05/19/2004 19 : 46

# FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

**For Other Than An Authorized Committee**

Office Use Only

1. NAME OF COMMITTEE (In full) — **USE FEC MAILING LABEL OR TYPE OR PRINT** ▼ — Example: If typing, type over the lines

UNIVERSAL MUSIC GROUP POLITICAL ACTION COMMITTEE

ADDRESS (number and street) ▼ ZZ20 COLORADO AVENUE

Check if different than previously reported. (ACC)

SANTA MONICA | CA | 90404

2. **FEC IDENTIFICATION NUMBER** ▼ C00392464

CITY ▲ STATE ▲ ZIPCODE ▲

3. IS THIS REPORT — NEW (N) X **OR** AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report(Q1)

July 15 Quarterly Report(Q2)

October 15 Quarterly Report(Q3)

January 31 Quarterly Report(YE)

July 31 Mid-Year Report(Non-election Year Only) (MY)

Termination Report (TER)

(b) Monthly Report Due On:

| | | | |
|---|---|---|---|
| Feb 20 (M2) | X May 20 (M5) | Aug 20 (M8) | Nov 20 (M11) (Non-Election Year Only) |
| Mar 20 (M3) | Jun 20 (M6) | Sep 20 (M9) | Dec 20 (M12) (Non-Election Year Only) |
| Apr 20 (M4) | Jul 20 (M7) | Oct 20 (M10) | Jan 31 (YE) |

(c) 12-Day **PRE**-Election Report for the: Primary (12P) General (12G) Runoff (12R) Convention (12C) Special (12G)

Election on in the State of

(d) 30-Day **Post**-Election Report for the: General (30G) Runoff (30R) Special (30S)

Election on in the State of

5. Covering Period 04 01 2004 through 04 30 2004

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer MICHAEL OSTROFF

Signature of Treasurer Electronically Filed by MICHAEL OSTROFF Date 05 12 2004

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

| Office Use Only | | | | | | | |
|---|---|---|---|---|---|---|---|

**FEC FORM 3X**
(Rev. 02/2003)

# SUMMARY PAGE
## OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)



Write or Type Committee Name
UNIVERSAL MUSIC GROUP POLITICAL ACTION COMMITTEE

Report Covering the Period: From: 04 01 2004 To: 04 30 2004

| | COLUMN A<br>This Period | COLUMN B<br>Calendar Year-to-Date |
|---|---|---|
| 6. (a) Cash on Hand January 1 2004 | | 0.00 |
| (b) Cash on Hand at Begining of Reporting Period ..... | 9999.49 | |
| (c) Total Receipts (from Line 19) ..... | 3409.29 | 13408.78 |
| (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) ..... | 13408.78 | 13408.78 |
| 7. Total Disbursements (from Line 31) ..... | 0.00 | 0.00 |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) ..... | 13408.78 | 13408.78 |
| 9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D) ..... | 0.00 | |
| 10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D) ..... | 0.00 | |

03 02 2004 CA

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

# DETAILED SUMMARY PAGE
OF RECEIPTS

FEC Form 3X (Rev. 02/2003)



