Image# 12950543175 PAGE 1 / 19

FEC FORM 3Y

REPORT OF RECEIPTS AND DISBURSEMENTS

FORIWI SX F	or Other Than An Au	thorized Committe	Office Use Only	
NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing over the lines.	type 12FE4M5	
The Caterpillar Inc. Em	ployee Political Acti	on Committee		
ADDRESS (number and street)	100 N.E. Adams			
Check if different				
than previously reported. (ACC)	Peoria		IL 61629-1430 -	
2. FEC IDENTIFICATION NU	MBER ▼ CI	TY 🛦	STATE ▲ ZIP CODE ▲	
C C00148031		IS THIS X NREPORT X (N	OR AMENDED (A)	
4. TYPE OF REPORT (Choose One)	Report Due On:		Year C	
(a) Quarterly Reports:			Year C	20 (M12) Election Only) 31 (YE)
April 15 Quarterly Report (Q	1)	r 20 (M4) Jı	1 20 (MT) OCT 20 (MTO) Sail C	
July 15 Quarterly Report (Q2	PRE-Election	Primary (12P)		ff (12R)
October 15 Quarterly Report (Q	Report for the:	Convention (1	2C) Special (12S)	
January 31 Year-End Report (YE	Florid	on on	in the State of	
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-Election Report for the:	General (30G	Runoff (30R) Speci	ial (30S)
Termination Report (TER)		on on	in the State of	
5. Covering Period 01	01 2012	through	01 31 2012	
I certify that I have examined this	s Report and to the best o	f my knowledge and b	elief it is true, correct and complete.	
Type or Print Name of Treasurer	Gary Vest			
Signature of Treasurer Gary	Vest	[Electronically		2
NOTE: Submission of false, errone	ous, or incomplete information	on may subject the person	n signing this Report to the penalties of 2 U.S.C.	§437g.
Office Use Only			FEC FORM 3 Rev. 12/2004	X

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 01 01 2012 To: 01 31 2012

		COLUMN A This Period	COLUMN B Calendar Year-to-Date			
6.	(a) Cash on Hand January 1, 2012		186627.09			
	(b) Cash on Hand at Beginning of Reporting Period	186627.09				
	(c) Total Receipts (from Line 19)	50057.80	50057.80			
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	236684.89	236684.89			
7.	Total Disbursements (from Line 31)	3113.43	3113.43			
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	233571.46	233571.46			
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00				
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00				

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

I. Receipts	I. Receipts COLUMN A Total This Period					
Contributions (other than loans) From:						
(a) Individuals/Persons Other						
Than Political Committees						
(i) Itemized (use Schedule A)	11693.10	11693.10				
(ii) Unitemized	38340.13	38340.13				
(iii) TOTAL (add Lines 11(a)(i) and (ii)▶	50033.23	50033.23				
(b) Political Party Committees	0.00	0.00				
(c) Other Political Committees						
(such as PACs)	0.00	0.00				
(d) Total Contributions (add Lines						
11(a)(iii), (b), and (c)) (Carry						
Totals to Line 33, page 5)▶	50033.23	50033.23				
. Transfers From Affiliated/Other						
Party Committees	0.00	0.00				
	7					
. All Loans Received	0.00	0.00				
_	7	7				
Loop Denouments Descived	0.00	0.00				
Loan Repayments Received	0.00	0.00				
Offsets To Operating Expenditures						
(Refunds, Rebates, etc.)	0.00	0.00				
(Carry Totals to Line 37, page 5)	0.00	0.00				
. Refunds of Contributions Made						
to Federal Candidates and Other	0.00	0.00				
Political Committees	0.00	0.00				
. Other Federal Receipts						
(Dividends, Interest, etc.)	24.57	24.57				
. Transfers from Non-Federal and Levin Funds	· · · · · ·					
(a) Non-Federal Account						
(from Schedule H3)	0.00	0.00				
F						
(b) Levin Funds (from Schedule H5)	0.00	0.00				
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00				
. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶	50057.80	50057.80				
. Total Federal Receipts						

