

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 05/2016)

Page 2

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From: / / To: / /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2017"/>		130347.67
(b) Cash on Hand at Beginning of Reporting Period.....	108780.84	
(c) Total Receipts (from Line 19)	36898.42	202945.31
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	145679.26	333292.98
7. Total Disbursements (from Line 31).....	60296.54	247910.26
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	85382.72	85382.72
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y
06 / 01 / 2017 To: M M / D D / Y Y Y Y Y Y
06 / 30 / 2017

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	26177.30	151452.55
(ii) Unitemized	721.12	5510.89
(iii) TOTAL (add Lines 11(a)(i) and (ii)).....▶	26898.42	156963.44
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	10000.00	44500.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	36898.42	201463.44
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	1481.87
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)).....▶	36898.42	202945.31
20. Total Federal Receipts (subtract Line 18(c) from Line 19).....▶	36898.42	202945.31

DETAILED SUMMARY PAGE
of Disbursements

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	296.54	1910.26
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	296.54	1910.26
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	60000.00	246000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (52 U.S.C. § 30116(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements (Including Non-Federal Donations).....	0.00	0.00
30. Federal Election Activity (52 U.S.C. § 30101(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	60296.54	247910.26
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	60296.54	247910.26

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5

III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	36898.42	201463.44
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	36898.42	201463.44
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	296.54	1910.26
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	1481.87
38. Net Operating Expenditures (subtract Line 37 from Line 36)	296.54	428.39

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 OF 34
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Allen, James R., , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 501 S 4th St
 City Louisville State KY Zip Code 40202-2547
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Hilliard Lyons Occupation (for Individual) Chief Executive Officer
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 5000.00

Date of Receipt 06 / 09 / 2017
Transaction ID : 1151DA170A064A999815
 Amount of Each Receipt this Period 5000.00
 Memo Item

B. Arnold, Dan, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1 Beacon St FI 22
 City Boston State MA Zip Code 02108-3106
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) LPL Financial Occupation (for Individual) President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 5000.00

Date of Receipt 06 / 05 / 2017
Transaction ID : 5AFB752CCED14F04B89A
 Amount of Each Receipt this Period 5000.00
 Memo Item

C. Bentsen, Kenneth, E., , Jr.
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1101 New York Ave NW 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) President & CEO
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 2500.55

Date of Receipt 06 / 07 / 2017
Transaction ID : 201706051336-1000
 Amount of Each Receipt this Period 192.35
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	10192.35
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 7 OF 34
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Bentsen, Kenneth, E., , Jr.
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) President & CEO
 Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 2500.55

Date of Receipt **06 / 21 / 2017**
Transaction ID : 201706209135-1000
 Amount of Each Receipt this Period 192.35
 Memo Item

B. Bleier, Lisa, J., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Fed Gov't Rel & AGC
 Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 250.11

Date of Receipt **06 / 07 / 2017**
Transaction ID : 201706051336-1001
 Amount of Each Receipt this Period 19.24
 Memo Item

C. Bleier, Lisa, J., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Fed Gov't Rel & AGC
 Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 250.11

Date of Receipt **06 / 21 / 2017**
Transaction ID : 201706209135-1001
 Amount of Each Receipt this Period 19.24
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	230.83
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 OF 34
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

<input type="checkbox"/> 12	<input type="checkbox"/> 16	<input type="checkbox"/> 17
-----------------------------	-----------------------------	-----------------------------

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Blocker, Ananias, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) Exec VP, Pub Pol & Advoc
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 3900.00

Date of Receipt 06 / 07 / 2017
Transaction ID : 201706051336-1002
 Amount of Each Receipt this Period 300.00
 Memo Item

B. Blocker, Ananias, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) Exec VP, Pub Pol & Advoc
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 3900.00

Date of Receipt 06 / 21 / 2017
Transaction ID : 201706209135-1002
 Amount of Each Receipt this Period 300.00
 Memo Item

C. Brandon, Kyle, L., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Dir of Research
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 1250.07

Date of Receipt 06 / 07 / 2017
Transaction ID : 201706051336-1003
 Amount of Each Receipt this Period 96.16
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	696.16
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 9 OF 34
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Brandon, Kyle, L., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Dir of Research
 Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 1250.07