Write or Type Committee Name

UNIVERSAL MUSIC GROUP POLITICAL ACTION COMMITTEE

Report Covering the Period: From: 04 01 2004 To: 04 30 2004

| I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 11. Contributions (other than loans) From: | | |
| (a) Individuals/Persons Other Than Political Committees | | |
| (i) Itemized (use Schedule A) ..... | 1837.78 | |
| (ii) Unitemized ..... | 1571.51 | |
| (iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ▶ | 3409.29 | 13408.78 |
| (b) Political Party Committees ..... | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) ..... | 0.00 | 0.00 |
| (d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5) ..... ▶ | 3409.29 | 13408.78 |
| 12. Transfers From Affiliated/Other Party Committees ..... | 0.00 | 0.00 |
| 13. All Loans Received ..... | 0.00 | 0.00 |
| 14. Loan Repayments Received ..... | 0.00 | 0.00 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) ..... | 0.00 | 0.00 |
| 16. Refunds of Contributions Made to Federal candidates and Other Political Committees ..... | 0.00 | 0.00 |
| 17. Other Federal Receipts (Dividends, Interest, etc.) ..... | 0.00 | 0.00 |
| 18. Transfers from Non-Federal and Levin Funds | | |
| (a) Non-Federal Account (from Schedule H3) ..... | 0.00 | 0.00 |
| (b) Levin Funds (from Schedule H5) ..... | 0.00 | 0.00 |
| (c) Total Transfer (add 18(a) and 18(b)). | 0.00 | 0.00 |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) ..... | 3409.29 | 13408.78 |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19) ..... | 3409.29 | 13408.78 |

# DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)



| II. DISBURSEMENTS | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 21. Operating Expenditures: | | |
| (a) Shared Federal/Non-Federal Activity (from Schedule H4) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) Non-Federal Share | 0.00 | 0.00 |
| (b) Other Federal Operating Expenditures | 0.00 | 0.00 |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)) ▶ | 0.00 | 0.00 |
| 22. Transfers to Affiliated/Other Party Committees | 0.00 | 0.00 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees | 0.00 | 0.00 |
| 24. Independent Expenditure (use Schedule E) | 0.00 | 0.00 |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F) | 0.00 | 0.00 |
| 26. Loan Repayments Made | 0.00 | 0.00 |
| 27. Loans Made | 0.00 | 0.00 |
| 28. Refunds of Contributions To: | | |
| (a) Individuals/Persons Other Than Political Committees | 0.00 | 0.00 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) | 0.00 | 0.00 |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶ | 0.00 | 0.00 |
| 29. Other Disbursements | 0.00 | 0.00 |
| 30. Federal Election Activity (2 U.S.C 431(20)) | | |
| (a) Shared Federal Election Activity (from Schedule H6) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) "Levin" Share | 0.00 | 0.00 |
| (b) Federal Election Activity Paid Entirely With Federal Funds | 0.00 | 0.00 |
| (c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) | 0.00 | 0.00 |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) | 0.00 | 0.00 |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31) | 0.00 | 0.00 |

# DETAILED SUMMARY PAGE

of Disbursements



| III. Net Contributions/Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 33. Total Contributions (other than loans) from Line 11(d), page 3) ..... | 3409.29 | 13408.78 |
| 34. Total Contribution Refunds (from Line 28(d)) ..... | 0.00 | 0.00 |
| 35. Net Contributions (other than loans) (subtract Line 34 from Line 33) ..... | 3409.29 | 13408.78 |
| 36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))..... | 0.00 | 0.00 |
| 37. Offsets to Operating Expenditures (from Line 15, page 3) ..... | 0.00 | 0.00 |
| 38. Net Operating Expenditures (subtract Line 37 from Line 36) ..... | 0.00 | 0.00 |

# SCHEDULE A (FEC Form 3X)
## ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 10
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
UNIVERSAL MUSIC GROUP POLITICAL ACTION COMMITTEE

**A.**
Full Name (Last, First, Middle Initial): GARY ASHLEY
Mailing Address: 558 SPOLETO DRIVE
City: PACIFIC PALISADES State: CA Zip Code: 90272
FEC ID number of contributing federal political committee: C
Name of Employer: UNIVERSAL MUSIC GROUP
Occupation: SVP A&R
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 331.20
Date of Receipt: M M / D D / Y Y Y Y
Transaction ID: 11(a)(i)37408
Amount of Each Receipt this Period: 82.80
Payroll Deduction (541 Bi-weekly)

**B.**
Full Name (Last, First, Middle Initial): SERENA GALLAGHER
Mailing Address: 36 COLLURA LANE
City: CLIFTON State: NJ Zip Code: 07012
FEC ID number of contributing federal political committee: C
Name of Employer: UNIVERSAL MOTOWN
Occupation: SVP PUBL.
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 207.69
Date of Receipt: M M / D D / Y Y Y Y
Transaction ID: 11(a)(i)37435
Amount of Each Receipt this Period: 138.46
Payroll Deduction (569 Bi-weekly)