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

	II. Disbursements	Total This Period				
21.	Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)		Calendar Year-to-Date			
	(i) Federal Share	0.00	0.00			
	(ii) Non-Federal Share	0.00	0.00			
	(b) Other Federal Operating					
	Expenditures	113.43	113.43			
	(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	113.43	113.43			
2.	Transfers to Affiliated/Other Party	7				
0	Committees	0.00	0.00			
٥.	Contributions to Federal Candidates/Committees and Other Political Committees	-2000.00	-2000.00			
4.	Independent Expenditures	0.00	0.00			
5.	(use Schedule E)	0.00	0.00			
	(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00			
c	Lean Panaymente Mada	0.00	0.00			
ο.	Loan Repayments Made	0.00	0.00			
7.	Loans MadeRefunds of Contributions To:	0.00	0.00			
ο.	(a) Individuals/Persons Other Than Political Committees	0.00	0.00			
	man Follical Committees	0.00				
	(b) Political Party Committees	0.00	0.00			
	(c) Other Political Committees	0.00	0.00			
	(such as PACs)	0.00				
	(d) Total Contribution Refunds	0.00				
	(add Lines 28(a), (b), and (c))▶	0.00	0.00			
9.	Other Disbursements	5000.00	5000.00			
n	Federal Election Activity (2 U.S.C. §431(20))					
٠.	(a) Allocated Federal Election Activity					
	(from Schedule H6)	0.00	0.00			
	(i) Federal Share	0.00	0.00			
	(ii) "Levin" Share	0.00	0.00			
	(b) Federal Election Activity Paid Entirely		200			
	With Federal Funds	0.00	0.00			
	(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00			
1.	Total Disbursements (add Lines 21(c), 22,					
	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	3113.43	3113.43			
2.	Total Federal Disbursements					
	(subtract Line 21(a)(ii) and Line 30(a)(ii)	2442.42	2142.42			
	from Line 31)	3113.43	3113.43			

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	· · · · · · · · · · · · · · · · · · ·			
3. Total Contributions (other than loans) (from Line 11(d), page 3)	50033.23	50033.23		
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00		
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	50033.23	50033.23		
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	113.43	113.43		
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00		
3. Net Operating Expenditures (subtract Line 37 from Line 36)	113.43	113.43		

FOR LINE NUMBER: **PAGE** 6 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) George Willoughby Date of Receipt Mailing Address 301 County Road 321 2012 31 City State Zip Code Transaction ID: PR1787353025916 Crane Hill AL 35053 Amount of Each Receipt this Period FEC ID number of contributing C 250.00 federal political committee. Name of Employer Occupation VP, Transportation **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$250.00 Bi-Weekly) 250.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Harold Stamps Date of Receipt Mailing Address 60 Bent Brook Drive 01 31 2012 City State Zip Code Transaction ID: PR1787353525916 AL Albertville 35951 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Name of Employer Occupation **Progress Rail Services** Managing Director Trading Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$250.00 Bi-Weekly) 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Carr Date of Receipt Mailing Address 100 N.E. Adams Street 01 31 2012 City State Zip Code Transaction ID: PR1870874725916 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 223.42 С federal political committee. Name of Employer Occupation Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$223.42 Monthly) 223.42 Other (specify) 723.42 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	FOF	R LINE	MBER	PAGE		7	OF	19		
Use separate schedule(s)	(che	(check only one)								
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		7 17

