

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS For Other Than An Authorized Committee

RECEIVED

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1. NAME OF COMMITTEE (in full) TYPE OR PRINT

Example: If typing, type over the lines.

12FE4M5

Legg Mason Political Action Committee

ADDRESS (number and street)

100 International Drive

Check if different than previously reported. (ACC)

Baltimore

MD

21202

2. FEC IDENTIFICATION NUMBER

CITY

STATE

ZIP CODE

C C00195586

3. IS THIS REPORT

NEW

(N)

OR

AMENDED

(A)

4. TYPE OF REPORT (Choose One)

(b) Monthly Report Due On:

Feb 20 (M2)

May 20 (M5)

Aug 20 (M8)

Nov 20 (M11) (Non-Election Year Only)

Mar 20 (M3)

Jun 20 (M6)

Sep 20 (M9)

Dec 20 (M12) (Non-Election Year Only)

Apr 20 (M4)

Jul 20 (M7)

Oct 20 (M10)

Jan 31 (YE)

(a) Quarterly Reports:

April 15 Quarterly Report (Q1)

July 15 Quarterly Report (Q2)

October 15 Quarterly Report (Q3)

January 31 Year-End Report (YE)

July 31 Mid-Year Report (Non-election Year Only) (MY)

Termination Report (TER)

(c) 12-Day PRE-Election Report for the:

Primary (12P)

General (12G)

Runoff (12R)

Convention (12C)

Special (12S)

Election on

11

04

2014

in the State of

(d) 30-Day POST-Election Report for the:

General (30G)

Runoff (30R)

Special (30S)

Election on

in the State of

5. Covering Period

10

01

2014

through

10

15

2014

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mrs. Cheryl Yates

Signature of Treasurer

Mrs. Cheryl Yates

Cheryl Yates

Date

10

22

2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only

FEC FORM 3X Rev. 12/2004

174001-1179

**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

**Legg Mason Political Action Committee**

Report Covering the Period: From:

/  /

To:

/  /

|  | COLUMN A<br>This Period               | COLUMN B<br>Calendar Year-to-Date     |
|--|---------------------------------------|---------------------------------------|
| 6. (a) Cash on Hand<br>January 1, <input type="text" value="2014"/>  |                                       | <input type="text" value="25150.76"/> |
| (b) Cash on Hand at<br>Beginning of Reporting Period.....  | <input type="text" value="20158.91"/> |                                       |
| (c) Total Receipts (from Line 19) .....  | <input type="text" value="0.00"/>     | <input type="text" value="8.15"/>     |
| (d) Subtotal (add Lines 6(b) and<br>6(c) for Column A and Lines<br>6(a) and 6(c) for Column B).....              | <input type="text" value="20158.91"/> | <input type="text" value="25158.91"/> |
| 7. Total Disbursements (from Line 31).....   | <input type="text" value="0.00"/>     | <input type="text" value="5000.00"/>  |
| 8. Cash on Hand at Close of<br>Reporting Period<br>(subtract Line 7 from Line 6(d)).....                         | <input type="text" value="20158.91"/> | <input type="text" value="20158.91"/> |
| 9. Debts and Obligations Owed <b>TO</b><br>the Committee (Itemize all on<br>Schedule C and/or Schedule D) .....  | <input type="text" value="0.00"/>     |                                       |
| 10. Debts and Obligations Owed <b>BY</b><br>the Committee (Itemize all on<br>Schedule C and/or Schedule D) ..... | <input type="text" value="0.00"/>     |                                       |



This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

**DETAILED SUMMARY PAGE**  
of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

**Legg Mason Political Action Committee**

Report Covering the Period: From: MM / DD / YYYY 10 / 01 / 2014 To: MM / DD / YYYY 10 / 15 / 2014

**I. Receipts**

**COLUMN A**  
Total This Period

**COLUMN B**  
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other Than Political Committees

(i) Itemized (use Schedule A).....

0.00

0.00

(ii) Unitemized.....

0.00

0.00

(iii) TOTAL (add Lines 11(a)(i) and (ii)).....▶

0.00

0.00

(b) Political Party Committees.....