**C.**
Full Name (Last, First, Middle Initial): MARINUS HENNY
Mailing Address: 133 FOX DRIVE
City: ALLENDALE State: NJ Zip Code: 07401
FEC ID number of contributing federal political committee: C
Name of Employer: UNIVERSAL MUSIC GROUP
Occupation: VICE CHAIRMAN/CFO
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 450.00
Date of Receipt: M M / D D / Y Y Y Y
Transaction ID: 11(a)(i)37423
Amount of Each Receipt this Period: 180.00
Payroll Deduction (590 Bi-weekly)

SUBTOTAL of Receipts This Page (optional) ..... ▶ **401.28**

TOTAL This Period (last page this line number only) ..... ▶

## SCHEDULE A (FEC Form 3X)
## ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 10
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
UNIVERSAL MUSIC GROUP POLITICAL ACTION COMMITTEE

**A.**
Full Name (Last, First, Middle Initial): RAND HOFFMAN
Mailing Address: 1515 BLUE JAY WAY
City: LOS ANGELES State: CA Zip Code: 90069
FEC ID number of contributing federal political committee: C
Name of Employer: UNIVERSAL STUDIOS, INC.
Occupation: SVP BUSINESS AFFAIRS
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 221.52
Date of Receipt: M M / D D / Y Y Y Y
Transaction ID: 11(a)(i)37393
Amount of Each Receipt this Period: 55.38
Payroll Deduction ($28 Bi-weekly)

**B.**
Full Name (Last, First, Middle Initial): ZACHARY HOROWITZ
Mailing Address: 316 CONWAY ROAD
City: LOS ANGELES State: CA Zip Code: 90024
FEC ID number of contributing federal political committee: C
Name of Employer: UNIVERSAL MUSIC GROUP
Occupation: PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 623.04
Date of Receipt: M M / D D / Y Y Y Y
Transaction ID: 11(a)(i)37382
Amount of Each Receipt this Period: 207.68
Payroll Deduction ($104 Bi-weekly)

**C.**
Full Name (Last, First, Middle Initial): LARRY KENSWIL
Mailing Address: 449 MCCADDEN PLACE
City: LOS ANGELES State: CA Zip Code: 90020
FEC ID number of contributing federal political committee: C
Name of Employer: UNIVERSAL MUSIC GROUP
Occupation: PRESIDENT eLABS
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 553.84
Date of Receipt: M M / D D / Y Y Y Y
Transaction ID: 11(a)(i)37379
Amount of Each Receipt this Period: 138.46
Payroll Deduction ($69 Bi-weekly)

SUBTOTAL of Receipts This Page (optional) ..... ▶ **401.52**

TOTAL This Period (last page this line number only) ..... ▶

## SCHEDULE A (FEC Form 3X)
## ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 10
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
UNIVERSAL MUSIC GROUP POLITICAL ACTION COMMITTEE

**A.**
Full Name (Last, First, Middle Initial)
MONTE LIPMAN
Mailing Address 1965 BROADWAY APT. 17 D
City: NEW YORK State: NY Zip Code: 10023
FEC ID number of contributing federal political committee: C
Name of Employer: UNIVERSAL RECORDS
Occupation: DIVISION PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 692.32
Date of Receipt: M M / D D / Y Y Y Y
Transaction ID: 11(a)(i)37392
Amount of Each Receipt this Period: 173.08
Payroll Deduction ($87 Bi-weekly)

**B.**
Full Name (Last, First, Middle Initial)
AMANDA MARKS
Mailing Address 10551 CUSHDON AVENUE
City: LOS ANGELES State: CA Zip Code: 90064
FEC ID number of contributing federal political committee: C
Name of Employer: UMG
Occupation: SVP eLABS
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 387.68
Date of Receipt: M M / D D / Y Y Y Y
Transaction ID: 11(a)(i)37411
Amount of Each Receipt this Period: 124.62
Payroll Deduction ($62 Bi-weekly)