Any information copied from such Reports and S or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee F	Political Action Committee	
/	ontioal Action Committee	
Full Name (Last, First, Middle Initial) A. Kent Meils Adams		Date of Receipt
Mailing Address 2120 West End Avenue P.O. Box 340001		M = M / D = D / Y = Y = Y = Y = O1 31 = 2012 =
City	State Zip Code	Transaction ID : PR380417625916
Nashville	TN 37203-5251	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	347.50
Name of Employer	Occupation	
Caterpillar Inc.	Vice President	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 347.50	P/R Deduction (\$347.50 Monthly)
Full Name (Last, First, Middle Initial) 3. Ali M Bahaj		Date of Receipt
Mailing Address 100 NE Adams		M = M / D = D / Y = Y = Y = Y
City	State Zip Code	01 31 2012 Transaction ID : PR380426425916
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	417.00
Name of Employer	Occupation	
Caterpillar Inc.	Vice President	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 417.00	P/R Deduction (\$417.00 Monthly)
Full Name (Last, First, Middle Initial) C. Mary H Bell		Date of Receipt
Mailing Address 500 North Morton Avenue		01 31 _2012 _
City	State Zip Code	Transaction ID : PR380434025916
Morton	IL 61550-1527	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	375.00
Name of Employer	Occupation	
Caterpillar Inc.	Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	375.00	P/R Deduction (\$375.00 Monthly)
SUBTOTAL of Receipts This Page (optional)		1139.50
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: **PAGE** 8 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

19

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Robin David Beran Date of Receipt Mailing Address 100 NE Adams 2012 31 City Zip Code State Transaction ID: PR380435525916 Peoria IL 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 400.00 federal political committee. Name of Employer Occupation Director of Global Tax & Trade Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$400.00 Monthly) 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas Joseph Bluth Date of Receipt Mailing Address Route 31, Montgomery IL PO Box 348 01 31 2012 City State Zip Code Transaction ID: PR380441425916 IL Aurora 60507-0348 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$416.66 Monthly) 416.66 Other (specify) Full Name (Last, First, Middle Initial) c. John A Campbell Date of Receipt Mailing Address P O Box 600 01 31 2012 Illinois Route 29 City State Zip Code Transaction ID: PR380457225916 IL Mossville 61552-0600 Amount of Each Receipt this Period FEC ID number of contributing 264.24 С federal political committee. Name of Employer Occupation Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$264.24 Monthly) 264.24 Other (specify) 1080.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 9 -9

	FOR LINE	: PAGI	Ξ 9	OF					
Use separate schedule(s)	(check only one)								
for each category of the Detailed Summary Page	X 11a	11b	11c	12	2				
,	13	14	15	11	6				

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NAME OF COMMITTEE (In Full)		o solicit contributions from such committee.
The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial) Steven Lee Fisher		Date of Receipt
Mailing Address 330 SW Adams		01 31 2012
City	State Zip Code	Transaction ID : PR380503725916
Peoria	IL 61602-1502	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	435.42
Name of Employer	Occupation	1
Caterpillar Inc.	Vice President	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 435.42	P/R Deduction (\$435.42 Monthly)
Full Name (Last, First, Middle Initial) Christopher E Glynn Mailing Address 100 NE Adams		Date of Receipt
011	7: 0 1	01 31 2012
City	State Zip Code	Transaction ID : PR380517425916
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	300.00
Name of Employer	Occupation	-
Caterpillar Inc.	Director Of Corp Human Rel	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	300.00	P/R Deduction (\$300.00 Monthly)
Full Name (Last, First, Middle Initial) Gerald F Gorman		Date of Receipt
Mailing Address Route 31, Montgomery IL PO Box 348		01 31 2012
City	State Zip Code	Transaction ID : PR380519825916
Aurora	IL 60507-0348	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	210.00
Name of Employer	Occupation	-
Caterpillar Inc.	Chief Information Officer	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	210.00	P/R Deduction (\$210.00 Monthly)
UBTOTAL of Receipts This Page (optional)		945.42
OTAL This Period (last page this line number		

	FOF	FOR LINE NUMBER: PAGE 10 OF								
Use separate schedule(s)	(che	eck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		117

