

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **USE FEC MAILING LABEL OR TYPE OR PRINT** Example: If typing, type over the lines
Securities Industry and Financial Markets Association Political Action Committee

ADDRESS (number and street) 1101 New York Avenue, NW
8th Floor
 Check if different than previously reported. (ACC)
Washington DC 20005

2. **FEC IDENTIFICATION NUMBER** C00431312
3. IS THIS REPORT NEW (N) **OR** AMENDED (A)

4. **TYPE OF REPORT** (Choose One)
(a) Quarterly Reports:
 April 15 Quarterly Report(Q1)
 July 15 Quarterly Report(Q2)
 October 15 Quarterly Report(Q3)
 January 31 Quarterly Report(YE)
 July 31 Mid-Year Report(Non-election Year Only) (MY)
 Termination Report (TER)
(b) Monthly Report Due On:
 Feb 20 (M2) May 20 (M5) Aug 20 (M8) Nov 20 (M11) (Non-Election Year Only)
 Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only)
 Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE)
(c) 12-Day **PRE**-Election Report for the:
 Primary (12P) General (12G) Runoff (12R)
 Convention (12C) Special (12S)
Election on _____ in the State of _____
(d) 30-Day **Post**-Election Report for the:
 General (30G) Runoff (30R) Special (30S)
Election on _____ in the State of _____

5. Covering Period 08 01 2010 through 08 31 2010

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.
Type or Print Name of Treasurer Mr. Kenneth E. Bentsen, Jr.

Signature of Treasurer Electronically Filed by Mr. Kenneth E. Bentsen, Jr. Date 09 20 2010

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office Use Only									
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FEC FORM 3X
(Rev. 12/2004)

SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS

Write or Type Committee Name
Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From:

M	M
0	8

D	D
0	1

Y	Y	Y	Y
2	0	1	0

 To:

M	M
0	8

D	D
3	1

Y	Y	Y	Y
2	0	1	0

	COLUMN A This Period	COLUMN B Calendar Year-to-Date								
6. (a) Cash on Hand January 1 <table border="1"><tr><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr><tr><td>2</td><td>0</td><td>1</td><td>0</td></tr></table>	Y	Y	Y	Y	2	0	1	0		111977.94
Y	Y	Y	Y							
2	0	1	0							
(b) Cash on Hand at Beginning of Reporting Period	222383.24									
(c) Total Receipts (from Line 19)	67364.19	327565.63								
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	289747.43	439543.57								
7. Total Disbursements (from Line 31)	1562.53	151358.67								
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	288184.90	288184.90								
9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D)	0.00									
10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D)	0.00									

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From: To:

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	43604.36	225164.86
(ii) Unitemized	22197.30	54042.10
(iii) TOTAL (add Lines 11(a)(i) and (ii)	65801.66	279206.96
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	39000.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5)	65801.66	318206.96
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	1562.53	4358.67
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	5000.00
17. Other Federal Receipts (Dividends, Interest, etc.)	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	67364.19	327565.63
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	67364.19	327565.63

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share.....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures.....	1562.53	4358.67
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ▶	1562.53	4358.67
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	147000.00
24. Independent Expenditure (use Schedule E)	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	0.00
29. Other Disbursements.....	0.00	0.00
30. Federal Election Activity (2 U.S.C 431(20))		
(a) Shared Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	1562.53	151358.67
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	1562.53	151358.67

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3)	65801.66	318206.96
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	65801.66	318206.96
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	1562.53	4358.67
37. Offsets to Operating Expenditures (from Line 15, page 3)	1562.53	4358.67
38. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 / 43
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. John P. Troiano	Date of Receipt MM / DD / YYYY 08 / 01 / 2010
	Mailing Address 14 Gale Road	Transaction ID: 18475797
	City State Zip Code Hampton NH 03842-1013	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Stifel, Nicolaus & Company, Incorporated	
Occupation Branch Manager	Aggregate Year-to-Date 250.00	

B.	Full Name (Last, First, Middle Initial) Mr. Patrick J. Sheehan	Date of Receipt MM / DD / YYYY 08 / 02 / 2010
	Mailing Address 288 Beacon Hill Drive	Transaction ID: 18475799
	City State Zip Code Cheshire CT 06410-1701	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Stifel, Nicolaus & Company, Incorporated	
Occupation Senior Vice President/Investments	Aggregate Year-to-Date 250.00	

C.	Full Name (Last, First, Middle Initial) Mr. Ira Malis	Date of Receipt MM / DD / YYYY 08 / 02 / 2010
	Mailing Address 3519 Englemeade Road	Transaction ID: 18475801
	City State Zip Code Baltimore MD 21208-1506	Amount of Each Receipt this Period 500.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Stifel, Nicolaus & Company, Incorporated	
Occupation Managing Director	Aggregate Year-to-Date 500.00	

SUBTOTAL of Receipts This Page (optional)	1000.00
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 / 43
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Jon O. Laurell	Date of Receipt MM / DD / YYYY 08 / 02 / 2010
	Mailing Address 332 Old Harbor Road	Transaction ID: 18475804
	City State Zip Code Chatham MA 02633-2374	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Branch Manager	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

B.	Full Name (Last, First, Middle Initial) Mr. Frank Story	Date of Receipt MM / DD / YYYY 08 / 02 / 2010
	Mailing Address 320 E 46th Street	Transaction ID: 18475805
	City State Zip Code New York NY 10017-3042	Amount of Each Receipt this Period 500.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Senior Vice President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	

C.	Full Name (Last, First, Middle Initial) Mr. Paul MacCroy	Date of Receipt MM / DD / YYYY 08 / 02 / 2010
	Mailing Address 2320 St. Denis Lane	Transaction ID: 18475818
	City State Zip Code Havertown PA 19083-1529	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation First Vice President/Investments	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

SUBTOTAL of Receipts This Page (optional)	1000.00
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 / 43
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Thomas A. Phillips	Date of Receipt MM / DD / YYYY 08 / 02 / 2010
	Mailing Address 360 Overbrook Drive	Transaction ID: 18478037
	City State Zip Code Canfield OH 44406-1130	Amount of Each Receipt this Period 300.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Branch Manager	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 300.00	

B.	Full Name (Last, First, Middle Initial) Mr. Michael Mazor	Date of Receipt MM / DD / YYYY 08 / 02 / 2010
	Mailing Address 11202 Sea Grass Circle	Transaction ID: 18478055
	City State Zip Code Boca Raton FL 33498-4917	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Branch Manager	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

C.	Full Name (Last, First, Middle Initial) Mr. Michael P. Paterchak	Date of Receipt MM / DD / YYYY 08 / 02 / 2010
	Mailing Address P.O. Box 894	Transaction ID: 18478061
	City State Zip Code Canfield OH 44406-9655	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Senior Vice President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