Date of Receipt **06 / 21 / 2017**
Transaction ID : 201706209135-1003
 Amount of Each Receipt this Period 96.16
 Memo Item

B. Cameron, Timothy, W., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD Asset Mgmt Group
 Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 1000.00

Date of Receipt **06 / 15 / 2017**
Transaction ID : 48CB9A07721D4A68BD79
 Amount of Each Receipt this Period 1000.00
 Memo Item

C. Chamberlain, Kimberly, H., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Associate Gen Counsel
 Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 500.50

Date of Receipt **06 / 07 / 2017**
Transaction ID : 201706051336-1005
 Amount of Each Receipt this Period 38.50
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	1134.66
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 10 OF 34
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Chamberlain, Kimberly, H., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Associate Gen Counsel
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 500.50

Date of Receipt 06 / 21 / 2017
Transaction ID : 201706209135-1005
 Amount of Each Receipt this Period 38.50
 Memo Item

B. Chiarelli, Salvatore, J., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Head of Conferences
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 375.05

Date of Receipt 06 / 07 / 2017
Transaction ID : 201706051336-1006
 Amount of Each Receipt this Period 28.85
 Memo Item

C. Chiarelli, Salvatore, J., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Head of Conferences
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 375.05

Date of Receipt 06 / 21 / 2017
Transaction ID : 201706209135-1006
 Amount of Each Receipt this Period 28.85
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	96.20
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 11 OF 34
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Crispen, Cheryl, L., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) EVP, Communication & Mktg
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1250.07

Date of Receipt 06 / 07 / 2017
Transaction ID : 201706051336-1007
 Amount of Each Receipt this Period 96.16
 Memo Item

B. Crispen, Cheryl, L., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) EVP, Communication & Mktg
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1250.07

Date of Receipt 06 / 21 / 2017
Transaction ID : 201706209135-1007
 Amount of Each Receipt this Period 96.16
 Memo Item

C. Edgell, Bradley, G., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Fed Gov't Relations
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 500.50

Date of Receipt 06 / 07 / 2017
Transaction ID : 201706051336-1008
 Amount of Each Receipt this Period 38.50
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	230.82
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 12 OF 34
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Edgell, Bradley, G., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Fed Gov't Relations
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 500.50

Date of Receipt 06 / 21 / 2017
Transaction ID : 201706209135-1008
 Amount of Each Receipt this Period 38.50
 Memo Item

B. Eichler, Tracy, H., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Private Client Group
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 565.24

Date of Receipt 06 / 07 / 2017
Transaction ID : 201706051336-1009
 Amount of Each Receipt this Period 43.48
 Memo Item

C. Eichler, Tracy, H., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Private Client Group
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 565.24

Date of Receipt 06 / 21 / 2017
Transaction ID : 201706209135-1009
 Amount of Each Receipt this Period 43.48
 Memo Item

SUBTOTAL of Receipts This Page (optional).....▶	125.46
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 13 OF 34
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

<input type="checkbox"/> 12	<input type="checkbox"/> 16	<input type="checkbox"/> 17
-----------------------------	-----------------------------	-----------------------------

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Falconi, Jack, W, , Jr.
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 2 S 9th St
 City Richmond State VA Zip Code 23219-3920
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) BB & T Capital Markets Occupation (for Individual) Senior Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt 06 / 01 / 2017
Transaction ID : 390743FAAA26494A8618
 Amount of Each Receipt this Period 250.00
 Memo Item

B. Fues, Lisa, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1101 New York Ave NW 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, CEO's Office
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 250.11

Date of Receipt 06 / 07 / 2017
Transaction ID : 201706051336-1011
 Amount of Each Receipt this Period 19.24
 Memo Item

C. Fues, Lisa, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1101 New York Ave NW 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, CEO's Office
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 250.11

Date of Receipt 06 / 21 / 2017
Transaction ID : 201706209135-1011
 Amount of Each Receipt this Period 19.24
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	288.48
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 14 OF 34
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name A. Gibson, Marin, , ,			Date of Receipt MM / DD / YYYY 06 / 07 / 2017 Transaction ID : 201706051336-1012
Mailing Address 120 Broadway 35th Floor			Amount of Each Receipt this Period 96.16
City New York	State NY	Zip Code 10271	
FEC ID number of contributing federal political committee. C			<input type="checkbox"/> Memo Item
Name of Employer (for Individual) Securities Industry and Financial Mark		Occupation (for Individual) MD, Associate Gen Counsel	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1250.07		

