
Α.	Mr. Michael Rueter Mailing Address 350 Maiden Lane		Date of Receipt
	Mailing Address 350 Maiden Lane		09 28 2007
	City State	zip Code	Transaction ID: SA11A1.6666
	Plymouth Meeting PA	19462	Amount of Each Receipt this Period
	FEC ID number of contributing		140.00
	federal political committee.		140.00
	Name of Employer Occup	ation	biweekly payroll deduction
	Headwaters Tech Innovation	or of Process Design	\$20.00
	<u> </u>	gate Year-to-Date ▼	
	Primary General		1
	Other (specify) ▼	400.00	
В.	Full Name (Last, First, Middle Initial)		Date of Descript
Ь.			Date of Receipt
	Mailing Address 1160 Millstone Road		09 28 2007
	City State	zip Code	Transaction ID: SA11A1.6667
	Bogart GA	30622	Amount of Each Receipt this Period
	FEC ID number of contributing	0 0 0 0 0	
	federal political committee.		140.00
	Name of Employer Occup	ation	biweekly payroll deduction
	Headwaters Incorporated	onmental Director	\$20.00
		gate Year-to-Date ▼	
	Primary General	100.00	1
	Other (specify) ▼	400.00	
C	Full Name (Last, First, Middle Initial) Mr. Ronald S. Sherbak		Date of Receipt
٠.	Mailing Address 10006 Pinehurst Drive		M M / D D / Y Y Y Y
			09 28 2007
	City State	zip Code	Transaction ID: SA11A1.6668
	Sandy UT	84092	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee		134.75
	federal political committee.		
	Name of Employer Occup	ation	biweekly payroll deduction \$19.25
	Headwaters Energy Services Mana	~	
		gate Year-to-Date ▼	
	Primary General	385.00	1
	Other (specify) ▼		1
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٩	UBTOTAL of Receipts This Page (optional)		414.75
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SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS			Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 20 / 26 (check only one) X 11a 11b 11c 12
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$\overline{\rangle}$	NAME OF COMMITTEE (In Full) Headwaters Incorporated PAC			
۹.	Full Name (Last, First, Middle Initial) Mr. Jerry S. Smith			Date of Receipt
	Mailing Address 157 West Shadowpoint (Circle		09 28 2007
	City	State	Zip Code	Transaction ID: SA11A1.6669
	The Woodlands	TX	77381	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		490.00
	Name of Employer Headwaters Resources	Occupation Southern	n Region Vice President	biweekly payroll deduction \$70.00
	Receipt For: Primary General		Year-to-Date ▼	1
	Other (specify) ▼		1406.92	
	Full Name (Last, First, Middle Initial) Mr. Scott K. Sorensen			Date of Receipt
	Mailing Address 2135 Yuma Street			09 28 2007
	City	State	Zip Code	Transaction ID: SA11A1.6670
	Salt Lake City	UT	84109	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		350.00 biweekly payroll deduction
	Name of Employer Headwaters Incorporated	Occupation Chief Fin	n ancial Officer	\$50.00
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		1000.00	
_	Full Name (Last, First, Middle Initial) Mr. Russell B. Stapp			Date of Receipt
	Mailing Address 3928 Marguerite Drive			09 28 2007
	City	State	Zip Code	Transaction ID: SA11A1.6671
	Ackworth	GA	30101	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		175.00
	Name of Employer Headwaters Resources		of Corporate Quality	biweekly payroll deduction \$25.00
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 470.00	
SI	JBTOTAL of Receipts This Page (optional)			1015.00
T	OTAL This Period (last page this line number or	ly)		