SUBTOTAL of Receipts This Page (optional)	800.00
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:	PAGE 9 / 43
(check only one)	
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14
<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. John Nelson		Date of Receipt
	Mailing Address 5069 Lago Vista Way		<input type="text"/> M M / <input type="text"/> D D / <input type="text"/> Y Y Y Y 08 / 02 / 2010
	City	State	Zip Code
	Paradise	CA	95969-6680
	FEC ID number of contributing federal political committee. C		Transaction ID: 18478066
Name of Employer Stifel, Nicolaus & Company, Incorporated		Occupation Branch Manager	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 250.00	<input type="text"/> 250.00
Contribution			

B.	Full Name (Last, First, Middle Initial) Mr. Sumner Schachter		Date of Receipt
	Mailing Address P.O. Box 61		<input type="text"/> M M / <input type="text"/> D D / <input type="text"/> Y Y Y Y 08 / 02 / 2010
	City	State	Zip Code
	Glenwood Springs	CO	81602-0061
	FEC ID number of contributing federal political committee. C		Transaction ID: 18478072
Name of Employer Stifel, Nicolaus & Company, Incorporated		Occupation Vice President	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 250.00	<input type="text"/> 250.00
Contribution			

C.	Full Name (Last, First, Middle Initial) Mr. Jeffrey Brummet		Date of Receipt
	Mailing Address 14650 State Route V		<input type="text"/> M M / <input type="text"/> D D / <input type="text"/> Y Y Y Y 08 / 03 / 2010
	City	State	Zip Code
	Saint James	MO	65559-8336
	FEC ID number of contributing federal political committee. C		Transaction ID: 18478892
Name of Employer Stifel, Nicolaus & Company, Incorporated		Occupation Senior Vice President	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 250.00	<input type="text"/> 250.00
Contribution			

SUBTOTAL of Receipts This Page (optional)	<input type="text"/> 750.00
TOTAL This Period (last page this line number only)	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 10 / 43
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Todd A. Rinderer		Date of Receipt
	Mailing Address 4 Donna Court		<input type="text"/> M M / <input type="text"/> D D / <input type="text"/> Y Y Y Y 08 / 03 / 2010
	City	State	Zip Code
	Edwardsville	IL	62025-3311
	FEC ID number of contributing federal political committee. C		Transaction ID: 18478898
Name of Employer Stifel, Nicolaus & Company, Incorporated		Occupation Senior Vice President/Investments	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 250.00	<input type="text"/> 250.00
			Contribution

B.	Full Name (Last, First, Middle Initial) Mr. John A. Shinkle		Date of Receipt
	Mailing Address 2409 Craigston Drive		<input type="text"/> M M / <input type="text"/> D D / <input type="text"/> Y Y Y Y 08 / 03 / 2010
	City	State	Zip Code
	Columbus	GA	31906-1534
	FEC ID number of contributing federal political committee. C		Transaction ID: 18478901
Name of Employer Stifel, Nicolaus & Company, Incorporated		Occupation Senior Vice President	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 250.00	<input type="text"/> 250.00
			Contribution

C.	Full Name (Last, First, Middle Initial) Mr. Scott B. McCuaig		Date of Receipt
	Mailing Address 15 Portland Place		<input type="text"/> M M / <input type="text"/> D D / <input type="text"/> Y Y Y Y 08 / 03 / 2010
	City	State	Zip Code
	Saint Louis	MO	63108-1203
	FEC ID number of contributing federal political committee. C		Transaction ID: 18478907
Name of Employer Stifel, Nicolaus & Company, Incorporated		Occupation President	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 1000.00	<input type="text"/> 1000.00
			Contribution

SUBTOTAL of Receipts This Page (optional)	<input type="text"/> 1500.00
TOTAL This Period (last page this line number only)	<input type="text"/>

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 43
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12		
<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16	<input type="checkbox"/>	17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial)
Mr. David M. Minnick

Mailing Address 12609 Conway Road

City State Zip Code
Creve Coeur MO 63141-8624

FEC ID number of contributing federal political committee. **C**

Name of Employer: Stifel, Nicolaus & Company, Incorporated
Occupation: Senior Vice President/Investments

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: 08 / 03 / 2010
Transaction ID: 18478908
Amount of Each Receipt this Period: 500.00
Contribution

B.

Full Name (Last, First, Middle Initial)
Mr. George Friedman

Mailing Address 3406 W. Clubview Court

City State Zip Code
Mequon WI 53092-5101

FEC ID number of contributing federal political committee. **C**

Name of Employer: Stifel, Nicolaus & Company, Incorporated
Occupation: Senior Vice President/Branch Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: 08 / 03 / 2010
Transaction ID: 18478910
Amount of Each Receipt this Period: 250.00
Contribution

C.

Full Name (Last, First, Middle Initial)
Mr. John M. Sowards

Mailing Address 1799 Deer Run Road

City State Zip Code
Bethlehem PA 18018-5738

FEC ID number of contributing federal political committee. **C**

Name of Employer: Stifel, Nicolaus & Company, Incorporated
Occupation: Senior Vice President/Investments

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: 08 / 04 / 2010
Transaction ID: 18478919
Amount of Each Receipt this Period: 250.00
Contribution

SUBTOTAL of Receipts This Page (optional) ► 1000.00

TOTAL This Period (last page this line number only) ►

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 43
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12
<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16
<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>	17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial) Patrick J. Vaughan		Date of Receipt MM / DD / YYYY 08 / 04 / 2010
Mailing Address 12993 Jerome Jay Drive		Transaction ID: 18478927
City Cockeysville	State MD	Zip Code 21030-1529
FEC ID number of contributing federal political committee. C	Amount of Each Receipt this Period 1000.00	
Name of Employer RBC Wealth Management	Occupation Regional Director	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

B.

Full Name (Last, First, Middle Initial) Mr. Daniel Gafgen		Date of Receipt MM / DD / YYYY 08 / 04 / 2010
Mailing Address 25 Stonegate Drive		Transaction ID: 18478930
City Hilton Head	State SC	Zip Code 29926-1980
FEC ID number of contributing federal political committee. C	Amount of Each Receipt this Period 250.00	
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Branch Manager	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

C.

