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## **FEC** FORM 3X

## **REPORT OF RECEIPTS AND DISBURSEMENTS**

	For Other Than An Aut	norized Committee	Office Use Only
NAME OF     COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
The Caterpillar Inc. En	nployee Political Action	on Committee	
ADDRESS (number and street)	100 N.E. Adams Street		
▼ Check if different			
than previously reported. (ACC)	Peoria		IL 61629-1430 – L L L L L L L L L L L L L L L L L L
2. FEC IDENTIFICATION N	JMBER ▼ CIT	ΓY <b>▲</b>	STATE ▲ ZIP CODE ▲
C C00148031		S THIS NEW (N) O	R AMENDED (A)
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) <b>x</b> May 20 (l	(Non-Election Year Only)  Sep. 20 (M9)  Dec. 20 (M12)
(a) Quarterly Reports:			(Non-Election Year Only)
April 15 Quarterly Report (0		20 (M4) Jul 20 (M	7) Oct 20 (M10) Jan 31 (YE)
July 15 Quarterly Report (C	(c) 12-Day	Primary (12P)	General (12G) Runoff (12R)
October 15 Quarterly Report (C	Report for the:	Convention (12C)	Special (12S)
January 31 Year-End Report (Y		on on	in the State of
July 31 Mid-Year Report (Non-electio Year Only) (MY)	(d) 30-Day POST-Election Report for the:	General (30G)	Runoff (30R) Special (30S)
Termination Report (TER)		on on	in the State of
5. Covering Period 04	M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	through 04	M / D D / Y Y Y Y Y 30 2020
I certify that I have examined th		my knowledge and belief it is	s true, correct and complete.
Type or Print Name of Treasure	James, Danielle, , , er		
Signature of Treasurer	es, Danielle, , ,	[Electronically Filed]	Date 05 / 13 / 2020
NOTE: Submission of false, erron	eous, or incomplete informatio	n may subject the person signir	ng this Report to the penalties of 52 U.S.C. § 3010
Office			FEC FORM 3X
Use Only			Rev. 05/2016

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016) Page 2

Write or Type Committee Name

#### The Caterpillar Inc. Employee Political Action Committee

01 2020 04 30 2020 Report Covering the Period: From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 432423.22 January 1. 2020 (b) Cash on Hand at 454821.48 Beginning of Reporting Period..... 63810.52 249008.73 (c) Total Receipts (from Line 19) ..... (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 681431.95 518632.00 6(a) and 6(c) for Column B)..... 2513.80 165313.75 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 516118.20 516118.20 (subtract Line 7 from Line 6(d)).....

Debts and Obligations Owed BY
 the Committee (Itemize all on Schedule C and/or Schedule D) ......

	I	Ι	-	Ι	I	0.00	
Г						0.00	П

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

## The Caterpillar Inc. Employee Political Action Committee

1. C	I Possints		COLUMN B				
1. C	i. Receipts	I. Receipts COLUMN A Total This Period					
	ontributions (other than loans) From:						
(a	,						
	Than Political Committees	4440					
	(i) Itemized (use Schedule A)	44129.00	140425.55				
	(ii) Unitemized	19677.42	108547.08				
	(iii) TOTAL (add						
	Lines 11(a)(i) and (ii)▶	63806.42	248972.63				
(h	) Political Party Committees	0.00	0.00				
(b			4 4				
(C	(such as PACs)	0.00	0.00				
(0		4 4	4 4				
(0	11(a)(iii), (b), and (c)) (Carry						
	Totals to Line 33, page 5)	63806.42	248972.63				
т.	ransfers From Affiliated/Other	4 4	4 4				
	arty Committees	0.00	0.00				
	,	4 4					
. A	I Loans Received	0.00	0.00				
1.	pan Repayments Received	0.00	0.00				
	ffsets To Operating Expenditures	7	4 4				
	Refunds, Rebates, etc.)						
	Carry Totals to Line 37, page 5)	0.00	0.00				
	efunds of Contributions Made	4 4	45 45 45				
	Federal Candidates and Other						
	olitical Committees	0.00	0.00				
	ther Federal Receipts	4 4	3.00				
	Dividends, Interest, etc.)	4.10	36.10				
	ransfers from Non-Federal and Levin Funds	7.10	4 4				
	) Non-Federal Account						
,,	(from Schedule H3)	0.00	0.00				
	, , , , , , , , , , , , , , , , , , , ,	4 4					
(h	) Levin Funds (from Schedule H5)	0.00	0.00				
(L	, Lovin i unus (nom somedule 115)	4 4	4 4				
	) Total Transfers (add 18(a) and 18(b))	0.00	0.00				

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date			
Operating Expenditures:     (a) Allocated Federal/Non-Federal     Activity (from Schedule H4)	12 1 3112 2	3			
(i) Federal Share	0.00	0.00			
(ii) Non-Federal Share	0.00	0.00			
(b) Other Federal Operating  Expenditures	13.80	63.75			
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	13.80	63.75			
2. Transfers to Affiliated/Other Party Committees	0.00	0.00			
Contributions to     Federal Candidates/Committees     and Other Political Committees	5000.00	99500.00			
. Independent Expenditures (use Schedule E)	0.00	0.00			
Coordinated Party Expenditures (52 U.S.C. § 30116(d)) (use Schedule F)	0.00	0.00			
Loan Repayments Made	0.00	4 4 4			
	4 4	0.00			
Loans Made	0.00	0.00			
Than Political Committees	0.00	1750.00			
<ul><li>(b) Political Party Committees</li><li>(c) Other Political Committees</li></ul>	0.00	0.00			
(such as PACs)(d) Total Contribution Refunds	0.00	0.00			
(add Lines 28(a), (b), and (c))	0.00	1750.00			
Other Disbursements (Including Non-Federal Donations)	- 2500.00	64000.00			
Federal Election Activity (52 U.S.C. § 30101( (a) Allocated Federal Election Activity (from Schedule H6)	20))				
(i) Federal Share	0.00	0.00			
(ii) "Levin" Share(b) Federal Election Activity Paid	0.00	0.00			
Entirely With Federal Funds	0.00	0.00			
Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00			
Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))		vorce ==			
Total Federal Disbursements	2513.80	165313.75			
(subtract Line 21(a)(ii) and Line 30(a)(ii)					
from Line 31)	2513.80	165313.75			

34. Total Contribution Refunds

38. Net Operating Expenditures

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5 **COLUMN A** COLUMN B III. Net Contributions/ **Total This Period** Calendar Year-to-Date **Operating Expenditures** 33. Total Contributions (other than loans) 63806.42 248972.63 (from Line 11(d), page 3) ..... 0.00 1750.00 (from Line 28(d))..... 35. Net Contributions (other than loans) 63806.42 247222.63 (subtract Line 34 from Line 33) ..... 36. Total Federal Operating Expenditures 13.80 63.75 (add Line 21(a)(i) and Line 21(b)) .......▶ 37. Offsets to Operating Expenditures 0.00 0.00 (from Line 15, page 3)..... 13.80 63.75 (subtract Line 37 from Line 36) ......

