REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

RECEIVED
FEDERAL ELECTION
COMMISSION
MAIL ROOM

APR 18 3 14 PM '96

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
INVESTMENT MANAGEMENT POLITICAL ACTION COMMITTEE
OF THE INVESTMENT COMPANY INSTITUTE

ADDRESS (number and street) ☐ Check if different than previously reported
1401 H STREET, NW

CITY, STATE and ZIP CODE
WASHINGTON, DC 20005

2. FEC IDENTIFICATION NUMBER
C00105981

3. ☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report
☐ July 15 Quarterly Report
☐ October 15 Quarterly Report
☐ January 31 Year End Report
☐ July 31 Mid Year Report (Non-election Year Only)
☐ Termination Report

Monthly Report Due On:

☐ February 20	☐ June 20	☐ October 20
☐ March 20	☐ July 20	☐ November 20
☒ April 20	☐ August 20	☐ December 20
☐ May 20	☐ September 20	☐ January 31

☐ Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____

☐ Thirtieth day report following the General Election on _____ in the State of _____.

(b) Is this Report an Amendment? ☐ YES ☒ NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period 03/01/96 through 03/31/96		
6. (a) Cash on Hand January 1, 1996		$ 103,907.99
(b) Cash on Hand at Beginning of Reporting Period	$ 163,116.10	
(c) Total Receipts (from Line 19)	$ 5,770.81	$ 131,979.16
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$ 168,886.91	$ 235,887.15
7. Total Disbursements (from Line 30)	$ 90,621.00	$ 157,621.14
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$ 78,265.91	$ 78,265.91
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	$ none	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	$ none	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
C. RICHARD POGUE

Signature of Treasurer
C. Richard Pogue

Date
4-17-96

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 8/93)

4-00173

9 5 0 3 0 4 3 5 2 2 6

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE INVESTMENT MANAGEMENT POLITICAL ACTION COMMITTEE OF THE INVESTMENT COMPANY INSTITUTE

REPORT COVERING PERIOD FROM 03/01/96 TO: 03/31/96

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year	
11. Contributions (other than loans) From:			
a. Individual/Persons Other Than Political Committees			
i. Itemized (use Schedule A)	5,300.00	102,810.00	11(a
ii. Unitemized	350.00	4,205.00	11(a
iii. Total (add i and ii) ➤	5,650.00	107,015.00	11(a
b. Political Party Committees			11(b
c. Other Political Committees (such as PACs)		24,800.00	11(c
d. Total Contributions (add a iii, b and c) ➤	5,650.00	131,815.00	11(d
12. Transfers From Affiliated/Other Party Committees			12
13. All Loans Received			13
14. Loan Repayments Received			14
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)			15
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees			16
17. Other Federal Receipts (Dividends, Interest, etc.)	120.81	164.16	17
18. Transfers from Nonfederal Account for Joint Activity			18
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) ➤	5,770.81	131,979.16	19
20. Total Federal Receipts (subtract line 18 from line 19) ➤	5,770.81	131,979.16	20
II. Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			
i. Federal Share			21(a
ii. Non-Federal Share			21(a
b. Other Federal Operating Expenditures	621.00	621.24	21(b
c. Total Operating Expenditures (add a i, a ii, and b) ➤	621.00	621.24	21(c
22. Transfers to Affiliated/Other Party Committees			22
23. Contributions to Federal Candidates/Committees and Other Political Committees	90,000.00	157,000.00	23
24. Independent Expenditures (use Schedule E)			24
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)			25
26. Loan Repayments Made			26
27. Loans Made			27
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees			28(a
b. Political Party Committees			28(b
c. Other Political Committees (such as PACs)			28(c
d. Total Contribution Refunds (add a, b and c) ➤			28(d
29. Other Disbursements			29
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) ➤	90,621.00	157,621.24	30
31. Total Federal Disbursements (subtract line 21 a ii from line 30) ➤	90,621.00	157,621.24	31
III. Net Contributions/Operating Expenditures			
32. Total Contributions (other than loans)(from line 11d)	5,650.00	131,815.00	32
33. Total Contribution Refunds (from line 28d)	.00	.00	33
34. Net Contributions (other than loans)(subtract line 33 from 32)	5,650.00	131,815.00	34
35. Total Federal Operating Expenditures (add 21 a i and 21 b) ➤	621.00	621.24	35
36. Offsets to Operating Expenditures (from line 15)	.00	.00	36
37. Net Operating Expenditures (subtract line 36 from 35) ➤	621.00	621.24	37

