REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

RECEIVED
FEDERAL ELECTION
COMMISSION MAIL ROOM

JUL 31 3 03 PM '97

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
Security Traders Assoc. Political Action Committee

ADDRESS (number and street) ☐ Check if different than previously reported
1350 Conn. Avenue NW Suite 605

CITY, STATE and ZIP CODE
Washington, DC 20036

2. FEC IDENTIFICATION NUMBER
C00326256

3. ☐ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report

☐ July 15 Quarterly Report

☐ October 15 Quarterly Report

☐ January 31 Year End Report

☒ July 31 Mid Year Report (Non-election Year Only)

☐ Termination Report

Monthly Report Due On:
☐ February 20 ☐ June 20 ☐ October 20
☐ March 20 ☐ July 20 ☐ November 20
☐ April 20 ☐ August 20 ☐ December 20
☐ May 20 ☐ September 20 ☐ January 31

☐ 12-Day Pre-Election Report for the _____ (Type of Election) election on _____ in the State of _____

☐ 30-Day Post-Election Report following the General Election on _____ in the State of _____

(b) Is this Report an Amendment? ☐ YES ☒ NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period 1/1/97 through 6/30/97		
6. (a) Cash on Hand January 1, 19 97		$ 0.00
(b) Cash on Hand at Beginning of Reporting Period	$ 0.00	
(c) Total Receipts (from Line 19)	$ 20,779.48	$ 20,779.48
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$ 20,779.48	$ 20,779.48
7. Total Disbursements (from Line 30)	$ 1,186.07	$ 1,186.07
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$ 19,593.41	$ 19,593.41
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0.00	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
Laura M. Cooley

Signature of Treasurer
Laura M. Cooley

Date 7/28/97

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 9/93)

FETAN047

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE

REPORT COVERING PERIOD FROM 1/1/97 TO: 6/30/97

Receipts	COLUMN A Total This Period	COLUMN B Calendar Year	
11. Contributions (other than loans) From:			
a. Individual/Persons Other Than Political Committees			
i. Itemized (use Schedule A)	$20,750.00	$20,750.00	11(a)(i)
ii. Unitemized	0.00	0.00	11(a)(ii)
iii. Total (add i and ii) ➤	$20,750.00	$20,750.00	11(a)(iii)
b. Political Party Committees	0.00	0.00	11(b)
c. Other Political Committees (such as PACs)	0.00	0.00	11(c)
d. Total Contributions (add a iii, b and c) ➤	$20,750.00	$20,750.00	11(d)
12. Transfers From Affiliated/Other Party Committees	0.00	0.00	12
13. All Loans Received	0.00	0.00	13
14. Loan Repayments Received	0.00	0.00	14
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)	0.00	0.00	15
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	0.00	0.00	16
17. Other Federal Receipts (Dividends, Interest, etc.)	29.48	29.48	17
18. Transfers from Nonfederal Account for Joint Activity	0.00	0.00	18
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) ➤	$20,779.48	$20,779.48	19
20. Total Federal Receipts (subtract line 18 from line 19) ➤	$20,779.48	$20,779.48	20
Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity [from Schedule H4]			
i. Federal Share	0.00	0.00	21(a)(i)
ii. Non-Federal Share	0.00	0.00	21(a)(ii)
b. Other Federal Operating Expenditures	686.07	686.07	21(b)
c. Total Operating Expenditures (add a i, a ii, and b) ➤	686.07	686.07	21(c)
22. Transfers to Affiliated/Other Party Committees	0.00	0.00	22
23. Contributions to Federal Candidates/Committees and Other Political Committees	500.00	500.00	23
24. Independent Expenditures (use Schedule E)	0.00	0.00	24
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00	25
26. Loan Repayments Made	0.00	0.00	26
27. Loans Made	0.00	0.00	27
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees	0.00	0.00	28(a)
b. Political Party Committees	0.00	0.00	28(b)
c. Other Political Committees (such as PACs)	0.00	0.00	28(c)
d. Total Contribution Refunds (add a, b and c) ➤	0.00	0.00	28(d)
29. Other Disbursements	0.00	0.00	29
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) ➤	1,186.07	1,186.07	30
31. Total Federal Disbursements (subtract line 21 a ii from line 30) ➤	1,186.07	1,186.07	31
Net Contributions/Operating Expenditures			
32. Total Contributions (other than loans)(from line 11d)	$20,750.00	$20,750.00	32
33. Total Contribution Refunds (from line 28d)	0.00	0.00	33
34. Net Contributions (other than loans)(subtract line 33 from 32)	$20,750.00	$20,750.00	34
35. Total Federal Operating Expenditures (add 21 a i and 21 b) ➤	686.07	686.07	35
36. Offsets to Operating Expenditures (from line 15)	0.00	0.00	36
37. Net Operating Expenditures (subtract line 36 from 35) ➤	$ 686.07	$ 686.07	37