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

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		13		14		15	16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Abbott, Brian, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-872647 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 122.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **WW Product Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 489.60 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Abston, Trey, , , Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code Transaction ID : A2020-872146 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 62.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 251.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Aiken, Kathryn, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-872627 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) 601.83 SUBTOTAL of Receipts This Page (optional).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Akif, Sammy, , , Date of Receipt Mailing Address 1208 14th St 09 2020 City Zip Code State Transaction ID: A2020-833559 TX Northlake 76226-2139 Amount of Each Receipt this Period FEC ID number of contributing C 40.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 323.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Akif, Sammy, , , Date of Receipt Mailing Address 1208 14th St 04 2020 City State Zip Code Transaction ID : A2020-878020 Northlake TX 76226-2139 Amount of Each Receipt this Period FEC ID number of contributing 40.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 363.42 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Alger, Matt, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-872937 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 161.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Life Cycle Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 645.36 Other (specify) 242.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

Use separate schedule(s)

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		13		14		15	16			17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ames, Sean, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-872880 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 166.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 667.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Anderson, Mat, , , Date of Receipt Mailing Address 200 NE Adams Street 04 2020 City State Zip Code Transaction ID : A2020-872209 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 199.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 796.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bailey, Doug, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2020 Suite 4000 City State Zip Code Transaction ID: A2020-873156 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 149.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Territory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 598.48 Other (specify) 515.47 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bainbridge, Mark, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-872712 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Balkema, Chris, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 04 2020 PO Box 348 City State Zip Code **Transaction ID: A2020-872222** IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 169.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Supply Mgr III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 677.56 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bankes, Chester, , , Date of Receipt Mailing Address 3701 South Street 30 2020 City State Zip Code Transaction ID: A2020-873031 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 66.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 265.64 Other (specify) 335.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barber, Kathy, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-872576 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 122.37 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 489.48 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Beals, Andy, , , Date of Receipt Mailing Address 3000 North 27th Street 04 2020 City State Zip Code Transaction ID : A2020-872773 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 55.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Belcher, Jeff, , , Date of Receipt Mailing Address 501 Cardinal Drive 30 2020 City Zip Code State Transaction ID: A2020-873180 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 252.47 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beldock, Teresa, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City Zip Code State Transaction ID: A2020-872390 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 92.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Material Req Planning Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 370.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Belkin, Tony, , , Date of Receipt Mailing Address 540 W. Madison Street 04 2020 Floor 3 City State Zip Code Transaction ID : A2020-872631 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Digital Platform Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bennes, Bob, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-873038 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 227.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General 910.52 Other (specify) 395.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Benz, Kevin, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-873113 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 55.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 221.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Birkel, Lindsey, , , Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code Transaction ID : A2020-873135 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bloch, Stephen, E, , Date of Receipt Mailing Address 3701 South Street 30 2020 City State Zip Code Transaction ID: A2020-873091 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 101.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Manufacturing Project Engr 1 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 407.04 Other (specify) 232.01 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Braun, Eric, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-872076 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing C 80.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 320.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Breitschwerdt, Otto, , , Date of Receipt Mailing Address 954 NC Highway 42 East 04 2020 City State Zip Code Transaction ID : A2020-872706 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager BCP Loaders Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1666.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bromm, Jaron, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-872472 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 216.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 866.36 Other (specify) 713.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 15 OF 100 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Brooks, Jen, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-872872 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 59.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engr Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 237.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bryant, Jenny, , , Date of Receipt Mailing Address 9401 85Th Avenue North 04 2020 City State Zip Code Transaction ID : A2020-872178 Brooklyn Park MN 55445 Amount of Each Receipt this Period FEC ID number of contributing 149.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Network Fac Mgr III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 596.48 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Butikofer, Mark, , , Date of Receipt Mailing Address 812 W Washington Street 30 2020 City State Zip Code Transaction ID: A2020-872959 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 66.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Program Mgmt Developer III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 266.80 Other (specify) 275.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cabay, Kenneth, , , Date of Receipt Mailing Address 6320 Hamlet Trail 2020 09 City Zip Code State Transaction ID: A2020-833611 VA Roanoke 24018-7791 Amount of Each Receipt this Period FEC ID number of contributing C 39.87 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 318.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cabay, Kenneth, , , Date of Receipt Mailing Address 6320 Hamlet Trail 04 2020 City State Zip Code Transaction ID : A2020-878016 VA Roanoke 24018-7791 Amount of Each Receipt this Period FEC ID number of contributing 39.87 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 358.83 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Calamari, Michael, A., Date of Receipt Mailing Address 1167 NC Hwy 42 East 30 2020 City Zip Code State Transaction ID: A2020-873028 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 18.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 229.50 Other (specify) 97.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

100 FOR LINE NUMBER: PAGE 17 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carney, Rob, , , III Date of Receipt Mailing Address 600 S. Second Street Suite 101 2020 City State Zip Code Transaction ID: A2020-872402 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 155.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 620.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carr, Ron, , , Date of Receipt Mailing Address 2120 West End Avenue 04 2020 P O Box 340001 City State Zip Code Transaction ID : A2020-872967 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 120.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Infrastructure Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 483.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Carroll, Troy, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-872709 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 57.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Support Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 229.24 Other (specify) 333.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

100 FOR LINE NUMBER: PAGE 18 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carter, Janda, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-872376 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 209.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 837.24 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Chen, Leo, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 04 2020 City State Zip Code Transaction ID : A2020-872322 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 182.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 728.16 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Chu, Maggie, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-872628 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) 808.01 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 19 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Clements, Mary,,, Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-873271 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Digtl & Glb Transformation Dir Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cole, Keri, , , Date of Receipt Mailing Address 1600 Progress Drive 04 2020 P.O. Box 1037 City State Zip Code Transaction ID : A2020-872191 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1666.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Coleman, Jerry, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2020 City State Zip Code Transaction ID: A2020-873199 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 816.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cone, Beth, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-873128 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 126.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Elec Comp Sr. Project Lead Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 506.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Corcoran, Jarrett, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 04 2020 PO Box 610 City State Zip Code Transaction ID : A2020-872943 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 53.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Elec Comp Project Lead Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 213.84 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Craig, Curtis, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2020 City State Zip Code Transaction ID: A2020-873090 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 51.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 206.36 Other (specify) 231.71 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

