



**SUMMARY PAGE**  
**OF RECEIPTS AND DISBURSEMENTS**

Write or Type Committee Name  
Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From: 

M	M
1	1

D	D
2	3

Y	Y	Y	Y
2	0	1	0

 To: 

M	M
1	2

D	D
3	1

Y	Y	Y	Y
2	0	1	0

	COLUMN A This Period	COLUMN B Calendar Year-to-Date								
6. (a) Cash on Hand January 1 <table border="1"><tr><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr><tr><td>2</td><td>0</td><td>1</td><td>0</td></tr></table>	Y	Y	Y	Y	2	0	1	0		111977.94
Y	Y	Y	Y							
2	0	1	0							
(b) Cash on Hand at Beginning of Reporting Period .....	236571.04									
(c) Total Receipts (from Line 19) .....	25114.50	437209.43								
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	261685.54	549187.37								
7. Total Disbursements (from Line 31) .....	5218.96	292720.79								
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	256466.58	256466.58								
9. Debts and Obligations owed <b>TO</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00									
10. Debts and Obligations owed <b>BY</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00									

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

**DETAILED SUMMARY PAGE  
OF RECEIPTS**

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From: 

M	M
1	1

D	D
2	3

Y	Y	Y	Y
2	0	1	0

 To: 

M	M
1	2

D	D
3	1

Y	Y	Y	Y
2	0	1	0

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A) .....	24518.54	292204.29
(ii) Unitemized .....	377.00	66784.35
(iii) TOTAL (add Lines 11(a)(i) and (ii) .....	24895.54	358988.64
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	66500.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5) .....	24895.54	425488.64
12. Transfers From Affiliated/Other Party Committees .....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received .....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) .....	218.96	6720.79
16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....	0.00	5000.00
17. Other Federal Receipts (Dividends, Interest, etc.) .....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	25114.50	437209.43
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	25114.50	437209.43

## DETAILED SUMMARY PAGE

of Disbursements

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FEC Form 3X (Rev. 02/2003)

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share.....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures.....	218.96	6720.79
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ▶	218.96	6720.79
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	5000.00	286000.00
24. Independent Expenditure (use Schedule E) .....	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....	0.00	0.00
29. Other Disbursements.....	0.00	0.00
30. Federal Election Activity (2 U.S.C 431(20))		
(a) Shared Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share .....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	5218.96	292720.79
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	5218.96	292720.79

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

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III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3) .....	24895.54	425488.64
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	24895.54	425488.64
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	218.96	6720.79
37. Offsets to Operating Expenditures (from Line 15, page 3) .....	218.96	6720.79
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	0.00	0.00

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 / 22
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
Securities Industry and Financial Markets Association Political Action Committee

<b>A.</b>	Full Name (Last, First, Middle Initial) Mr. J. Barnes	Date of Receipt MM / DD / YYYY 11 / 29 / 2010
	Mailing Address 2248 Mandeville Canyon Road	<b>Transaction ID:</b> 18759891
	City State Zip Code Los Angeles CA 90049-1827	Amount of Each Receipt this Period 1000.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Occupation Knight Capital Group Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

<b>B.</b>	Full Name (Last, First, Middle Initial) Mr. Cory N. Strupp	Date of Receipt MM / DD / YYYY 11 / 29 / 2010
	Mailing Address 3284 Big Spruce Way	<b>Transaction ID:</b> 18759892
	City State Zip Code Park City UT 84098-5359	Amount of Each Receipt this Period 2500.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Occupation Securities Industry and Financial Mark Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 2500.00	

<b>C.</b>	Full Name (Last, First, Middle Initial) Mr. Gregory C. Voetsch	Date of Receipt MM / DD / YYYY 12 / 07 / 2010
	Mailing Address 1 Pleasantville Road	<b>Transaction ID:</b> 18788614
	City State Zip Code New Vernon NJ 07976-9707	Amount of Each Receipt this Period 1000.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer Occupation Knight Capital Group Executive Vice President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	4500.00
<b>TOTAL</b> This Period (last page this line number only) .....	