0.00

0.00

(c) Other Political Committees (such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5).....▶

0.00

0.00

12. Transfers From Affiliated/Other Party Committees.....

0.00

0.00

13. All Loans Received.....

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....

0.00

0.00

16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....

0.00

0.00

17. Other Federal Receipts (Dividends, Interest, etc.).....

0.00

8.15

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account (from Schedule H3).....

0.00

0.00

(b) Levin Funds (from Schedule H5).....

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b)).....

0.00

0.00

19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)).....▶

0.00

8.15

20. Total Federal Receipts (subtract Line 18(c) from Line 19).....▶

0.00

8.15

**DETAILED SUMMARY PAGE**  
of Disbursements

| <b>II. Disbursements</b>  |      | <b>COLUMN A</b><br>Total This Period | <b>COLUMN B</b><br>Calendar Year-to-Date |
|---|------|--------------------------------------|--|
| 21. Operating Expenditures:   |      |                                      |  |
| (a) Allocated Federal/Non-Federal Activity (from Schedule H4)                                   |      |                                      |  |
| (i) Federal Share .....   | 0.00 | 0.00                                 |  |
| (ii) Non-Federal Share .....  | 0.00 | 0.00                                 |  |
| (b) Other Federal Operating Expenditures .....  | 0.00 | 0.00                                 |  |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....                         | 0.00 | 0.00                                 |  |
| 22. Transfers to Affiliated/Other Party Committees .....  | 0.00 | 0.00                                 |  |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees .....         | 0.00 | 5000.00                              |  |
| 24. Independent Expenditures (use Schedule E) .....   | 0.00 | 0.00                                 |  |
| 25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F) .....                   | 0.00 | 0.00                                 |  |
| 26. Loan Repayments Made .....  | 0.00 | 0.00                                 |  |
| 27. Loans Made .....  | 0.00 | 0.00                                 |  |
| 28. Refunds of Contributions To:  |      |                                      |  |
| (a) Individuals/Persons Other Than Political Committees .....                                   | 0.00 | 0.00                                 |  |
| (b) Political Party Committees .....  | 0.00 | 0.00                                 |  |
| (c) Other Political Committees (such as PACs) .....   | 0.00 | 0.00                                 |  |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....                            | 0.00 | 0.00                                 |  |
| 29. Other Disbursements .....   | 0.00 | 0.00                                 |  |
| 30. Federal Election Activity (2 U.S.C. §431(20))   |      |                                      |  |
| (a) Allocated Federal Election Activity (from Schedule H6)                                      |      |                                      |  |
| (i) Federal Share .....   | 0.00 | 0.00                                 |  |
| (ii) "Levin" Share .....  | 0.00 | 0.00                                 |  |
| (b) Federal Election Activity Paid Entirely With Federal Funds .....                            | 0.00 | 0.00                                 |  |
| (c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) .....             | 0.00 | 0.00                                 |  |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..       | 0.00 | 5000.00                              |  |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31) ..... | 0.00 | 5000.00                              |  |

**DETAILED SUMMARY PAGE**  
of Disbursements

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Page 5

| III. Net Contributions/Operating Expenditures  | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 33. Total Contributions (other than loans)<br>(from Line 11(d), page 3) .....        | 0.00                          | 0.00                              |
| 34. Total Contribution Refunds<br>(from Line 28(d)) .....                            | 0.00                          | 0.00                              |
| 35. Net Contributions (other than loans)<br>(subtract Line 34 from Line 33) .....    | 0.00                          | 0.00                              |
| 36. Total Federal Operating Expenditures<br>(add Line 21(a)(i) and Line 21(b)) ..... | 0.00                          | 0.00                              |
| 37. Offsets to Operating Expenditures<br>(from Line 15, page 3) .....                | 0.00                          | 0.00                              |
| 38. Net Operating Expenditures<br>(subtract Line 37 from Line 36) .....              | 0.00                          | 0.00                              |

UNCO-H-1001-1-001-1

**GG MASON**  
ASSET MANAGEMENT

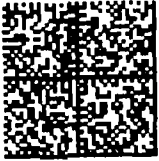
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