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COMMISSION  
MAIL ROOM

JUL 19 4 46 PM '93

**The Options Clearing Corporation**  
400 South LaSalle Street, Suite 908  
Chicago, Illinois 60606-1050

James C. Yong  
Vice President and Deputy General Counsel  
312 322 4451

July 15, 1993

**VIA CERTIFIED MAIL**  
**RETURN RECEIPT REQUESTED**

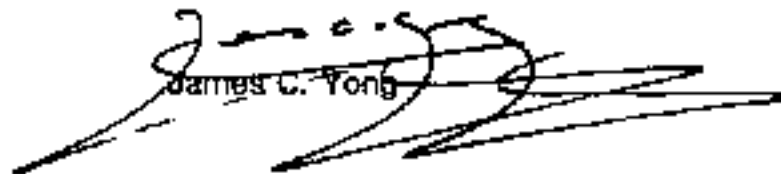
Federal Election Commission  
999 E Street, N.W.  
Washington, D.C. 20463

To Whom it May Concern:

On behalf of The Options Clearing Corporation Political Action Committee ("OCC PAC"), I am enclosing FEC Form 3X, a report of receipts and disbursements of OCC PAC (the "Report"). The Report is for the period April 1, 1993 through and including June 30, 1993.

If you have any questions with respect to this Report or OCC PAC, please call me at (312) 322-4451.

Very truly yours,

  
James C. Yong

JCY:jmr  
Enclosure

Enc. 3X, 1b

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# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

(Summary Page)

RECEIVED  
FEDERAL ELECTION  
COMMISSION  
MAIL ROOM

JUL 19 4 46 PM '93

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (In full) <b>The Options Clearing Corporation Political Action Committee</b>		2. FEC IDENTIFICATION NUMBER  <b>C00255877</b>
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported <b>440 South LaSalle, Suite 2400</b>		
CITY, STATE and ZIP CODE <b>Chicago, IL 60605</b>		3. <input type="checkbox"/> This committee qualified as a multicandidate committee DURING THIS Reporting Period on _____ (date).

## 4. TYPE OF REPORT

(a)  April 15 Quarterly Report

July 15 Quarterly Report

October 15 Quarterly Report

January 31 Year End Report

July 31 Mid Year Report (Non-election Year Only)

Termination Report

Monthly Report Due On:

February 20     June 20     October 20  
 March 20     July 20     November 20  
 April 20     August 20     December 20  
 May 20     September 20     January 31

Twelfth day report preceding \_\_\_\_\_ (Type of Election)

election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	Covering Period <u>4/1/93</u> through <u>6/30/93</u>		
8.	(a) Cash on Hand January 1, 19 <u>93</u>		\$ 2,388.22
	(b) Cash on Hand at Beginning of Reporting Period	\$ 1,498.22	
	(c) Total Receipts (from Line 19)	\$ 725.00	\$ 825.00
	(d) Subtotal (add Lines 8(b) and 8(c) for Column A and Lines 8(a) and 8(c) for Column B)	\$ 2,213.22	\$ 3,213.22
7.	Total Disbursements (from Line 30)	\$ -0-	\$ 1,000.00
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 8(d))	\$ 2,213.22	\$ 2,213.22
9.	Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	\$ -0-	For further information contact: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-8530 Local 202-218-3420
10.	Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	\$ -0-	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

**Frank J. Larocca, Assistant Treasurer**

Signature of Treasurer

Date

7/15/93

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X

(revised 1/1/91)

# DETAILED SUMMARY PAGE

## OF RECEIPTS AND DISBURSEMENTS

PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE	REPORT COVERING PERIOD	
<b>The Options Clearing Corporation Political Action Committee</b>	FROM 4/1/93	TO: 6/30/93
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year
11. Contributions (other than loans) From:		
a. Individual/Persons Other Than Political Committees		
i. Itemized (use Schedule A) .....	725.00	825.00
ii. Unitemized .....	-0-	-0-
iii. Total .....	725.00	825.00
b. Political Party Committees .....	-0-	-0-
c. Other Political Committees (such as PACs) .....	-0-	-0-
d. Total Contributions .....	725.00	825.00
12. Transfers From Affiliated/Other Party Committees .....	-0-	-0-
13. All Loans Received .....	-0-	-0-
14. Loan Repayments Received .....	-0-	-0-
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) .....	-0-	-0-
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees .....	-0-	-0-
17. Other Federal Receipts (Dividends, Interest, etc.) .....	-0-	-0-
18. Transfers from Nonfederal Account for Joint Activity .....	-0-	-0-
19. Total Receipts .....	725.00	825.00
20. Total Federal Receipts .....	725.00	825.00
II. Disbursements		
21. Operating Expenditures:		
a. Shared Federal/Non-Federal Activity (from Schedule H4)		
i. Federal Share .....	-0-	-0-
ii. Non-Federal Share .....	-0-	-0-
b. Other Federal Operating Expenditures .....	-0-	-0-
c. Total Operating Expenditures .....	-0-	-0-
22. Transfers to Affiliated/Other Party Committees .....	-0-	-0-
23. Contributions to Federal Candidates/Committees and Other Political Committees .....	-0-	1,000.00
24. Independent Expenditures (use Schedule E) .....	-0-	-0-
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F) ..	-0-	-0-
26. Loan Repayments Made .....	-0-	-0-
27. Loans Made .....	-0-	-0-
28. Refunds of Contributions To:		
a. Individual/Persons Other Than Political Committees .....	-0-	-0-
b. Political Party Committees .....	-0-	-0-
c. Other Political Committees (such as PACs) .....	-0-	-0-
d. Total Contribution Refunds .....	-0-	-0-
29. Other Disbursements .....	-0-	-0-
30. Total Disbursements .....	-0-	1,000.00
31. Total Federal Disbursements .....	-0-	1,000.00
III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans)(from line 11d) .....	725.00	825.00
33. Total Contribution Refunds (from line 28d) .....	-0-	-0-
34. Net Contributions (other than loans)(subtract line 33 from line 32) .....	725.00	825.00
35. Total Federal Operating Expenditures .....	-0-	-0-
36. Offsets to Operating Expenditures (from line 15) .....	-0-	-0-
37. Net Operating Expenditures .....	-0-	-0-