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 22  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
Securities Industry and Financial Markets Association Political Action Committee

<p><b>A.</b> Full Name (Last, First, Middle Initial) Mr. Thomas F Price</p> <p>Mailing Address 585 Farview Avenue</p> <p>City State Zip Code <u>Wyckoff</u> NJ 07481-1141</p> <p>FEC ID number of contributing federal political committee. <span style="border: 1px solid black; padding: 2px;">C</span></p> <p>Name of Employer Securities Industry and Financial Mark</p> <p>Occupation Managing Director</p> <p>Receipt For:  <input type="checkbox"/> Primary <input type="checkbox"/> General  <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼  <span style="border: 1px solid black; padding: 2px;">924.00</span></p>	<p>Date of Receipt  <table border="1" style="width: 100%; text-align: center;"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>1</td><td>2</td><td>/</td><td>0</td><td>3</td><td>/</td><td>2</td><td>0</td><td>1</td><td>0</td> </tr> </table> </p> <p><b>Transaction ID:</b> 18788625</p> <p>Amount of Each Receipt this Period  <span style="border: 1px solid black; padding: 2px; text-align: right;">38.50</span></p> <p><b>Contribution</b></p>	M	M	/	D	D	/	Y	Y	Y	Y	1	2	/	0	3	/	2	0	1	0
M	M	/	D	D	/	Y	Y	Y	Y												
1	2	/	0	3	/	2	0	1	0												

<p><b>B.</b> Full Name (Last, First, Middle Initial) Mr. Joseph M. Vaughan</p> <p>Mailing Address 1305 Shepard Street, NW</p> <p>City State Zip Code <u>Washington</u> DC 20011-5529</p> <p>FEC ID number of contributing federal political committee. <span style="border: 1px solid black; padding: 2px;">C</span></p> <p>Name of Employer Securities Industry and Financial Mark</p> <p>Occupation Vice President</p> <p>Receipt For:  <input type="checkbox"/> Primary <input type="checkbox"/> General  <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼  <span style="border: 1px solid black; padding: 2px;">365.37</span></p>	<p>Date of Receipt  <table border="1" style="width: 100%; text-align: center;"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>1</td><td>2</td><td>/</td><td>0</td><td>3</td><td>/</td><td>2</td><td>0</td><td>1</td><td>0</td> </tr> </table> </p> <p><b>Transaction ID:</b> 18788641</p> <p>Amount of Each Receipt this Period  <span style="border: 1px solid black; padding: 2px; text-align: right;">19.23</span></p> <p><b>Contribution</b></p>	M	M	/	D	D	/	Y	Y	Y	Y	1	2	/	0	3	/	2	0	1	0
M	M	/	D	D	/	Y	Y	Y	Y												
1	2	/	0	3	/	2	0	1	0												

<p><b>C.</b> Full Name (Last, First, Middle Initial) Mr. John Hallmark</p> <p>Mailing Address 1101 New York Ave, NW 8th Floor</p> <p>City State Zip Code <u>Washington</u> DC 20005-4269</p> <p>FEC ID number of contributing federal political committee. <span style="border: 1px solid black; padding: 2px;">C</span></p> <p>Name of Employer Securities Industry and Financial Mark</p> <p>Occupation Managing Director</p> <p>Receipt For:  <input type="checkbox"/> Primary <input type="checkbox"/> General  <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼  <span style="border: 1px solid black; padding: 2px;">885.50</span></p>	<p>Date of Receipt  <table border="1" style="width: 100%; text-align: center;"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>1</td><td>2</td><td>/</td><td>0</td><td>3</td><td>/</td><td>2</td><td>0</td><td>1</td><td>0</td> </tr> </table> </p> <p><b>Transaction ID:</b> 18788643</p> <p>Amount of Each Receipt this Period  <span style="border: 1px solid black; padding: 2px; text-align: right;">38.50</span></p> <p><b>Contribution</b></p>	M	M	/	D	D	/	Y	Y	Y	Y	1	2	/	0	3	/	2	0	1	0
M	M	/	D	D	/	Y	Y	Y	Y												
1	2	/	0	3	/	2	0	1	0												