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SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 11.a.1.

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Security Traders Assoc. Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation / Aggregate Year-to-Date	Date (month, day, year)	Amount of Each Receipt this Period
A. Carolyn Marino 1419 County Road 10 Craryville, NY 12521-5334 Receipt For: [ ] Primary [XX] General [ ] Other (specify):	Employer: N/A Occupation: Housewife Aggregate Year-to-Date: $ 2,000.00	2/27/97	$2,000.00
B. Michael Barone 910 Garden Terrace Winnetka, IL 60093 Receipt For: [ ] Primary [XX] General [ ] Other (specify):	Employer: William Blair & Co. Occupation: Securities Professional Aggregate Year-to-Date: $ 1,000.00	3/2/97	$1,000.00
C. Peter DaPuzzo 378 Taconio Road Greenwich, CT 06831 Receipt For: [ ] Primary [XX] General [ ] Other (specify):	Employer: Cantor Fitzgerald Occupation: Securities Professional Aggregate Year-to-Date: $ 1,000.00	3/4/97	$1,000.00
D. John N. Toynino 7013 Verde Way Naples, FL 33963 Receipt For: [ ] Primary [XX] General [ ] Other (specify):	Employer: Security Traders Assoc Occupation: President Aggregate Year-to-Date: $ 1,000.00	3/4/97	$1,000.00
E. Robert C. King 3171 Ridgewood Road Atlanta, GA 30327-1935 Receipt For: [ ] Primary [XX] General [ ] Other (specify):	Employer: Robinson Humphrey Occupation: Securities Professional Aggregate Year-to-Date: $ 1,000.00	3/24/97	$1,000.00
F. Richard J. Blank, Jr. 6459 Washington Blvd. Jersey City, NJ 07310 Receipt For: [ ] Primary [XX] General [ ] Other (specify):	Employer: Sherwood Securities Occupation: Securities Professional Aggregate Year-to-Date: $ 1,000.00	4/28/97	$1,000.00
G. Christopher Baggio 6236 Washington Blvd. Jersey City, NJ 07310 Receipt For: [ ] Primary [XX] General [ ] Other (specify):	Employer: Sherwood Securities Occupation: Securities Professional Aggregate Year-to-Date: $ 1,000.00	5/6/97	$1,000.00

SUBTOTAL of Receipts This Page (optional)	$8,000.00
TOTAL This Period (last page this line number only)	

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SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 2 OF 4

FOR LINE NUMBER 11a.i.