ny information copied from such Reports a r for commercial purposes, other than usin	and Statements may not be sold or used by any per- g the name and address of any political committee t	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe	ee Political Action Committee	
Full Name (Last, First, Middle Initial) Stephen A Gosselin		Date of Receipt
Mailing Address 100 NE Adams		01 31 2012
City	State Zip Code	Transaction ID : PR380520125916
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	408.33
Name of Employer	Occupation	1
Caterpillar Inc.	Vice President	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	408.33	P/R Deduction (\$408.33 Monthly)
Full Name (Last, First, Middle Initial) Danny C Hanback	,	Date of Receipt
Mailing Address 100 NE Adams		01 31 2012
City	State Zip Code	Transaction ID : PR380529825916
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	274.86
Name of Employer	Occupation	1
Caterpillar Inc.	Director, Supply Chain Planning	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	274.86	P/R Deduction (\$274.86 Monthly)
Full Name (Last, First, Middle Initial) Gwenne A Henricks	ľ	Date of Receipt
Mailing Address 600 W Washington St		01 31 2012
City	State Zip Code	Transaction ID : PR380539525916
East Peoria	IL 61611-2032	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.67
Name of Employer	Occupation	1
Caterpillar Inc.	Vice President	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	416.67	P/R Deduction (\$416.67 Monthly)
SUBTOTAL of Receipts This Page (optiona	al) >	1099.86
. 3 (4)	·	
OTAL This Period (last page this line nun	nber only)	

Other (specify)

	FOR	FOR LINE NUMBER: PAGE 11 OF								
Use separate schedule(s)	(che	(check only one)								
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) Stephen P Larson Date of Receipt Mailing Address 500 N Morton Ave 31 2012 City State Zip Code Transaction ID: PR380578725916 IL Morton 61550-1527 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$416.66 Monthly) 416.66 Other (specify) Full Name (Last, First, Middle Initial) B. Richard P Lavin Date of Receipt Mailing Address 100 NE Adams 01 31 2012 City State Zip Code Transaction ID: PR380579925916 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 416.00 federal political committee. Name of Employer Occupation Caterpillar Inc. Group President Receipt For: Aggregate Year-to-Date ▼ Primary General

Full Name (Last, First, Middle Initial) Douglas R Oberhelman		Date of Receipt
Mailing Address 100 NE Adams		01 31 2012
City	State Zip Code	Transaction ID : PR380629925916
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1208.34
Name of Employer	Occupation	-
Caterpillar Inc.	CEO, Chairman of the Board	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	1208.34	P/R Deduction (\$1208.34 Monthly)
		2041 00

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

416.00

P/R Deduction (\$416.00 Monthly)

C

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: (check only one) PAGE 12 OF 19 Use separate schedule(s)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and Statemer or for commercial purposes, other than using the name		
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politic	cal Action Committee	
Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Peoplit For:		Date of Receipt 101 31 2012 Transaction ID: PR380658225916 Amount of Each Receipt this Period 467.10 P/R Deduction (\$467.10 Monthly)
Nashville FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Corp	0.200 020	Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Full Name (Last, First, Middle Initial) Dennis C Skarvan Mailing Address 100 NE Adams City Start Peoria IL FEC ID number of contributing federal political committee. Name of Employer Occurrence Caterpillar Dep	tate Zip Code - 61629-0001	Date of Receipt O1 31 2012 Transaction ID: PR380680225916 Amount of Each Receipt this Period 250.05 P/R Deduction (\$250.05 Monthly)
SUBTOTAL of Receipts This Page (optional)	>	960.60

FOR LINE NUMBER: PAGE 13 OF 19 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) William F Springer Date of Receipt Mailing Address 501 SW Jefferson 2012 31 City State Zip Code Transaction ID: PR380687525916 61630-0001 Peoria IL Amount of Each Receipt this Period FEC ID number of contributing C 300.00 federal political committee. Name of Employer Occupation Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$300.00 Monthly) 300.00 Other (specify) Full Name (Last, First, Middle Initial) B. Gary Alan Stampanato Date of Receipt Mailing Address 3701 State Road 26 East 01 31 2012 City State Zip Code Transaction ID: PR380688925916 IN 47905-4808 Lafayette Amount of Each Receipt this Period FEC ID number of contributing 206.71 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$206.71 Monthly) 206.71 Other (specify) Full Name (Last, First, Middle Initial) c. Steven H Wunning Date of Receipt Mailing Address 100 NE Adams 01 31 2012 City State Zip Code Transaction ID: PR380731425916 IL Peoria 61629-0001 Amount of Each Receipt this Period FEC ID number of contributing 416.66 С federal political committee. Name of Employer Occupation Group President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$416.66 Monthly) 416.66 Other (specify) 923.37 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 9 -