100 FOR LINE NUMBER: PAGE 21 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cross, Johnny, , , Date of Receipt Mailing Address 4174 E. US Hwy 36 09 2020 City Zip Code State Transaction ID: A2020-833556 IN **New Castle** 47362 Amount of Each Receipt this Period FEC ID number of contributing C 35.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 283.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Cross, Johnny, , , Date of Receipt Mailing Address 4174 E. US Hwy 36 04 2020 City State Zip Code Transaction ID : A2020-878019 **New Castle** IN 47362 Amount of Each Receipt this Period FEC ID number of contributing 35.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 318.42 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Crowell, Ti, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-873085 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 93.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 373.08 Other (specify) 164.03 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Daniell, Bruce, , , Date of Receipt Mailing Address 2500 N. 22nd Street 2020 City Zip Code State Transaction ID: A2020-872095 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 63.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 253.73 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Davidson, Janet, , , Date of Receipt Mailing Address 200 NE Adams Street 04 2020 City State Zip Code Transaction ID : A2020-872418 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 54.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Accounts Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 219.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Davis, Tim, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-872668 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 218.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Daw, Andy, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2020 City State Zip Code Transaction ID: A2020-872844 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director of Procurement** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Duhon, Jason, , , Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code Transaction ID : A2020-872486 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Healthcare Design Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dunbar, Nathan, , , Date of Receipt Mailing Address 3701 South Street 30 2020 City State Zip Code Transaction ID: A2020-872255 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 166.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 654.30 Other (specify) 391.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Earle, Kevin, C, , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-872691 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Eastwood, Patricia, , , Date of Receipt Mailing Address 2120 West End Avenue 04 2020 P O Box 340001 City State Zip Code Transaction ID : A2020-872931 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 237.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 951.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Edwards, Brian, , , Date of Receipt Mailing Address 4517 Montalcino Blvd 09 2020 City State Zip Code Transaction ID: A2020-833609 TX Flower Mound 75022 Amount of Each Receipt this Period FEC ID number of contributing 71.09 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 553.12 Other (specify) 368.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Edwards, Brian, , , Date of Receipt Mailing Address 4517 Montalcino Blvd 2020 City Zip Code State Transaction ID: A2020-878015 TX Flower Mound 75022 Amount of Each Receipt this Period FEC ID number of contributing C 71.09 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 624.21 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. English, Paul, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 04 2020 City State Zip Code Transaction ID : A2020-872330 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 59.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Specialist-Des Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 236.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Evans, Josh, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-872112 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 67.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Asset Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 271.00 Other (specify) 197.95 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Evans, Mike, , , Date of Receipt Mailing Address 875 W Cushing Street 2020 City Zip Code State Transaction ID: A2020-873192 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 171.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 686.08 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Evenson, Eric, , , Date of Receipt Mailing Address 875 W Cushing Street 04 2020 City State Zip Code Transaction ID : A2020-872525 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 57.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 231.84 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ferguson, Steve, M, Date of Receipt Mailing Address 6800 Doerr Lane 30 2020 City State Zip Code Transaction ID: A2020-873203 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) 646.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 27 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Feucht, Joel, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-873068 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 89.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Engine Systems Products** Receipt For: Aggregate Year-to-Date ▼ Primary General 356.84 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Finn, Bart, , , Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code Transaction ID: A2020-873201 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 164.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 659.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Flannery, Mark, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-872778 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 180.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 723.72 Other (specify) 434.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 28 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Foster, Steve, S,, Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-872357 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 70.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Enterprise Architect** Receipt For: Aggregate Year-to-Date ▼ Primary General 281.76 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Frake, Tom, G, , Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code Transaction ID : A2020-872715 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1666.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Friend, Paul, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-872478 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 61.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Electronic Engrg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 247.80 Other (specify) 549.06 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Garman, Scott, , , Date of Receipt Mailing Address 3971 S Chana Rd 09 2020 City Zip Code State Transaction ID: A2020-833620 IL Chana 61015-9770 Amount of Each Receipt this Period FEC ID number of contributing C 40.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 322.80 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Garman, Scott, , , Date of Receipt Mailing Address 3971 S Chana Rd 04 2020 City State Zip Code Transaction ID : A2020-878018 IL Chana 61015-9770 Amount of Each Receipt this Period FEC ID number of contributing 40.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 363.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gaw, Matt, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2020 City State Zip Code Transaction ID: A2020-872687 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. GM Network Solutions & Spt Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 155.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gentry-Stuenkel, Wendi, , , Date of Receipt Mailing Address 540 W. Madison Street Floor 3 2020 City Zip Code State Transaction ID: A2020-872633 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Integrated Logistics** Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. George, Brian, W,, Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 04 2020 PO Box 610 City State Zip Code Transaction ID: A2020-872891 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Giesfeldt, James, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 30 2020 PO Box 500 City State Zip Code Transaction ID: A2020-872516 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 187.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 749.12 Other (specify) 703.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gilbert, Dan, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-872212 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 85.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Glb Category Procurement Mgr I Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 343.88 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ginzel, Jon, , , Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code Transaction ID : A2020-872997 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 113.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Labor & Employee Relation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 454.24 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Girard, Kristin, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-872651 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) 616.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 32 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Glawe, Regg, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg E City State Zip Code Transaction ID: A2020-873093 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 180.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 722.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gorman, Chris, , , Date of Receipt Mailing Address 954 NC Highway 42 East 04 2020 City State Zip Code Transaction ID : A2020-872467 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 151.90 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 607.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Graham, Tim, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 City State Zip Code Transaction ID: A2020-872664 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 500.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Specialty Products** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 832.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 33 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Greuel, Matthew, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City Zip Code State Transaction ID: A2020-872429 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 65.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 263.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Guilford, Jerome, , , Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code Transaction ID : A2020-873232 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager BHL/TH Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1000.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gustafson, Ryan, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-872353 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 120.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM Excavator Operations** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 360.99 Other (specify) 436.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gutgesell, Peg, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-873045 IL 61552 Mossville Amount of Each Receipt this Period FEC ID number of contributing 164.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal IT Architect Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 659.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hall, Donald, R, Date of Receipt Mailing Address 510 Lake Cook Road 04 2020 City State Zip Code Transaction ID : A2020-872915 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Rgnl/Group Financial Officer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Halloran, Jim, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-873103 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 180.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. California Reg Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 722.72 Other (specify) 420.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 35 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hanback, Corey, B, , Date of Receipt Mailing Address 11601 93rd Ave N 2020 City Zip Code State Transaction ID: A2020-872920 MN MapleGrove 55369 Amount of Each Receipt this Period FEC ID number of contributing C 160.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 640.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Harris, Stephen, , , Date of Receipt Mailing Address 12085 New Day Dr 04 2020 City State Zip Code Transaction ID : A2020-833619 Fort Worth TX 76179-9168 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 800.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Hart, Andrea, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-872242 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 165.58 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 662.32 Other (specify) 425.58 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Haycraft, Martin, , , Date of Receipt Mailing Address 404 E Main St 09 2020 City Zip Code State Transaction ID: A2020-833595 AL Albertville 35950-2444 Amount of Each Receipt this Period FEC ID number of contributing C 200.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP/CMO LRS Sales **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 1796.54 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hays, Katie, , , Date of Receipt Mailing Address Suite 400 04 2020 1425 K Street City State Zip Code Transaction ID : A2020-872513 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 188.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Int'l Fed & Govt Affairs Dir Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 753.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Heiar, Keith, J., Date of Receipt Mailing Address 501 SW Jefferson 30 2020 City State Zip Code Transaction ID: A2020-873000 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 163.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Life Cycle Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 652.12 Other (specify) 551.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