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NAME OF COMMITTEE (In Full)

Security Traders Assoc. Political Action Committee

A. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Andrew M. Brooks 5 Blythewood Road Baltimore, MD 21210 Receipt For: [ ] Primary [X] General [ ] Other (specify):	T. Rowe Price Occupation: Securities Professional Aggregate Year-to-Date > $ 100.00	5/6/97	$ 100.00
B. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Francis J. Brancati 11 White Rock Terrace Holmdel, NJ 07733 Receipt For: [ ] Primary [X] General [ ] Other (specify):	Sherwood Securities Occupation: Securities Professional Aggregate Year-to-Date > $ 250.00	5/6/97	$ 250.00
C. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Arthur Kontos 1702 Channel Club Drive Monmouth Beach, NJ 07750 Receipt For: [ ] Primary [X] General [ ] Other (specify):	Sherwood Securities Occupation: Securities Professional Aggregate Year-to-Date > $	5/6/97	$ 3,000.00
D. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Thomas Shapiro 14 Hill Road Lincroft, NJ 07738 Receipt For: [ ] Primary [X] General [ ] Other (specify):	Sherwood Securities Occupation: Securities Professional Aggregate Year-to-Date > $250.00	5/12/97	$ 250.00
E. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
John Hughes 177 Glentay Avenue Lansdowne, PA 19050 Receipt For: [ ] Primary [X] General [ ] Other (specify):	Janney Montgomery Scott Occupation: Securities Professional Aggregate Year-to-Date > $500.00	5/19/97	$ 500.00
F. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Mark J. Paterson 22 Captains Woods Road Whitehouse Station, NJ 08889 Receipt For: [ ] Primary [X] General [ ] Other (specify):	Sherwood Securities Occupation: Securities Professional Aggregate Year-to-Date > $ 50.00	5/19/97	$ 50.00
G. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
John Baratta 178 Hackensack St., Apt. 15 Woodridge, NJ 07075 Receipt For: [ ] Primary [X] General [ ] Other (specify):	Sherwood Securities Occupation: Securities Professional Aggregate Year-to-Date > $ 500.00	5/29/97	$ 500.00

SUBTOTAL of Receipts This Page (optional) ..... $ 12,650.00

TOTAL This Period (last page this line number only) .....

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SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 11a.1.

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NAME OF COMMITTEE (in Full)

Security Traders Association Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation / Aggregate Year-to-Date	Date (month, day, year)	Amount of Each Receipt this Period
A. Karen Schupak 90 Cummings Circle West Orange, NJ 07052 Receipt For: [ ] Primary [X] General [ ] Other (specify):	Sherwood Securities Occupation: Securities Professional Aggregate Year-to-Date > $ 500.00	5/30/97	$ 500.00
B. Diego Cuadros 158-30 95th Street Howard Beach, NY 11414 Receipt For: [ ] Primary [X] General [ ] Other (specify):	Sherwood Securities Occupation: Securities Professional Aggregate Year-to-Date > $ 250.00	5/30/97	$ 250.00
C. Leroy Bulleri 8208 N. Lowell Avenue Skokie, IL 60076 Receipt For: [ ] Primary [X] General [ ] Other (specify):	Sherwood Securities' Occupation: Securities Professional Aggregate Year-to-Date > $ 1,000.00	6/2/97	$ 1,000.00
D. Benny Biordi 160-2B 31st Street Howard Beach, NY 11414 Receipt For: [ ] Primary [X] General [ ] Other (specify):	Sherwood Securities Occupation: Securities Professional Aggregate Year-to-Date > $ 750.00	6/11/97	$ 750.00
E. Craig Tscherne 360 West 34th Street #11 New York, NY 10001 Receipt For: [ ] Primary [X] General [ ] Other (specify):	Sherwood Securities Occupation: Securities Professional Aggregate Year-to-Date > $ 100.00	6/12/97	$ 100.00
F. Brian Marino 35 Bentley Court Bedminister, NJ 07921 Receipt For: [ ] Primary [X] General [ ] Other (specify):	Sherwood Securities Occupation: Securities Professional Aggregate Year-to-Date > $ 250.00	6/12/97	$ 250.00
G. Michael Naidrich 40 Eastwood Drive East Windsor, NJ 08520 Receipt For: [ ] Primary [X] General [ ] Other (specify):	Sherwood Securities Occupation: Securities Professional Aggregate Year-to-Date > $ 500.00	6/13/97	$ 500.00

SUBTOTAL of Receipts This Page (optional) ..... $ 16,000.00

TOTAL This Period (last page this line number only) .....