	FOF	R LINE	NU	IMBER	:	PAGE	•	14 O	F	19
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		717

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) A. Gregory Scott Folley Date of Receipt Mailing Address 100 NE Adams 31 2012 City State Zip Code Transaction ID: PR498716725916 IL 61629-0001 Peoria Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General P/R Deduction (\$416.66 Monthly) 416.66 Other (specify) Full Name (Last, First, Middle Initial) B. Alan Frese Date of Receipt Mailing Address 100 N.E. Adams 01 2012 31 City State Zip Code Transaction ID: PR498752625916 61629-0001 Peoria Amount of Each Receipt this Period FEC ID number of contributing

federal political committee.	C	211.13
Name of Employer Caterpillar Inc.	Occupation Mining Prod Support Gen Mgr	-
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 211.13	P/R Deduction (\$211.13 Monthly)
Full Name (Last, First, Middle Initial) C. Bradley M Halverson		Date of Receipt
Mailing Address 1456 Ne Windermere Dr		01 31 2012
City	State Zip Code	Transaction ID : PR498961825916
Tremont	IL 61568-9782	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	253.92
Name of Employer	Occupation	-
Caterpillar Inc.	Vice President	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 253.92	P/R Deduction (\$253.92 Monthly)
SUBTOTAL of Receipts This Page (optional)	881.71

TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: (check only one) PAGE 15 OF 19 Use separate schedule(s)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
	Statements may not be sold or used by any penter name and address of any political committee	
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee	Political Action Committee	
Full Name (Last, First, Middle Initial) Stuart L Levenick Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary Other (specify) General	State Zip Code IL 61629-0001 C Occupation Group President Aggregate Year-to-Date ▼ 416.66	Date of Receipt 01 31 2012 Transaction ID: PR499546725916 Amount of Each Receipt this Period 416.66 P/R Deduction (\$416.66 Monthly)
Full Name (Last, First, Middle Initial) Tamera J Nelson Mailing Address 100 NE Adams City Peoria FEC ID number of contributing federal political committee.	State Zip Code IL 61629-0001	Date of Receipt 01 31 2012 Transaction ID : PR499961825916 Amount of Each Receipt this Period 250.00
Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify) ▼	Occupation Managing Director Aggregate Year-to-Date ▼ 250.00	P/R Deduction (\$250.00 Monthly)
Full Name (Last, First, Middle Initial) Edward J Rapp Mailing Address 100 N.E. Adams City Peoria FEC ID number of contributing federal political committee. Name of Employer Caterpillar Inc. Receipt For: Primary General Other (specify)	State Zip Code IL 61629-0001 C Occupation Group President & CFO Aggregate Year-to-Date ▼ 416.66	Date of Receipt 101 31 2012 Transaction ID: PR500228125916 Amount of Each Receipt this Period 416.66 P/R Deduction (\$416.66 Monthly)
SUBTOTAL of Receipts This Page (optional)		1083.32
TOTAL This Period (last page this line numbe	er only)	

	FOR	LINE	NU	IMBER	:	PAGE	•	16 OF	=	19
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

	Statements may not be sold or used by any person e name and address of any political committee to	
NAME OF COMMITTEE (In Full)		
igr > The Caterpillar Inc. Employee F	Political Action Committee	
Full Name (Last, First, Middle Initial) A. Michael Sposato		Date of Receipt
Mailing Address 100 N.E. Adams Street		01 31 2012
City	State Zip Code	Transaction ID : PR500647025916
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	243.67
Name of Employer	Occupation	
Caterpillar Inc.	Deputy General Counsel	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 243.67	P/R Deduction (\$243.67 Monthly)
Full Name (Last, First, Middle Initial) Larry C Tate		Date of Receipt
Mailing Address 9401 85Th Avenue North		M = M / D = D / Y = Y = Y
City	Stata Zin Codo	01 31 2012
City Brooklyn Park	State Zip Code MN 55445-2154	Transaction ID : PR500767925916
	00 1 10 2 10 1	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	245.33
Name of Employer	Occupation	
Caterpillar Inc.	Product Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 245.33	P/R Deduction (\$245.33 Monthly)
Full Name (Last, First, Middle Initial) C. Robert C Thompson		Date of Receipt
Mailing Address 100 NE Adams		01 31 2012
City	State Zip Code	Transaction ID : PR500814925916
Peoria	IL 61629-0001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	325.00
Name of Employer	Occupation	
Caterpillar Inc.	Corporate Manager Govt Affairs	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	325.00	P/R Deduction (\$325.00 Monthly)
SUBTOTAL of Receipts This Page (optional)		814.00
TOTAL This Period (last page this line number	only)	11693.10