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	<span style="border: 1px solid black; padding: 2px;">96.23</span>
<b>TOTAL</b> This Period (last page this line number only) .....	<span style="border: 1px solid black; padding: 2px;"> </span>

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 22

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
Securities Industry and Financial Markets Association Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)  
Ms. N. Ellen McCarthy

Mailing Address 1101 New York Ave, NW  
8th Floor

City State Zip Code  
Washington DC 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director

Receipt For:  Primary  General  Other (specify) ▼ Aggregate Year-to-Date ▼ 885.50

Date of Receipt

M M / D D / Y Y Y Y Y  
1 2 / 0 3 / 2 0 1 0

Transaction ID: 18788644

Amount of Each Receipt this Period

38.50

Contribution

**B.**

Full Name (Last, First, Middle Initial)  
Mr. Kenneth E. Bentsen, Jr.

Mailing Address 1101 New York Ave, NW  
8th Floor

City State Zip Code  
Washington DC 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation EVP, Public Policy and Advocacy

Receipt For:  Primary  General  Other (specify) ▼ Aggregate Year-to-Date ▼ 4616.40

Date of Receipt

M M / D D / Y Y Y Y Y  
1 2 / 0 3 / 2 0 1 0

Transaction ID: 18788645

Amount of Each Receipt this Period

192.35

Contribution

**C.**

Full Name (Last, First, Middle Initial)  
Marin E. Gibson

Mailing Address 796 Port Monmouth Rd.

City State Zip Code  
Port Monmouth NJ 07758-1676

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director & Counsel

Receipt For:  Primary  General  Other (specify) ▼ Aggregate Year-to-Date ▼ 1384.80

Date of Receipt

M M / D D / Y Y Y Y Y  
1 2 / 0 3 / 2 0 1 0

Transaction ID: 18788646

Amount of Each Receipt this Period

57.70

Contribution

**SUBTOTAL** of Receipts This Page (optional) .....

288.55

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 / 22
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12	
	<input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
Securities Industry and Financial Markets Association Political Action Committee

<b>A.</b>	Full Name (Last, First, Middle Initial) Mr. Carter McDowell	Date of Receipt MM / DD / YYYY 12 / 03 / 2010
	Mailing Address 1101 New York Ave, NW 8th Floor	<b>Transaction ID:</b> 18788647
	City State Zip Code Washington DC 20005-4269	Amount of Each Receipt this Period 100.00
	FEC ID number of contributing federal political committee. <b>C</b>	Contribution
	Name of Employer Securities Industry and Financial Mark	
Occupation Senior Managing Director	Aggregate Year-to-Date 2400.00	

<b>B.</b>	Full Name (Last, First, Middle Initial) Rob Gannon	Date of Receipt MM / DD / YYYY 12 / 03 / 2010
	Mailing Address 3 Higgins Road	<b>Transaction ID:</b> 18788649
	City State Zip Code Old Bridge NJ 08857-2749	Amount of Each Receipt this Period 9.65
	FEC ID number of contributing federal political committee. <b>C</b>	Contribution
	Name of Employer Securities Industry and Financial Mark	
Occupation Managing Director	Aggregate Year-to-Date 231.60	

<b>C.</b>	Full Name (Last, First, Middle Initial) Ms. Cheryl Crispen	Date of Receipt MM / DD / YYYY 12 / 03 / 2010
	Mailing Address 1101 New York Ave, NW	<b>Transaction ID:</b> 18788650
	City State Zip Code Washington DC 20005-4213	Amount of Each Receipt this Period 96.15
	FEC ID number of contributing federal political committee. <b>C</b>	Contribution
	Name of Employer Securities Industry and Financial Mark	
Occupation Executive Vice President, Communicatio	Aggregate Year-to-Date 673.05	

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	<b>205.80</b>
<b>TOTAL</b> This Period (last page this line number only) .....	