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SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 11a.i

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NAME OF COMMITTEE (In Full)

Security Traders Assoc. Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Paul Paskalides 260 Oak Street, Apt. E-1 Ridgewood, NJ 07450 Receipt For: [ ] Primary [X] General [ ] Other (specify):	Sherwood Securities Occupation: Securities Professional Aggregate Year-to-Date > $ 250.00	6/14/97	$ 250.00
Christopher J. Taylor 21 Terry Lane Middletown, NJ 07748 Receipt For: [ ] Primary [X] General [ ] Other (specify):	Sherwood Securities Occupation: Securities Professional Aggregate Year-to-Date > $ 500.00	6/16/97	$ 500.00
William A. O'Brien 203 Washington St. Apt. 201 Hoboken, NJ 07748 Receipt For: [ ] Primary [X] General [ ] Other (specify):	Sherwood Securities Occupation: Securities Professional Aggregate Year-to-Date > $ 500.00	6/19/97	$ 500.00
Michael Esposito 444 Washington Blvd. Jersey City, NJ 07302 Receipt For: [ ] Primary [X] General [ ] Other (specify):	Sherwood Securities Occupation: Securities Professional Aggregate Year-to-Date > $1,000.00	6/20/97	$ 1,000.00
Eric Shenker 300 East 75th St. New York, NY 10021 Receipt For: [ ] Primary [X] General [ ] Other (specify):	Sherwood Securities Occupation: Securities Professional Aggregate Year-to-Date > $ 1,000.00	6/20/97	$ 1,000.00
Alfred Ricciardi 16 Cory Lane Bridgewater, NJ 08807 Receipt For: [ ] Primary [X] General [ ] Other (specify):	Sherwood Securities Occupation: Securities Professional Aggregate Year-to-Date > $ 500.00	6/22/97	$ 500.00
Scott Silverman 407 Links Drive North Hill, NY 11576 Receipt For: [ ] Primary [X] General [ ] Other (specify):	Sherwood Securities Occupation: Securities Professional Aggregate Year-to-Date > $ 1,000.00	6/23/97	$1,000.00

SUBTOTAL of Receipts This Page (optional)	$ 20,750.00
TOTAL This Period (last page this line number only)	$ 20,750.00

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SCHEDULE B | **ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE / OF /

FOR LINE NUMBER 23

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NAME OF COMMITTEE (in Full)

Security Traders Association Political Action Committee

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Friends for Rick White P.O. Box 8156 Kirkland, WA 98034	Campaign Contribution Disbursement for: [ ] Primary [X] General [ ] Other (specify)	6/4/97	$500.00
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: [ ] Primary [ ] General [ ] Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: [ ] Primary [ ] General [ ] Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: [ ] Primary [ ] General [ ] Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: [ ] Primary [ ] General [ ] Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: [ ] Primary [ ] General [ ] Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: [ ] Primary [ ] General [ ] Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: [ ] Primary [ ] General [ ] Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: [ ] Primary [ ] General [ ] Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)	
TOTAL This Period (last page this line number only)	$500.00

FE7AN047

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

☑	Hand Delivered	DATE OF RECEIPT 7-31-97
☐	First Class Mail	POSTMARKED
☐	Registered/Certified Mail	POSTMARKED
☐	No Postmark	
☐	Postmark Illegible	
☐	Received from the House Office of Records and Registration	DATE OF RECEIPT
☐	Received from the Senate Office of Public Records	DATE OF RECEIPT
☐	Other (Specify):	POSTMARKED and/or DATE OF RECEIPT

JMJ
PREPARER

7-31-97
DATE PREPARED

FEC FORM 70-2 (12/92)