S	CHEDULE A (FEC Form 3X)		Use separate schedule(s)	FOR LINE NUMBER: PAGE 21 / 26								
ITEMIZED RECEIPTS			or each category of the	(check only one)								
••			Detailed Summary Page	X 11a 11b 11c 12 15 16 17								
Δη	y information copied from such Reports and St	atemente may	y not be sold or used by any ners									
or	for commercial purposes, other than using the	name and add	dress of any political committee to	osolicit contributions from such committee.								
\setminus	NAME OF COMMITTEE (In Full)											
	Headwaters Incorporated PAC											
A.	Full Name (Last, First, Middle Initial) Mr. D. Kerry Stubbs			Date of Receipt								
	Mailing Address 4151 West 5950 South			09 28 2007								
	City	State	Zip Code	Transaction ID: SA11A1.6673								
	Salt Lake City	UT	84118	Amount of Each Receipt this Period								
	FEC ID number of contributing federal political committee.	C		175.00								
	Name of Employer Headwaters Incorporated	Occupation Director	n of Financial Reporting	biweekly payroll deduction \$25.00								
	Receipt For:		Year-to-Date ▼									
	Primary General			7								
	Other (specify) ▼	0 0	500.00									
В.	Full Name (Last, First, Middle Initial) Mr. Samuel Tam			Date of Receipt								
	Mailing Address 1090 Shadow Hills Cou	ırt		09 28 2007								
City			Zip Code	Transaction ID: SA11A1.6674								
	Pleasanton	CA	94566	Amount of Each Receipt this Period								
	FEC ID number of contributing federal political committee.	C		140.00								
	Name of Employer Headwaters Energy Services	Occupation		biweekly payroll deduction \$20.00								
	-	_	of Technology									
	Receipt For: Primary General	Aggregate	Year-to-Date ▼	_								
	Other (specify) ▼		380.00									
<u> </u>	Full Name (Last, First, Middle Initial) Mr. R. Keith Thompson			Date of Receipt								
٥.	Mailing Address 5565 West 10030 North	h		M M / D D / Y Y Y Y								
				09 28 2007								
	City	State	Zip Code	Transaction ID: SA11A1.6675								
	<u>Highland</u>	UT	84003	Amount of Each Receipt this Period								
	FEC ID number of contributing federal political committee.	C		538.44								
	Name of Employer Headwaters Energy Services	Occupation Director,	n Synfuel Operations	biweekly payroll deduction \$76.92								
	Receipt For:	Aggregate	Year-to-Date ▼									
	Primary General Other (specify) ▼		1461.48									
٩	UBTOTAL of Receipts This Page (optional)			853.44								
\vdash	ODIGIAL OF HEGERALS THIS FAGE (OPHORIAL)											
T	OTAL This Period (last page this line number of	only)										

Other (specify)

PAGE 22 / 26 FOR LINE NUMBER: Use separate schedule(s) (check only one) or each category of the **ITEMIZED RECEIPTS** 11a 11b 11c **Detailed Summary Page** 13 14 16 17 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Headwaters Incorporated PAC Full Name (Last, First, Middle Initial) Mr. John N. Ward Date of Receipt Mailing Address 920 W. Bloomsbury 09 28 2007 City State Zip Code Transaction ID: SA11A1.6677 Murray UT 84123 Amount of Each Receipt this Period FEC ID number of contributing 700.00 C federal political committee. biweekly payroll deduction \$100.00 Name of Employer Headwaters Incorporated Occupation Vice President, Mktg & Govt Affairs Aggregate Year-to-Date ▼ Receipt For: Primary General 2000.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mr. Bobby Whisnant Date of Receipt Mailing Address 1669 CR 101 0 9 28 2007 City State Zip Code Transaction ID: SA11A1.6679 Columbus TX 78934 Amount of Each Receipt this Period FEC ID number of contributing C 807.66 federal political committee. biweekly payroll deduction \$115.38 Name of Employer Headwaters Construction Occupation COO / VP Mtls Receipt For: Aggregate Year-to-Date ▼ Primary General

2076.96

SUBTOTAL of Receipts This Page (optional)	•	1507.66
TOTAL This Period (last page this line number only)	•	15077.41