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gibson, Marin, , ,			Date of Receipt MM / DD / YYYY 06 / 21 / 2017 Transaction ID : 201706209135-1012
Mailing Address 120 Broadway 35th Floor			Amount of Each Receipt this Period 96.16
City New York	State NY	Zip Code 10271	
FEC ID number of contributing federal political committee. C			<input type="checkbox"/> Memo Item
Name of Employer (for Individual) Securities Industry and Financial Mark		Occupation (for Individual) MD, Associate Gen Counsel	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1250.07		

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Kelligrew, James, B, ,			Date of Receipt MM / DD / YYYY 06 / 12 / 2017 Transaction ID : 99950AA353ED4F109905
Mailing Address 2743 Beretania Cir			Amount of Each Receipt this Period 1000.00
City Charlotte	State NC	Zip Code 28211-3637	
FEC ID number of contributing federal political committee. C			<input type="checkbox"/> Memo Item
Name of Employer (for Individual) U.S. Bancorp		Occupation (for Individual) Vice Chairman, Wholesale Banking	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Aggregate Year-to-Date ▼ 1000.00		

SUBTOTAL of Receipts This Page (optional).....▶	1192.32
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 15 OF 34
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12	
	<input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Killian, Christopher, B., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Head of Securitization
 Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 500.50

Date of Receipt 06 / 07 / 2017
Transaction ID : 201706051336-1014
 Amount of Each Receipt this Period 38.50
 Memo Item

B. Killian, Christopher, B., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Head of Securitization
 Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 500.50

Date of Receipt 06 / 21 / 2017
Transaction ID : 201706209135-1014
 Amount of Each Receipt this Period 38.50
 Memo Item

C. Krasner, David, B., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) Chief Fin/Admin Officer
 Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 2500.55

Date of Receipt 06 / 07 / 2017
Transaction ID : 201706051336-1015
 Amount of Each Receipt this Period 192.35
 Memo Item

SUBTOTAL of Receipts This Page (optional).....▶	269.35
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 16 OF 34
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Krasner, David, B., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) Chief Fin/Admin Officer
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 2500.55

Date of Receipt 06 / 21 / 2017
Transaction ID : 201706209135-1015
 Amount of Each Receipt this Period 192.35
 Memo Item

B. Lancia, Nancy, J., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, State Gov Relations
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 500.50

Date of Receipt 06 / 07 / 2017
Transaction ID : 201706051336-1016
 Amount of Each Receipt this Period 38.50
 Memo Item

C. Lancia, Nancy, J., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, State Gov Relations
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 500.50

Date of Receipt 06 / 21 / 2017
Transaction ID : 201706209135-1016
 Amount of Each Receipt this Period 38.50
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	269.35
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 17 OF 34
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Lazo, Theodore, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Associate Gen Counsel
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 500.50

Date of Receipt
 06 / 07 / 2017
Transaction ID : 201706051336-1017
 Amount of Each Receipt this Period
 38.50
 Memo Item

B. Lazo, Theodore, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Associate Gen Counsel
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 500.50

Date of Receipt
 06 / 21 / 2017
Transaction ID : 201706209135-1017
 Amount of Each Receipt this Period
 38.50
 Memo Item

C. Long, Ronald, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1 N Jefferson Ave
 HO004-11D
 City Saint Louis State MO Zip Code 63103-2205
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Wells Fargo Advisors, LLC Occupation (for Individual) Director of Regulatory Affairs and Eld
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 600.00

Date of Receipt
 06 / 27 / 2017
Transaction ID : E76210C2A8DB4A6A899D
 Amount of Each Receipt this Period
 600.00
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	677.00
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 18 OF 34
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12	
	<input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Martin, Laura, A., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, AGC AMG
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 500.50

Date of Receipt
 06 / 07 / 2017
Transaction ID : 201706051336-1019
 Amount of Each Receipt this Period
 38.50
 Memo Item

B. Martin, Laura, A., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, AGC AMG
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 500.50

Date of Receipt
 06 / 21 / 2017
Transaction ID : 201706209135-1019
 Amount of Each Receipt this Period
 38.50
 Memo Item

