

FEC FORM 3

REPORT OF RECEIPTS AND DISBURSEMENTS

For An Authorized Committee

RECEIVED
SECRETARY OF THE SENATE
PUBLIC RECORDS
13 OCT 15 PM 3:26
Office Use Only

1. NAME OF COMMITTEE (in full)

TYPE OR PRINT ▼

Example: If typing, type over the lines.

12FE4M5

Blumenthal for Senate

ADDRESS (number and street)

777 Summer Street



Check if different than previously reported. (ACC)

Stamford

CT

06901

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

STATE ▼ DISTRICT

C C00473587

3. IS THIS REPORT



NEW (N)

OR



AMENDED (A)

CT

00

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:



April 15 Quarterly Report (Q1)



July 15 Quarterly Report (Q2)



October 15 Quarterly Report (Q3)



January 31 Year-End Report (YE)



Termination Report (TER)

(b) 12-Day PRE-Election Report for the:



Primary (12P)



General (12G)



Runoff (12R)



Convention (12C)



Special (12S)

Election on

M M /

D D /

Y Y Y Y Y Y

in the State of

(c) 30-Day POST-Election Report for the:



General (30G)



Runoff (30R)



Special (30S)

Election on

M M /

D D /

Y Y Y Y Y Y

in the State of

5. Covering Period

M M / D D / Y Y Y Y Y Y
07 / 01 / 2013

through

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Judith Zamore

Signature of Treasurer

Judith Zamore

Date

M M / D D / Y Y Y Y Y Y
10 / 15 / 2013

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only

FEC FORM 3
(Revised 02/2003)

SUMMARY PAGE

of Receipts and Disbursements

FEC Form 3 (Revised 02/2003)

PAGE 2 / 12

Write or Type Committee Name
Blumenthal for Senate

Report Covering the Period: From: / / To: / /

	COLUMN A This Period	COLUMN B Election Cycle-to-Date
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(e))	13102.00	448381.99
(b) Total Contribution Refunds (from Line 20(d))	0.00	6960.30
(c) Net Contributions (other than loans) (subtract Line 6(b) from Line 6(a))	13102.00	441421.69
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17)	271.41	503592.22
(b) Total Offsets to Operating Expenditures (from Line 14)	3.00	33417.17
(c) Net Operating Expenditures (subtract Line 7(b) from Line 7(a))	268.41	470175.05
8. Cash on Hand at Close of Reporting Period (from Line 27)	60379.16	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	250000.00	

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

13020450209

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3 (Revised 12/2003)

PAGE 3 / 12

Write or Type Committee Name

Blumenthal for Senate

Report Covering the Period: From:

MM / DD / YYYY
07 / 01 / 2013

To:

MM / DD / YYYY
09 / 30 / 2013

I. RECEIPTS	COLUMN A Total This Period	COLUMN B Election Cycle-to-Date
11. CONTRIBUTIONS (other than loans) FROM:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	10000.00	202310.30
(ii) Unitemized	102.00	4404.98
(iii) TOTAL of contributions from individuals	10102.00	206715.28
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	3000.00	241666.71
(d) The Candidate	0.00	0.00
(e) TOTAL CONTRIBUTIONS (other than loans) (add Lines 11(a)(iii), (b), (c), and (d))..	13102.00	448381.99
12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES		
	0.00	23830.70
13. LOANS:		
(a) Made or Guaranteed by the Candidate	0.00	0.00
(b) All Other Loans	0.00	0.00
(c) TOTAL LOANS (add Lines 13(a) and (b))	0.00	0.00
14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)		
	3.00	33417.17
15. OTHER RECEIPTS (Dividends, Interest, etc.)		
	0.00	189.51
16. TOTAL RECEIPTS (add Lines 11(e), 12, 13(c), 14, and 15) (Carry Total to Line 24, page 4)	13105.00	505819.37