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 22

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
Securities Industry and Financial Markets Association Political Action Committee

<b>A.</b>	Full Name (Last, First, Middle Initial) Mr. Reginald M. Browne		Date of Receipt
	Mailing Address 9 Larkspur Lane		<input type="text" value="12"/> / <input type="text" value="08"/> / <input type="text" value="2010"/>
	City Newtown	State PA	Zip Code 18940-2620
	FEC ID number of contributing federal political committee. <b>C</b>		<b>Transaction ID:</b> 18790305
	Name of Employer Knight Capital Group		Occupation Managing Director
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <input type="text" value="1000.00"/>	Amount of Each Receipt this Period <input type="text" value="1000.00"/>
			Contribution

<b>B.</b>	Full Name (Last, First, Middle Initial) Mr. Noe Hinojosa, Jr.		Date of Receipt
	Mailing Address 6038 Woodland Drive		<input type="text" value="12"/> / <input type="text" value="15"/> / <input type="text" value="2010"/>
	City Dallas	State TX	Zip Code 75225
	FEC ID number of contributing federal political committee. <b>C</b>		<b>Transaction ID:</b> 18793665
	Name of Employer Estrada-Hinojosa		Occupation President and CEO
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <input type="text" value="4000.00"/>	Amount of Each Receipt this Period <input type="text" value="4000.00"/>
			Contribution

<b>C.</b>	Full Name (Last, First, Middle Initial) Mr. James A. Fulp		Date of Receipt
	Mailing Address 1311 Snell Harbor Drive, NE		<input type="text" value="12"/> / <input type="text" value="15"/> / <input type="text" value="2010"/>
	City Saint Petersburg	State FL	Zip Code 33704-3033
	FEC ID number of contributing federal political committee. <b>C</b>		<b>Transaction ID:</b> 18793667
	Name of Employer Raymond James Financial, Inc.		Occupation Executive Vice President
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <input type="text" value="500.00"/>	Amount of Each Receipt this Period <input type="text" value="500.00"/>
			Contribution

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	<input type="text" value="5500.00"/>
<b>TOTAL</b> This Period (last page this line number only) .....	<input type="text"/>

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 11 / 22
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
Securities Industry and Financial Markets Association Political Action Committee

<b>A.</b>	Full Name (Last, First, Middle Initial) Mr. Brian T. Shea	Date of Receipt MM / DD / YYYY 12 / 22 / 2010
	Mailing Address 190 Whitehall Blvd.	<b>Transaction ID:</b> 18799084
	City State Zip Code Garden City NY 11530-1338	Amount of Each Receipt this Period 2000.00
	FEC ID number of contributing federal political committee. <b>C</b>	Contribution
	Name of Employer Occupation Pershing LLC Chief Executive Officer	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 2000.00	

<b>B.</b>	Full Name (Last, First, Middle Initial) Mr. Richard Greenwald	Date of Receipt MM / DD / YYYY 12 / 22 / 2010
	Mailing Address 360 River Road	<b>Transaction ID:</b> 18799085
	City State Zip Code Collegeville PA 19426-3026	Amount of Each Receipt this Period 500.00
	FEC ID number of contributing federal political committee. <b>C</b>	Contribution
	Name of Employer Occupation Raymond James Financial, Inc. Vice President/Investments	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	

<b>C.</b>	Full Name (Last, First, Middle Initial) Mr. Richard G. Averitt, III	Date of Receipt MM / DD / YYYY 12 / 23 / 2010
	Mailing Address 6755 30th Street South	<b>Transaction ID:</b> 18800267
	City State Zip Code Saint Petersburg FL 33712-5519	Amount of Each Receipt this Period 5000.00
	FEC ID number of contributing federal political committee. <b>C</b>	Contribution
	Name of Employer Occupation Raymond James Financial, Inc. Chairman & CEO	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 5000.00	

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	7500.00
<b>TOTAL</b> This Period (last page this line number only) .....	