C. McDowell, Carter, K., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Associate Gen Counsel
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 3653.87

Date of Receipt
 06 / 07 / 2017
Transaction ID : 201706051336-1020
 Amount of Each Receipt this Period
 288.46
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	365.46
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 19 OF 34
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. McDowell, Carter, K., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Associate Gen Counsel
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 3653.87

Date of Receipt 06 / 21 / 2017
Transaction ID : 201706209135-1020
 Amount of Each Receipt this Period 288.46
 Memo Item

B. Price, Thomas, F., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Tech & Operations
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 500.02

Date of Receipt 06 / 07 / 2017
Transaction ID : 201706051336-1021
 Amount of Each Receipt this Period 38.46
 Memo Item

C. Price, Thomas, F., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Tech & Operations
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 500.02

Date of Receipt 06 / 21 / 2017
Transaction ID : 201706209135-1021
 Amount of Each Receipt this Period 38.46
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	365.38
TOTAL This Period (last page this line number only).....	

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 20 OF 34
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Purcell, Patricia, W., ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 825 S Washington St

City Hinsdale	State IL	Zip Code 60521-4530
------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual)	Occupation (for Individual) Homemaker
-----------------------------------	--

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
5000.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
06	/	01	/	2017

Transaction ID : 4D9A57AEB6A64AF9BB32

Amount of Each Receipt this Period
5000.00

Memo Item

B. Purcell, Paul, E., ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 777 E Wisconsin Ave

City Milwaukee	State WI	Zip Code 53202-5300
-------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Robert W. Baird & Co. Incorporated	Occupation (for Individual) Chairman, President & CEO
---	--

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
5000.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
06	/	01	/	2017

Transaction ID : 52446280BA74404AA38B

Amount of Each Receipt this Period
5000.00

Memo Item

C. Tierney, Thomas, P., ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 120 Broadway
35th Floor

City New York	State NY	Zip Code 10271
------------------	-------------	-------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Securities Industry and Financial Mark	Occupation (for Individual) MD, Member Engagement
---	--

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼
282.62

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
06	/	07	/	2017

Transaction ID : 201706051336-1023

Amount of Each Receipt this Period
21.74

Memo Item

SUBTOTAL of Receipts This Page (optional).....	10021.74
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 21 OF 34
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Tierney, Thomas, P., ,

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Securities Industry and Financial Mark Occupation (for Individual) MD, Member Engagement

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
282.62

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 06 / 21 / 2017

Transaction ID : 201706209135-1023

Amount of Each Receipt this Period
21.74

Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Occupation (for Individual)

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Occupation (for Individual)

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

Memo Item

SUBTOTAL of Receipts This Page (optional).....	21.74
TOTAL This Period (last page this line number only).....	26177.30

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 22 OF 34
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input checked="" type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Credit Suisse Securities (USA) Llc Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 1201 F Street, NW Suite 450

City Washington	State DC	Zip Code 20004
--------------------	-------------	-------------------

FEC ID number of contributing federal political committee. **C** C00111559

Name of Employer (for Individual) Occupation (for Individual)

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
5000.00

Date of Receipt

M M	/	D D	/	Y Y Y Y
06		12		2017

Transaction ID : 9A87494CF6154096B879

Amount of Each Receipt this Period
5000.00

Memo Item

B. Edward Jones PAC

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 12555 Manchester Road

City St. Louis	State MO	Zip Code 63131
-------------------	-------------	-------------------

FEC ID number of contributing federal political committee. **C** C00410407

Name of Employer (for Individual) Occupation (for Individual)

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
5000.00

Date of Receipt

M M	/	D D	/	Y Y Y Y
06		13		2017

Transaction ID : 1A99401659BE47D1ABC6

Amount of Each Receipt this Period
5000.00

Memo Item

C.