TEMPED DISPUBLICATION	Use seperate schedule(s)		(check on		only one)						20	
TEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	F	21b 27	Á	22 [28a [X 23		24 28c		25 29	\Box	26 30b
Any Information copied from such Reports and Staten			ny persor		he pur	pose c	of sol	icating o		butions	S S	
or for commercial purposes, other than using the name	e and address of any political of	comm	nittee to s	olicit	contrib	outions	s fron	m such o	comr	nittee		
NAME OF COMMITTEE (In Full)												
Headwaters Incorporated PAC												
Full Name (Last, First, Middle Initial)				-	Transa	ction	ID: S	SB23.6	684			
BOUCHER FOR CONGRESS COMMITTE	Ε				Date of				v • v	· · ·	V	
Mailing Address PO Box 2000 PO BOX 2000					0 9		^D 2	Ĭ ′ L	2	0 ŏ 7		
City Abingdon	State Zip Code VA 24212				Amoun	t of Ea	ach [Disburse	emen	t this F	Perio	b
Purpose of Disbursement	21212		_						1	000.0	00	
·												
Candidate Name BOUCHER FOR CONGRESS COMMITTE			egory/ ype									
Office Sought: X House Disburse	ement For: Primary General											
President	Other (specify)											
State: VA District: 09												
Full Name (Last, First, Middle Initial)								SB23.6	709			
5. DAVE CAMP FOR CONGRESS 2008					Date of				v · v	V	V	
Mailing Address 5915 EASTMAN AVE. S 5915 EASTMAN AVE. S					0 7]	1	6 /	2	0 ŏ 7		
City MIDLAND	State Zip Code MI 48640				Amoun	t of Ea	ach [Disburse	emen	t this F	Perio	b
Purpose of Disbursement	10040				Ľ				1	000.0	00	
Canalidata Nassa												
Candidate Name GEOFF DAVIS FOR CONGRESS			egory/ ype									
Office Sought: X House Disburs	ement For:											
Senate	Primary General											
State: MI District: 04	Other (specify)											
Full Name (Last, First, Middle Initial)				١.	Transa	ction	ID: S	SB23.6	686			
FRIENDS OF KENT CONRAD					Date of		urser	ment				
Mailing Address PO BOX 812					0 9	/	1	7	Ž	0 ŏ 7	, Y	
City BISMARCK	State Zip Code ND 58502				Amoun	t of Ea	ach [Disburse	emen	t this F	Perio	d
Purpose of Disbursement	ND 56502		-						1	000.0	00	٦
Candidate Name FRIENDS OF KENT CONRAD			egory/ ype									
Office Sought: House Disburs	ement For:											
χ Senate	Primary General											
State: ND District: 00	Other (specify)											
												$\overline{}$
SUBTOTAL of Disbursements This Page (optional)			>		<u> </u>				3	000.0	00	╝
TOTAL This Period (last page this line number only												٦

TEMES DISCUSSION	Use seperate schedule(s)		(check on		only one)						
TEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page		21b 27	22 28	a 🗆	23 28b	24 28c	F	25 29	П	26 30b
Any Information copied from such Reports and State										3	
or for commercial purposes, other than using the nar	ne and address of any politica	l comr	nittee to s	olicit co	ntribu	itions fro	om such	comr	nittee		
NAME OF COMMITTEE (In Full)											
Headwaters Incorporated PAC											
Full Name (Last, First, Middle Initial)				Tra	nsac	tion ID:	SB23.6	5708			
GEOFF DAVIS FOR CONGRESS					te of [Disburse		V - V	· · ·	V	
Mailing Address 3161 Dixie Highway Suite F				Ő		1	6 /	2	0 ŏ 7		
City Erlanger	State Zip Code KY 41018			Am	ount	of Each	Disburse	emen	t this F	erio	t —
Purpose of Disbursement	1010			$+$ Γ				1	1000.0	00	٦
r dipose of Disbursement								_	•	-	_
Candidate Name GEOFF DAVIS FOR CONGRESS			egory/ ype								
	sement For:										
Senate President	Primary General Other (specify) ▼										
State: KY District: 04	Other (specify)										
Full Name (Last, First, Middle Initial)				Tra	near	tion ID:	SB23.6	8698			
MATHESON FOR CONGRESS				Da ⁱ	te of [Disburse	ement			Υ	
Mailing Address PO Box 521048 Suite A				0	7	2	3 /	2	0 ŏ 7		
City Salt Lake City	State Zip Code UT 84152			Am	ount	of Each	Disburs	emen	t this F	erio	t
Purpose of Disbursement				1 L				2	2000.0	00	
Candidate Name MATHESON FOR CONGRESS			tegory/								
Office Sought: X House Senate President	sement For: Primary General Other (specify)	!									
State: UT District: 02	Guior (openity)										
Full Name (Last, First, Middle Initial) NATIONAL READY MIXED CONCRETE	ASSN. PAC (CONCRETE	EPAC)			tion ID: Disburse	SB23.6 ement	691			
Mailing Address 900 Spring St.				0	9 ^M	[/] 1	^D 2	^Y 2	0 ŏ 7	Y	
City	State Zip Code			Am	ount	of Each	Disburse	emen	t this F	erio	t
Silver Spring Purpose of Disbursement	MD 20910							3	3000.0	00	\neg
Fulpose of Disbursement					-					-	
Candidate Name			tegory/ type								
Senate President	sement For: Primary General Other (specify)										
State: District:											_
SUBTOTAL of Disbursements This Page (optional)		<u> •</u>					6	0.00.0	0	
TOTAL This Period (last page this line number only	<i>i</i>)		. •						-		٦

