REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

RECEIVED
FEDERAL ELECTION
COMMISSION MAIL ROOM

SEP 15 10 42 AM '98

1. NAME OF COMMITTEE (in full)
Auction Markets Political Action Committee of the Chicago Board of Trade

ADDRESS (number and street) ☐ Check if different than previously reported
1455 Pennsylvania Avenue, N.W.

CITY, STATE and ZIP CODE
Washington, DC 20004

2. FEC IDENTIFICATION NUMBER
C 000 59832

3. ☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

a) ☐ April 15 Quarterly Report
☐ July 15 Quarterly Report
☐ October 15 Quarterly Report
☐ January 31 Year End Report
☐ July 31 Mid Year Report (Non-election Year Only)
☐ Termination Report

Monthly Report Due On:

☐ February 20	☐ June 20	☐ October 20
☐ March 20	☐ July 20	☐ November 20
☐ April 20	☐ August 20	☐ December 20
☐ May 20	☐ September 20	☐ January 31

☐ Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____.

☐ Thirtieth day report following the General Election on _____ in the State of _____.

b) Is this Report an Amendment? ☐ YES ☒ NO

SUMMARY 5. Covering Period 8/1/98 through 8/31/98	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, 1998		$ 299,364.99
(b) Cash on Hand at Beginning of Reporting Period	$ 409,075.57	
(c) Total Receipts (from Line 19)	$ 14,430.33	$ 216,104.70
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$ 423,505.90	$ 515,469.69
7. Total Disbursements (from Line 30)	$ 22,584.65	$ 114,548.44
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$ 400,921.25	$ 400,921.25
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0.00	

For Further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
Glen M. Johnson

Signature of Treasurer

Date 9-9-98

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 9/93)

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE
Auction Markets Political Action Committee of the Chicago Board of Trade

REPORT COVERING PERIOD
FROM: **8/1/98** TO: **8/31/98**

	COLUMN A Total This Period	COLUMN B Calendar Year
I. Receipts		
11. Contributions (other than loans) From:		
a. Individual/Persons Other Than Political Committees		
i. Itemized (use Schedule A)	$12,950.00	$203,350.00
ii. Unitemized	$600.00	$3,850.00
iii. Total ....(add i and ii) »	$13,550.00	$207,200.00
b. Political Party Committees	$0.00	$0.00
c. Other Political Committees (such as PACs)	$0.00	$2,000.00
d. Total Contributions ....(add a iii, b and c) »	$13,550.00	$209,200.00
12. Transfers From Affiliated/Other Party Committees	$0.00	$0.00
13. All Loans Received	$0.00	$0.00
14. Loan Repayments Received	$0.00	$0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)	$0.00	$0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	$0.00	$277.78
17. Other Federal Receipts (Dividends, Interest, etc.)	$880.33	$6,626.92
18. Transfers from Nonfederal Account for Joint Activity	$0.00	$0.00
19. Total Receipts ....(add 11d, 12, 13, 14, 15, 16, 17, and 18) »	$14,430.33	$216,104.70
20. Total Federal Receipts ....(subtract line 18 from line 19) »	$14,430.33	$216,104.70
II. Disbursements		
21. Operating Expenditures:		
a. Shared Federal/Non-Federal Activity (from Schedule H4)	$0.00	$0.00
i. Federal Share	$0.00	$0.00
ii. Non-Federal Share	$84.65	$524.20
b. Other Federal Operating Expenditures	$84.65	$524.20
c. Total Operating Expenditures ....(add a i, a ii, and b) »	$0.00	$0.00
22. Transfers to Affiliated/Other Party Committees	$22,500.00	$111,500.00
23. Contributions to Federal Candidates/Committees and Other Political Committees	$0.00	$0.00
24. Independent Expenditures (use Schedule E)	$0.00	$0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)	$0.00	$0.00
26. Loan Repayments Made	$0.00	$0.00
27. Loans Made	$0.00	$0.00
28. Refunds of Contributions To:		
a. Individuals/Persons Other Than Political Committees	$0.00	$0.00
b. Political Party Committees	$0.00	$0.00
c. Other Political Committees (such as PACs)	$0.00	$0.00
d. Total Contribution Refunds ....(add a, b and c) »	$0.00	$0.00
29. Other Disbursements	$0.00	$2,524.24
30. Total Disbursements ....(add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) »	$22,584.65	$114,548.44
31. Total Federal Disbursements ....(subtract line 21 a ii from line 30) »	$22,584.65	$114,548.44
III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans)(from line 11d)	$13,550.00	$209,200.00
33. Total Contribution Refunds (from line 28d)	$0.00	$0.00
34. Net Contributions (other than loans)(subtract line 33 from 32)	$13,550.00	$209,200.00
35. Total Federal Operating Expenditures ....(add 21 a i and 21 b) »	$84.65	$524.20
36. Offsets to Operating Expenditures (from line 15)	$0.00	$0.00
37. Net Operating Expenditures ....(subtract line 36 from 35) »	$84.65	$524.20

SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 3

FOR LINE NUMBER 11(a)(i)

Contributions From Individuals (itemized)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
John A. Bollero Jr. 116 El Mirasol Palm Beach, FL 33480 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Self Occupation: Commodities Trader Aggregate Year-to-Date: $ 1,000.00	8/17/98	$1,000.00
Robert J. Byrne 7307 W Foster Morton Grove, IL 60053 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Self Occupation: Broker/Trader Aggregate Year-to-Date: $ 1,000.00	8/21/98	$500.00
Kevin Chun 3600 N. Lake Shore Drive, Apt. 707 Chicago, IL 60613 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Self Occupation: Trader Aggregate Year-to-Date: $ 500.00	8/10/98	$500.00
Brian I. Connelly 1220 Ashland River Forest, IL 60305 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Self Occupation: Commodities Trader Aggregate Year-to-Date: $ 500.00	8/24/98	$250.00
Edward Fallszek 5338 Leland Avenue Chicago, IL 60630 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Self Occupation: Commodities Trader Aggregate Year-to-Date: $ 1,500.00	8/11/98	$500.00
Steven P. Jacobson 2232 Sheridan Road Highland Park, IL 60035 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Self Occupation: Commodities Trader Aggregate Year-to-Date: $ 1,000.00	8/17/98	$1,000.00
Peter C. Kelly 213 Raleigh Road Kenilworth, IL 60043 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Self Occupation: Broker Aggregate Year-to-Date: $ 500.00	8/10/98	$250.00

SUBTOTAL of Receipts This Page (optional)	$ 4,000.00
TOTAL This Period (last page this line number only)	

SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 2 OF 3

FOR LINE NUMBER 11(a)(i)

Contributions From Individuals (itemized)

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NAME OF COMMITTEE (in Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation / Aggregate Year-to-Date	Date (month, day, year)	Amount of Each Receipt this Period
Everett E. Klipp 3427 Vollmer Road Flossmoor, IL 60422 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Self Occupation: Trader Aggregate Year-to-Date: $ 4,000.00	8/6/98	$2,000.00
Lawrence L. Lubin 891 Stonegate Dr Highland Park, IL 60035 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Self Occupation: Trader Aggregate Year-to-Date: $ 2,500.00	8/10/98	$2,500.00
Emmett P. McGovern 6822 W. Palatine Chicago, IL 60631 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Self Occupation: Commodities Trader Aggregate Year-to-Date: $ 250.00	8/11/98	$50.00
Eugene N. Morris 1423 William Street River Forest, IL 60305 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Self Occupation: Commodities Trader Aggregate Year-to-Date: $ 1,200.00	8/28/98	$300.00
Thomas P. O'Malley 6744 N Wildwood Ave Chicago, IL 60646 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Self Occupation: Commodities Trader Aggregate Year-to-Date: $ 1,450.00	8/10/98	$200.00
Eric R. Peterson 1250 N Dearborn, Apt 19-A Chicago, IL 60610 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Self Occupation: Commodities Trader Aggregate Year-to-Date: $ 1,000.00	8/11/98	$1,000.00
Daniel M. Raglin 2100 N Racine, Unit 311 Chicago, IL 60614 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Self Occupation: Broker Aggregate Year-to-Date: $ 500.00	8/10/98	$500.00

SUBTOTAL of Receipts This Page (optional)	$ 6,550.00
TOTAL This Period (last page this line number only)	

SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 11(a)(i)

Contributions From Individuals (itemized)