100 FOR LINE NUMBER: PAGE 37 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Henderson, Dan, E, , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2020 City State Zip Code Transaction ID: A2020-873207 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Innovation & Emerging Tech Dtr Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Henry, Michael, , , Date of Receipt Mailing Address 1904 South First Street 04 2020 2nd Floor City State Zip Code Transaction ID : A2020-872805 IL Champaign 61820 Amount of Each Receipt this Period FEC ID number of contributing 95.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 383.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Herman, Jason, , , Date of Receipt Mailing Address 3000 North 27th Street 30 2020 City State Zip Code Transaction ID: A2020-872158 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 63.94 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Logistics Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 255.76 Other (specify) 359.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hermann, Eric, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2020 Suite 4000 City State Zip Code Transaction ID: A2020-872649 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing C 177.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Integrated Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 711.44 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Heussner, Roy, , , Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code Transaction ID : A2020-873064 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 69.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 278.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** High, Lance, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-872699 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) 664.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hillman, Jonathan, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2020 City State Zip Code Transaction ID: A2020-872217 IL 61552 Mossville Amount of Each Receipt this Period FEC ID number of contributing C 213.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 852.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hoeft, David, , , Date of Receipt Mailing Address 200 NE Adams Street 04 2020 City State Zip Code Transaction ID : A2020-872406 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 187.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 750.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hoepfinger, Dean, , , Date of Receipt Mailing Address 2901 Gateway Blvd. 30 2020 City State Zip Code Transaction ID: A2020-872470 TX Waco 76712 Amount of Each Receipt this Period FEC ID number of contributing C 51.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Distribution Supervisor Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 207.32 Other (specify) 452.63 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Holland, Sally, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-873212 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 66.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Receivables Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 265.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hutt, Joe, , , Date of Receipt Mailing Address 812 W Washington Street 04 2020 City State Zip Code Transaction ID : A2020-872992 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 178.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 715.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ibrahim, Daniel, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-872674 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 195.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 780.56 Other (specify) 440.49 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name James, Danielle, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2020 City Zip Code State Transaction ID: A2020-872572 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 72.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Political Action Committee Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 291.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Johnson, Alicia, , , Date of Receipt Mailing Address 510 Lake Cook Road 04 2020 City State Zip Code Transaction ID : A2020-872305 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 220.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 883.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Cheryl, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-872615 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Human Resources Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) 710.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Craig, , , Date of Receipt Mailing Address 2200 Pacific Highway 2020 City Zip Code State Transaction ID: A2020-872908 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Johnson, Denise, , , Date of Receipt Mailing Address 510 Lake Cook Road 04 2020 City State Zip Code Transaction ID : A2020-872503 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1666.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Johnson, Jerry, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 City Zip Code State Transaction ID: A2020-872445 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 58.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Program Specialist III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 233.92 Other (specify) 891.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnston, Dayven, , , Date of Receipt Mailing Address 2045 West Pike Street 2020 City Zip Code State Transaction ID: A2020-872758 PA Houston 15342 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kaiser, Jack, , , Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code Transaction ID : A2020-872360 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Karol, Kathryn, , , Date of Receipt Mailing Address Suite 400 30 2020 1425 K Street City Zip Code State Transaction ID: A2020-872553 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) 576.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 44 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kearns, Patrick, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-873263 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 86.78 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Region Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 347.12 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kibby, Steve, , , Date of Receipt Mailing Address 200 NE Adams Street 04 2020 City State Zip Code Transaction ID : A2020-873020 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 225.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 900.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kim, Charlie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2020 Bldg E City State Zip Code Transaction ID: A2020-872155 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 58.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Eng Project Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 232.00 Other (specify) 370.00 SUBTOTAL of Receipts This Page (optional).....