13020450210

DETAILED SUMMARY PAGE
of Disbursements

II. DISBURSEMENTS

COLUMN A
Total This Period

COLUMN B
Election Cycle-to-Date

17. OPERATING EXPENDITURES.....	271.41	503592.22
18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES.....	8000.00	101144.92
19. LOAN REPAYMENTS:		
(a) Of Loans Made or Guaranteed by the Candidate.....	0.00	0.00
(b) Of All Other Loans.....	0.00	0.00
(c) TOTAL LOAN REPAYMENTS (add Lines 19(a) and (b)).....	0.00	0.00
20. REFUNDS OF CONTRIBUTIONS TO:		
(a) Individuals/Persons Other Than Political Committees.....	0.00	6960.30
(b) Political Party Committees.....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) TOTAL CONTRIBUTION REFUNDS (add Lines 20(a), (b), and (c)).....	0.00	6960.30
21. OTHER DISBURSEMENTS.....	0.00	2291.20
22. TOTAL DISBURSEMENTS (add Lines 17, 18, 19(c), 20(d), and 21) ▶	8271.41	613988.64

III. CASH SUMMARY

23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD.....	55545.57
24. TOTAL RECEIPTS THIS PERIOD (from Line 16, page 3).....	13105.00
25. SUBTOTAL (add Line 23 and Line 24).....	68650.57
26. TOTAL DISBURSEMENTS THIS PERIOD (from Line 22).....	8271.41
27. CASH ON HAND AT CLOSE OF REPORTING PERIOD (subtract Line 26 from Line 25).....	60379.16

13020450211

SCHEDULE A (FEC Form 3)

ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 5 OF 12
 (check only one)
 11a 11b 11c 11d
 12 13a 13b 14 15

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Blumenthal for Senate

Full Name (Last, First, Middle Initial)
John Wolf

A. Mailing Address **PO Box 1429**

City State Zip Code
Sanibel FL 33957

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Not Employed Retired

Receipt For: 2016
 Primary General
 Other (specify) Election Cycle-to-Date
1000.00

Date of Receipt
 M M / D D / Y Y Y Y Y Y
08 / 08 / 2013

Transaction ID : **C6023980**

Amount of Each Receipt this Period
1000.00

Full Name (Last, First, Middle Initial)
Adam Wronowski

B. Mailing Address **10 Pearl St**

City State Zip Code
Mystic CT 06355-2514

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Cross Sound Ferry Manager

Receipt For: 2016
 Primary General
 Other (specify) Election Cycle-to-Date
500.00

Date of Receipt
 M M / D D / Y Y Y Y Y Y
07 / 17 / 2013

Transaction ID : **C5975970**

Amount of Each Receipt this Period
500.00

Full Name (Last, First, Middle Initial)
Gina Regan

C. Mailing Address **1811 9th Ave W**

City State Zip Code
Seattle WA 98119-2945

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Not Employed Homemaker

Receipt For: 2016
 Primary General
 Other (specify) Election Cycle-to-Date
2500.00

Date of Receipt
 M M / D D / Y Y Y Y Y Y
07 / 29 / 2013

Transaction ID : **C5981031**

Amount of Each Receipt this Period
2500.00

SUBTOTAL of Receipts This Page (optional).....

4000.00

TOTAL This Period (last page this line number only).....

13020450212

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 12
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d	<input type="checkbox"/> 15
12	13a	13b	14	

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NAME OF COMMITTEE (In Full)
Blumenthal for Senate

A. Full Name (Last, First, Middle Initial)
James Rubin

Mailing Address 120 E 80th St

City New York State NY Zip Code 10075-0306

FEC ID number of contributing federal political committee. **C**

Name of Employer US Department of Housing and Urban Dev Occupation Senior Advisor

Receipt For: 2016
 Primary General
 Other (specify)

Election Cycle-to-Date 1000.00

Date of Receipt 07 / 17 / 2013

Transaction ID : C5977462

Amount of Each Receipt this Period 1000.00

B. Full Name (Last, First, Middle Initial)
George A. Vos

Mailing Address 71 Gurley Rd

City Stamford State CT Zip Code 06902

FEC ID number of contributing federal political committee. **C**

Name of Employer Self Employed Occupation Marketing Consultant

Receipt For: 2016
 Primary General
 Other (specify)