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address

City	State	Zip Code
------	-------	----------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Occupation (for Individual)

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼

Date of Receipt

M M	/	D D	/	Y Y Y Y
-----	---	-----	---	---------

Amount of Each Receipt this Period

Memo Item

SUBTOTAL of Receipts This Page (optional).....▶	10000.00
TOTAL This Period (last page this line number only).....▶	10000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. American Express

Mailing Address PO Box 53853

City
Phoenix

State
AZ

Zip Code
85072

Purpose of Disbursement
Credit Card Fee

001

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For: Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
06			05			2017			

FEC Identification Number

C [REDACTED]

Transaction ID : V11180CF7A

Amount of Each Disbursement this Period

[REDACTED] 147.50

Memo Item

Full Name (Last, First, Middle Initial)

B. American Express

Mailing Address PO Box 53853

City
Phoenix

State
AZ

Zip Code
85072

Purpose of Disbursement
Credit Card Fee

001

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For: Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
06			19			2017			

FEC Identification Number

C [REDACTED]

Transaction ID : VCAF49171B

Amount of Each Disbursement this Period

[REDACTED] 7.95

Memo Item

Full Name (Last, First, Middle Initial)

C. PNC Bank

Mailing Address 1400 K Street, NW

City
Washington

State
DC

Zip Code
20005

Purpose of Disbursement
Bank Fee

001

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For: Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
06			01			2017			

FEC Identification Number

C [REDACTED]

Transaction ID : 05F972722A

Amount of Each Disbursement this Period

[REDACTED] 32.00

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

[REDACTED] 187.45

[REDACTED]

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. PNC Bank

Mailing Address 1400 K Street, NW

City
Washington

State
DC

Zip Code
20005

Purpose of Disbursement
Bank Fees

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President
State: District:

Disbursement For:
 Primary General
 Other (specify) ▼

Date of Disbursement

/ /

FEC Identification Number

Transaction ID : 969D5242DAI
Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President
State: District:

Disbursement For:
 Primary General
 Other (specify)

Date of Disbursement

/ /

FEC Identification Number

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President
State: District:

Disbursement For:
 Primary General
 Other (specify) ▼

Date of Disbursement

/ /

FEC Identification Number

Amount of Each Disbursement this Period

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. A New Direction PAC

Mailing Address 105 N State St

City Concord State NH Zip Code 03301

Purpose of Disbursement
2017 Contribution

Category/
Type

Candidate Name

A New Direction PAC

Office Sought: House Senate President

Disbursement For: 2017
 Primary General
 Other (specify) Contribution

State: District:

Date of Disbursement

/ /

FEC Identification Number

Transaction ID : B6CA694E3E

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

B. Alex Mooney For Congress

Mailing Address PO Box 1863

City Martinsburg State WV Zip Code 25402

Purpose of Disbursement
2018 Primary

Category/
Type

Candidate Name

Mooney, Alexander, X., ,

Office Sought: House Senate President

Disbursement For: 2018
 Primary General
 Other (specify)

State: WV District: 02

Date of Disbursement

/ /

FEC Identification Number

Transaction ID : 791FE74BB0

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

C. All For Our Country Leadership PAC

Mailing Address 611 Pennsylvania Ave SE
Num 143

City Washington State DC Zip Code 20003

Purpose of Disbursement
2017 Contribution

Category/
Type

Candidate Name

All For Our Country Leadership PAC

Office Sought: House Senate President

Disbursement For: 2017
 Primary General
 Other (specify) Contribution

State: District:

Date of Disbursement

/ /

FEC Identification Number

Transaction ID : 7447FD8B0A

Amount of Each Disbursement this Period

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Beatty For Congress

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
06		27		2017

Mailing Address 222 East Town Street
Suite 2W

FEC Identification Number

C	C00507368
---	-----------

City Columbus State OH Zip Code 43215

Transaction ID : 994C021B74E

Purpose of Disbursement
2018 Primary

011
Category/ Type

Amount of Each Disbursement this Period

1000.00

Candidate Name

Beatty, Joyce, , ,

Office Sought: House
 Senate
 President

Disbursement For: 2018
 Primary General
 Other (specify) ▼

State: OH District: 03

Memo Item

Full Name (Last, First, Middle Initial)

B. Bob Corker For Senate 2018 Inc

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
06		22		2017

Mailing Address 4515 Harding Pike Ste 110

FEC Identification Number

C	C00430462
---	-----------

City Nashville State TN Zip Code 37205-4001

Transaction ID : 3D067B1F8Df

Purpose of Disbursement
2018 Primary

011
Category/ Type

Amount of Each Disbursement this Period

1000.00

Candidate Name

Corker, Bob, P., , Jr.