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SCHEDULE B (FEC Form 3X)	Hannan I I I I I I I I I I I I I I I I I	FOR LINE I		PAGE 17 OF 19
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only		
	Detailed Summary Page	21b 27	22 X 23 28b	24 25 26 28c 29 30b
Any information copied from such Reports and Stater	nents may not be sold or use			
or for commercial purposes, other than using the nar				
NAME OF COMMITTEE (In Full)				
The Caterpillar Inc. Employee Polit	tical Action Committe	ee		
Full Name (Last, First, Middle Initial)				
A. Kind For Congress Committee			Date of Disbursemer	
Mailing Address 205 5th Avenue South			01 31	2012
City	State Zip Code		Transaction ID - 40	742050
La Crosse	WI 54601		Transaction ID: 19	713959
Purpose of Disbursement Void - Check dated 12.09.2011		011	Amount of Each Disk	oursement this Period
Candidate Name		Category/		-500.00
Rep. Ron Kind Office Sought: House Disburser	ment For: 2012	Туре		33300
Senate President	Primary		Void - Check dated 12	2.09.2011
State: WI District: 03				
Full Name (Last, First, Middle Initial)			Date of Disbursemer	*
B. Kind For Congress Committee				
Mailing Address 205 5th Avenue South			01 31	2012
City La Crosse	State Zip Code WI 54601		Transaction ID : 19	713960
Purpose of Disbursement Void - Check dated 12.09.2011		011	Amount of Each Disk	oursement this Period
Candidate Name		Category/		500.00
Rep. Ron Kind		Type		-500.00
	ment For: 2012 Primary General Other (specify) ▼		Void - Check dated 12	2.09.2011
Full Name (Last, First, Middle Initial)				
C. Bill Shuster For Congress			Date of Disbursemer	nt
Mailing Address PO Box 27			01 / 31	2012
City	State Zip Code		Transaction ID 10	274 4005
Hollidayburg	PA 16648		Transaction ID: 19	7714005
Purpose of Disbursement Void - Check dated 06.09,2011		011		
Candidate Name		011	Amount of Each Disk	oursement this Period
Rep. William Franklin Shuster		Category/ Type		-1000.00
•	ment For: 2012	.,,,,		
Senate President	Primary General Other (specify) ▼		Void - Check dated 06	5.09.2011
State: PA District: 09				
SUBTOTAL of Disbursements This Page (optional)		······································	,	-2000.00
TOTAL This Period (last page this line number only)		······		-2000.00