Full Name (Last, First, Middle Initial) Mr. Hugo J. Warns		Date of Receipt MM / DD / YYYY 08 / 04 / 2010
Mailing Address 12301 Highgrove Court		Transaction ID: 18478933
City Reisterstown	State MD	Zip Code 21136-5669
FEC ID number of contributing federal political committee. C	Amount of Each Receipt this Period 1000.00	
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Vice President	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

SUBTOTAL of Receipts This Page (optional)	▶	2250.00
TOTAL This Period (last page this line number only)	▶	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 43
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Full Name (Last, First, Middle Initial)
Mr. John G. Larkin

Mailing Address 403 Somerset Road

City State Zip Code
Baltimore MD 21210-2823

FEC ID number of contributing federal political committee. **C**

Name of Employer: Stifel, Nicolaus & Company, Incorporated
Occupation: Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: 08 / 03 / 2010
Transaction ID: 18478961
Amount of Each Receipt this Period: 1000.00
Contribution

B. Full Name (Last, First, Middle Initial)
Mr. James W. Bear

Mailing Address 27 Clinton Street

City State Zip Code
Hudson OH 44236-2899

FEC ID number of contributing federal political committee. **C**

Name of Employer: Stifel, Nicolaus & Company, Incorporated
Occupation: Senior Vice President/Branch Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: 08 / 03 / 2010
Transaction ID: 18479064
Amount of Each Receipt this Period: 250.00
Contribution

C. Full Name (Last, First, Middle Initial)
Mr. William Heinzerling

Mailing Address #10 Sherwood Farm Place

City State Zip Code
Greenwich CT 06831-4410

FEC ID number of contributing federal political committee. **C**

Name of Employer: Stifel, Nicolaus & Company, Incorporated
Occupation: Senior Vice President

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: 08 / 03 / 2010
Transaction ID: 18479068
Amount of Each Receipt this Period: 1000.00
Contribution

SUBTOTAL of Receipts This Page (optional) ► 2250.00

TOTAL This Period (last page this line number only) ►

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 14 / 43
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12	
	<input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Kelvin R. Westbrook	Date of Receipt MM / DD / YYYY 08 / 04 / 2010
	Mailing Address 8315 Kingsbury Blvd	Transaction ID: 18480204
	City State Zip Code Saint Louis MO 63105-3627	Amount of Each Receipt this Period 1000.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Board of Directors	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

B.	Full Name (Last, First, Middle Initial) Mr. William Carson	Date of Receipt MM / DD / YYYY 08 / 05 / 2010
	Mailing Address 22 Chads Place	Transaction ID: 18481997
	City State Zip Code Chico CA 95928-9166	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Branch Manager	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	

C.	Full Name (Last, First, Middle Initial) Mr. John W. Walden	Date of Receipt MM / DD / YYYY 08 / 05 / 2010
	Mailing Address 3218 Hilton Avenue	Transaction ID: 18481998
	City State Zip Code Columbus GA 31906-1036	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Senior Vice President/Investments	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

SUBTOTAL of Receipts This Page (optional)	1500.00
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 43
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12
<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16
							17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial)
Mr. Ben A. Plotkin

Mailing Address 225 Regatta Drive

City State Zip Code
Jupiter FL 33477-4075

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Stifel, Nicolaus & Company, Incorporated Executive Vice President

Receipt For: Aggregate Year-to-Date
 Primary General
 Other (specify) ▼ 1000.00

Date of Receipt
MM / DD / YYYY
08 / 05 / 2010

Transaction ID: 18482004

Amount of Each Receipt this Period
1000.00

Contribution

B.

Full Name (Last, First, Middle Initial)
Mr. Hugh Scott, III

Mailing Address 150 Carondelet Plaza, #1403

City State Zip Code
Saint Louis MO 63105-3453

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Stifel, Nicolaus & Company, Incorporated Managing Director

Receipt For: Aggregate Year-to-Date
 Primary General
 Other (specify) ▼ 2000.00

Date of Receipt
MM / DD / YYYY
08 / 05 / 2010

Transaction ID: 18482005

Amount of Each Receipt this Period
1000.00

Contribution

C.

Full Name (Last, First, Middle Initial)
Mr. Jack W. Smith

Mailing Address 10310 Orland Parkway Suite 104

City State Zip Code
Orland Park IL 60467-5673

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Stifel, Nicolaus & Company, Incorporated Senior Vice Presidents/Investments

Receipt For: Aggregate Year-to-Date
 Primary General
 Other (specify) ▼ 1000.00

Date of Receipt
MM / DD / YYYY
08 / 06 / 2010

Transaction ID: 18505150

Amount of Each Receipt this Period
1000.00

Contribution

SUBTOTAL of Receipts This Page (optional) ► **3000.00**

TOTAL This Period (last page this line number only) ►

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 43
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12
<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16
							17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial)
Mr. Thomas W. Haisten

Mailing Address 11711 Canyon Bend Drive

City State Zip Code
Tomball TX 77377-7663

FEC ID number of contributing federal political committee. **C**

Name of Employer: Stifel, Nicolaus & Company, Incorporated
Occupation: First Vice President/Investments

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: 08 / 06 / 2010
Transaction ID: 18505161
Amount of Each Receipt this Period: 250.00
Contribution

B.

Full Name (Last, First, Middle Initial)
Mr. Jeff McCoy

Mailing Address 7229 Park Village Road

City State Zip Code
San Diego CA 92129-4534

FEC ID number of contributing federal political committee. **C**

Name of Employer: Stifel, Nicolaus & Company, Incorporated
Occupation: Branch Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: 08 / 06 / 2010
Transaction ID: 18505177
Amount of Each Receipt this Period: 250.00
Contribution

C.

Full Name (Last, First, Middle Initial)
Mr. James F. George

Mailing Address 1789 Tamarack Street

City State Zip Code
Thousand Oaks CA 91361-1853

FEC ID number of contributing federal political committee. **C**

Name of Employer: Stifel, Nicolaus & Company, Incorporated
Occupation: Senior Vice President

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 350.00

Date of Receipt: 08 / 06 / 2010
Transaction ID: 18505181
Amount of Each Receipt this Period: 100.00
Contribution

SUBTOTAL of Receipts This Page (optional) ► **600.00**

TOTAL This Period (last page this line number only) ►

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 43
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12		
<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16	<input type="checkbox"/>	17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial)
Mr. David Minker

Mailing Address 1175 York Avenue, 12D

City State Zip Code
New York NY 10065-7174

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Stifel, Nicolaus & Company, Incorporated Senior Vice President

Receipt For: Aggregate Year-to-Date ▼
 Primary General
 Other (specify) ▼ 500.00

Date of Receipt
MM / DD / YYYY
08 / 09 / 2010

Transaction ID: 18505206

Amount of Each Receipt this Period
500.00

Contribution

B.

Full Name (Last, First, Middle Initial)
Mr. Rod A. Carter

Mailing Address 5636 Park Oak Place

City State Zip Code
Los Angeles CA 90068-2546

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
RBC Capital Markets Managing Director

Receipt For: Aggregate Year-to-Date ▼
 Primary General
 Other (specify) ▼ 500.00

Date of Receipt
MM / DD / YYYY
08 / 12 / 2010

Transaction ID: 18505234

Amount of Each Receipt this Period
500.00

Contribution

C.