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**SCHEDULE A**

**ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

Any information copied from such Reports and Statements may not be sold or used by any person for the purposes of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

**The Options Clearing Corporation Political Action Committee**

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Edward J. Adinolfi 36 E. 55th Street Hinsdale, IL 60521	The Options Clearing Corporation	4/30/93	25.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation Vice President National Operations		
	Aggregate Year-to-Date > \$ 25.00		
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Jean M. Cawley 733 N. Forestview Park Ridge, IL 60068	The Options Clearing Corporation	4/30/93	50.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation Director and Special Counsel		
	Aggregate Year-to-Date > \$ 100.00		
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
David P. Engaldo 58 Boxwood Lane Cary, IL 60013	The Options Clearing Corporation	6/18/93	100.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation Vice President Administration		
	Aggregate Year-to-Date > \$ 100.00		
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Richard C. Mersitz 9 Galway Drive Mendham, NJ 07945	The Options Clearing Corporation	4/30/93	50.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation Director New York Operations		
	Aggregate Year-to-Date > \$ 50.00		
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Joseph A. Molloy 710 Woodhollow Lane Buffalo Grove, IL 60089	The Options Clearing Corporation	4/29/93	100.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation Assistant Vice President-Administration		
	Aggregate Year-to-Date > \$ 100.00		
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Andrew Naughton 30 Mardel Lane Prospect Heights, IL 60070	The Options Clearing Corporation	5/3/93	100.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation Director Financial Surveillance		
	Aggregate Year-to-Date > \$ 100.00		
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Leonard E. Neuzil 919-A Abbotsford Lane Frankfort, IL 60423	The Options Clearing Corporation	4/23/93	100.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation First Vice President Systems & Programming		
	Aggregate Year-to-Date > \$ 100.00		

SUBTOTAL of Receipts This Page (optional) ..... 525.00

TOTAL This Period (last page this line number only) .....

2 3 4 3 0 1 7 0

**SCHEDULE A**

**ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE	OF
2	2
FOR LINE NUMBER	

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**NAME OF COMMITTEE (in Full)**  
**The Options Clearing Corporation Political Action Committee**

3 3 3 3 3 3 3 3 3 3 3 3 3 3 3

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Ralph E. Pfaff 190 Bradford Ct. Lake Bluff, IL 60044	The Options Clearing Corporation	4/26/93	100.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation: Executive V.P. & Corporate Information Officer	Aggregate Year-to-Date > \$ 100.00	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Samuel D. Pincus 1160 Parker Avenue Downers Grove, IL 60516	The Options Clearing Corporation	6/29/93	100.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation: Vice President Technical Analysis	Aggregate Year-to-Date > \$ 100.00	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation:	Aggregate Year-to-Date > \$	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation:	Aggregate Year-to-Date > \$	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation:	Aggregate Year-to-Date > \$	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation:	Aggregate Year-to-Date > \$	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Occupation:	Aggregate Year-to-Date > \$	

<b>SUBTOTAL</b> of Receipts This Page (optional)	200.00
<b>TOTAL</b> This Period (last page this line number only)	725.00

**SCHEDULE B**

**ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1  
FOR LINE NUMBER

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NAME OF COMMITTEE (in Full)

The Options Clearing Corporation Political Action Committee

2 5 0 3 3 3 4 3 3 1 7 1

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
NONE			
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional) .....

TOTAL This Period (last page this line number only) .....

**Federal Election Commission  
ENVELOPE REPLACEMENT PAGE  
FOR INCOMING DOCUMENTS**

The Commission has added this page to the end of this filing to indicate how it was received.

93030435170

<input type="checkbox"/> Hand Delivered	DATE OF RECEIPT
<input type="checkbox"/> First Class Mail	POSTMARKED
<input checked="" type="checkbox"/> Registered/Certified Mail	POSTMARKED 7-15-93
<input type="checkbox"/> No Postmark	
<input type="checkbox"/> Postmark Illegible	
<input type="checkbox"/> Received from the House Office of Records and Registration	DATE OF RECEIPT
<input type="checkbox"/> Received from the Senate Office of Public Records	DATE OF RECEIPT
<input type="checkbox"/> Other (Specify):	POSTMARKED
	and/or DATE OF RECEIPT
T.M.H. PREPARER	7-20-93 DATE PREPARED