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 22  
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12	<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16	<input type="checkbox"/>	17
-------------------------------------	-----	--------------------------	-----	--------------------------	-----	--------------------------	----	--------------------------	----	--------------------------	----	--------------------------	----	--------------------------	----	--------------------------	----

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
Securities Industry and Financial Markets Association Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)  
Mr. Paul E. Purcell

Mailing Address 825 S. Washington Street

City Hinsdale State IL Zip Code 60521-4530

FEC ID number of contributing federal political committee. **C**

Name of Employer Robert W. Baird & Co. Incorporated  
Occupation Chairman, President & CEO

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 5000.00

Date of Receipt 12 / 28 / 2010  
**Transaction ID: 18800276**

Amount of Each Receipt this Period 5000.00

Contribution

**B.**

Full Name (Last, First, Middle Initial)  
Mr. Chuck Evans

Mailing Address 3364 Shady Spring Lane

City Mountain View State CA Zip Code 94040-4581

FEC ID number of contributing federal political committee. **C**

Name of Employer RBC Wealth Management  
Occupation Complex Director

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt 12 / 28 / 2010  
**Transaction ID: 18800277**

Amount of Each Receipt this Period 250.00

Contribution

**C.**

Full Name (Last, First, Middle Initial)  
Mr. Thomas F Price

Mailing Address 585 Farview Avenue

City Wyckoff State NJ Zip Code 07481-1141

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark  
Occupation Managing Director

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt 12 / 31 / 2010  
**Transaction ID: 18824376**

Amount of Each Receipt this Period 37.50

Contribution

**SUBTOTAL** of Receipts This Page (optional) ..... ► **5287.50**

**TOTAL** This Period (last page this line number only) ..... ►

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 22  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
Securities Industry and Financial Markets Association Political Action Committee

**A.**

Full Name (Last, First, Middle Initial) Mr. Joseph M. Vaughan		Date of Receipt MM / DD / YYYY 12 / 31 / 2010
Mailing Address 1305 Shepard Street, NW		<b>Transaction ID:</b> 18824384
City Washington	State DC	Zip Code 20011-5529
FEC ID number of contributing federal political committee. <b>C</b>		Amount of Each Receipt this Period 19.23
Name of Employer Securities Industry and Financial Mark	Occupation Vice President	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 403.83	

**B.**

Full Name (Last, First, Middle Initial) Mr. John Hallmark		Date of Receipt MM / DD / YYYY 12 / 31 / 2010
Mailing Address 1101 New York Ave, NW 8th Floor		<b>Transaction ID:</b> 18824391
City Washington	State DC	Zip Code 20005-4269
FEC ID number of contributing federal political committee. <b>C</b>		Amount of Each Receipt this Period 38.50
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 962.50	

**C.**

Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen, Jr.		Date of Receipt MM / DD / YYYY 12 / 31 / 2010
Mailing Address 1101 New York Ave, NW 8th Floor		<b>Transaction ID:</b> 18824398
City Washington	State DC	Zip Code 20005-4269
FEC ID number of contributing federal political committee. <b>C</b>		Amount of Each Receipt this Period 191.25
Name of Employer Securities Industry and Financial Mark	Occupation EVP, Public Policy and Advocacy	Contribution
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 5000.00	

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	<b>248.98</b>
<b>TOTAL</b> This Period (last page this line number only) .....	

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 22  
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12		
<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16	<input type="checkbox"/>	17

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NAME OF COMMITTEE (In Full)  
Securities Industry and Financial Markets Association Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Ms. N. Ellen McCarthy

Mailing Address 1101 New York Ave, NW  
8th Floor

City Washington State DC Zip Code 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director

Receipt For:  Primary  General  Other (specify) ▼ Aggregate Year-to-Date ▼ 962.50

Date of Receipt 12 / 31 / 2010  
Transaction ID: 18824404  
Amount of Each Receipt this Period 38.50  
Contribution

**B.** Full Name (Last, First, Middle Initial)  
Marin E. Gibson

Mailing Address 796 Port Monmouth Rd.