	CHEDULE B (FEC Form 3X)		erate schedule(s)			IE NUMBER: PAGE 25 / 26 nly one)								
IT	EMIZED DISBURSEMENTS		category of the Summary Page	F	21b 27	22 28a	X	23 28b	\square	24 28c	Н	25 29		26 30b
	y Information copied from such Reports and St for commercial purposes, other than using the r												s	
	NAME OF COMMITTEE (In Full) Headwaters Incorporated PAC													
Α.	Full Name (Last, First, Middle Initial) POLITICAL HALL OF FAME PAC					Date	of Di	on ID:				Y	Y	
	Mailing Address PO Box 75167	0.9			7	L	2	0 ŏ 7						
	City Fort Thomas	State KY	Zip Code 41075			Amou	int o	f Each	Disb	ursen	-			od
	Purpose of Disbursement					<u> </u>					1	500.	00	
	Candidate Name				egory/ ype									
	Office Sought: House Senate President State: District:	oursement For: Primary Other (spe	General ecify) ▼											
В.	Full Name (Last, First, Middle Initial) POMEROY FOR MINNESOTA CAMPA	AIGN				Date		on ID:	emen				V	
	Mailing Address PO BOX 3483 PO BOX 3483					0 8	IVI	້	27	Ĺ	Ž	0 ŏ 7	7 1	
	City MANKATO	State MN	Zip Code 56002			Amou	int o	f Each	Disb	ursen	-			od
	Purpose of Disbursement					<u> </u>					2	2500.0	00	
	Candidate Name POMEROY FOR MINNESOTA CAMPA	AIGN			egory/ ype									
	Office Sought: X House Senate President State: MN District: 01	oursement For: Primary Other (spe	General ecify) ▼											

SUBTOTAL of Disbursements This Page (optional)	<u> </u>	4000.00
TOTAL This Period (last page this line number only)	•	13000.00

S(CHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 26/26	PAGE 26/26				
	`	Use seperate schedule(s)	(check onl		_				
11	EMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 27		6 0b				
	y Information copied from such Reports and for commercial purposes, other than using the								
$\overline{\ }$	NAME OF COMMITTEE (In Full)								
\rangle	Headwaters Incorporated PAC								
	Full Name (Last, First, Middle Initial)	Transaction ID: SB29.6711							
٩.	The Hoeven Committee	Date of Disbursement							
	Mailing Address PO Box 952			$\begin{array}{c ccccccccccccccccccccccccccccccccccc$					
	City Bismarck	State Zip Code ND 58502		Amount of Each Disbursement this Perio					
	Purpose of Disbursement 011 Political Contribution		1000.00						
	Candidate Name		Category/ Type						
	Office Sought: House D	Disbursement For:							
	Senate	Primary General							
	President	Other (specify)							
	State: District:								

SUBTOTAL of Disbursements This Page (optional)				1000.00
TOTAL This Period (last page this line number only)	<u> </u>			1000.00