100 FOR LINE NUMBER: PAGE 45 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Knepp, Wes, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-872940 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 180.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Labor & Employee Relations Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 722.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Knotts, Christine, , , Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code Transaction ID : A2020-872948 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 64.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Eng Project Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 256.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kobrak, Harry, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 Ocean Gate Minato Mirai City Zip Code State Transaction ID: A2020-873015 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 198.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 792.72 Other (specify) 442.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 46 OF 100 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kreisel, Leopold, , , Date of Receipt Mailing Address 877 Cloudberry Branch Way 09 2020 City Zip Code State Transaction ID: A2020-833618 FL Jacksonville 32259-4453 Amount of Each Receipt this Period FEC ID number of contributing C 42.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 336.16 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kreisel, Leopold, , , Date of Receipt Mailing Address 877 Cloudberry Branch Way 04 2020 City State Zip Code Transaction ID : A2020-878017 FL Jacksonville 32259-4453 Amount of Each Receipt this Period FEC ID number of contributing 42.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 378.41 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kruse, Brian, , , Date of Receipt Mailing Address 560 Rehoboth Road 30 2020 City State Zip Code Transaction ID: A2020-872294 GΑ Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 100.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Solutions Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 401.52 Other (specify) 184.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 47 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kupferschmid, Jason, , , Date of Receipt Mailing Address 3501 South FM Hwy 1417 2020 City Zip Code State Transaction ID: A2020-872695 TX Denison 75020 Amount of Each Receipt this Period FEC ID number of contributing C 86.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 346.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kurumbailmadam, Sankar, , Date of Receipt Mailing Address 500 N Morton Ave 04 2020 City State Zip Code Transaction ID : A2020-872855 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Lagacy, Julie, , , Date of Receipt Mailing Address 812 W Washington Street 30 2020 City State Zip Code Transaction ID: A2020-873054 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) 653.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 48 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name LaHood, Dan, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-872650 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 172.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Region Finance Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 688.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lautzenheiser, Scott, , , Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code Transaction ID : A2020-873161 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 117.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 448.14 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Leary, Lawrence, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-872156 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 53.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Eng Project Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 214.96 Other (specify) 343.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 49 OF 100 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lindberg, Richard, , , Date of Receipt Mailing Address 4-H Park Road P O Box 740 2020 City Zip Code State Transaction ID: A2020-872287 IL Pontiac 61764 Amount of Each Receipt this Period FEC ID number of contributing C 65.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engr Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 236.65 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Long, Suzette, , , Date of Receipt Mailing Address 510 Lake Cook Road 04 2020 City State Zip Code Transaction ID : A2020-872078 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Counsel-Corp Secretary Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1666.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Lusher, Jason, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2020 Bldg L City State Zip Code Transaction ID: A2020-872865 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 157.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 631.40 Other (specify) 640.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 50 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Markun, Joe, , , Date of Receipt Mailing Address 3701 State Road 26 East 2020 City Zip Code State Transaction ID: A2020-873071 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM Large Engine Operations** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Martin, Alex, , , Date of Receipt Mailing Address 2120 West End Avenue 04 2020 P O Box 340001 City State Zip Code Transaction ID : A2020-872153 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 136.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Funding Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 545.56 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Martin, David, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-872634 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 500.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corp Bus Dev & Strategy Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 736.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 51 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Matheny, Steve, , , Date of Receipt Mailing Address 1600 Progress Drive P.O. Box 1037 2020 City State Zip Code Transaction ID: A2020-872382 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 205.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 820.60 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Matheson, Sean, , , Date of Receipt Mailing Address 500 N Morton Ave 04 2020 City State Zip Code Transaction ID: A2020-872381 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 53.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Supply Network Lead I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 217.89 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Matteo, James, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 Uozumi-Cho City State Zip Code Transaction ID: A2020-872724 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 113.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Procurement Manager III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 454.28 Other (specify) 371.77 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McCartney, Sandi, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2020 City Zip Code State Transaction ID: A2020-872972 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 218.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resources Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 872.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. McClure, Rebecca, , , Date of Receipt Mailing Address 2120 West End Avenue 04 2020 P O Box 340001 City State Zip Code Transaction ID : A2020-872152 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 56.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Customer Relations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 226.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** McGuire, Andrew, A, Date of Receipt Mailing Address 200 NE Adams Street 30 2020 City State Zip Code Transaction ID: A2020-872799 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) 691.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 53 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McMullen, Karen, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-873189 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 75.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Tax COE Receipt For: Aggregate Year-to-Date ▼ Primary General 303.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mellott, Lauren, , , Date of Receipt Mailing Address 2120 West End Avenue 04 2020 P O Box 340001 City State Zip Code Transaction ID : A2020-872373 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 54.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Credit Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 216.72 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Menke, Charlie, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 30 2020 Zip Code City State Transaction ID: A2020-873250 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 213.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Machine Development Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 852.40 Other (specify) 343.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 54 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Michael, Dave, , , Date of Receipt Mailing Address 100 NE Adams St. P O Box 340001 2020 City Zip Code State Transaction ID: A2020-872994 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **AFS Commercial Director** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mills, Desmond, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 04 2020 Bldg A City State Zip Code Transaction ID : A2020-872502 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Milman, Kelsey, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-873175 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 1664.00 Other (specify) 616.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mitts, Marsha, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-873188 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 145.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager II-Export Controls Receipt For: Aggregate Year-to-Date ▼ Primary General 582.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mohrman, Patrick, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 04 2020 PO Box 610 City State Zip Code Transaction ID : A2020-872655 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 88.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 354.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Montgomery, Djuana, , , Date of Receipt Mailing Address 812 W Washington Street 30 2020 City State Zip Code Transaction ID: A2020-873200 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 147.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Information Technology Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 588.44 Other (specify) 381.13 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mooney, Martin, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City Zip Code State Transaction ID: A2020-872264 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 164.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 659.08 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Moore, Jann, , , Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code Transaction ID : A2020-872565 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 125.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 502.72 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Moore, Rich, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-873048 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) 490.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Morris, Jeff, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg L 2020 City State Zip Code Transaction ID: A2020-872352 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 500.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Morton, Susan, , , Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code Transaction ID : A2020-872548 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 53.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Guest Relations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 215.56 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Morzy, Adam, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 30 2020 PO Box 500 City State Zip Code Transaction ID: A2020-872530 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 62.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Accounting Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 251.04 Other (specify) 616.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Moss, Jarrod, , , Date of Receipt Mailing Address 6000 W. Caterpillar Trail 2020 City Zip Code State Transaction ID: A2020-872966 ΑZ Green Valley 85614 Amount of Each Receipt this Period FEC ID number of contributing C 169.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 675.48 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mund, Brian, , , Date of Receipt Mailing Address 875 W Cushing Street 04 2020 City State Zip Code Transaction ID : A2020-872910 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 62.94 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 251.