4-00173

96030352027

For Line Number : 11a

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE: Investment Management PAC of the Investment Company Institute

Name	Name of Employer / Occupation	Date	Amount
Barbara Hennigar 3410 S. Galena St. Denver, CO 80231-5078	OppenheimerFunds Services **Occupation** President & CEO	03/01/96	300.00
	Aggregate Year-to-Date ----> $300.00		
Name	**Name of Employer / Occupation**	**Date**	**Amount**
Julie Domenick 1401 H Street NW Suite 1200 Washington, DC 20005	Investment Company Institute **Occupation** Senior Vice-PresidentPublic Affairs	03/05/96	1,000.00
	Aggregate Year-to-Date ----> $1,000.00		
Name	**Name of Employer / Occupation**	**Date**	**Amount**
Matthew P. Fink 1401 H Street NW Suite 1200 Washington, DC 20005	Investment Company Institute **Occupation** President	03/05/96	2,000.00
	Aggregate Year-to-Date ----> $2,000.00		
Name	**Name of Employer / Occupation**	**Date**	**Amount**
C. Richard Pogue 1401 H Street NW Suite 1200 Washington, DC 20005	Investment Company Institute **Occupation** Executive Vice-President	03/13/96	1,000.00
	Aggregate Year-to-Date ----> $1,000.00		
Name	**Name of Employer / Occupation**	**Date**	**Amount**
Lawrence J. Stupski 101 Montgomery St. San Francisco, CA 94104-4122	The Charles Schwab Corporation **Occupation** Vice Chairman	03/28/96	500.00
	Aggregate Year-to-Date ----> $500.00		

Subtotal of Receipts this page	4,800.00
Total this period	

2 4 0 3 0 4 3 5 2 6 8

For Line Number : 11a

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NAME OF COMMITTEE: Investment Management PAC of the Investment Company Institute

Name	Name of Employer	Date	Amount
Timothy McCarthy 101 Montgomery St. San Francisco, CA 94104-4122	Charles Schwab Investment Management, Inc. **Occupation** CEO	03/29/96	500.00

Aggregate Year-to-Date ----> $500.00

Subtotal of Receipts this page	500.00
Total this period	5,300.00

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For Line Number : 17

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NAME OF COMMITTEE: Investment Management PAC of the Investment Company Institute

Name	Name of Employer	Date	Amount
First Union National Bank of Washington 740 15th St., NW Washington, DC 20005	Interest **Occupation** N/A	03/31/96	120.81
	Aggregate Year-to-Date ----> $164.16		

Subtotal of Receipts this page	120.81
Total this period	120.81

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For Line Number: 21b

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NAME OF COMMITTEE Investment Management PAC of the Investment Company Institute

Name	Purpose :	Date:	Amount :
Internal Revenue Service 1040 Waverly Ave. Holtsville, NY 11790	1995 1120 POL Election Type:	03/15/96	202.00

Name	Purpose :	Date:	Amount :
Internal Revenue Service 1040 Waverly Ave. Holtsville, NY 11790	1994 1120 POL Election Type:	03/15/96	419.00

Subtotal of Disbursements This Page	621.00
Total This Period	621.00

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For Line Number: 23

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NAME OF COMMITTEE Investment Management PAC of the Investment Company Institute

Name	Purpose :	Date:	Amount :
Friends of Senator Rockefeller 245 Second St., NE Suite 300 Washington, DC 20002	Contribution Election Type: General	03/04/96	1,000.00
Maloney for Congress 49 E. 92nd Street New York, NY 10128	Contribution Election Type: Primary	03/04/96	500.00
John D. Dingell for Congress Committee 607 Fourteenth St., N.W. Washington, DC 20005	Contribution Election Type: Primary	03/04/96	3,000.00
Friends of Max Baucus Box 588 Helena, MT 59624	Contribution Election Type: General	03/04/96	1,000.00
Friends of Maurice Hinchey 24 Manor Lane Saugerties, NY 12477	Contribution Election Type: Primary	03/04/96	500.00
Zimmer for Senate, Inc. 4451 Brookfield Corporate Drive Suite 200 Chantilly, VA 22021	Contribution Election Type: Primary	03/04/96	1,000.00