Full Name (Last, First, Middle Initial)
Mr. Bradley Angell

Mailing Address 327 N. 54th Ave, W #3

City State Zip Code
Duluth MN 55807-2665

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
RBC Wealth Management Complex Director

Receipt For: Aggregate Year-to-Date ▼
 Primary General
 Other (specify) ▼ 250.00

Date of Receipt
MM / DD / YYYY
08 / 12 / 2010

Transaction ID: 18505237

Amount of Each Receipt this Period
250.00

Contribution

SUBTOTAL of Receipts This Page (optional) ► **1250.00**

TOTAL This Period (last page this line number only) ►

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 43
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial)
Mr. Jeff Little

Mailing Address 88 Langdale Drive

City State Zip Code
Hampton NH 03842-1925

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
RBC Wealth Management Senior Vice President

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt
MM / DD / YYYY
08 / 12 / 2010

Transaction ID: 18505251

Amount of Each Receipt this Period
250.00

Contribution

B.

Full Name (Last, First, Middle Initial)
Mr. Andrew Teller, Jr.

Mailing Address 3625 Beverly Drive

City State Zip Code
Dallas TX 75205-2803

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
RBC Wealth Management Complex Director

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt
MM / DD / YYYY
08 / 11 / 2010

Transaction ID: 18505254

Amount of Each Receipt this Period
250.00

Contribution

C.

Full Name (Last, First, Middle Initial)
Mr. Charles J. Hafey

Mailing Address 76 Duxbury Lane

City State Zip Code
Glastonbury CT 06033-4349

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
RBC Wealth Management Vice President/Branch Manager

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt
MM / DD / YYYY
08 / 12 / 2010

Transaction ID: 18505260

Amount of Each Receipt this Period
250.00

Contribution

SUBTOTAL of Receipts This Page (optional) ► **750.00**

TOTAL This Period (last page this line number only) ►

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 43
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

<p>A. Full Name (Last, First, Middle Initial) Mr. Keith Solomon</p> <p>Mailing Address 1643 N. Larrabee Street, #G</p> <p>City State Zip Code Chicago IL 60614-9028</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer Occupation RBC Capital Markets Director</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 500.00</p>	<p>Date of Receipt MM / DD / YYYY 08 / 12 / 2010</p> <p>Transaction ID: 18505261</p> <p>Amount of Each Receipt this Period 500.00</p> <p>Contribution</p>
<p>B. Full Name (Last, First, Middle Initial) Mr. John K. Sanna</p> <p>Mailing Address 316 Lakewood Blvd</p> <p>City State Zip Code Madison WI 53704-5918</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer Occupation Stifel, Nicolaus & Company, Incorporated Senior Vice President</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 250.00</p>	<p>Date of Receipt MM / DD / YYYY 08 / 10 / 2010</p> <p>Transaction ID: 18505298</p> <p>Amount of Each Receipt this Period 250.00</p> <p>Contribution</p>
<p>C. Full Name (Last, First, Middle Initial) Mr. Robert Burns</p> <p>Mailing Address 131 Altura</p> <p>City State Zip Code San Clemente CA 92673</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer Occupation Stifel, Nicolaus & Company, Incorporated Branch Manager</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 500.00</p>	<p>Date of Receipt MM / DD / YYYY 08 / 10 / 2010</p> <p>Transaction ID: 18505406</p> <p>Amount of Each Receipt this Period 500.00</p> <p>Contribution</p>

SUBTOTAL of Receipts This Page (optional) ▶

1250.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 43

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Mr. Manuel Palan

Mailing Address 8 Sackston Woods

City State Zip Code
Saint Louis MO 63141-8228

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Stifel, Nicolaus & Company, Incorporated Senior Vice President/Investments

Receipt For: Aggregate Year-to-Date
 Primary General
 Other (specify) ▼ 250.00

Date of Receipt

MM / DD / YYYY
08 / 10 / 2010

Transaction ID: 18505491

Amount of Each Receipt this Period

250.00

Contribution

B.

Full Name (Last, First, Middle Initial)

Mr. Thomas K. Peltier

Mailing Address 4 Bellemore Road

City State Zip Code
Baltimore MD 21210-1313

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Stifel, Nicolaus & Company, Incorporated Managing Director

Receipt For: Aggregate Year-to-Date
 Primary General
 Other (specify) ▼ 250.00

Date of Receipt

MM / DD / YYYY
08 / 10 / 2010

Transaction ID: 18505492

Amount of Each Receipt this Period

250.00

Contribution

C.

Full Name (Last, First, Middle Initial)

Mr. Hugh Uhalt

Mailing Address 1010 Common Street, Suite 1900

City State Zip Code
New Orleans LA 70112-2481

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Stifel, Nicolaus & Company, Incorporated Senior Vice President

Receipt For: Aggregate Year-to-Date
 Primary General
 Other (specify) ▼ 1000.00

Date of Receipt

MM / DD / YYYY
08 / 10 / 2010

Transaction ID: 18505498

Amount of Each Receipt this Period

1000.00

Contribution

SUBTOTAL of Receipts This Page (optional)

1500.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 21 / 43
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Keith R. Hamer		Date of Receipt MM / DD / YYYY 08 / 10 / 2010
	Mailing Address 100 E. Park Ave, Suite 202		Transaction ID: 18505528
	City Waterloo	State IA	Zip Code 50703-4618
	FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 250.00
	Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Senior Vice President/Investments	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00		

B.	Full Name (Last, First, Middle Initial) Mr. Mark J. Ross		Date of Receipt MM / DD / YYYY 08 / 10 / 2010
	Mailing Address 1917 Sunny Drive		Transaction ID: 18505544
	City Saint Louis	State MO	Zip Code 63122-2273
	FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 250.00
	Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Managing Director	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00		

C.	Full Name (Last, First, Middle Initial) Ms. Lacey A. York		Date of Receipt MM / DD / YYYY 08 / 10 / 2010
	Mailing Address 794 E. 7th Street Unit I		Transaction ID: 18505546
	City Boston	State MA	Zip Code 02127-4384
	FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 250.00
	Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Senior Vice President/Investments	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00		

SUBTOTAL of Receipts This Page (optional)	▶	750.00
TOTAL This Period (last page this line number only)	▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 22 / 43
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12	
	<input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Christopher E. Bolles	Date of Receipt MM / DD / YYYY 08 / 12 / 2010
	Mailing Address 165 Rivoli Ridge Drive	Transaction ID: 18505571
	City State Zip Code Macon GA 31210-7429	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Branch Manager/Vice Presidents	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

