



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

December 23, 2010

JAMES J. MALCZEWSKI, TREASURER
RON JOHNSON FOR SENATE INC.
601 OREGON STREET, SUITE A
OSHKOSH, WI 54902

Response Due Date
01/27/2011

IDENTIFICATION NUMBER: C00482984

REFERENCE: OCTOBER QUARTERLY REPORT (08/26/2010 - 09/30/2010)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **An adequate response must be received at the Senate Public Records Office by the response date noted above. Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 4 item(s):

1. Schedule A of your report discloses information that appears to inflate your cash on hand. Line 12, Schedule A, of your report discloses a transfer of funds from a joint fundraiser (Founders Joint Candidate Committee). Line 11(a)(iii), Schedule A, includes itemized receipts from individual contributors that include the notation of (Earmarked Through Founders Joint Candidate Committee). Please be advised that individual entries supporting the amount of earmarked contributions received by a joint fundraiser should not be included in your Schedule A total. Rather, these entries should be recorded as a memo entries on Schedule A. Please amend your report to correct this discrepancy. (11 CFR § 104.3(a)(3))
2. Line 12 of the Detailed Summary Page discloses a transfer from a joint fundraising committee. However, the sum of memo Schedules A supporting Line 12 is less than the amount transferred. Please note that the sum of memo Schedules A supporting a transfer from a joint fundraising committee should be greater than or equal to the amount reported on Line 12. Each participating committee shall report its share of **net** proceeds received from the joint fundraising committee as a transfer-in on Line 12. Each participating committee shall also file memo Schedules A itemizing its share of the **gross** receipts as contributions from the original donors. (11 CFR § 102.17(c)(8)(i) (B)) Please amend your report to correct this discrepancy.
3. Schedule A of your report indicates that your committee may have failed to file one or more of the required 48-hour notices regarding "last minute"

RON JOHNSON FOR SENATE INC

Page 2 of 3

contributions received by your committee after the close of books for the 12 Day Pre-Primary Report (see attached). A principal campaign committee must notify the Commission, in writing, within 48 hours of any contribution of \$1,000 or more received between two and twenty days before an election. These contributions are then reported on the next report required to be filed by the committee. To ensure that the Commission is notified of last minute contributions of \$1,000 or more to your campaign, it is recommended that you review your procedures for checking contributions received during the aforementioned time period. The failure to file 48-hour notices may result in civil money penalties or legal enforcement action. If any contribution of \$1,000 or more was incorrectly reported, you must amend your original report with the clarifying information. (11 CFR § 104.5(f))

4. Your committee filed a 48 hour notice disclosing a "last minute" contribution from Shannon Hart on 9/7/10. Only a partial amount of this contribution has been disclosed on Schedule A of this report. Please amend your report to include the entire amount of this contribution or provide an explanation of this apparent discrepancy. (11 CFR § 104.3(a)(4)(i))

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

A written response or an amendment to your original report(s) correcting the above problems should be filed with the Senate Public Records Office. Please contact the Senate Public Records Office at (202) 224-0322 for instructions on how and where to file an amendment. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1132.

RON JOHNSON FOR SENATE INC

Page 3 of 3

Sincerely,

A handwritten signature in black ink, appearing to read "Chris Jones". The signature is fluid and cursive, with a long horizontal stroke at the end.

Chris Jones
Senior Campaign Finance Analyst
Reports Analysis Division

496

Missing 48-hour Notices
Ron Johnson for Senate Inc. (C00482984)
October Quarterly Report (8/26/10 - 9/30/10)
Primary Election 2010
48 Hour Notice Period (8/26/10 - 9/11/10)

CONTRIBUTOR NAME	DATE	AMOUNT	ELECTION
BRUSH, JIM MR	9/1/2010	\$1,074.11	G2010
COWEN, ROBERT S MR	9/1/2010	\$1,000.00	P2010
DEFEND AMERICA PAC	9/2/2010	\$5,000.00	P2010
DEFEND AMERICA PAC	9/2/2010	\$5,000.00	G2010
GULLING, DANIEL MR	8/30/2010	\$1,000.00	P2010
HART, MARK III	9/7/2010	\$2,400.00	P2010
LESAR, JANET F MRS	8/31/2010	\$1,000.00	P2010
MARTIN, ROGER F HON	9/8/2010	\$1,000.00	P2010
OHIO NATIONAL FINANCIAL SERVICES INC PAC	9/9/2010	\$1,000.00	P2010
OSTROM, JAMES J	8/30/2010	\$1,000.00	P2010
PALMER, JOHN J	9/9/2010	\$1,000.00	P2010
POLLACK, HARVEY	9/3/2010	\$1,900.00	P2010
SCHUETTE, RUTH	9/1/2010	\$2,400.00	P2010
THE FINANCIAL SERVICES ROUNDTABLE PAC	8/27/2010	\$2,500.00	G2010
TOLL, NANCY A	9/10/2010	\$2,400.00	P2010
WHEATLEY, ALICE	8/29/2010	\$1,000.00	P2010
WOGERNESE, DAVID G	9/3/2010	\$1,400.00	P2010