City Port Monmouth State NJ Zip Code 07758-1676

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director & Counsel

Receipt For:  Primary  General  Other (specify) ▼ Aggregate Year-to-Date ▼ 1500.00

Date of Receipt 12 / 31 / 2010  
Transaction ID: 18824405  
Amount of Each Receipt this Period 57.50  
Contribution

**C.** Full Name (Last, First, Middle Initial)  
Mr. Carter McDowell

Mailing Address 1101 New York Ave, NW  
8th Floor

City Washington State DC Zip Code 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Senior Managing Director

Receipt For:  Primary  General  Other (specify) ▼ Aggregate Year-to-Date ▼ 2600.00

Date of Receipt 12 / 31 / 2010  
Transaction ID: 18824411  
Amount of Each Receipt this Period 100.00  
Contribution

**SUBTOTAL** of Receipts This Page (optional) ..... ► 196.00

**TOTAL** This Period (last page this line number only) ..... ►

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 15 / 22
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
Securities Industry and Financial Markets Association Political Action Committee

<b>A.</b>	Full Name (Last, First, Middle Initial) Rob Gannon	Date of Receipt MM / DD / YYYY 12 / 31 / 2010
	Mailing Address 3 Higgins Road	<b>Transaction ID:</b> 18824447
	City State Zip Code Old Bridge NJ 08857-2749	Amount of Each Receipt this Period 8.75
	FEC ID number of contributing federal political committee. <b>C</b>	<b>Contribution</b>
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

<b>B.</b>	Full Name (Last, First, Middle Initial) Ms. Cheryl Crispen	Date of Receipt MM / DD / YYYY 12 / 31 / 2010
	Mailing Address 1101 New York Ave, NW	<b>Transaction ID:</b> 18824448
	City State Zip Code Washington DC 20005-4213	Amount of Each Receipt this Period 96.15
	FEC ID number of contributing federal political committee. <b>C</b>	<b>Contribution</b>
Name of Employer Securities Industry and Financial Mark	Occupation Executive Vice President, Communicatio	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 865.35	

<b>C.</b>	Full Name (Last, First, Middle Initial) Mr. Thomas F Price	Date of Receipt MM / DD / YYYY 12 / 17 / 2010
	Mailing Address 585 Farview Avenue	<b>Transaction ID:</b> 18824526
	City State Zip Code Wyckoff NJ 07481-1141	Amount of Each Receipt this Period 38.50
	FEC ID number of contributing federal political committee. <b>C</b>	<b>Contribution</b>
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 962.50	

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	<b>143.40</b>
<b>TOTAL</b> This Period (last page this line number only) .....	

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 22

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Mr. Joseph M. Vaughan

Mailing Address 1305 Shepard Street, NW

City State Zip Code  
Washington DC 20011-5529

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Vice President

Receipt For:  Primary  General  Other (specify) ▼ Aggregate Year-to-Date ▼ 384.60

Date of Receipt

MM / DD / YYYY  
12 / 17 / 2010

Transaction ID: 18824559

Amount of Each Receipt this Period

19.23

Contribution

**B.**

Full Name (Last, First, Middle Initial)

Mr. John Hallmark

Mailing Address 1101 New York Ave, NW  
8th Floor

City State Zip Code  
Washington DC 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director

Receipt For:  Primary  General  Other (specify) ▼ Aggregate Year-to-Date ▼ 924.00

Date of Receipt

MM / DD / YYYY  
12 / 17 / 2010

Transaction ID: 18824652

Amount of Each Receipt this Period

38.50

Contribution

**C.**

Full Name (Last, First, Middle Initial)

Ms. N. Ellen McCarthy

Mailing Address 1101 New York Ave, NW  
8th Floor

City State Zip Code  
Washington DC 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director

Receipt For:  Primary  General  Other (specify) ▼ Aggregate Year-to-Date ▼ 924.00

Date of Receipt

MM / DD / YYYY  
12 / 17 / 2010

Transaction ID: 18824714

Amount of Each Receipt this Period

38.50

Contribution

**SUBTOTAL** of Receipts This Page (optional) .....

96.23

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 22  
(check only one)  
 11a    11b    11c    12  
 13    14    15    16    17

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NAME OF COMMITTEE (In Full)  
Securities Industry and Financial Markets Association Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Mr. Kenneth E. Bentsen, Jr.

Mailing Address 1101 New York Ave, NW  
8th Floor

City State Zip Code  
Washington DC 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark  
Occupation: EVP, Public Policy and Advocacy

Receipt For:  
 Primary    General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 4808.75

Date of Receipt: 12 / 17 / 2010  
**Transaction ID: 18824790**  
 Amount of Each Receipt this Period: 192.35  
 Contribution

**B.** Full Name (Last, First, Middle Initial)  
Marin E. Gibson

Mailing Address 796 Port Monmouth Rd.