Office Sought: House
 Senate
 President

Disbursement For: 2018
 Primary General
 Other (specify)

State: TN District:

Memo Item

Full Name (Last, First, Middle Initial)

C. Carlos Curbelo Congress

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
06		02		2017

Mailing Address 8724 Sunset Dr
#355

FEC Identification Number

C	C00546846
---	-----------

City Miami State FL Zip Code 33173

Transaction ID : 1394966CF8I

Purpose of Disbursement
2018 Primary

011
Category/ Type

Amount of Each Disbursement this Period

1000.00

Candidate Name

Curbelo, Carlos, Luis, ,

Office Sought: House
 Senate
 President

Disbursement For: 2018
 Primary General
 Other (specify) ▼

State: FL District: 26

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶

3000.00

TOTAL This Period (last page this line number only)..... ▶

--

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Charlie Crist For Congress

Mailing Address PO Box 1547

City
St. Petersburg

State
FL

Zip Code
33731

Purpose of Disbursement
2018 Primary

Category/
Type

Candidate Name

Crist, Charlie, J., ,

Office Sought: House
 Senate
 President

Disbursement For: 2018
 Primary General
 Other (specify) ▼

State: FL District: 13

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
0	6		2	2		2	0	1	7

FEC Identification Number

Transaction ID : 033A060CC0

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

B. Congressional Black Caucus PAC

Mailing Address PO Box 75357

City
Washington

State
DC

Zip Code
20013

Purpose of Disbursement
2017 Contribution

Category/
Type

Candidate Name

Congressional Black Caucus PAC

Office Sought: House
 Senate
 President

Disbursement For: 2017
 Primary General
 Other (specify) Contribution

State: District:

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
0	6		2	7		2	0	1	7

FEC Identification Number

Transaction ID : 65DB0082856

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

C. Denny Heck For Congress

Mailing Address PO Box 235

City
Olympia

State
WA

Zip Code
98507

Purpose of Disbursement
2018 Primary

Category/
Type

Candidate Name

Heck, Dennis, , ,

Office Sought: House
 Senate
 President

Disbursement For: 2018
 Primary General
 Other (specify) ▼

State: WA District: 10

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
0	6		2	2		2	0	1	7

FEC Identification Number

Transaction ID : 3160C24BF3

Amount of Each Disbursement this Period

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Emmer For Congress

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
06	/	22	/	2017

Mailing Address PO Box 998

FEC Identification Number

C	C00545749
---	-----------

City Anoka State MN Zip Code 55303

Transaction ID : 6DFDE16339:
Amount of Each Disbursement this Period

Purpose of Disbursement
2018 Primary

011
Category/ Type

1000.00

Candidate Name

Emmer, Thomas, Earl, , Jr.

Office Sought: House Senate President
Disbursement For: 2018 Primary General Other (specify) ▼

Memo Item

State: MN District: 06

Full Name (Last, First, Middle Initial)

B. Friends Of Job Hensarling

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
06	/	22	/	2017

Mailing Address PO Box 820504

FEC Identification Number

C	C00370650
---	-----------

City Dallas State TX Zip Code 75382-0504

Transaction ID : 930D24CA44/
Amount of Each Disbursement this Period

Purpose of Disbursement
2018 Primary

011
Category/ Type

5000.00

Candidate Name

Hensarling, Thomas, Job, ,

Office Sought: House Senate President
Disbursement For: 2018 Primary General Other (specify) ▼

Memo Item

State: TX District: 05

Full Name (Last, First, Middle Initial)

C. Friends Of Mia Love

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
06	/	02	/	2017

Mailing Address PO Box 255

FEC Identification Number

C	C00505776
---	-----------

City Riverton State UT Zip Code 84065

Transaction ID : C20BEC7E8/
Amount of Each Disbursement this Period

Purpose of Disbursement
2018 Primary

011
Category/ Type

1000.00

Candidate Name

Love, Mia, B., ,

Office Sought: House Senate President
Disbursement For: 2018 Primary General Other (specify) ▼

Memo Item

State: UT District: 04

SUBTOTAL of Disbursements This Page (optional)..... ▶

7000.00

TOTAL This Period (last page this line number only)..... ▶

--

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Heller For Senate

Mailing Address PO Box 371907

City Las Vegas State NV Zip Code 89137

Purpose of Disbursement
2018 Primary

011
Category/
Type

Candidate Name
Heller, Dean, Arthur, ,

Office Sought: House Senate President
Disbursement For: 2018 Primary General Other (specify) ▼