**C.**
Full Name (Last, First, Middle Initial)
DOUG MORRIS
Mailing Address 1468 LAUREL HOLLOW ROAD
City: LAUREL HOLLOW State: NY Zip Code: 11791
FEC ID number of contributing federal political committee: C
Name of Employer: UNIVERSAL MUSIC GROUP
Occupation: CHAIRMAN
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 900.00
Date of Receipt: M M / D D / Y Y Y Y
Transaction ID: 11(a)(i)37394
Amount of Each Receipt this Period: 225.00
Payroll Deduction ($113 Bi-weekly)

SUBTOTAL of Receipts This Page (optional) ..... ▶ **522.70**

TOTAL This Period (last page this line number only) ..... ▶

## SCHEDULE A (FEC Form 3X)
## ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 10
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
UNIVERSAL MUSIC GROUP POLITICAL ACTION COMMITTEE

**A.**
Full Name (Last, First, Middle Initial)
MICHAEL OSTROFF
Mailing Address 1105 ARMADA DRIVE
City: PASADENA State: CA Zip Code: 91103
FEC ID number of contributing federal political committee: C
Name of Employer: UNIVERSAL MUSIC GROUP
Occupation: EXECUTIVE VICE PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 720.00
Date of Receipt: M M / D D / Y Y Y Y
Transaction ID: 11(a)(i)37376
Amount of Each Receipt this Period: 180.00
Payroll Deduction (590 Bi-weekly)

**B.**
Full Name (Last, First, Middle Initial)
BRUCE RESNIKOFF
Mailing Address 611 N. SIERRA DRIVE
City: BEVERLY HILLS State: CA Zip Code: 90210
FEC ID number of contributing federal political committee: C
Name of Employer: UNIVERSAL MUSIC GROUP
Occupation: DIVISION PRESIDENT
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 553.84
Date of Receipt: M M / D D / Y Y Y Y
Transaction ID: 11(a)(i)37384
Amount of Each Receipt this Period: 138.46
Payroll Deduction (569 Bi-weekly)

**C.**
Full Name (Last, First, Middle Initial)
DAVID RING
Mailing Address 1901 COMSTOCK AVENUE
City: LOS ANGELES State: CA Zip Code: 90025
FEC ID number of contributing federal political committee: C
Name of Employer: UNIVERSAL MUSIC GROUP
Occupation: VP BUS DEV.
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 318.48
Date of Receipt: M M / D D / Y Y Y Y
Transaction ID: 11(a)(i)37406
Amount of Each Receipt this Period: 124.62
Payroll Deduction (562 Bi-weekly)

SUBTOTAL of Receipts This Page (optional) ..... ▶ **443.08**

TOTAL This Period (last page this line number only) ..... ▶

## SCHEDULE A (FEC Form 3X)
## ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 10
(check only one)

[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
UNIVERSAL MUSIC GROUP POLITICAL ACTION COMMITTEE

A. Full Name (Last, First, Middle Initial)
SUSAN ROBERTS-CARLTON

Mailing Address 1140 FRANKLIN STREET

City: SANTA MONICA
State: CA
Zip Code: 90403

FEC ID number of contributing federal political committee: C

Name of Employer: UMG

Occupation: SVP NEW MEDIA

Receipt For:
Primary General
Other (specify)

Aggregate Year-to-Date: 276.88

Date of Receipt: M M / D D / Y Y Y Y

Transaction ID: 11(a)(i)37415

Amount of Each Receipt this Period: 69.22

Payroll Deduction (535 Bi-weekly)

SUBTOTAL of Receipts This Page (optional) ..... ▶ 69.22

TOTAL This Period (last page this line number only) ..... ▶ 1837.78