Election Cycle-to-Date 500.00

Date of Receipt 07 / 25 / 2013

Transaction ID : C6002842

Amount of Each Receipt this Period 500.00

C. Full Name (Last, First, Middle Initial)
Stuart Ingis

Mailing Address 7702 Marbury Rd

City Bethesda State MD Zip Code 20817-6227

FEC ID number of contributing federal political committee. **C**

Name of Employer Venable LLP Occupation Partner

Receipt For: 2016
 Primary General
 Other (specify)

Election Cycle-to-Date 1500.00

Date of Receipt 07 / 09 / 2013

Transaction ID : C5944394

Amount of Each Receipt this Period 1500.00

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

3000.00

13020450213

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 12
(check only one)
 11a 12
 11b 13a
 11c 13b
 11d 14
 15

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NAME OF COMMITTEE (In Full)
Blumenthal for Senate

Full Name (Last, First, Middle Initial)
A. Richard Wasserman

Mailing Address 3 Rockland Vue Ct

City State Zip Code
Towson MD 21204-3500

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Venable LLP Attorney

Receipt For: 2016
 Primary General
 Other (specify)
 Election Cycle-to-Date 500.00

Date of Receipt
 MM / DD / YYYY
 07 / 08 / 2013
Transaction ID : C5947785

Amount of Each Receipt this Period
 500.00

Full Name (Last, First, Middle Initial)
B. Michael A. Merola

Mailing Address 9014 Marselle Dr

City State Zip Code
Potomac MD 20854-3120

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Winning Strategies Partner

Receipt For: 2016
 Primary General
 Other (specify)
 Election Cycle-to-Date 1000.00

Date of Receipt
 MM / DD / YYYY
 07 / 24 / 2013
Transaction ID : C5978866

Amount of Each Receipt this Period
 1000.00

Full Name (Last, First, Middle Initial)
C. Bradley Goldberg

Mailing Address 502 Orienta Ave

City State Zip Code
Mamaroneck NY 10543-4317

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Not Employed Not Employed

Receipt For: 2016
 Primary General
 Other (specify)
 Election Cycle-to-Date 1000.00

Date of Receipt
 MM / DD / YYYY
 07 / 22 / 2013
Transaction ID : C5978068

Amount of Each Receipt this Period
 1000.00

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

2500.00

13020450214

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 OF 12
(check only one)
 11a 11b 11c 11d
 12 13a 13b 14 15

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NAME OF COMMITTEE (In Full)
Blumenthal for Senate

A. Full Name (Last, First, Middle Initial)
Paul Pescatello

Mailing Address **387 Old Mountain Road**

City **Farmington** State **CT** Zip Code **06032**

FEC ID number of contributing federal political committee. **C**

Name of Employer **CT United for Research Excellence** Occupation **President/CEO**

Receipt For: 2016
 Primary General
 Other (specify)

Election Cycle-to-Date

Date of Receipt
 M M / D D / Y Y Y Y
07 / 16 / 2013

Transaction ID : **C5977849**

Amount of Each Receipt this Period
500.00

B. Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:
 Primary General
 Other (specify)

Election Cycle-to-Date

Date of Receipt
 M M / D D / Y Y Y Y

Amount of Each Receipt this Period

C. Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:
 Primary General
 Other (specify)

Election Cycle-to-Date

Date of Receipt
 M M / D D / Y Y Y Y

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)

TOTAL This Period (last page this line number only)

500.00

10000.00

13020450215

**SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 9 OF 12	
	<input type="checkbox"/> 11a 12	<input type="checkbox"/> 11b 13a	<input checked="" type="checkbox"/> 11c 13b	<input type="checkbox"/> 11d 14
<input type="checkbox"/> 15				

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NAME OF COMMITTEE (In Full)
Blumenthal for Senate