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NAME OF COMMITTEE (in Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
Henry J. Rogers Jr. **13228 Westview Dr, Apt 1-B** **Palos Heights, IL 60463-2579** Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: Occupation: **Retired** Aggregate Year-to-Date: $ **1,000.00**	**8/10/98**	**$200.00**
James L. Schulze **621 W. Hickory Street** **Hinsdale, IL 60521-3138** Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: **Self** Occupation: **Commodities Trader** Aggregate Year-to-Date: $ **500.00**	**8/11/98**	**$500.00**
Henry S. Shatkin **1418 Lake Shore Drive** **Chicago, IL 60610** Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: **Self** Occupation: **Commodities Trader** Aggregate Year-to-Date: $ **1,000.00**	**8/6/98**	**$1,000.00**
Justin M. Sugar **2336 Marcy** **Evanston, IL 60201** Receipt For: ☐ Primary ☐ General ☐ Other (specify).	Name of Employer: **Self** Occupation: **Commodities Trader** Aggregate Year-to-Date: $ **650.00**	**8/10/98**	**$200.00**
James D. White **11318 W. Alexandria** **Westchester, IL 60154** Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer: **Chicago Board of Trade** Occupation: **Vice President** Aggregate Year-to-Date: $ **500.00**	**8/24/98**	**$500.00**

SUBTOTAL of Receipts This Page (optional)	**$ 2,400.00**
TOTAL This Period (last page this line number only)	**$ 12,950.00**

SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1

FOR LINE NUMBER 17

Other Federal Receipts (Dividends, Interest, etc.)

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NAME OF COMMITTEE (in Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Bank of America **231 South LaSalle Street** **Chicago, IL 60697**	**Interest**	**8/31/98**	**$880.33**
Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Occupation Aggregate Year-to-Date **$ 6,626.92**		

SUBTOTAL of Receipts This Page (optional)	**$ 880.33**
TOTAL This Period (last page this line number only)	**$ 880.33**

SCHEDULE B **ITEMIZED DISBURSEMENTS**

Other Federal Operating Expenditures

Use separate schedule(s) for each category of the Detailed Summary Page	PAGE 1 OF 1
	FOR LINE NUMBER 21(b)

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NAME OF COMMITTEE (in Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement this Period
Bank of America **231 South LaSalle Street** **Chicago, IL 60697**	**Bank Charges** Disbursement For: ☐ Primary ☐ General ☒ Other	**8/31/98**	**$84.65**

SUBTOTAL of Disbursements This Page (optional)	**$84.65**
TOTAL This Period (last page this line number only)	**$84.65**

SCHEDULE B **ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1

FOR LINE NUMBER 23

Contributions to Federal Candidates/Committees

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Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement this Period
Missourians for Kit Bond c/o Trudy Nichol & Associates 188 W. Randolph St., Suite 1809 Chicago, IL 60601	Contribution: Christopher S. Bond (MO-R) Disbursement For: ☐ Primary ☒ General ☐ Other: 1998	8/14/98	$3,000.00
Democratic Congressional Campaign Committee 430 S. Capitol Street, SE Washington, DC 20003	Contribution: Democratic Congressional (-D) Disbursement For: ☐ Primary ☐ General ☒ Other: Other 1998	8/6/98	$7,500.00
Democratic Senatorial Campaign Committee 430 South Capitol Street, SE Washington, DC 20003	Contribution: Democratic Senatorial Cam (-D) Disbursement For: ☐ Primary ☐ General ☒ Other: Other 1998	8/6/98	$7,500.00
Schakowsky for Congress 990 Grove Street, #203 Evanston, IL 60201	Contribution: Jan Schakowsky (IL-9-D) Disbursement For: ☐ Primary ☒ General ☐ Other: 1998	8/26/98	$5,000.00
Bill Thomas Campaign Committee P.O. Box 395 Bakersfield, CA 93302	Returned Check #4039 dated 5/4/98 for William M. Thomas Disbursement For: ☒ Primary ☐ General ☐ Other: 1998	8/24/98	($500.00)

SUBTOTAL of Disbursements This Page (optional)	$22,500.00
TOTAL This Period (last page this line number only)	$22,500.00

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received

☑	Hand Delivered	Date of Receipt 9-15-98
☐	First Class Mail	POSTMARKED
☐	Registered/Certified Mail	POSTMARKED
☐	No Postmark	
☐	Postmark Illegible	
☐	Received from the House office of Records and Registration	Date of Receipt
☐	Received from the Senate Office of Public Records	Date of Receipt
☐	Other (Specify):	Postmarked _____ and/or Date of Receipt
☐	Electronic Filing	

PREPARER

9-15-98
DATE PREPARED

(4/98)