



POLITICAL ACTION COMMITTEE of THE AMERICAN ASSOCIATION of ORTHOPAEDIC SURGEONS
 317 Massachusetts Avenue NE Suite 102 Washington, D.C. 20002-5701 Phone 202/546-4430 Fax 202/546-5051 Internet www.aaos.org

October 19, 2000

RECEIVED
 FEC MAIL ROOM
 2000 OCT 20 A 10:45

Executive Committee

Harold Collins, MD
Chairman
 Boise, Idaho

James G. Davis, MD
Treasurer
 Birmingham, Alabama

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Secretary
 Huntsville, Alabama

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2nd Vice President, AAOS
 Los Angeles, California

David J. Holroy, MD
Chair-Elect, AAOS-BOC
 Norwich, Vermont

Ex Officio Members

William W. Tipton, Jr., MD
Executive Vice President, AAOS
 Rosemont, Illinois

PAC Liaisons

David A. Lovett, JD
Director, AAOS Washington Office

Neil A. Evans
 Reports Analyst
 Reports Analysis Division
 Federal Election Commission
 999 E Street, NW
 Washington, DC 20463

Dear Mr. Evans;

Thank you for your letter, which we received on October 10, 2000, regarding the filing of our 1999 Year End Report and our status as a multicandidate political action committee. For your reference, we have enclosed a copy of this letter.

Due to an unintentional software-related error, which was corrected about nine months ago, the software program was automatically defaulting each contribution as a primary contribution. As a result, a \$1,000 contribution issued on July 30 that was slated to be a general contribution to Senator William V. Roth's Senate campaign was inadvertently designated as primary.

Upon receipt of your letter, we have taken immediate corrective action by contacting Senator Roth's campaign office to obtain a refund of this contribution. Attached is a copy of this correspondence.

When we receive a response from Senator Roth's campaign office, the proper curative measures will then be taken, and the appropriate amended reports will be filed in accordance with Federal election law.

I appreciated the guidance you provided, and I hope that this action resolves the matter.

Sincerely,

David A. Lovett
 Director
 Washington Office

Enclosures

Cc: Rick Peterson, AAOS General Counsel
 Ron Kaye, AAOS, Director of Financing and Planning
 Tina Slager, AAOS, Controller



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

OCT 1, 2000

James G. Davis, MD, Treasurer
Political Action Committee of the
American Association of Orthopaedic
Surgeons
317 Massachusetts Avenue, NE
Washington, DC 20002

OCT 1, 2000

Identification Number: C00343137

Reference: Year End Report (7/01/99-12/31/99)



Dear Mr. Davis:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule B of your report (pertinent portion(s) attached) discloses a contribution(s) which appears to exceed the limits set forth in the Act. 2 U.S.C. §441a(a) precludes a non-multicandidate political committee and its affiliates, from making a contribution to a candidate for federal office in excess of \$1,000 per election. Please refer to the Campaign Guide for information on how a committee qualifies for multicandidate status.

If the contribution(s) in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information. If you have made an excessive contribution, you should notify the recipient and request a refund of the amount in excess of \$1,000 and/or notify the recipient in writing of your redesignation of the contribution. In the best interest of your committee, all refunds and redesignations should be made within sixty days of the treasurer's receipt of the contribution(s). _____

If your committee has met the criteria for multicandidate status, please file FEC FORM 1M "Notification of Multicandidate Status" with the Commission. The treasurer must file FEC FORM 1M prior to making a

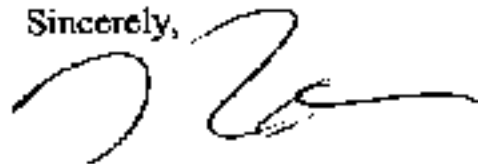
contribution of more than \$1,000 per candidate per election. 11 CFR §102.2(a)(3)

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of the refund request sent to the recipient committee(s). In addition, any refunds should be disclosed on Schedule A supporting Line 16 of the report covering the period during which they are received. Any redesignations should be disclosed as memo entries on Schedule B supporting Line 23 of the report covering the period during which the redesignation is made. 11 CFR §110.1(b)

Although the Commission may take further legal action regarding the excessive contribution(s), your prompt action in obtaining a refund and/or redesignating the contribution(s) will be taken into consideration.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division). My local number is (202) 694-1130.

Sincerely,



Neil A. Evans
Reports Analyst
Reports Analysis Division

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page	PAGE	OF
	1	4
FOR LINE NUMBER		
23		

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Political Action Committee of the American Association of Orthopedic Surgeons

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Friends of Jim Saxton P.O. Box 798 Mt. Holly, NJ 08060	Jim Saxton, U.S. HOUSE 3rd NJ Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) 1999	07/15/99	500.00
B. Full Name, Mailing Address and ZIP Code Cooksey For Congress Committee P.O. Box 7660 Monroe, LA 71211	John Cooksey, U.S. HOUSE 8th LA Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) 1999	07/21/99	500.00
C. Full Name, Mailing Address and ZIP Code Roth for Senate P.O. Box 105 Wilmington, DE 19899	William V. Roth, U.S. SENATE DE Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) 1999	07/22/99	1,000.00
D. Full Name, Mailing Address and ZIP Code Roth for Senate P.O. Box 105 Wilmington, DE 19899	William V. Roth, U.S. SENATE DE Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) 1999	07/30/99	1,000.00
E. Full Name, Mailing Address and ZIP Code Bob Kerrey for U.S. Senate Committee 301 4th Street, NE Suite 201 Washington, DC 20002	Bob Kerrey, U.S. SENATE NE Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) 1999	09/02/99	1,000.00
F. Full Name, Mailing Address and ZIP Code Congressman Bill Young Campaign Committee P.O. Box 47025 St. Petersburg, FL 33743	C.W. Bill Young, U.S. HOUSE 10th FL Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) 1999	09/02/99	1,000.00
G. Full Name, Mailing Address and ZIP Code Congressman Bill Young Campaign Committee P.O. Box 47025 St. Petersburg, FL 33743	C.W. Bill Young, U.S. HOUSE 10th FL Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) 1999	09/02/99	1,000.00
H. Full Name, Mailing Address and ZIP Code Pallone For Congress P.O. Box 3176 Long Branch, NJ 07740	Frank Pallone, U.S. HOUSE 6th NJ Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) 1999	09/20/99	1,000.00
I. Full Name, Mailing Address and ZIP Code People for Ganske 521 E Locust 2nd Floor Des Moines, IA 50309	Greg Ganske, U.S. HOUSE 4th IA Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) 1999	09/20/99	1,000.00

SUBTOTAL of Disbursements This Page (optional)

6,000.00

TOTAL This Period (last page this line number only)

Federal Election Commission

**ENVELOPE REPLACEMENT PAGE
FOR INCOMING DOCUMENTS**

The Commission has added this page to the end of this filing to indicate how it was received.

<input checked="" type="checkbox"/> Hand Delivered	Date of Receipt 10/20/00
<input type="checkbox"/> First Class Mail	POSTMARKED
<input type="checkbox"/> Registered/Certified Mail	POSTMARKED (R/C)
<input type="checkbox"/> No Postmark	
<input type="checkbox"/> Postmark Illegible	
<input type="checkbox"/> Received from the House office of Records and Registration	Date of Receipt
<input type="checkbox"/> Received from the Senate Office of Public Records	Date of Receipt
<input type="checkbox"/> Other (Specify):	Postmarked and/or Date of Receipt
<input type="checkbox"/> Electronic Filing	
 <i>CP</i> PREPARER	 10-20-00 DATE PREPARED