Full Name (Last, First, Middle Initial) Winning Strategies Washington PAC		Date of Receipt MM / DD / YYYY 07 / 24 / 2013
Mailing Address 819 7th St NW Ste 501 City Washington State DC Zip Code 20001-3865		Transaction ID : C5978865
FEC ID number of contributing federal political committee. C C00368993		Amount of Each Receipt this Period 1000.00
Name of Employer	Occupation	
Receipt For: 2016 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Election Cycle-to-Date 2000.00	

Full Name (Last, First, Middle Initial) American Postal Workers Union - COPA		Date of Receipt MM / DD / YYYY 09 / 30 / 2013
Mailing Address 1300 L St NW City Washington State DC Zip Code 20005-4107		Transaction ID : C6068615
FEC ID number of contributing federal political committee. C C00010322		Amount of Each Receipt this Period 2000.00
Name of Employer	Occupation	
Receipt For: 2016 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Election Cycle-to-Date 4500.00	

Full Name (Last, First, Middle Initial) C.		Date of Receipt MM / DD / YYYY
Mailing Address City State Zip Code		
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period
Name of Employer	Occupation	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Election Cycle-to-Date	

SUBTOTAL of Receipts This Page (optional)	3000.00
TOTAL This Period (last page this line number only)	3000.00

13020450216

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input checked="" type="checkbox"/> 17	<input type="checkbox"/> 18	<input type="checkbox"/> 19a	<input type="checkbox"/> 19b
20a	20b	20c	21

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NAME OF COMMITTEE (In Full)
Blumenthal for Senate

Full Name (Last, First, Middle Initial)

A. Moneris

Mailing Address 150 N Martingale Rd
Ste 900

City Schaumburg State IL Zip Code 60173-2081

Purpose of Disbursement
Credit Card Processing Fee

Candidate Name.

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2010
 Primary General
 Other (specify)

State: District:

Date of Disbursement

M M / D D / Y Y Y Y
08 / 05 / 2013

Amount of Each Disbursement this Period

262.41

Transaction ID : D321398

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify)

State: District:

Date of Disbursement

M M / D D / Y Y Y Y

Amount of Each Disbursement this Period

C.

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify)

State: District:

Date of Disbursement

M M / D D / Y Y Y Y

Amount of Each Disbursement this Period

SUBTOTAL of Disbursements This Page (optional).....

262.41

TOTAL This Period (last page this line number only).....

262.41

13020450217

**SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 17 20a	<input checked="" type="checkbox"/> 18 20b	<input type="checkbox"/> 19a 20c	<input type="checkbox"/> 19b 21
------------------------------------	-----------------------------------------------	-------------------------------------	------------------------------------

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NAME OF COMMITTEE (In Full)

Blumenthal for Senate

Full Name (Last, First, Middle Initial)

A. Blumenthal for Connecticut

Mailing Address 777 Summer St

Date of Disbursement

M M 08	D D 07	Y Y Y Y 2013
-----------	-----------	-----------------

City State Zip Code
Stamford CT 06901-1022

Amount of Each Disbursement this Period

8000.00

Purpose of Disbursement
Transfer 2016 Funds to Blumenthal for Connecticut

Transaction ID : D319052

Candidate Name
RICHARD BLUMENTHAL

Category/
Type

Office Sought: House Senate President
Disbursement For: 2016 Primary General Other (specify)

State: CT District: 00

Full Name (Last, First, Middle Initial)

B. Blumenthal for Connecticut

Mailing Address 777 Summer St

Date of Disbursement

M M 07	D D 08	Y Y Y Y 2013
-----------	-----------	-----------------

City State Zip Code
Stamford CT 06901-1022

Amount of Each Disbursement this Period

2500.00

Purpose of Disbursement
Transfer 2016 Funds to Blumenthal for Connecticut

Transaction ID : D318316

Candidate Name
RICHARD BLUMENTHAL

Category/
Type

Office Sought: House Senate President
Disbursement For: 2016 Primary General Other (specify)

State: CT District: 00

Full Name (Last, First, Middle Initial)

C. Blumenthal for Connecticut

Mailing Address 777 Summer St

Date of Disbursement

M M 09	D D 18	Y Y Y Y 2013
-----------	-----------	-----------------

City State Zip Code
Stamford CT 06901-1022

Amount of Each Disbursement this Period

1500.00

Purpose of Disbursement
Transfer 2016 Funds to Blumenthal for Connecticut

Transaction ID : D321328

Candidate Name
RICHARD BLUMENTHAL

Category/
Type

Office Sought: House Senate President
Disbursement For: 2016 Primary General Other (specify)

State: CT District: 00

SUBTOTAL of Disbursements This Page (optional).....