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s)	e(s) FOR LINE NUMBER: PAGE 18 (check only one)			
TEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 27	22 23 24 25 26 28a 28b 28c X 29 30b		
Any information copied from such Reports and State or for commercial purposes, other than using the nar					
NAME OF COMMITTEE (In Full)	ne and address of any pointed	i committee to	Solicit Contributions from Such Committee.		
The Caterpillar Inc. Employee Poli	tical Action Committe	е			
Full Name (Last, First, Middle Initial) A. Citizens For Sullivan			Date of Disbursement		
A. Citizens For Sullivan			M M / D D / Y Y Y Y		
Mailing Address 510 Salceda Dr.			01 09 2012		
City	State Zip Code		Transaction ID : 19633106		
Mundelein Purpose of Disbursement	IL 60060-4835				
Ed Sullivan, STATE HOUSE 51st IL		011	Amount of Each Disbursement this Period		
Candidate Name	, .	Category/	500.00		
IL Rep. Ed Sullivan Jr.		Type	500.00		
Office Sought: House Disburse Senate President	nent For: 2012 Primary General Other (specify)		Ed Sullivan, STATE HOUSE 51st IL		
State: IL District: 51					
Full Name (Last, First, Middle Initial) B. Friends for Arthur Turner II			Date of Disbursement		
Mailing Address 3849 W Ogden Ave., Suite B			01 09 2012		
City Chicago	State Zip Code IL 60623-2459		Transaction ID: 19633107		
Purpose of Disbursement Arthur Turner, STATE HOUSE 9th IL		011	Amount of Each Disbursement this Period		
Candidate Name IL Rep. Arthur Turner II		Category/	500.00		
	ment For: 2012	Туре			
	Primary General Other (specify) ▼		Arthur Turner, STATE HOUSE 9th IL		
Full Name (Last, First, Middle Initial)					
C. Citizens for Hammond			Date of Disbursement		
Mailing Address PO Box 694			01 09 2012		
City	State Zip Code		Transaction ID : 19633108		
Macomb Purpose of Disbursement	IL 61455-0694				
Norine Hammond, STATE HOUSE 93rd IL Candidate Name		011	Amount of Each Disbursement this Period		
IL Rep. Norine Hammond		Category/ Type	500.00		
	ment For: 2012				
State: IL District: 93	Primary General Other (specify) ▼		Norine Hammond, STATE HOUSE 93rd IL		
otato. IL Diotriot. 93					
SUBTOTAL of Disbursements This Page (optional)		······•	1500.00		

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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE	
ITEMIZED DISBURSEMENTS	for each category of the	(check only	one) 22 23 24 25 26
	Detailed Summary Page	27	28a 28b 28c X 29 30b
Any information copied from such Reports and State or for commercial purposes, other than using the na			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Poli	tical Action Commit	tee	
Full Name (Last, First, Middle Initial)			Data of Bishamanant
A. Citizens For Dale A Righter Illinois	State Senate		Date of Disbursement
Mailing Address PO Box 348			01 09 2012
City Charleston	State Zip Code IL 61920-0348		Transaction ID: 19633109
Purpose of Disbursement	01920-0340		
Dale Righter, STATE SENATE 55th IL Candidate Name		011	Amount of Each Disbursement this Period
IL Sen. Dale A. Righter		Category/ Type	1500.00
	ment For: 2012		
Senate President	Primary General Other (specify) ▼		Dale Righter, STATE SENATE 55th IL
State: IL District:	(c)		
Full Name (Last, First, Middle Initial)			
B. Friends of Clayborne			Date of Disbursement
Mailing Address c/o V Kenneth Johnson 133 Longmeade Drive			01 09 2012
City O Fallon	State Zip Code IL 62269-7023		Transaction ID : 19633110
Purpose of Disbursement James Clayborne, STATE SENATE 57th IL		011	Amount of Each Disbursement this Period
Candidate Name IL Sen. James F. Clayborne Jr.		Category/ Type	1000.00
	ment For: 2012	туре	
Senate President	Primary General Other (specify) ▼		James Clayborne, STATE SENATE 57th IL
State: IL District: Full Name (Last, First, Middle Initial)			
C. Citizens for Kay			Date of Disbursement
Mailing Address PO Box 129			01 09 2012
City Glen Carbon	State Zip Code IL 62034-0129		Transaction ID : 19633111
Purpose of Disbursement Dwight Kay, STATE HOUSE 112th IL		044	
Candidate Name		011	Amount of Each Disbursement this Period
Rep. Dwight D Kay		Category/ Type	1000.00
Senate President	ment For: 2012 Primary General Other (specify) ▼		Dwight Kay, STATE HOUSE 112th IL
State: IL District: 12			
SUBTOTAL of Disbursements This Page (optional).		·····	3500.00
TOTAL This Period (last page this line number only)		5000.00