Image# 14961685230 PAGE 1 / 10

# FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

								Office Use Only					
1.	NAME OF COMMITTEE (in		YPE OR F	PRINT ▼		mple: If typing the lines.	ng, type	12FE4	4M5				
S	VB Financial	Group P	AC								1		
ADI	DRESS (number and	d street)	3005 Tas	man Dr									
ř	Check if diffe	erent											
H	than previous reported. (AC	sly CC)	Santa Cla	ara				CA		95054			
2.	FEC IDENTIFIC	ATION NUM	MBER ▼		CITY ▲		S	STATE A		ZIP C	ODE 🛦		
	C C00333656	8			3. IS THIS REPORT	\ \ \	NEW N) <b>OR</b>		AMEN (A)	IDED			
4.	TYPE OF REP (Choose One)	ORT	(b) Mon	ort	Feb 20 (M2)		May 20 (M5)		Aug 20	(M8)	Nov 20 (M11) (Non-Election Year Only)		
	(a) Quarterly Rep	orts:	Due	On:	Mar 20 (M3)		Jun 20 (M6)		Sep 20	(M9)	Dec 20 (M12) (Non-Election Year Only)		
	April 15				Apr 20 (M4)	×	Jul 20 (M7)		Oct 20 (	(M10)	Jan 31 (YE)		
		Report (Q1	) (c)	12-Day		Primary (12F	P)	Ger	neral (120	G)	Runoff (12R)		
	July 15 Quarterly	Report (Q2	2)	PRE-Electio				1					
	October	15 Report (Q3	A	Report for t	ne:	Convention (	120)	Spe	cial (12S	o)			
	January			E	Election on	M M /	D D /	Y II Y II Y	Y	in the State			
	July 31 I	Mid-Year Non-election	(d)	30-Day POST-Electi		General (300	G)	Run	off (30R)		Special (30S)		
		ion Report		Report for the	he:	M M /	D D /	V V V	V V	in the			
	(TER)			E	Election on					State			
5.	Covering Period	06	01		014	through	M M M	30	D / Y	2014			
l ce	ertify that I have ex	amined this	Report a	nd to the be	est of mv kno	wledge and l	pelief it is true	e. correc	t and co	omplete.			
	e or Print Name o		Rebecca										
٥.		Rohoco	ea Olson			IEL-4 ' "	F21-11 -		M M	/ D D /	Y   Y   Y   Y   Y   Y   Y   Y   Y   Y		
Sigi	nature of Treasure		0 13011			[Electronically	y rueaj D	ate	07	18	2014		
NO.	TE: Submission of f	alse, erronec	ous, or inco	omplete infor	mation may su	bject the per	son signing th	is Report	to the p	penalties of 2	2 U.S.C. §437g.		
	Office									FEC FO	RM 3X		
	Use Only									Rev. 12			

# **SUMMARY PAGE**

OF RECEIPTS AND DISBURSEMENTS FEC Form 3X (Rev. 02/2003) Page 2 Write or Type Committee Name **SVB Financial Group PAC** 06 2014 06 30 2014 Report Covering the Period: From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 29867.70 January 1, 2014 (b) Cash on Hand at 41157.70 Beginning of Reporting Period..... 21870.00 4080.00 (c) Total Receipts (from Line 19) ..... (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 45237.70 51737.70 6(a) and 6(c) for Column B)..... 1000.00 7500.00 Total Disbursements (from Line 31)...... 8. Cash on Hand at Close of Reporting Period 44237.70 44237.70 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) ..... 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) ..... This committee has qualified as a multicandidate committee. (see FEC FORM 1M) For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