B.	Full Name (Last, First, Middle Initial) Mr. Ronald J. Kruszewski	Date of Receipt MM / DD / YYYY 08 / 12 / 2010
	Mailing Address One Financial Plaza 501 N. Broadway	Transaction ID: 18505574
	City State Zip Code Saint Louis MO 63102-2131	Amount of Each Receipt this Period 3000.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Chairman & CEO	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 3000.00	

C.	Full Name (Last, First, Middle Initial) Mr. Garry J. Stegeland	Date of Receipt MM / DD / YYYY 08 / 12 / 2010
	Mailing Address 1 Edgehill Road	Transaction ID: 18505576
	City State Zip Code West Orange NJ 07052-4939	Amount of Each Receipt this Period 500.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation SVP/General Counsel	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	

SUBTOTAL of Receipts This Page (optional)	3750.00
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 43
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12
<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16
<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>	17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial) Mr. Michael S. O'keefe		Date of Receipt MM / DD / YYYY 08 / 12 / 2010
Mailing Address 583 Godshall Road		Transaction ID: 18505597
City Telford	State PA	Zip Code 18969-2261
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 250.00
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation First Vice President/Branch Manager	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

B.

Full Name (Last, First, Middle Initial) Mr. Joseph Schlafly, III		Date of Receipt MM / DD / YYYY 08 / 12 / 2010
Mailing Address 40 Loren Woods		Transaction ID: 18505600
City Saint Louis	State MO	Zip Code 63124-1903
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 500.00
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation SVP, Director, Private Markets	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	

C.

Full Name (Last, First, Middle Initial) Mr. Thomas Lohmeyer		Date of Receipt MM / DD / YYYY 08 / 12 / 2010
Mailing Address 10621 S. Cedar Niles Blvd.		Transaction ID: 18505612
City Olathe	State KS	Zip Code 66061-7415
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 250.00
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Senior Vice President/Branch Manager	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

SUBTOTAL of Receipts This Page (optional)	▶	1000.00
TOTAL This Period (last page this line number only)	▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 24 / 43
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Thomas P. Mulroy	Date of Receipt MM / DD / YYYY 08 / 11 / 2010
	Mailing Address One South Street	Transaction ID: 18505644
	City State Zip Code Baltimore MD 21202-3201	Amount of Each Receipt this Period 2000.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer: Stifel, Nicolaus & Company, Incorporated Occupation: Executive Vice President Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) Aggregate Year-to-Date: 2000.00	

B.	Full Name (Last, First, Middle Initial) Mr. Patrick J. Keeley	Date of Receipt MM / DD / YYYY 08 / 11 / 2010
	Mailing Address 11101 Cripplegate Road	Transaction ID: 18506846
	City State Zip Code Potomac MD 20854-1632	Amount of Each Receipt this Period 500.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer: Stifel, Nicolaus & Company, Incorporated Occupation: Head of Investment Banking Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) Aggregate Year-to-Date: 500.00	

C.	Full Name (Last, First, Middle Initial) Mr. Jeffery Howard	Date of Receipt MM / DD / YYYY 08 / 11 / 2010
	Mailing Address 6700 Beaver Creek Drive	Transaction ID: 18506927
	City State Zip Code Fairhope AL 36532-5656	Amount of Each Receipt this Period 100.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer: Stifel, Nicolaus & Company, Incorporated Occupation: Senior Vice President/Investments Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) Aggregate Year-to-Date: 350.00	

SUBTOTAL of Receipts This Page (optional)	2600.00
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 25 / 43
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Michael Chris Nielsen	Date of Receipt MM / DD / YYYY 08 / 09 / 2010
	Mailing Address 11352 Michael Way	Transaction ID: 18508065
	City State Zip Code Grass Valley CA 95949-6549	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Vice President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

B.	Full Name (Last, First, Middle Initial) Mr. Bradley Gummow	Date of Receipt MM / DD / YYYY 08 / 13 / 2010
	Mailing Address 4413 Alpine Oaks Avenue	Transaction ID: 18508083
	City State Zip Code Rockford IL 61114-6101	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Senior Vice President/Investments	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

C.	Full Name (Last, First, Middle Initial) Mr. Bill Branson, Jr.	Date of Receipt MM / DD / YYYY 08 / 16 / 2010
	Mailing Address 2103 Lawrence Road	Transaction ID: 18508159
	City State Zip Code Marcellus NY 13108-9696	Amount of Each Receipt this Period 500.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer RBC Wealth Management	Occupation SVP, Northeast Regional Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	

SUBTOTAL of Receipts This Page (optional)	▶	1000.00
TOTAL This Period (last page this line number only)	▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 / 43
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Full Name (Last, First, Middle Initial)
Mr. Karl I. Riner

Mailing Address 88 Oakland Place

City State Zip Code
Buffalo NY 14222-2030

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
RBC Wealth Management Senior Managing Director

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: 08 / 16 / 2010
Transaction ID: 18508167
Amount of Each Receipt this Period: 1000.00
Contribution

B. Full Name (Last, First, Middle Initial)
Mr. John Dinkins

Mailing Address 4301 Forsythe Place

City State Zip Code
Nashville TN 37205-4515

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
RBC Capital Markets Director of Managed Assets

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: 08 / 17 / 2010
Transaction ID: 18508180
Amount of Each Receipt this Period: 500.00
Contribution

C. Full Name (Last, First, Middle Initial)
Mr. James M. Zemlyak

Mailing Address 4351 Laclede Avenue

City State Zip Code
Saint Louis MO 63108-2248

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Stifel, Nicolaus & Company, Incorporated Senior Vice President/COO

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 1500.00

Date of Receipt: 08 / 17 / 2010
Transaction ID: 18508711
Amount of Each Receipt this Period: 1500.00
Contribution

SUBTOTAL of Receipts This Page (optional) ► 3000.00

TOTAL This Period (last page this line number only) ►

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 27 / 43
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Frank J. Brand, II	Date of Receipt MM / DD / YYYY 08 / 17 / 2010
	Mailing Address 616 Fairway Drive	Transaction ID: 18508717
	City State Zip Code Florence SC 29501-5510	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Stifel, Nicolaus & Company, Incorporated	
Occupation Senior Vice President	Aggregate Year-to-Date 250.00	

B.	Full Name (Last, First, Middle Initial) Mr. Richard F. Ford	Date of Receipt MM / DD / YYYY 08 / 17 / 2010
	Mailing Address 800 S. Hanley Road, #1D	Transaction ID: 18508727
	City State Zip Code Clayton MO 63105-2688	Amount of Each Receipt this Period 1000.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Stifel, Nicolaus & Company, Incorporated	
Occupation Board of Directors	Aggregate Year-to-Date 1000.00	

C.	Full Name (Last, First, Middle Initial) Mr. James M. Oates	Date of Receipt MM / DD / YYYY 08 / 17 / 2010
	Mailing Address P.O. Box 250 534 Lakeshore Road	Transaction ID: 18508728
	City State Zip Code Elkins NH 03233-0250	Amount of Each Receipt this Period 2000.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Stifel, Nicolaus & Company, Incorporated	
Occupation Board of Directors	Aggregate Year-to-Date 2000.00	

SUBTOTAL of Receipts This Page (optional)	3250.00
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 28 / 43
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12
<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16
<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>	17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial) Mr. John F. Lee		Date of Receipt MM / DD / YYYY 08 / 13 / 2010
Mailing Address 3240 Granite Creek Place		Transaction ID: 18508732
City Newcastle	State CA	Zip Code 95658-9307
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 500.00
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Managing Director	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	

B.