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Mustafa, Mustafa, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 30 2020 PO Box 348 City State Zip Code Transaction ID: A2020-872984 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 50.94 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 203.76 Other (specify) 283.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Muthekepalli, Srinivas, , , Date of Receipt Mailing Address 500 N. Morton Ave 2020 City Zip Code State Transaction ID: A2020-872703 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 32.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior IS Specialist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 230.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Myers, Bart, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 04 2020 Suite 4000 City State Zip Code Transaction ID : A2020-872645 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Mgr EP Diesel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Myers, Chris, , , Date of Receipt Mailing Address Suite 400 30 2020 1425 K Street City Zip Code State Transaction ID: A2020-872174 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 301.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Federal Government Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1205.76 Other (specify) 433.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Myers, Kirsten, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-872162 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 83.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Lease Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 334.36 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Newell, Dontae, , , Date of Receipt Mailing Address 510 Lake Cook Road 04 2020 City State Zip Code **Transaction ID: A2020-872226** Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 88.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 355.11 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Nguyen, Chau, , , Date of Receipt Mailing Address 540 W. Madison Street 30 2020 Floor 3 City State Zip Code Transaction ID: A2020-873065 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 111.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing & Ops Support Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 447.52 Other (specify) 283.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Nicoll, David, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2020 City State Zip Code Transaction ID: A2020-872307 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 55.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 205.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Norlin, John, , , Date of Receipt Mailing Address 14009 N Old Galena Rd 04 2020 Tech Center Bldg K City State Zip Code Transaction ID : A2020-873132 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 164.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 659.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. O'Neal, Edward, A, Date of Receipt Mailing Address 2540 Bruce Rd. 09 2020 City State Zip Code Transaction ID: A2020-833600 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing C 87.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Health & Safety Receipt For: Aggregate Year-to-Date ▼ Primary General 699.52 Other (specify) 307.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name O'Neal, Edward, A, , Date of Receipt Mailing Address 2540 Bruce Rd. 2020 City Zip Code State Transaction ID: A2020-878013 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing C 87.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Health & Safety **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 786.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. O'Neil, Ed,,, Date of Receipt Mailing Address 100 Tractor Drive 04 2020 **Building CV** City State Zip Code Transaction ID : A2020-873024 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Earthmoving Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 700.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Osborn, Sheri, , , Date of Receipt Mailing Address 2200 Pacific Highway 30 2020 City State Zip Code Transaction ID: A2020-872433 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing C 71.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Supply Chain Area Sr. Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 287.24 Other (specify) 259.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Oyler, Zach, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV Dock CV 2020 City Zip Code State Transaction ID: A2020-872379 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 51.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supply Chain Planning Mgr 1 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 205.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Parks, Brett, , , Date of Receipt Mailing Address 2120 West End Avenue 04 2020 P O Box 340001 City State Zip Code Transaction ID : A2020-872851 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 200.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 801.12 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Parr, Jerry, L, , Date of Receipt Mailing Address 3000 North 27th Street 30 2020 City State Zip Code Transaction ID: A2020-872440 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 52.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Manufacturing Project Engr 2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 209.56 Other (specify) 303.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Paternoga, Bob, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-872733 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Reman Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Pellette, Tom, , , Date of Receipt Mailing Address 2200 Pacific Hwy 04 2020 City State Zip Code **Transaction ID: A2020-872745** CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Senior Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1666.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Perez Sandi, Alex, , , Date of Receipt Mailing Address 8826 2 Route 24 30 2020 City State Zip Code Transaction ID: A2020-873261 IL Mapleton 61547 Amount of Each Receipt this Period FEC ID number of contributing C 95.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 383.40 Other (specify) 929.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pierce, Robert, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2020 City State Zip Code Transaction ID: A2020-873227 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 82.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Performance Mgr 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 329.76 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Pitts, Dave, , , Date of Receipt Mailing Address 2120 West End Avenue 04 2020 P O Box 340001 City State Zip Code **Transaction ID: A2020-872225** TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 66.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Executive Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 265.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Potts, Rachel, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-872096 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Enterprise Communications Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) 348.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Qualey, Richard, , , Date of Receipt Mailing Address 5122 N. Jefferson Pl. 2020 City Zip Code State Transaction ID: A2020-872637 WA Oak Harbor 98277 Amount of Each Receipt this Period FEC ID number of contributing C 55.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 222.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Quigg, Jim, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 04 2020 City State Zip Code Transaction ID : A2020-873216 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Product Source Plng. & RE Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1666.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Rasmussen, Marie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2020 Bldg L City State Zip Code Transaction ID: A2020-872224 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 53.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Specialist-Design** Receipt For: Aggregate Year-to-Date ▼ Primary General 213.60 Other (specify) 525.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rassi, A.J., , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2020 City State Zip Code Transaction ID: A2020-872780 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 432.92 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rathman, Carol, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 04 2020 PO Box 610 City State Zip Code Transaction ID : A2020-872667 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 64.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Electronic Engrg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 257.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Rea, David, M, Date of Receipt Mailing Address 875 W Cushing Street 30 2020 City State Zip Code Transaction ID: A2020-873154 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) 591.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rich, Steve, , , Date of Receipt Mailing Address 875 W Cushing Street 2020 City Zip Code State Transaction ID: A2020-873074 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mining General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Riggle, Robert, , , Date of Receipt Mailing Address 875 W Cushing Street 04 2020 City State Zip Code Transaction ID : A2020-872518 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 67.92 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Performance Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 271.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Robbins, Ryan, , , Date of Receipt Mailing Address 812 W Washington Street 30 2020 City State Zip Code Transaction ID: A2020-873178 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 54.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Category Buyer IV Receipt For: Aggregate Year-to-Date ▼ Primary General 217.52 Other (specify) 322.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rochford, Mike, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-873077 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) GM Gas & Med Speed Engines Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Roethler, Mary, , , Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code Transaction ID: A2020-873221 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 191.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 764.72 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rolinitis, Steve, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-872894 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Risk Management Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 351.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rooney, Jim, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-872323 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Roos, Kimberly, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 04 2020 City State Zip Code Transaction ID : A2020-872392 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 52.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 211.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rosebraugh, Jeff, , , Date of Receipt Mailing Address 3701 South Street 30 2020 City State Zip Code Transaction ID: A2020-872862 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 58.37 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 233.48 Other (specify) 527.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rubel, Juergen, , , Date of Receipt Mailing Address 500 N Morton Ave 2020 City Zip Code State Transaction ID: A2020-872238 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 82.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Logistics Mgmt Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 329.76 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ruppel, Jeff, , , Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code **Transaction ID: A2020-872439** IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Russell, Melissa, , , Date of Receipt Mailing Address Suite 400 30 2020 1425 K Street City Zip Code State Transaction ID: A2020-872593 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 167.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 668.00 Other (specify) 349.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Saad, Jeffrey, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-873010 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 206.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 827.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sage, Jason, , , Date of Receipt Mailing Address 400 Regency Forest Drive 04 2020 Suite 400 City State Zip Code Transaction ID : A2020-873144 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 226.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 906.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Salahuddin, Jihad, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2020 City State Zip Code Transaction ID: A2020-872946 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 153.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Developmental Assignment** Receipt For: Aggregate Year-to-Date ▼ Primary General 613.64 Other (specify) 586.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