City State Zip Code  
Port Monmouth NJ 07758-1676

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark  
Occupation: Managing Director & Counsel

Receipt For:  
 Primary    General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1442.50

Date of Receipt: 12 / 17 / 2010  
**Transaction ID: 18824816**  
 Amount of Each Receipt this Period: 57.70  
 Contribution

**C.** Full Name (Last, First, Middle Initial)  
Mr. Carter McDowell

Mailing Address 1101 New York Ave, NW  
8th Floor

City State Zip Code  
Washington DC 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark  
Occupation: Senior Managing Director

Receipt For:  
 Primary    General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 2500.00

Date of Receipt: 12 / 17 / 2010  
**Transaction ID: 18824852**  
 Amount of Each Receipt this Period: 100.00  
 Contribution

**SUBTOTAL** of Receipts This Page (optional) ..... ► 350.05

**TOTAL** This Period (last page this line number only) ..... ►

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:	PAGE 18 / 22						
	(check only one)							
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16

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NAME OF COMMITTEE (In Full)  
Securities Industry and Financial Markets Association Political Action Committee

<b>A.</b>	Full Name (Last, First, Middle Initial) Rob Gannon		Date of Receipt
	Mailing Address 3 Higgins Road		<input type="text" value="12"/> / <input type="text" value="17"/> / <input type="text" value="2010"/>
	City	State	Zip Code
	Old Bridge	NJ	08857-2749
	FEC ID number of contributing federal political committee.		<input type="text" value="C"/>
Name of Employer Securities Industry and Financial Mark		Occupation Managing Director	Transaction ID: 18824925
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼	
		<input type="text" value="241.25"/>	
		Amount of Each Receipt this Period	<input type="text" value="9.65"/>
		Contribution	

<b>B.</b>	Full Name (Last, First, Middle Initial) Ms. Cheryl Crispen		Date of Receipt
	Mailing Address 1101 New York Ave, NW		<input type="text" value="12"/> / <input type="text" value="17"/> / <input type="text" value="2010"/>
	City	State	Zip Code
	Washington	DC	20005-4213
	FEC ID number of contributing federal political committee.		<input type="text" value="C"/>
Name of Employer Securities Industry and Financial Mark		Occupation Executive Vice President, Communicatio	Transaction ID: 18824940
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼	
		<input type="text" value="769.20"/>	
		Amount of Each Receipt this Period	<input type="text" value="96.15"/>
		Contribution	

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	<input type="text" value="105.80"/>
<b>TOTAL</b> This Period (last page this line number only) .....	<input type="text" value="24518.54"/>

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:		PAGE 19 / 22	
	(check only one)			
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input checked="" type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
Securities Industry and Financial Markets Association Political Action Committee

<b>A.</b>	Full Name (Last, First, Middle Initial) Securities Industry and Financial Markets Association		Date of Receipt
	Mailing Address 120 Broadway Floor 35		<input type="text" value="1"/> <input type="text" value="2"/> / <input type="text" value="2"/> <input type="text" value="8"/> / <input type="text" value="2"/> <input type="text" value="0"/> <input type="text" value="1"/> <input type="text" value="0"/>
	City	State	Zip Code
	New York	NY	10271
	FEC ID number of contributing federal political committee.		<input type="text" value="C"/>
	Name of Employer		Occupation
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <input type="text" value="6720.79"/>	Transaction ID: 18800278
			Amount of Each Receipt this Period <input type="text" value="218.96"/>
			Bank Fee Reimbursement

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	<input type="text" value="218.96"/>
<b>TOTAL</b> This Period (last page this line number only) .....	<input type="text" value="218.96"/>

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Bennet For Colorado

Mailing Address PO Box 3078

City Denver State CO Zip Code 80201

Purpose of Disbursement  
2010 General Debt Retirement

Candidate Name  
Sen. Michael F. Bennet

Office Sought:  House  
 Senate  
 President

State: CO District:

Disbursement For: 2010  
 Primary  General  
 Other (specify) ▼

2010 General Debt Re

011  
Category/  
Type

Transaction ID: 18791767

Date of Disbursement

12 / 13 / 2010

Amount of Each Disbursement this Period

2500.00

2010 General Debt Retirement

B.