Full Name (Last, First, Middle Initial) Mr. John M. Menger		Date of Receipt MM / DD / YYYY 08 / 16 / 2010
Mailing Address P.O. Box 437 Sand Spring Road		Transaction ID: 18508733
City New Vernon	State NJ	Zip Code 07976-0437
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 250.00
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Vice President/Institutional Sales	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

C.

Full Name (Last, First, Middle Initial) Mr. Robert Kyle Ratcliff		Date of Receipt MM / DD / YYYY 08 / 18 / 2010
Mailing Address 2409 Stonegate Circle		Transaction ID: 18509374
City Denton	State TX	Zip Code 76205-5483
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 250.00
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Senior Vice President/Investments	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

SUBTOTAL of Receipts This Page (optional)	▶	1000.00
TOTAL This Period (last page this line number only)	▶	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 / 43

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Mr. George F.J. Hill

Mailing Address 1821 Tamarack Street

City State Zip Code
Westlake Village CA 91361-1852

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Stifel, Nicolaus & Company, Incorporated Senior Vice President

Receipt For: Aggregate Year-to-Date
 Primary General
 Other (specify) ▼ 250.00

Date of Receipt

M M / D D / Y Y Y Y
0 8 / 1 8 / 2 0 1 0

Transaction ID: 18509390

Amount of Each Receipt this Period

250.00

Contribution

B.

Full Name (Last, First, Middle Initial)

Mr. Scott A. Sanders

Mailing Address 14271 Sandarac Drive

City State Zip Code
Bokeelia FL 33922-3510

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Stifel, Nicolaus & Company, Incorporated Senior Vice President/Investments

Receipt For: Aggregate Year-to-Date
 Primary General
 Other (specify) ▼ 250.00

Date of Receipt

M M / D D / Y Y Y Y
0 8 / 1 9 / 2 0 1 0

Transaction ID: 18509408

Amount of Each Receipt this Period

250.00

Contribution

C.

Full Name (Last, First, Middle Initial)

Mr. James L. McCulley

Mailing Address 13815 SW 31st Court

City State Zip Code
Beaverton OR 97008-6104

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Stifel, Nicolaus & Company, Incorporated Senior Vice President/Branch Manager

Receipt For: Aggregate Year-to-Date
 Primary General
 Other (specify) ▼ 500.00

Date of Receipt

M M / D D / Y Y Y Y
0 8 / 1 9 / 2 0 1 0

Transaction ID: 18509414

Amount of Each Receipt this Period

500.00

Contribution

SUBTOTAL of Receipts This Page (optional)

1000.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 30 / 43
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Full Name (Last, First, Middle Initial)
Mr. Thomas F Price

Mailing Address 585 Farview Avenue

City State Zip Code
Wyckoff NJ 07481-1141

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark
Occupation: Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 616.00

Date of Receipt: 08 / 13 / 2010
Transaction ID: 18509628
Amount of Each Receipt this Period: 38.50
Contribution

B. Full Name (Last, First, Middle Initial)
Ms Elizabeth Varley

Mailing Address 8424 Frost Way

City State Zip Code
Annandale VA 22003-2221

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark
Occupation: Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1539.20

Date of Receipt: 08 / 13 / 2010
Transaction ID: 18509647
Amount of Each Receipt this Period: 96.20
Contribution

C. Full Name (Last, First, Middle Initial)
Ms. Margaret Simmons

Mailing Address 4743 Bradley Blvd.
Number 304

City State Zip Code
Chevy Chase MD 20815

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark
Occupation: Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1539.20

Date of Receipt: 08 / 13 / 2010
Transaction ID: 18509649
Amount of Each Receipt this Period: 96.20
Contribution

SUBTOTAL of Receipts This Page (optional) ► 230.90

TOTAL This Period (last page this line number only) ►

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 31 / 43
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. John Hallmark	Date of Receipt MM / DD / YYYY 08 / 13 / 2010
	Mailing Address 1101 New York Ave, NW 8th Floor	Transaction ID: 18509683
	City State Zip Code Washington DC 20005-4269	Amount of Each Receipt this Period 38.50
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Securities Industry and Financial Mark Occupation Managing Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 577.50	

B.	Full Name (Last, First, Middle Initial) Ms. N. Ellen McCarthy	Date of Receipt MM / DD / YYYY 08 / 13 / 2010
	Mailing Address 1101 New York Ave, NW 8th Floor	Transaction ID: 18509684
	City State Zip Code Washington DC 20005-4269	Amount of Each Receipt this Period 38.50
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Securities Industry and Financial Mark Occupation Managing Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 577.50	

C.	Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen, Jr.	Date of Receipt MM / DD / YYYY 08 / 13 / 2010
	Mailing Address 1101 New York Ave, NW 8th Floor	Transaction ID: 18509685
	City State Zip Code Washington DC 20005-4269	Amount of Each Receipt this Period 192.35
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Securities Industry and Financial Mark Occupation EVP, Public Policy and Advocacy Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3077.60	

SUBTOTAL of Receipts This Page (optional)	269.35
TOTAL This Period (last page this line number only)	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 32 / 43
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Full Name (Last, First, Middle Initial)
Marin E. Gibson

Mailing Address 796 Port Monmouth Rd.

City State Zip Code
Port Monmouth NJ 07758-1676

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark
Occupation: Managing Director & Counsel

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 923.20

Date of Receipt: 08 / 13 / 2010
Transaction ID: 18509686
 Amount of Each Receipt this Period: 57.70
 Contribution

B. Full Name (Last, First, Middle Initial)
Mr. Joseph M. Vaughan

Mailing Address 1305 Shepard Street, NW

City State Zip Code
Washington DC 20011-5529

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark
Occupation: Vice President

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 211.53

Date of Receipt: 08 / 13 / 2010
Transaction ID: 18509687
 Amount of Each Receipt this Period: 19.23
 Contribution

C. Full Name (Last, First, Middle Initial)
Mr. Carter McDowell

Mailing Address 1101 New York Ave, NW
8th Floor

City State Zip Code
Washington DC 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark
Occupation: Senior Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1600.00

Date of Receipt: 08 / 13 / 2010
Transaction ID: 18509688
 Amount of Each Receipt this Period: 100.00
 Contribution

SUBTOTAL of Receipts This Page (optional) ► **176.93**

TOTAL This Period (last page this line number only) ►

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 33 / 43
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12
<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16
<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>	17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial) Mr. Harvey Novack		Date of Receipt MM / DD / YYYY 08 / 20 / 2010
Mailing Address 10167 Winding Ridge Road		Transaction ID: 18512589
City St. Louis	State MO	Zip Code 63124-1157
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 250.00
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Senior Vice President/Investments	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

B.