# SCHEDULE A (FEC Form 3X)

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:						PAGE	7	73 (	OF	100
	(check only one)										
		X	11a		11b		11c		12		
			13		14		15		16		17
not be sold or used by any person for the purpose of soliciting contributions dress of any political committee to solicit contributions from such committee.											

ITEMIZED RECEIPTS Any information copied from such Reports and Statements may or for commercial purposes, other than using the name and add NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sale, Ronald, , , Date of Receipt Mailing Address 8826 2 Route 24 2020 City Zip Code State Transaction ID: A2020-872380 IL Mapleton 61547 Amount of Each Receipt this Period FEC ID number of contributing C 81.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Section Mgr 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 334.57 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sallowicz, Marcos, , , Date of Receipt Mailing Address 701 Waterford Way 04 2020 Suite 600 City State Zip Code Transaction ID : A2020-872707 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing & Ops Support Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Santilli, Stefano, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-872632 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 188.57 federal political committee. Memo Item Occupation (for Individual) Name of Employer (for Individual) Caterpillar Inc. Risk Analytics Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 754.83 Other (specify) 369.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 74 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Seely, Mary, , , Date of Receipt Mailing Address 6800 Doerr Lane 2020 City Zip Code State Transaction ID: A2020-872845 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing C 343.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resources Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1372.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Sethupathi, Rajesh, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 04 2020 Suite 4000 City State Zip Code Transaction ID : A2020-872313 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 201.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 201.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shankwitz, Phil, , , Date of Receipt Mailing Address 600 Balderson Blvd 30 2020 PO Box 6 City State Zip Code Transaction ID: A2020-873026 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing C 188.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Engineer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 752.52 Other (specify) 732.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 75 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shapland, Brad, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City Zip Code State Transaction ID: A2020-873217 IL 61611 East Peoria Amount of Each Receipt this Period FEC ID number of contributing 88.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) IT Architect Manager II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 355.84 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Shea, Ryan, , , Date of Receipt Mailing Address 600 Balderson Blvd P O B<u>ox 6</u> 04 2020 City State Zip Code Transaction ID : A2020-872342 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing 56.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. 6 Sigma Black Belt-2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 224.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sheffield, Maria, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-872597 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 203.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) President Insurance Services Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 812.32 Other (specify) 348.09 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shepherd, Scott, , , Date of Receipt Mailing Address 500 N Morton Ave 2020 City Zip Code State Transaction ID: A2020-872543 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 90.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Aftermarket Parts Dist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shurman, Rod, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 04 2020 Suite 4000 City State Zip Code Transaction ID : A2020-873220 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 270.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Oil & Gas Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1082.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shute, Truman, , , Date of Receipt Mailing Address 3000 North 27th Street 30 2020 City State Zip Code Transaction ID: A2020-872216 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 70.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 287.13 Other (specify) 431.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 77 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sluga, Maureen, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2020 City Zip Code State Transaction ID: A2020-872996 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 173.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 693.76 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Smith, Kristen, , , Date of Receipt Mailing Address Suite 400 04 2020 1425 K Street City State Zip Code Transaction ID : A2020-872630 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Communications Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Smith, Mark, , , Date of Receipt Mailing Address 875 W Cushing Street 30 2020 City State Zip Code Transaction ID: A2020-872519 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 54.57 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product & Tech Training Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 218.28 Other (specify) 303.01 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 78 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Mary, , , Date of Receipt Mailing Address 3101 Bethsaida Rd 2020 09 City Zip Code State Transaction ID: A2020-833605 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing C 122.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 963.84 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Smith, Mary, , , Date of Receipt Mailing Address 3101 Bethsaida Rd 04 2020 City State Zip Code Transaction ID : A2020-878014 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing 122.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1086.03 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Smith, Wayne, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2020 City State Zip Code Transaction ID: A2020-872293 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 151.55 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Process Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 606.20 Other (specify) 395.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 79 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sonnemaker, Brian, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City Zip Code State Transaction ID: A2020-872701 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing C 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Group CIO IT Engagement Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Speake, Laura, , , Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code Transaction ID : A2020-872579 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 147.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Regional Chief Economist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 590.56 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Staley, Daniel, , , Date of Receipt Mailing Address 200 NE Adams Street 30 2020 City State Zip Code Transaction ID: A2020-872577 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 149.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 596.28 Other (specify) 546.71 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 80 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stanley, Jim, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2020 City State Zip Code Transaction ID: A2020-872159 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 103.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Technology Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 413.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Starr, Jim, , , Date of Receipt Mailing Address 875 W Cushing Street 04 2020 City State Zip Code Transaction ID : A2020-872340 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 187.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Accounts General Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 748.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Steiner, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-872300 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 151.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 606.76 Other (specify) 442.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 81 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stevens, Janet, , , Date of Receipt Mailing Address 3701 State Road 26 East 2020 City Zip Code State Transaction ID: A2020-872580 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 153.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 612.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stevenson, Brad, , , Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code Transaction ID: A2020-873011 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 144.55 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. EHS Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 578.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stockwell, Tammi, , , Date of Receipt Mailing Address 200 NE Adams Street 30 2020 City State Zip Code Transaction ID: A2020-872420 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 122.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Risk & Compliance Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 488.32 Other (specify) 419.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 82 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stone, Brian, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-872786 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 118.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 473.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stone, Casey, , , Date of Receipt Mailing Address 100 Tractor Drive 04 2020 **Building CV** City State Zip Code Transaction ID : A2020-872413 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 67.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Information Technology Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 268.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stone, Jonathan, , , Date of Receipt Mailing Address 27Th & Pershing Rd 30 2020 PO Box 1430 City State Zip Code Transaction ID: A2020-872787 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing 165.06 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Developmental Assignment** Receipt For: Aggregate Year-to-Date ▼ Primary General 659.75 Other (specify) 350.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 83 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stratton, Mark, , , Date of Receipt Mailing Address 1720 W Kingsbury 2020 City Zip Code State Transaction ID: A2020-873073 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM IPSD Large Engines** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Strother, Art, , , Date of Receipt Mailing Address 100 NE Adams 04 2020 Cileungsi Bogor City State Zip Code Transaction ID : A2020-873160 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 159.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 639.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stroup, Dana, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2020 **Building CV** City State Zip Code Transaction ID: A2020-873122 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 213.92 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager III Receipt For: Aggregate Year-to-Date ▼ Primary General 855.68 Other (specify) 790.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sundell, Dan, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-873059 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 136.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM of Operations** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 546.60 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Swick, Chris, , , Date of Receipt Mailing Address 5012 Womack Rd 04 2020 City State Zip Code Transaction ID : A2020-873148 NC Sanford 27330 Amount of Each Receipt this Period FEC ID number of contributing 82.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 328.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Taluc, Chris, , , Date of Receipt Mailing Address 812 W Washington Street 30 2020 City State Zip Code Transaction ID: A2020-872883 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 153.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Portfolio Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 307.92 Other (specify) 372.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Taphorn, Matt, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2020 City Zip Code State Transaction ID: A2020-872103 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 123.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 493.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Taylor, Carietha, , , Date of Receipt Mailing Address 1720 W Kingsbury 04 2020 City State Zip Code Transaction ID : A2020-872064 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 117.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Master Black Belt Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 468.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Thaker, Hrishikesh, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 City Zip Code State Transaction ID: A2020-872337 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 66.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 266.52 Other (specify) 307.09 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Thompson, Clay, , , Date of Receipt Mailing Address 701 Waterford Way Suite 600 2020 City State Zip Code Transaction ID: A2020-872720 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tilly, Brad, , , Date of Receipt Mailing Address 875 W Cushing Street 04 2020 City State Zip Code Transaction ID : A2020-872919 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 92.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Region Mgr - Global Accounts Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 369.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Tippey, Trent, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-872257 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 608.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tobias, Mitch, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV Dock CV 2020 City Zip Code State Transaction ID: A2020-873253 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 445.74 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Toher, Susan, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 04 2020 City State Zip Code **Transaction ID: A2020-872248** IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Tomlins, Greg, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2020 **Building CV** City State Zip Code Transaction ID: A2020-872663 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 164.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 656.32 Other (specify) 389.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tomsovic, Brian, , , Date of Receipt Mailing Address 1720 W Kingsbury 2020 City Zip Code State Transaction ID: A2020-873123 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 78.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 312.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Umpleby, Donald, , , III Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code Transaction ID : A2020-872280 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Executive Officer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1666.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Utley, Tana, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-873043 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) 911.42 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Vanek, Jeff, , , Date of Receipt Mailing Address 100 NE Adams 2020 Suite 902 City Zip Code State Transaction ID: A2020-872905 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 138.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 552.92 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Varela, Antonio, , , Date of Receipt Mailing Address 875 W Cushing Street 04 2020 City State Zip Code Transaction ID : A2020-872074 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 45.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Product Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 201.78 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Varghese, Asha, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-872636 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 193.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. President Cat Foundation Receipt For: Aggregate Year-to-Date ▼ Primary General 772.08 Other (specify) 376.72 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name VeZain, Darilynn, , , Date of Receipt Mailing Address 501 SW Jefferson 2020 City Zip Code State Transaction ID: A2020-872511 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.78 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Digital Consultant 2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 203.12 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Volz, Carl, , , Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code Transaction ID : A2020-873107 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 72.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 290.24 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Walters, Tom, , , Date of Receipt Mailing Address 600 S. Second Street 30 2020 Suite 101 City State Zip Code Transaction ID: A2020-872336 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 225.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US State & Americas GGCA Dir. Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) 348.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Walton, Dave, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-873210 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Walton, Jay, , , Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code Transaction ID : A2020-872731 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Caterpillar Defense** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1666.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Wasson, David, , , Date of Receipt Mailing Address 812 W Washington Street 30 2020 City State Zip Code Transaction ID: A2020-872586 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 1000.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr IT Dir Industry& Innovation Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 1833.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Waters, Bill, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-872221 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 151.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 604.92 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Weaver, Travis, , , Date of Receipt Mailing Address 540 W. Madison Street 04 2020 Floor 3 City State Zip Code Transaction ID : A2020-872560 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 66.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mgr Strategic Bus Planning Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 228.56 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Weer, Noel, S, , Date of Receipt Mailing Address 3000 North 27th Street 30 2020 City State Zip Code Transaction ID: A2020-872298 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 72.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sales & Oprns Plng Coord 2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 286.96 Other (specify) 289.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Weiss, Karl, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2020 City State Zip Code Transaction ID: A2020-872646 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Weston, Douglas, , , Date of Receipt Mailing Address 100 NE Adams 04 2020 City State Zip Code Transaction ID : A2020-873049 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director of Procurement** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Whiteley, Douglas, , , Date of Receipt Mailing Address 2416 Mid-America Industrial Dr 30 2020 City Zip Code State Transaction ID: A2020-873127 MO Boonville 65233-2635 Amount of Each Receipt this Period FEC ID number of contributing 180.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 722.72 Other (specify) 672.35 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wiest, Valerie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bl<u>dg</u> F 2020 City State Zip Code Transaction ID: A2020-872658 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 91.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager 4** Receipt For: Aggregate Year-to-Date ▼ Primary General 364.92 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wilcoxen, Sam, , , Date of Receipt Mailing Address 27Th & Pershing Rd 04 2020 PO Box 1430 City State Zip Code Transaction ID : A2020-872296 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing 59.13 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mfg Engineering Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 236.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Williamson, Ted, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-872315 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 68.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. CPS Black Belt-3 Receipt For: Aggregate Year-to-Date ▼ Primary General 275.04 Other (specify) 219.12 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Winkel, Chris, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-873042 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 52.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Prod Compliance & Support Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 209.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Winkler, Michelle, , , Date of Receipt Mailing Address 100 Tractor Drive 04 2020 **Building CV** City State Zip Code Transaction ID : A2020-872540 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 58.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division HR Communication Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 231.72 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Withers, Chad, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-872594 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Tax Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) 527.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wolbert, Robert, , , Date of Receipt Mailing Address 3570 Granite Way 2020 09 City Zip Code State Transaction ID: A2020-833593 GA Martinez 30907-8974 Amount of Each Receipt this Period FEC ID number of contributing C 76.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 615.84 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wolbert, Robert, , , Date of Receipt Mailing Address 3570 Granite Way 04 2020 City State Zip Code Transaction ID : A2020-878012 GA Martinez 30907-8974 Amount of Each Receipt this Period FEC ID number of contributing 76.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 692.82 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Wurtzbacher, Corey, , , Date of Receipt Mailing Address 100 Sanford Street 30 2020 City State Zip Code Transaction ID: A2020-872143 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 210.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 840.00 Other (specify) 363.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Yergler, Daren, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-873118 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 167.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resource Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 670.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Yordy, Christine, , , Date of Receipt Mailing Address 500 N. Morton Ave. 04 2020 City State Zip Code Transaction ID : A2020-872208 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 50.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division Talent Developmnt Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 203.92 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Younessi, Ramin, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-872569 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Group President** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.68 Other (specify) 635.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Young, Michael, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-872171 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Zdanowski, John, , , Date of Receipt Mailing Address 812 W Washington Street 04 2020 City State Zip Code **Transaction ID: A2020-872279** IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 52.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Architect I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 209.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Zemke, Wayne, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2020 Suite 4000 City State Zip Code Transaction ID: A2020-872306 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 227.40 SUBTOTAL of Receipts This Page (optional)..... 44129.00 TOTAL This Period (last page this line number only).....

