



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-2

April 18, 2013

DEANNA NESBURG, TREASURER  
DEMOCRATIC SENATORIAL CAMPAIGN  
COMMITTEE  
120 MARYLAND AVE NE  
WASHINGTON, DC 20002

**Response Due Date**  
**05/23/2013**

IDENTIFICATION NUMBER: C00042366

REFERENCE: YEAR-END REPORT (11/27/2012 - 12/31/2012)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 4 item(s):

**1.** Commission Regulations require that a committee discloses the identification of all individuals who contribute in excess of \$200 in a calendar year. (11 CFR § 104.3(a)(4)(i)) Identification for an individual is defined as the full name (initials for first or last name are not acceptable), complete mailing address, occupation, and name of employer. (11 CFR § 100.12) Your report discloses contributions from individuals for which the identification is not complete.

The attached employer and occupation entries appear on your report and are not considered acceptable.

You must provide the missing information, or if you are unable to do so, you must demonstrate that "best efforts" have been used to obtain the information. To establish "best efforts," you must provide the Commission with a detailed description of your procedures for requesting the information. Establishing "best efforts" is a three-fold process.

First, your original solicitation must include a clear and conspicuous request for the contributor information and must inform the contributor of the requirements of federal law for the reporting of such information. (11 CFR § 104.7(b)(1)) See 11 CFR § 104.7(b)(1)(B) for examples of acceptable statements regarding

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the requirements of federal law.

Second, if the information is not provided, you must make one follow-up, stand alone effort to obtain this information, regardless of whether the contribution(s) was solicited or not. This effort must occur no later than 30 days after receipt of the contribution and may be in the form of a written request or an oral request documented in writing. (11 CFR § 104.7(b)(2)) The requests must:

- clearly ask for the missing information, without soliciting a contribution,
- inform the contributor of the requirements of federal law for the reporting of such information, and
- if the request is written, include a pre-addressed post card or return envelope.

Third, if you receive contributor information after the contribution(s) has been reported, you should either a) file with your next regularly scheduled report, an amended memo Schedule A listing all the contributions for which additional information was received; or b) file on or before your next regularly scheduled reporting date, amendments to the report(s) originally disclosing the contribution(s). (11 CFR § 104.7(b)(4))

Please amend your report to provide the missing information or a detailed description of your procedures for requesting the information. For more information on demonstrating "best efforts," please refer to the Campaign Guide.

**2.** Schedule A of your 2012 reports combined discloses transfers totaling \$542.49, \$1,138.88, \$757, \$1,744.94 and \$1,574.79, respectively, from "MINNESOTA SENATE 2012," "NEW YORK SENATE 2012," "SHOW ME STATE VICTORY FUND," "WASHINGTON SENATE 2012" and "WISCONSIN SENATE 2012," respectively, which are joint fundraising committee(s) affiliated with your committee. You must provide a memo Schedule A disclosing your share of gross receipts. The memo schedule should itemize each individual who has contributed an aggregate in excess of \$200 during the calendar year, and provide the amount of unitemized contributions received. In addition, the memo schedule should itemize your committee's share of all contributions from political committees, regardless of amount. Please amend your report by providing the omitted memo schedule(s). (11 CFR §102.17(c)(8)(i)(B))

**3.** Schedule A of your 2012 reports combined discloses transfers totaling \$113,751.55 from the "WOMEN ON THE ROAD: 12 AND COUNTING -

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DENVER," which is a joint fundraising committee affiliated with your committee. The sum of memo Schedule A is less than the total amount transferred. Please note that the sum of memo Schedule A supporting a transfer(s) from a joint fundraising committee should be greater than or equal to the amount of the transfer(s).

Each participating committee shall report its share for net proceeds received from the joint fundraising committee as a transfer-in on Schedule A. A memo Schedule A must be provided to itemize your committee's share of the gross contributions received through the joint fundraiser. The memo schedule should itemize each individual who has contributed an aggregate in excess of \$200 during the calendar year and provide the amount of unitemized contributions received. In addition, the memo schedule should itemize your committee's share of all contributions from political committees, regardless of amount. (11 CFR § 102.17(c)(8)(i)(B)) Please amend your report to include the missing Schedule A or provide an explanation for this apparent discrepancy.

4. Schedule F supporting Line 25 of your report discloses a coordinated expenditure(s) on behalf of "RICHARD CARMONA," "ROBERT CASEY JR," "JOSEPH DONNELLY," "HEIDI HEITKAMP," "ROBERT KERREY," "CHRISTOPHER SCOTT MURPHY," and "JON TESTER" which appear to have been made after the general election date. Please be advised that if a service is provided or a communication is aired in one reporting period and the payment is made in a later reporting period, the coordinated expenditure should be reported as a memo entry on Schedule F when the service is provided or the communication is publicly disseminated or distributed, and on a Schedule D if it is a reportable debt under 11 CFR §104.11. When the payment for the coordinated expenditure is made, the report should show a payment on Schedule F and the same payment on Schedule D, if applicable.

Please amend your report to provide further clarifying information regarding the coordinated expenditures disclosed after the general election date.

**Please note, you will not receive an additional notice from the Commission on this matter.** Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

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Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1147.

Sincerely,

A handwritten signature in black ink that reads "Maureen Benitz". The signature is written in a cursive, flowing style.