# **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

SVB F	inancial	Group	PAC
-------	----------	-------	-----

t Covering the Period: From: 06	01 2014 To:	: 06 30 2014			
I. Receipts	COLUMN B Calendar Year-to-Date				
	3810.00	19400.00			
(i) Itemized (use Schedule A)	3010.00	10 100.00			
(ii) Unitamizad	270.00	2470.00			
	270.00	2410.00			
	4080.00	21870.00			
E1100 11(a)(i) and (ii)	7				
Political Party Committees	0.00	0.00			
Other Political Committees					
(such as PACs)	0.00	0.00			
Total Contributions (add Lines					
11(a)(iii), (b), and (c)) (Carry	4000.00	21870.00			
	4080.00	21870.00			
	0.00	0.00			
ty Committees	0.00	0.00			
Loans Bossivad	0.00	0.00			
Loans neceived	7 7	7 7			
a Demonstrate Described	0.00	0.00			
1 1	0.00	0.00			
·	0.00	0.00			
itical Committees	0.00	0.00			
er Federal Receipts					
vidends, Interest, etc.)	0.00	0.00			
nsfers from Non-Federal and Levin Funds					
(from Schedule H3)	0.00	0.00			
Levin Funds (from Schedule H5)	0.00	0.00			
	0.00				
Total Transfers (add 18(a) and 18(b))					
	Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A)	Intributions (other than loans) From: Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A)			

### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	Total This Period					
. Operating Expenditures: —  (a) Allocated Federal/Non-Federal	Total Tillo I office	Calendar Year-to-Date				
Activity (from Schedule H4)						
(i) Federal Share	0.00	0.00				
	0.00	0.00				
(ii) Non-Federal Share	0.00	0.00				
(b) Other Federal Operating	0.00	0.00				
Expenditures(c) Total Operating Expenditures	0.00	0.00				
(add 21(a)(i), (a)(ii), and (b))▶	0.00	0.00				
Transfers to Affiliated/Other Party						
Committees	0.00	0.00				
Contributions to Federal Candidates/Committees						
and Other Political Committees	1000.00	7500.00				
Independent Expenditures	0.00	0.00				
(use Schedule E)  Coordinated Party Expenditures	0.00	0.00				
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00				
(use scriedule 1)		0.00				
Loan Repayments Made	0.00	0.00				
11						
Loans Made	0.00	0.00				
Refunds of Contributions To: (a) Individuals/Persons Other						
Than Political Committees	0.00	0.00				
(1) P. I'' - I P. I. O 'I'	0.00	0.00				
(b) Political Party Committees	0.00	0.00				
(c) Other Political Committees (such as PACs)	0.00	0.00				
(00011 00 17100)						
(d) Total Contribution Refunds						
(add Lines 28(a), (b), and (c))▶	0.00	0.00				
Other Disbursements	0.00	0.00				
Federal Election Activity (2 U.S.C. §431(20))						
(a) Allocated Federal Election Activity						
(from Schedule H6)						
(i) Federal Share	0.00	0.00				
(ii) "Levin" Share	0.00	0.00				
(b) Federal Election Activity Paid Entirely	0.00	0.00				
With Federal Funds	0.00	0.00				
(c) Total Federal Election Activity (add	0.00	0.00				
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00				
Total Disbursements (add Lines 21(c), 22,						
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	1000.00	7500.00				
	7 7	7 7 7				
Total Federal Disbursements						
(subtract Line 21(a)(ii) and Line 30(a)(ii)						
from Line 31)	1000.00	7500.00				

### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	4080.00	21870.00
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	4080.00	21870.00
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	0.00	0.00
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
3. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	(che	LINE ck or 11a 13		JMBER ne) 11b 14		PAGE 11c 15		OF 12 16	10	
	y information copied from such Reports and S for commercial purposes, other than using the											
$\rangle$	NAME OF COMMITTEE (In Full) SVB Financial Group PAC											
١.	Full Name (Last, First, Middle Initial) Gregory Becker				Date o	of Re	eceipt					
	Mailing Address 25 Sunrise Ct				M = 1	/	17	- 1	/ Y		14 .	
	City	State	Zip Code		Tran	sact	ion ID :	: 11,	AI-617			
	Menlo Park	CA	94025-6217	A	mour	nt of	Each F	Rece	eipt this	s Pe	eriod	
	FEC ID number of contributing federal political committee.	С		[			,	_	7	-	2000.0	0
	Name of Employer	Occupation										
	SVB Financial Group	President &	CEO									
	Receipt For: 2014  Primary General  Other (specify) ▼ Calendar Year	Aggregate	Year-to-Date ▼  2000.00									