SCHEDULE B (FEC Form 3X) TEMIZED DISBURSEMENTS	Use separate sche for each category		FOR LINE N	one)	PAGE 99 OF 10				
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NAME OF COMMITTEE (In Full)  The Caterpillar Inc. Employee Politic	cal Action Cor	mmittee	Э						
Full Name (Last, First, Middle Initial)				D : (D:					
DeFazio for Congress  Mailing Address PO Box 1316	Date of Disbursement  04 27 2020								
	tata Zin Caa	J_							
Springfield	tate Zip Cod OR 97477			FEC Identif	ication Number				
Purpose of Disbursement Contribution Candidate Name	011	C C00215905 Transaction ID : B762111							
DeFazio, Peter, A, ,			Category/ Type	Amount of Each Disbursement this Period					
Office Sought:    X   House   Disbursem   Senate   X   F		2500.00							
State: OR District: 04	Other (specify) ▼			Memo Item					
Full Name (Last, First, Middle Initial)  Thunderbolt PAC		Date of Disbursement							
Mailing Address 824 S Milledge Ave Ste 101				04	27 2020				
,	tate Zip Cod GA 30605			FEC Identif	ication Number				
Purpose of Disbursement Contribution	011	C C00574376  Transaction ID : B762112							
Candidate Name			Category/ Type	Amount of	Each Disbursement this Period				
Office Sought: House Disbursem Senate F			2500.00						
State: President X	Other (specify)  Not A	pplicable		Memo Item					
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SCHEDULE B (FEC Form 3X)	Use sena	rate schedule(s)	FOR LINE NUMBER: PAGE 100 OF 10						
ITEMIZED DISBURSEMENTS	for each of	category of the	(check only 21b	one) 22 23	26 27				
	Detailed	Summary Page	28a	28b 28c	<b>x</b> 29 30b				
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NAME OF COMMITTEE (In Full)	io ana adam	oco or arry pomilor			The state of the s				
The Caterpillar Inc. Employee Polit	ical Action	on Committe	ее						
Full Name (Last, First, Middle Initial)  A. McCrayy for House				Date of Disburse	ement				
A. McCravy for House		M M / D D / Y Y Y Y							
Mailing Address 1629 ByPass 72 NE				04 3	2020				
Greenwood									
Purpose of Disbursement P-2020 State House 13 SC			011	C					
Candidate Name			Category/		n ID: B758082  Disbursement this Period				
McCravy, John, R, , III			Type	7 mount of Euch					
	nent For: 2 Primary	020 General			- 1000.00				
	Other (spec			Voided: Original check dated Memo Item 02/28/20					
State: SC District: 13				Memo item	02/20/20				
Full Name (Last, First, Middle Initial)				Data of Diaboura					
B. Bill Lee for Tennessee Inc.		Date of Disbursement							
Mailing Address PO Box 40392					2020				
,	State	Zip Code		FEC Identificatio	n Number				
Nashville Purpose of Disbursement	TN	37204		С					
P-2022 Governor TN			011		ID : B753867				
Candidate Name			Category/		Disbursement this Period				
Lee, Bill, , ,  Office Sought: House Disbursen	nent For: 2	2022	Туре		- 1500.00				
Senate x		Voided: Original check dated							
	Other (spec	ify)		Memo Item	01/21/20				
State: TN District:  Full Name (Last, First, Middle Initial)									
C.				Date of Disburse	ement				
<del></del>				M M / D	D / Y Y Y Y				
Mailing Address									
City	State	Zip Code		FEC Identification	n Number				
Purpose of Disbursement			· · · ·	С					
Candidate Name			Category/ Type	Amount of Each	Disbursement this Period				
Office Sought: House Disbursen	nent For:		. 7						
	Primary	General			,				
State: President State:	Other (spec	···y) ▼		Memo Item					
					0500.00				
SUBTOTAL of Disbursements This Page (optional)			·····•		- 2500.00				
TOTAL This Period (last page this line number only)					- 2500.00				