State: NV District:

Date of Disbursement

MM / DD / YYYY
06 / 22 / 2017

FEC Identification Number

C00494229

Transaction ID : 389D8110E91

Amount of Each Disbursement this Period

1500.00

Memo Item

Full Name (Last, First, Middle Initial)

B. Heller For Senate

Mailing Address PO Box 371907

City Las Vegas State NV Zip Code 89137

Purpose of Disbursement
2018 General

011
Category/
Type

Candidate Name
Heller, Dean, Arthur, ,

Office Sought: House Senate President
Disbursement For: 2018 Primary General Other (specify)

State: NV District:

Date of Disbursement

MM / DD / YYYY
06 / 22 / 2017

FEC Identification Number

C00494229

Transaction ID : 687DD7D78F1

Amount of Each Disbursement this Period

5000.00

Memo Item

Full Name (Last, First, Middle Initial)

C. Himes For Congress

Mailing Address 857 Post Road, #312

City Fairfield State CT Zip Code 06824

Purpose of Disbursement
2018 Convention

011
Category/
Type

Candidate Name
Himes, James, Andrew, ,

Office Sought: House Senate President
Disbursement For: 2018 Primary General Other (specify) ▼
Convention

State: CT District: 04

Date of Disbursement

MM / DD / YYYY
06 / 22 / 2017

FEC Identification Number

C00434191

Transaction ID : 350430A8E2:

Amount of Each Disbursement this Period

1000.00

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶

7500.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Hoosiers First PAC

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
06		22		2017

Mailing Address 115 W Washington St
Suite 1165

City Indianapolis State IN Zip Code 46204

Purpose of Disbursement
2017 Contribution

011
Category/ Type

FEC Identification Number

C	C00492082
Transaction ID : 820315E1B95	
Amount of Each Disbursement this Period	
1000.00	

Candidate Name

Hoosiers First PAC

Office Sought: House
 Senate
 President

Disbursement For: 2017
 Primary General
 Other (specify) **Contribution**

Memo Item

Full Name (Last, First, Middle Initial)

B. Luke Messer for Congress

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
06		27		2017

Mailing Address PO Box 917

City Shelbyville State IN Zip Code 46176

Purpose of Disbursement
2018 Primary

011
Category/ Type

FEC Identification Number

C	C00460667
Transaction ID : BC5D669D13	
Amount of Each Disbursement this Period	
2500.00	

Candidate Name

Messer, Allan, Lucas, ,

Office Sought: House
 Senate
 President

Disbursement For: 2018
 Primary General
 Other (specify)

Memo Item

Full Name (Last, First, Middle Initial)

C. Jaime For Congress

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
06		22		2017

Mailing Address PO Box 1614

City Ridgefield State WA Zip Code 98642-0020

Purpose of Disbursement
2018 Primary

011
Category/ Type

FEC Identification Number

C	C00472704
Transaction ID : DE740029951	
Amount of Each Disbursement this Period	
1000.00	

Candidate Name

Herrera Beutler, Jaime, Lynn, ,

Office Sought: House
 Senate
 President

Disbursement For: 2018
 Primary General
 Other (specify)

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶

4500.00

TOTAL This Period (last page this line number only)..... ▶

--

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. NRSC

Full Name (Last, First, Middle Initial)
Mailing Address 425 2Nd Street NE

City Washington State DC Zip Code 20002

Purpose of Disbursement 2017 Contribution

Candidate Name **NRSC**

Office Sought: House Senate President

Disbursement For: 2017 Primary General Other (specify) Contribution

State: District:

Date of Disbursement 06 / 02 / 2017

FEC Identification Number **C00027466**
Transaction ID : 259FEE7832I
Amount of Each Disbursement this Period 5000.00

Memo Item

B. Pittenger for Congress LLC

Full Name (Last, First, Middle Initial)
Mailing Address PO Box 11207

City Charlotte State NC Zip Code 28220-1207

Purpose of Disbursement 2018 Primary

Candidate Name **Pittenger, Robert, M., ,**

Office Sought: House Senate President

Disbursement For: 2018 Primary General Other (specify)