Subtotal of Disbursements This Page	7,000.00
Total This Period	

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NAME OF COMMITTEE Investment Management PAC of the Investment Company Institute

Name	Purpose :	Date:	Amount :
Richard E. Neal for Congress Committee 76 Magnolia Terrace Springfield, MA 01108	Contribution Election Type: Primary	03/04/96	500.00
Wally Herger for Congress Committee P.O. Box 2223 Marysville, CA 95901	Contribution Election Type: Primary	03/04/96	1,000.00
Friends of Larry Pressler P.O. Box 2827 Washington, DC 20013	Contribution Election Type: General	03/12/96	1,000.00
Peter Deutsch for Congress P.O. Box 26778 Tamarac, FL 33320	Contribution Election Type: Primary	03/12/96	500.00
Citizens for Ron Klink 141 Renfer St. Pittsburgh, PA 15237	Contribution Election Type: Primary	03/12/96	500.00
Nathan Deal for Congress P.O. Box 902 Gainesville, GA 30503	Contribution Election Type: Primary	03/12/96	500.00

Subtotal of Disbursements This Page	4,000.00
Total This Period	

9 5 0 3 0 4 3 5 2 7 3

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NAME OF COMMITTEE Investment Management PAC of the Investment Company Institute

Name	Purpose :	Date:	Amount :
Boucher for Congress Committee P.O. Box 2000 Abingdon, VA 24210	Contribution **Election Type:** Primary	03/12/96	500.00
National Republican Senatorial Committee 425 Second Street, NE Washington, DC 20002	Contribution **Election Type:**	03/12/96	15,000.00
Barbara Kennelly for Congress P.O. Box 3719 Central Station Hartford, CT 06103	Contribution **Election Type:** Primary	03/12/96	4,000.00
Mike Crapo for Congress Committee P.O. Box 1013 Boise, ID 83701	Contribution **Election Type:** Primary	03/12/96	500.00
Gramm '96 Committee P.O. Box 565087 Dallas, TX 75356	Contribution **Election Type:** General	03/12/96	2,000.00
Nussle for Congress PO Box 324 Manchester, IA 52057	Contribution **Election Type:** Primary	03/12/96	1,000.00

Subtotal of Disbursements This Page	23,000.00
Total This Period	

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NAME OF COMMITTEE Investment Management PAC of the Investment Company Institute

Name	Purpose :	Date:	Amount :
Congressman Waxman Campaign Committee 8665 Wilshire Blvd., #220 Beverly Hills, CA 90211	Contribution Election Type: Primary	03/12/96	5,000.00
Congressman Bart Gordon Committee P.O. Box 2008 Murfreesboro, TN 37133	Contribution Election Type: Primary	03/12/96	500.00
Richard E. Neal for Congress Committee 76 Magnolia Terrace Springfield, MA 01108	Contribution Election Type: Primary	03/15/96	3,000.00
Friends of Houghton P.O. Box 1107 Corning, NY 14830	Contribution Election Type: Primary	03/15/96	2,000.00
Dreier for Congress Committee P.O. Box 1110 Covina, CA 91722	Contribution Election Type: Primary	03/15/96	500.00
John Ensign for Congress 601 Barron Court Henderson, NV 89015	Contribution Election Type: Primary	03/15/96	3,000.00

Subtotal of Disbursements This Page	14,000.00
Total This Period	

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NAME OF COMMITTEE Investment Management PAC of the Investment Company Institute

Name	Purpose :	Date:	Amount :
Friends of Clay Shaw 2600 NE 14th Street Causeway Pompano Beach, FL 33062	Contribution **Election Type:** Primary	03/15/96	1,500.00
Citizens for Bunning 1717 Dixie Highway, Suite 180 Fort Wright, KY 41011	Contribution **Election Type:** Primary	03/15/96	3,000.00
Crane for Congress Committee P.O. Box 8534 Rolling Meadows, IL 60008	Contribution **Election Type:** Primary	03/15/96	3,000.00
The Billy Tauzin Committee 550 South Van Houma, LA 70361	Contribution **Election Type:** Primary	03/15/96	1,000.00
Friends of Jerry Kleczka 3268 S. Ninth Street Milwaukee, WI 53215	Contribution **Election Type:** Primary	03/15/96	3,000.00
Bill Thomas Campaign Committee PO Box 395 Bakersfield, CA 93302	Contribution **Election Type:** Primary	03/15/96	3,000.00