Full Name (Last, First, Middle Initial) Mr. Michael Bristow		Date of Receipt MM / DD / YYYY 08 / 25 / 2010
Mailing Address 22035 E. Ridge Trail Circle		Transaction ID: 18520042
City Aurora	State CO	Zip Code 80016-2665
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 250.00
Name of Employer RBC Wealth Management	Occupation Complex Director	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

C.

Full Name (Last, First, Middle Initial) Mr. Lawrence F. Andrews, Jr.		Date of Receipt MM / DD / YYYY 08 / 25 / 2010
Mailing Address 2000 Via Segovia		Transaction ID: 18520043
City La Jolla	State CA	Zip Code 92037-6441
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 250.00
Name of Employer RBC Wealth Management	Occupation Senior Vice President	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

SUBTOTAL of Receipts This Page (optional)	750.00
TOTAL This Period (last page this line number only)	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 34 / 43
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Full Name (Last, First, Middle Initial)
Mr. Brad C. Fisher

Mailing Address 272 Adair Drive

City Richland State WA Zip Code 99352-9453

FEC ID number of contributing federal political committee. **C**

Name of Employer RBC Wealth Management Occupation SVP/Branch Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt 08 / 24 / 2010
Transaction ID: 18520045
 Amount of Each Receipt this Period 250.00
 Contribution

B. Full Name (Last, First, Middle Initial)
Ms. Linda Del Alamo

Mailing Address P.O. Box 2644

City Corpus Christi State TX Zip Code 78403-2644

FEC ID number of contributing federal political committee. **C**

Name of Employer Stifel, Nicolaus & Company, Incorporated Occupation First Vice President/Investments

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt 08 / 27 / 2010
Transaction ID: 18532109
 Amount of Each Receipt this Period 250.00
 Contribution

C. Full Name (Last, First, Middle Initial)
Mr. Dave Davies

Mailing Address 102 Mountainview Place

City Painted Post State NY Zip Code 14870-9135

FEC ID number of contributing federal political committee. **C**

Name of Employer RBC Wealth Management Occupation Branch Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt 08 / 26 / 2010
Transaction ID: 18532765
 Amount of Each Receipt this Period 500.00
 Contribution

SUBTOTAL of Receipts This Page (optional) ► 1000.00

TOTAL This Period (last page this line number only) ►

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 35 / 43
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial) Mr. Gary Hoebelheinrich		Date of Receipt MM / DD / YYYY 08 / 30 / 2010
Mailing Address 5330 Fairdale Road		Transaction ID: 18543218
City Lincoln	State NE	Zip Code 68510-2026
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 250.00
Name of Employer RBC Wealth Management	Occupation Senior Vice President	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

B.

Full Name (Last, First, Middle Initial) Mr. Frederick O. Hanser		Date of Receipt MM / DD / YYYY 08 / 30 / 2010
Mailing Address 2 Salem Estates		Transaction ID: 18543219
City Saint Louis	State MO	Zip Code 63124-1321
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 1000.00
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Board of Directors	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

C.

Full Name (Last, First, Middle Initial) Mr. Walter M. Mancing		Date of Receipt MM / DD / YYYY 08 / 30 / 2010
Mailing Address 600 St. Lawrence Lane		Transaction ID: 18543225
City Gibsonia	State PA	Zip Code 15044-8022
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 250.00
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Senior Vice President/Investments	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

SUBTOTAL of Receipts This Page (optional)	▶	1500.00
TOTAL This Period (last page this line number only)	▶	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 36 / 43
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Michael Stueck	Date of Receipt MM / DD / YYYY 08 / 30 / 2010
	Mailing Address 613 Wood Glenn Court	Transaction ID: 18543235
	City State Zip Code Timonium MD 21093-7005	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Stifel, Nicolaus & Company, Incorporated	Occupation Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

B.	Full Name (Last, First, Middle Initial) Mr. Thomas F Price	Date of Receipt MM / DD / YYYY 08 / 27 / 2010
	Mailing Address 585 Farview Avenue	Transaction ID: 18543238
	City State Zip Code Wyckoff NJ 07481-1141	Amount of Each Receipt this Period 38.50
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 654.50	

C.	Full Name (Last, First, Middle Initial) Ms Elizabeth Varley	Date of Receipt MM / DD / YYYY 08 / 27 / 2010
	Mailing Address 8424 Frost Way	Transaction ID: 18543239
	City State Zip Code Annandale VA 22003-2221	Amount of Each Receipt this Period 96.20
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1635.40	

SUBTOTAL of Receipts This Page (optional)	384.70
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 37 / 43
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12		
<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16	<input type="checkbox"/>	17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial) Ms. Margaret Simmons		Date of Receipt MM / DD / YYYY 08 / 27 / 2010
Mailing Address 4743 Bradley Blvd. Number 304		Transaction ID: 18543240
City Chevy Chase	State MD	Zip Code 20815
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 96.20
Name of Employer Securities Industry and Financial Mark	Occupation Mananging Director	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1635.40	

B.

Full Name (Last, First, Middle Initial) Mr. John Hallmark		Date of Receipt MM / DD / YYYY 08 / 27 / 2010
Mailing Address 1101 New York Ave, NW 8th Floor		Transaction ID: 18543242
City Washington	State DC	Zip Code 20005-4269
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 38.50
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 616.00	

C.