Other (specify) ▼ Calendar Year	2000.00	
Full Name (Last, First, Middle Initial)  B. Benjamin Johnson  Mailing Address 3005 Tasman Dr		Date of Receipt
City Santa Clara	State Zip Code CA 95054	06 17 2014  Transaction ID : 11Al-619  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	600.00
Name of Employer SVB Financial Group  Receipt For: 2014  Primary General  Other (specify) ▼ Calendar Year	Occupation  Managing Director  Aggregate Year-to-Date ▼  600.00	
Full Name (Last, First, Middle Initial)	·	

Calendar Y	ear , , , , , , , , , , , , , , , , , , ,	
Full Name (Last, First, Middle C. Michael Lempres	e Initial)	Date of Receipt
Mailing Address 4641 Clark	PINW	06 02 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 11AI-600
Washington	DC 20007	Amount of Each Receipt this Period
FEC ID number of contribution federal political committee.	C	40.00
Name of Employer	Occupation	
SVB Financial Group	Assistant General Counsel	
Receipt For: 2014	Aggregate Year-to-Date ▼	

Other (specify) ▼ Calendar Year	480.00									
SUBTOTAL of Receipts This Page (optional)	·····	Ξ	7	Ξ	I	7	Ξ	2640	0.00	
TOTAL This Period (last page this line number	only)		7	Ξ		7				

Primary

General

## SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

FOR LINE NUMBER: **PAGE** 7 OF 10 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) SVB Financial Group PAC Full Name (Last, First, Middle Initial) Michael Lempres Date of Receipt Mailing Address 4641 Clark PI NW 2014 06 City Zip Code State Transaction ID: 11AI-620 DC Washington 20007 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Assistant General Counsel **SVB Financial Group** Receipt For: 2014 Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) Calendar Year Full Name (Last, First, Middle Initial) B. Christie Ma Date of Receipt Mailing Address 3003 Tasman Dr 06 02 2014 City State Zip Code Transaction ID: 11AI-601 CA Santa Clara 95054 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **SVB Financial Group** Attorney Receipt For: 2014 Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Cálendar Year Full Name (Last, First, Middle Initial) c. Christie Ma Date of Receipt Mailing Address 3003 Tasman Dr 06 17 2014 Zip Code City State Transaction ID: 11AI-621 CA Santa Clara 95054 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation SVB Financial Group Attorney Receipt For: 2014 Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Calendar Year 80.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

# SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

F	OR	LINE	PAGE		8	OF		10				
(0	(check only one)											
	X	11a		11b		11c		12				
		13		14		15		16	;		17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

or for commercial purposes, other than using	the name and address of any political committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full) SVB Financial Group PAC		
Full Name (Last, First, Middle Initial)  Mark Maclennan  Mailing Address 3005 Tasman Dr		Date of Receipt
	011	06 02 7 9 9 9 9
City Santa Clara	State Zip Code CA 95054	Transaction ID : 11AI-602  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	25.00
Name of Employer SVB Financial Group	Occupation  Managing Director	
Receipt For: 2014 Primary General Other (specify)  Calendar Year	Aggregate Year-to-Date ▼  300.00	
Full Name (Last, First, Middle Initial)  Mark Maclennan  Mailing Address 3005 Tasman Dr		Date of Receipt
City Santa Clara	State Zip Code CA 95054	06 17 2014  Transaction ID : 11AI-622  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C 33004	25.00
Name of Employer SVB Financial Group	Occupation  Managing Director	
Receipt For: 2014 Primary General Other (specify)  Calendar Year	Aggregate Year-to-Date ▼  300.00	
Full Name (Last, First, Middle Initial)  Lorraine Monick		Date of Receipt
Mailing Address 3003 Tasman Dr		06 17 2014 _
City Santa Clara	State Zip Code CA 95054	Transaction ID : 11AI-627  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation  Managing Director	
SVB Wealth Advisory, Inc.  Receipt For: 2014	Managing Director  Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼ Calendar Year	250.00	
SUBTOTAL of Receipts This Page (optional).	•	300.00
TOTAL This Period (last page this line numb	er only)	

# SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

		LINE	PAGE	=	9	OF		10			
(check only one)											
[	X	11a		11b		11c		12			
		13		14		15		16	;		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

or for commercial purposes, other than using	the name and address of any political committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full) SVB Financial Group PAC		
Full Name (Last, First, Middle Initial)  A. Robert Vogelsang		Date of Receipt
Mailing Address 3005 Tasman Dr		06 02 2014
City	State Zip Code	Transaction ID : 11AI-606
Santa Clara	CA 95054	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer	Occupation	
SVB Financial Group	Senior Advisor	
Receipt For: 2014  Primary General  Other (specify) ▼  Calendar Year	Aggregate Year-to-Date ▼ 240.00	
Full Name (Last, First, Middle Initial)  Robert Vogelsang		Date of Receipt
Mailing Address 3005 Tasman Dr		06 17 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Santa Clara	State Zip Code CA 95054	Transaction ID : 11AI-626
FEC ID number of contributing		Amount of Each Receipt this Period
federal political committee.	C	20.00
Name of Employer	Occupation	
SVB Financial Group	Senior Advisor	
Receipt For: 2014  Primary General  Other (specify) ▼  Calendar Year	Aggregate Year-to-Date ▼  240.00	
Full Name (Last, First, Middle Initial)  Chuck Whittenberg		Date of Receipt
Mailing Address 13020 Oak Valley Rd		06 17 _ 2014 _
City Morgan Hill	State Zip Code CA 95037	Transaction ID : 11AI-616  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	750.00
Name of Employer	Occupation	
SVB Financial Group	Sr. Director, Information Technology	
Receipt For: 2014 Primary General	Aggregate Year-to-Date ▼	
Other (specify)  Calendar Year	750.00	
SUBTOTAL of Receipts This Page (optional)	) <b>&gt;</b>	790.00
TOTAL This Period (last page this line number	per only)	3810.00

SCHEDULE B (FEC Form 3X)	Lloo concrete cabadada(a)		FOR LINE NUMBER: PAGE 10 OF 10		
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(oricon oriny	,		
	Detailed Summary Page	21b 27	22 X 23 28b	24 25 26 28c 29 30	
Any information copied from such Reports and State					
or for commercial purposes, other than using the nar	me and address of any politi	cal committee to	solicit contributions f	rom such committee.	
NAME OF COMMITTEE (In Full)					
SVB Financial Group PAC					
Full Name (Last, First, Middle Initial)			Date of Disbursem	nent .	
A. Eshoo for Congress		Date of Dispursement			
Mailing Address 2350 Taylor Street #7			06 16	2014	
•	State Zip Code		Transaction ID :	23-58	
San Francisco Purpose of Disbursement	CA 94133		mansaction iD :	£0°30	
Political Contribution		011	Amount of Each D	Disbursement this Period	
Candidate Name		Category/		1000.00	
Anna Eshoo  Office Sought:	ment For: 2014	Туре	7	1000.00	
Senate Disburse	Primary Seneral				
President	Other (specify) ▼				
State: CA District: 18					
Full Name (Last, First, Middle Initial) <b>B.</b>			Date of Disbursem	nent	
			M M / D D		
Mailing Address					
City	State Zip Code				
Purpose of Disbursement					
Candidate Name			Amount of Each Disbursement this Period		
Cardidate Harris		Category/ Type			
Office Sought: House Disburse	ment For:				
Senate	Primary General				
State: District:	Other (specify) ▼				
Full Name (Last, First, Middle Initial)					
C.			Date of Disbursem		
Mailing Address			M M / D D	/	
City	State Zip Code				
Purpose of Disbursement					
rulpose of Disbursement		· · ·	Amount of Each D	isbursement this Period	
Candidate Name		Category/ Type	Amount of Lacif E	visbursement this renou	
Office Sought: House Disburse	ment For:	Турс			
Senate	Primary General				
President	Other (specify) ▼				
State: District:					
SUBTOTAL of Disbursements This Page (optional)			40	1000.00	
				4000.00	
TOTAL This Period (last page this line number only	·)			1000.00	