Full Name (Last, First, Middle Initial)

Stabenow For U.S. Senate

Mailing Address P.O. Box 4945

City East Lansing State MI Zip Code 48826

Purpose of Disbursement  
Contribution

Candidate Name  
Sen. Debbie Stabenow

Office Sought:  House  
 Senate  
 President

State: MI District:

Disbursement For: 2012  
 Primary  General  
 Other (specify) ▼

011  
Category/  
Type

Transaction ID: 18791769

Date of Disbursement

12 / 13 / 2010

Amount of Each Disbursement this Period

2500.00

Contribution

SUBTOTAL of Disbursements This Page (optional) .....

5000.00

TOTAL This Period (last page this line number only) .....

5000.00

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

PAGE 21 / 22

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)  
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) PNC Bank	Transaction ID: 18800279 Date of Disbursement
	Mailing Address 1400 K Street, NW	<input type="text" value="1"/> <input type="text" value="2"/> / <input type="text" value="0"/> <input type="text" value="2"/> / <input type="text" value="2"/> <input type="text" value="0"/> <input type="text" value="1"/> <input type="text" value="0"/>
	City Washington State DC Zip Code 20005	Amount of Each Disbursement this Period
	Purpose of Disbursement Bank Fees	<input type="text" value="54.28"/>
	Candidate Name	<input type="text" value="001"/> Category/Type
	Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District:	Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼
		Bank Fees

B.	Full Name (Last, First, Middle Initial) PNC Bank	Transaction ID: 18800280 Date of Disbursement
	Mailing Address 1400 K Street, NW	<input type="text" value="1"/> <input type="text" value="2"/> / <input type="text" value="0"/> <input type="text" value="2"/> / <input type="text" value="2"/> <input type="text" value="0"/> <input type="text" value="1"/> <input type="text" value="0"/>
	City Washington State DC Zip Code 20005	Amount of Each Disbursement this Period
	Purpose of Disbursement Bank Fees	<input type="text" value="37.70"/>
	Candidate Name	<input type="text" value="001"/> Category/Type
	Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District:	Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼
		Bank Fees

C.	Full Name (Last, First, Middle Initial) PNC Bank	Transaction ID: 18800281 Date of Disbursement
	Mailing Address 1400 K Street, NW	<input type="text" value="1"/> <input type="text" value="2"/> / <input type="text" value="0"/> <input type="text" value="2"/> / <input type="text" value="2"/> <input type="text" value="0"/> <input type="text" value="1"/> <input type="text" value="0"/>
	City Washington State DC Zip Code 20005	Amount of Each Disbursement this Period
	Purpose of Disbursement Bank Fees	<input type="text" value="24.10"/>
	Candidate Name	<input type="text" value="001"/> Category/Type
	Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District:	Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼
		Bank Fees

<b>SUBTOTAL</b> of Disbursements This Page (optional) .....	<input type="text" value="116.08"/>
<b>TOTAL</b> This Period (last page this line number only) .....	<input type="text"/>

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 22 / 22

<input checked="" type="checkbox"/>	21b	<input type="checkbox"/>	22	<input type="checkbox"/>	23	<input type="checkbox"/>	24	<input type="checkbox"/>	25	<input type="checkbox"/>	26
<input type="checkbox"/>	27	<input type="checkbox"/>	28a	<input type="checkbox"/>	28b	<input type="checkbox"/>	28c	<input type="checkbox"/>	29	<input type="checkbox"/>	30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial)

PNC Bank

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement  
Bank Fees

Candidate Name

001  
Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For:  Primary  General  
 Other (specify) ▼

State: District:

Transaction ID: 18800282

Date of Disbursement

12 / 06 / 2010

Amount of Each Disbursement this Period

102.88

Bank Fees

SUBTOTAL of Disbursements This Page (optional) .....

102.88

TOTAL This Period (last page this line number only) .....

218.96