Subtotal of Disbursements This Page	14,500.00
Total This Period	

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NAME OF COMMITTEE Investment Management PAC of the Investment Company Institute

Name	Purpose :	Date:	Amount :
Zimmer for Senate, Inc. 4451 Brookfield Corporate Drive Suite 200 Chantilly, VA 22021	Contribution Election Type: Primary	03/15/96	2,000.00
Name	Purpose :	Date:	Amount :
Re-elect Nancy Johnson to Congress Committee P.O. Box 1986 New Britain, CT 06050	Contribution Election Type: Primary	03/15/96	4,500.00
Name	Purpose :	Date:	Amount :
McCrery for Congress 333 Texas Street Shreveport, LA 71101	Contribution Election Type: Primary	03/15/96	3,000.00
Name	Purpose :	Date:	Amount :
Mac Collins for Congress P.O. Box 35 Jonesboro, GA 30237	Contribution Election Type: Primary	03/15/96	4,000.00
Name	Purpose :	Date:	Amount :
Coyne for Congress 263 Woodhill Rd. Newton, PA 18940	Contribution Election Type: Primary	03/15/96	500.00
Name	Purpose :	Date:	Amount :
People For English P.O. Box 1940 Erie, PA 16512	Contribution Election Type: Primary	03/15/96	3,500.00

Subtotal of Disbursements This Page	17,500.00
Total This Period	

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NAME OF COMMITTEE Investment Management PAC of the Investment Company Institute

Name	Purpose :	Date:	Amount :
People For Ganske 5907 Grand Ave. Des Moines, IA 50312	Contribution **Election Type:** Primary	03/15/96	500.00
Schaefer to Congress 7600 East Orchard Road #105 Englewood, CO 80111	Contribution **Election Type:** Primary	03/15/96	500.00
Paxon for Congress 4280 S Buffalo St. Orchard Park, NY 14127	Contribution **Election Type:** General	03/15/96	1,000.00
Re-elect Congressman Joe Moakley Committee 99 Summer Street Suite 1250 Boston, MA 02110	Contribution **Election Type:** Primary	03/21/96	1,500.00
Pete King for Congress Committee P.O. Box 1428 Seaford, NY 11783	Contribution **Election Type:** Primary	03/21/96	500.00
People for Pete Domenici P.O. Box 25707 Albuquerque, NM 87125	Contribution **Election Type:** Primary	03/21/96	1,000.00

Subtotal of Disbursements This Page	5,000.00
Total This Period	

95030435278

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NAME OF COMMITTEE Investment Management PAC of the Investment Company Institute

Name	Purpose :	Date:	Amount :
Bob Ney for Congress 3201 Belmont Street, Room 604 Bellaire, OH 43906	Contribution **Election Type:** Primary	03/21/96	500.00
Friends of Max Baucus Box 586 Helena, MT 59624	Contribution **Election Type:** General	03/21/96	1,000.00
Dan Frisa for Congress 23 Canyon Lane Westbury, NY 11590	Contribution **Election Type:** Primary	03/21/96	1,000.00
New Mexicans for Bill Richardson 832 Camino Chaco Santa Fe, NM 87501	Contribution **Election Type:** Primary	03/21/96	500.00
Paxon for Congress 4280 S Buffalo St. Orchard Park, NY 14127	Contribution **Election Type:** General	03/22/96	2,000.00

Subtotal of Disbursements This Page	5,000.00
Total This Period	90,000.00

96030435279

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

☑	Hand Delivered	DATE OF RECEIPT 4-18-90
☐	First Class Mail	POSTMARKED
☐	Registered/Certified Mail	POSTMARKED
☐	No Postmark	
☐	Postmark Illegible	
☐	Received from the House Office of Records and Registration	DATE OF RECEIPT
☐	Received from the Senate Office of Public Records	DATE OF RECEIPT
☐	Other (Specify):	POSTMARKED and/or DATE OF RECEIPT

PREPARER

4-18-90
DATE PREPARED

FEC FORM 70-2 (12/92)

9 5 0 3 0 4 3 5 2 1 0