8000.00

TOTAL This Period (last page this line number only).....

8000.00

13020450218

SCHEDULE C (FEC Form 3)

LOANS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 12 OF 12

FOR LINE NUMBER: (check only one) 13a 13b

NAME OF COMMITTEE (In Full) **Blumenthal for Senate** Transaction ID : **L358**

LOAN SOURCE Full Name (Last, First, Middle Initial) *[PERSONAL FUNDS]* Election: 2010
Hon. Richard Blumenthal PERS FUNDS
 Primary
 General
 Other (specify) ▼

Mailing Address
 145 Clapboard Ridge Road

City State ZIP Code
 Greenwich CT 06831

Original Amount of Loan Cumulative Payment To Date Balance Outstanding at Close of This Period

TERMS

Date Incurred / / Date Due / / Interest Rate % (apr) Secured: Yes No

List All Endorsers or Guarantors (if any) to Loan Source

1. Full Name (Last, First, Middle Initial)	Name of Employer
Mailing Address	Occupation
City State ZIP Code	Amount Guaranteed Outstanding: <input type="text"/>
2. Full Name (Last, First, Middle Initial)	Name of Employer
Mailing Address	Occupation
City State ZIP Code	Amount Guaranteed Outstanding: <input type="text"/>
3. Full Name (Last, First, Middle Initial)	Name of Employer
Mailing Address	Occupation
City State ZIP Code	Amount Guaranteed Outstanding: <input type="text"/>
4. Full Name (Last, First, Middle Initial)	Name of Employer
Mailing Address	Occupation
City State ZIP Code	Amount Guaranteed Outstanding: <input type="text"/>

SUBTOTALS This Period This Page (optional).....

TOTALS This Period (last page in this line only).....

Carry outstanding balance only to LINE 3, Schedule D, for this line. If no Schedule D, carry forward to appropriate line of Summary.

13020450219

NANCY ERICKSON
SECRETARY

DANA K. MCCALLUM
SUPERINTENDENT
HART SENATE OFFICE BUILDING
SUITE 232
WASHINGTON, DC 20510-7116
PHONE: (202) 224-0322

United States Senate

OFFICE OF THE SECRETARY

OFFICE OF PUBLIC RECORDS

THE PRECEDING DOCUMENT WAS:

HAND DELIVERED _____

10-15-13
Date of Receipt

USPS FIRST CLASS MAIL _____

Postmark

USPS REGISTERED/CERTIFIED _____

Postmark

USPS PRIORITY MAIL _____

Postmark

DELIVERY CONFIRMATION OR SIGNATURE CONFIRMATION LABEL

USPS EXPRESS MAIL _____

Postmark

OVERNIGHT DELIVERY SERVICE:

SHIPPING DATE

NEXT BUSINESS DAY DELIVERY

FEDERAL EXPRESS _____

UPS _____

DHL _____

AIRBORNE EXPRESS _____

RECEIVED FROM FEDERAL ELECTION COMMISSION _____

Date of Receipt

POSTMARK ILLEGIBLE

NO POSTMARK

FAX _____

Date of Receipt

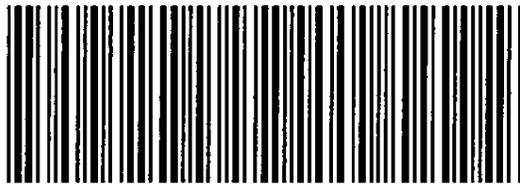
OTHER _____

Date of Receipt or Postmark

PREPARER **DH**

DATE PREPARED **10-15-13**

13020450220



13020450221