Maureen Benitz  
Sr. Campaign Finance & Reviewing Analyst  
Reports Analysis Division

**Inadequate Employer / Occupation Entries**

**DEMOCRATIC SENATORIAL CAMPAIGN COMMITTEE (C00042366)**

<b>Employer</b>	<b>Occupation</b>
SBI	ACCOUNTANT
NBBS	ADMIN
CFA	ADMIN OFFICER
AFI	ADMINISTRATOR
NAPA	ANESTHESIOLOGIST
HOK	ARCHITECT
HDR	ARCHITECT
NCPLS	ATTORNEY
UPMC	ATTORNEY
LKLG, P.S.	ATTORNEY
GOVERNMENT	ATTORNEY
BMS	BUSINESS DEVELOPER
RMI	BUSINESS OWNER
LNG	BUSINESS SYSTEMS ANALYST
SELF-EMPLOYED	BUSINESS WOMAN
SELF-EMPLOYED	BUSINESSMAN
GLOBAL SERVICE CORPORATION	C M B
ETRCC	CAREER ADVISOR
INFORMATION REQUESTED	CIVIL ENG.
PHS	COMPUTER ANALYST
BCN	CONSULTANT
CTG	CONSULTANT
BTI	CONSULTANT
FARMERS INSURANCE	CSR
INFORMATION REQUESTED	EDUCATOR
CCEA	EXECUTIVE
MEEZ	EXECUTIVE
DIESTE	EXECUTIVE DIRECTOR
NV ENERGY	FSR
PASCO	GNA
INFORMATION REQUESTED	INFORMATION REQUESTED
UC	INFORMATION REQUESTED
CHASE MANHATTAN BANK	INFORMATION REQUESTED
SELF-EMPLOYED	INFORMATION REQUESTED
VETERANS ADMINISTRATION	INFORMATION REQUESTED
N/A	INFORMATION REQUESTED
UNIVERSITY OF CALIFORNIA	INFORMATION REQUESTED
SELF-EMPLOYED	INSURANCE
PUBLIC SECTOR	IT PROJECT MANAGER
OEA	LABOR RELATIONS CONSULTANT
PUBLIC LIBRARY	LIBRARIAN

**Inadequate Employer / Occupation Entries**

**DEMOCRATIC SENATORIAL CAMPAIGN COMMITTEE (C00042366)**

SELF-EMPLOYED	MEDICAL
WSF	MERCHANT MARINE
WSF	MERCHANT MARINE
US ARMY	MILITARY
N/A	N/A
SELF-EMPLOYED	N/A
CARILION	NP
CARILION	NP
SOUTHSIDE COMMUNITY HOSPITAL	NURSE
SUTTER VNA AND HOSPICE	NURSE
STATE OF NJ	NURSE
UNIVERSITY OF CALIFORNIA, SAN FR	NURSE
UNIVERSITY OF CALIFORNIA, SAN FR	NURSE
TRISTATE HOSPITAL	NURSE
SELF-EMPLOYED	NURSE CONSULTANT
VA MEDICAL CENTER	NURSE PRACTITIONER
JANSSEN AL	NURSE PRACTITIONER
JANSSEN AL	NURSE PRACTITIONER
MATRIX MEDICAL NETWORK	NURSE PRACTITIONER
DEPT OF VETERANS AFFAIRS	NURSE PRACTITIONER
INTERIM HEALTHCARE	NURSE/RN
SEVEN ACRES NURSING HOME	NURSING
SELF-EMPLOYED	NURSING ADMIN
NUTRITION BY DESIGN	NUTRITIONIST
CUPPS ENTERPRISES, INC.	OCCUPATIONAL THERAPIST
VETERANS HOSPITAL	OCCUPATIONAL THERAPIST
COMMONWEALTH OF PENNSYLVANIA	OFFICE DIRECTOR
MCLEAN INSURANCE	OFFICE MANAGER
RECREATIONAL POWER ENGINEERING	OFFICE MANAGER
INFORMATION REQUESTED	OFFICE MANAGER
N/A	ONE OF THE 99%
SELF-EMPLOYED	OWNER
CFBISD	PAYROLL DIRECTOR
EXPRESS SCRIPTS	PBM
CNGHF	PHYSICIAN
TPMG	PHYSICIAN
INFORMATION REQUESTED	PHYSICIAN
MANA	PHYSICIAN
FICCM	PHYSICIAN
FFCCM	PHYSICIAN
CASEO	PHYSICIAN
EPAI	PRESIDENT

**Inadequate Employer / Occupation Entries**

**DEMOCRATIC SENATORIAL CAMPAIGN COMMITTEE (C00042366)**

N/A	PROFESSIONAL
HOUSE OVERSIGHT COMMITTEE	PROFESSIONAL STAFF
UNIVERSITY	PROFESSOR
FTA	PSYCHOLOGIST
SSF R.E.	R.E. BROKER
SMMC	REGISTERED NURSE
CCH	REGISTERED NURSE
SELF-EMPLOYED	RETAIL STORE OWNER
CSP	SALES
RSMR	SEARCH CONSULTANT
N/A	SELF-EMPLOYED
INFORMATION REQUESTED	SOCIAL WORKER
LSI	SOFTWARE DEVELOPER
GEHCIT	SOFTWARE DEVELOPER
EDS	SYSTEMS ENGINEER
INFORMATION REQUESTED	TEACHER
SCHOOL	TEACHER
USAID	US GOVERNMENT OFFICI