Full Name (Last, First, Middle Initial) Ms. N. Ellen McCarthy		Date of Receipt MM / DD / YYYY 08 / 27 / 2010
Mailing Address 1101 New York Ave, NW 8th Floor		Transaction ID: 18543243
City Washington	State DC	Zip Code 20005-4269
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 38.50
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 616.00	

SUBTOTAL of Receipts This Page (optional)	▶	173.20
TOTAL This Period (last page this line number only)	▶	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 38 / 43
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

<p>A. Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen, Jr.</p> <p>Mailing Address 1101 New York Ave, NW 8th Floor</p> <p>City State Zip Code Washington DC 20005-4269</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer Securities Industry and Financial Mark</p> <p>Occupation EVP, Public Policy and Advocacy</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 3269.95</p>	<p>Date of Receipt MM / DD / YYYY 08 / 27 / 2010</p> <p>Transaction ID: 18543244</p> <p>Amount of Each Receipt this Period 192.35</p> <p>Contribution</p>
<p>B. Full Name (Last, First, Middle Initial) Marin E. Gibson</p> <p>Mailing Address 796 Port Monmouth Rd.</p> <p>City State Zip Code Port Monmouth NJ 07758-1676</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer Securities Industry and Financial Mark</p> <p>Occupation Managing Director & Counsel</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 980.90</p>	<p>Date of Receipt MM / DD / YYYY 08 / 27 / 2010</p> <p>Transaction ID: 18543245</p> <p>Amount of Each Receipt this Period 57.70</p> <p>Contribution</p>
<p>C. Full Name (Last, First, Middle Initial) Mr. Joseph M. Vaughan</p> <p>Mailing Address 1305 Shepard Street, NW</p> <p>City State Zip Code Washington DC 20011-5529</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer Securities Industry and Financial Mark</p> <p>Occupation Vice President</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 230.76</p>	<p>Date of Receipt MM / DD / YYYY 08 / 27 / 2010</p> <p>Transaction ID: 18543246</p> <p>Amount of Each Receipt this Period 19.23</p> <p>Contribution</p>

SUBTOTAL of Receipts This Page (optional) ► **269.28**

TOTAL This Period (last page this line number only) ►

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 39 / 43
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Carter McDowell	Date of Receipt MM / DD / YYYY 08 / 27 / 2010
	Mailing Address 1101 New York Ave, NW 8th Floor	Transaction ID: 18543247
	City Washington State DC Zip Code 20005-4269	Amount of Each Receipt this Period 100.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer: Securities Industry and Financial Mark Occupation: Senior Managing Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1700.00	

B.	Full Name (Last, First, Middle Initial) Mr. Edward K. Heyes	Date of Receipt MM / DD / YYYY 08 / 31 / 2010
	Mailing Address 9 Breyerwood Court	Transaction ID: 18545316
	City Hortonville State WI Zip Code 54944-9421	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer: RBC Wealth Management Occupation: Branch Director and SVP Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 250.00	

C.	Full Name (Last, First, Middle Initial) Mr. Steve Welbourn	Date of Receipt MM / DD / YYYY 08 / 31 / 2010
	Mailing Address 9413 Green Hill Circle	Transaction ID: 18545317
	City Brentwood State TN Zip Code 37027-8444	Amount of Each Receipt this Period 500.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer: RBC Capital Markets Occupation: Director, Sr. Institutional Trader Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 500.00	

SUBTOTAL of Receipts This Page (optional)	850.00
TOTAL This Period (last page this line number only)	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:		PAGE 40 / 43	
	(check only one)			
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Douglas E. Artus		Date of Receipt
	Mailing Address 1450 N. Dearborn Street Coach House		<input type="text"/> M M / <input type="text"/> D D / <input type="text"/> Y Y Y Y 0 8 / 3 1 / 2 0 1 0
	City	State	Zip Code
	Chicago	IL	60610-1576
	FEC ID number of contributing federal political committee.		Transaction ID: 18545319
	C		Amount of Each Receipt this Period
Name of Employer RBC Wealth Management		Occupation Complex Director	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General		Aggregate Year-to-Date ▼	
<input type="checkbox"/> Other (specify) ▼		250.00	

SUBTOTAL of Receipts This Page (optional)	250.00
TOTAL This Period (last page this line number only)	43604.36

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:		PAGE 41 / 43	
	(check only one)			
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input checked="" type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Securities Industry and Financial Markets Association		Date of Receipt
	Mailing Address 120 Broadway Floor 35		<input type="text" value="08"/> / <input type="text" value="30"/> / <input type="text" value="2010"/>
	City	State	Zip Code
	New York	NY	10271
	FEC ID number of contributing federal political committee.		<input type="text" value="C"/>
	Name of Employer		Occupation
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <input type="text" value="4358.67"/>	Transaction ID: 18543234
			Amount of Each Receipt this Period <input type="text" value="1562.53"/>
			Contribution

SUBTOTAL of Receipts This Page (optional)	<input type="text" value="1562.53"/>
TOTAL This Period (last page this line number only)	<input type="text" value="1562.53"/>

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) PNC Bank Mailing Address 1400 K Street, NW City Washington State DC Zip Code 20005 Purpose of Disbursement Bank Fees Candidate Name Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District: Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Transaction ID: 18549065 Date of Disbursement 08 / 02 / 2010	Amount of Each Disbursement this Period 849.54 Bank Fees
B.	Full Name (Last, First, Middle Initial) PNC Bank Mailing Address 1400 K Street, NW City Washington State DC Zip Code 20005 Purpose of Disbursement Bank Fees Candidate Name Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District: Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Transaction ID: 18549066 Date of Disbursement 08 / 02 / 2010	Amount of Each Disbursement this Period 61.69 Bank Fees
C.	Full Name (Last, First, Middle Initial) PNC Bank Mailing Address 1400 K Street, NW City Washington State DC Zip Code 20005 Purpose of Disbursement Bank Fees Candidate Name Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District: Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Transaction ID: 18549067 Date of Disbursement 08 / 02 / 2010	Amount of Each Disbursement this Period 36.87 Bank Fees

SUBTOTAL of Disbursements This Page (optional) ▶

948.10

TOTAL This Period (last page this line number only) ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 43 / 43

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) PNC Bank	Transaction ID: 18549068 Date of Disbursement 08 / 03 / 2010
	Mailing Address 1400 K Street, NW	Amount of Each Disbursement this Period 4.95
	City Washington State DC Zip Code 20005	
	Purpose of Disbursement Bank Fees Candidate Name	001 Category/ Type
	Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District:	Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼
		Bank Fees

B.	Full Name (Last, First, Middle Initial) PNC Bank	Transaction ID: 18549069 Date of Disbursement 08 / 05 / 2010
	Mailing Address 1400 K Street, NW	Amount of Each Disbursement this Period 603.48
	City Washington State DC Zip Code 20005	
	Purpose of Disbursement Bank Fees Candidate Name	001 Category/ Type
	Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District:	Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼
		Bank Fees

C.	Full Name (Last, First, Middle Initial) PNC Bank	Transaction ID: 18549070 Date of Disbursement 08 / 02 / 2010
	Mailing Address 1400 K Street, NW	Amount of Each Disbursement this Period 6.00
	City Washington State DC Zip Code 20005	
	Purpose of Disbursement Bank Fees Candidate Name	001 Category/ Type
	Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District:	Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼
		Bank Fees

SUBTOTAL of Disbursements This Page (optional)	614.43
TOTAL This Period (last page this line number only)	1562.53