State: NC District: 09

Date of Disbursement 06 / 02 / 2017

FEC Identification Number **C00514513**
Transaction ID : 4614674B2D3
Amount of Each Disbursement this Period 5000.00

Memo Item

C. Portman For Senate Committee

Full Name (Last, First, Middle Initial)
Mailing Address 9856 Archer Lane

City Dublin State OH Zip Code 43017-8914

Purpose of Disbursement 2022 Primary

Candidate Name **Portman, Rob, J., ,**

Office Sought: House Senate President

Disbursement For: 2022 Primary General Other (specify)

State: OH District:

Date of Disbursement 06 / 22 / 2017

FEC Identification Number **C00458463**
Transaction ID : 1BF669DC11
Amount of Each Disbursement this Period 1000.00

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶ 11000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Rodney For Congress

Mailing Address PO Box 344

City
Taylorville

State
IL

Zip Code
62568-0344

Purpose of Disbursement
2018 Primary

011
Category/
Type

Candidate Name

Davis, Rodney, Lee, ,

Office Sought: House
 Senate
 President

Disbursement For: 2018
 Primary General
 Other (specify) ▼

State: IL District: 13

Date of Disbursement

/ /

FEC Identification Number

C C00521948

Transaction ID : E211DE5F95I

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

B. Sanford Bishop For Congress

Mailing Address P O Box 909

City
Columbus

State
GA

Zip Code
31902

Purpose of Disbursement
2018 Primary

011
Category/
Type

Candidate Name

Bishop, Sanford, D., , Jr.

Office Sought: House
 Senate
 President

Disbursement For: 2018
 Primary General
 Other (specify)

State: GA District: 02

Date of Disbursement

/ /

FEC Identification Number

C C00266940

Transaction ID : 812872F7E33

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

C. Ted Budd For Congress

Mailing Address PO Box 97127

City
Raleigh

State
NC

Zip Code
27624

Purpose of Disbursement
2018 Primary

011
Category/
Type

Candidate Name

Budd, Theodore, Paul, ,

Office Sought: House
 Senate
 President

Disbursement For: 2018
 Primary General
 Other (specify) ▼

State: NC District: 13

Date of Disbursement

/ /

FEC Identification Number

C C00614776

Transaction ID : CD3F48D92E

Amount of Each Disbursement this Period

Memo Item

SUBTOTAL of Disbursements This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Virginia Foxx For Congress

Mailing Address PO Box 2676

City
Boone

State
NC

Zip Code
28607

Purpose of Disbursement
2018 Primary

011

Category/
Type

Candidate Name

Foxx, Virginia, Ann, ,

Office Sought: House
 Senate
 President

Disbursement For: 2018
 Primary General
 Other (specify) ▼

State: NC District: 05

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	6		2	2		2	0	1	7		

FEC Identification Number

C C00386748

Transaction ID : CB5BA99D11

Amount of Each Disbursement this Period

1000.00

Memo Item

Full Name (Last, First, Middle Initial)

B. Yoder For Congress, Inc

Mailing Address PO Box 26742

City
Overland Park

State
KS

Zip Code
66225-6742

Purpose of Disbursement
2018 Primary

011

Category/
Type

Candidate Name

Yoder, Kevin, Wayne, ,

Office Sought: House
 Senate
 President

Disbursement For: 2018
 Primary General
 Other (specify)

State: KS District: 03

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	6		2	2		2	0	1	7		

FEC Identification Number

C C00472365

Transaction ID : BD5CF365631

Amount of Each Disbursement this Period

2500.00

Memo Item

Full Name (Last, First, Middle Initial)

C. Zeldin For Congress

Mailing Address 47 Flintlock Drive

City
Shirley

State
NY

Zip Code
11967

Purpose of Disbursement
2018 Primary

011

Category/
Type

Candidate Name

Zeldin, Lee, M., ,

Office Sought: House
 Senate
 President

Disbursement For: 2018
 Primary General
 Other (specify) ▼

State: NY District: 01

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	6		0	2		2	0	1	7		

FEC Identification Number

C C00552547

Transaction ID : A2DB31B5A1

Amount of Each Disbursement this Period

2000.00

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶

5500.00

TOTAL This Period (last page this line number only)..... ▶

60000.00