



May 22, 2001

RECEIVED
 FEC MAIL ROOM
 2001 MAY 22 P 3:32

Executive Committee:

Paul Collins, MD
 Chairman
 Boise, Idaho

James G. Davis, MD
 Treasurer
 Birmingham, Alabama

Gilbert M. Avni, MD
 Secretary
 Hannville, Alabama

James H. Hazdon, MD
 2nd Vice President, AAOS
 Boston, Massachusetts

Lowry Jones, Jr., MD
 Chair-Elect, AAOS-BOC
 Kansas City, Missouri

Ex Officio Member:

William W. Tipcon, Jr., MD
 Executive Vice President, AAOS
 Evanston, Illinois

PAC Liaison:

David A. Lovett, JD
 Director, AAOS Washington Office

Nicholas T. Ebinger
 Reports Analyst
 Reports Analysis Division
 Federal Election Commission
 999 E Street, NW
 Washington, DC 20463

Dear Mr. Ebinger:

Thank you for your letter, which we received on May 11, 2001, regarding the filing of our 2000 Year End Report. For your reference, we have enclosed a copy of this letter.

Due to an inadvertent clerical error, the original signature was omitted on the first two pages of the report. These amended sheets are attached. Also due to a clerical error, check # 90260 in the amount of \$1000 made out to Stevens for Senate Committee was designated as a 2000 general election contribution after the election had already taken place. This contribution should have been designated as debt retirement.

Upon receipt of your letter, we have taken immediate corrective action by contacting Senator Steven's campaign office to obtain a refund of this contribution. Attached is a copy of this correspondence.

When we receive a response from Senator Steven's campaign office, the proper curative measures will then be taken, and the appropriate amended reports will be filed in accordance with Federal election law.

I appreciated the guidance you provided, and I hope that this action resolves the matter.

Sincerely,

David A. Lovett
 PAC Liaison

Enclosures

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

RECEIVED
FEC MAIL ROOM

2001 MAY 22 P 3 32

USE FEC MAILING LABEL OR TYPE OR PRINT

| | |
|--|---|
| 1. NAME OF COMMITTEE (in full) Political Action Committee of the American Association of Orthopaedic Surgeons | 2. FEC IDENTIFICATION NUMBER 000343137 |
| ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 317 Massachusetts Avenue NE 1st Floor | 3. <input checked="" type="checkbox"/> This committee has qualified as a multi-cycle date committee. (see FEC FORM 1M) |
| CITY, STATE and ZIP CODE Washington, DC 20002 | |

4. TYPE OF REPORT

- (a) April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year ONLY)
- Termination Report
- Monthly Report Due On:
- | | | |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20 | <input type="checkbox"/> October 20 |
| <input type="checkbox"/> March 20 | <input type="checkbox"/> July 20 | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20 | <input type="checkbox"/> August 20 | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20 | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31 |
- Twelfth day report preceding _____ (Type of Election)
election on _____ in the State of _____
- Thirtieth day report following the General Election on _____ in the State of _____

(b) Is this Report an Amendment? YES NO

| SUMMARY | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|---|-------------------------|---|
| 5. Covering Period <u>11/28/00</u> through <u>12/31/00</u> | | |
| 6. (a) Cash on Hand January 1, <u>2000</u> | | \$ 177,919.87 |
| (b) Cash on Hand at Beginning of Reporting Period | \$ 100,685.43 | |
| (c) Total Receipts (from Line 19) | \$ 11,200.00 | \$ 281,510.00 |
| (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) | \$ 111,765.43 | \$ 438,429.67 |
| 7. Total Disbursements (from Line 30) | \$ 1,424.95 | \$ 329,055.19 |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) | \$ 110,370.48 | \$ 110,370.48 |
| 9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) | \$ 0.00 | For further information contact: Federal Election Commission 230 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-219-3420 |
| 10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) | \$ 0.00 | |

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

David A Lovett

Signature of Treasurer

Date

5-15-01

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

| | | | | | | | | | |
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| | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|

FEC FORM 3X

(revised 9/03)

**DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X**

(revised 1/1/81)

| NAME OF COMMITTEE Political Action Committee of the American Association of Orthopaedic Surgeons | REPORT COVERING PERIOD | | |
|--|-------------------------------|---------------------------|------------|
| | FROM 11/28/00 | TO 12/31/00 | |
| | COLUMN A Total This Period | COLUMN B Calendar Year | |
| I. Receipts | | | |
| 11. Contributions (other than loans) From: | | | |
| a. Individual/Persons Other Than Political Committees | | | 11(a)(i) |
| i. Itemized (use Schedule A) | 5,400.00 | 128,000.00 | 11(a)(ii) |
| ii. Unitemized | 6,400.00 | 133,510.00 | 11(a)(iii) |
| iii. Total (add i and ii) > | 11,200.00 | 261,510.00 | 11(a)(iv) |
| b. Political Party Committees | 0.00 | 0.00 | 11(b) |
| c. Other Political Committees (such as PACs) | 0.00 | 0.00 | 11(c) |
| d. Total Contributions (add a iii, b and c) > | 11,200.00 | 261,510.00 | 11(d) |
| 12. Transfers From Affiliated/Other Party Committees | 0.00 | 0.00 | 12 |
| 13. All Loans Received | 0.00 | 0.00 | 13 |
| 14. Loan Repayments Received | 0.00 | 0.00 | 14 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) | 0.00 | 0.00 | 15 |
| 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees | 0.00 | 0.00 | 16 |
| 17. Other Federal Receipts (Dividends, Interest, etc.) | 0.00 | 0.00 | 17 |
| 18. Transfers from Nonfederal Account for Joint Activity | 0.00 | 0.00 | 18 |
| 19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) > | 11,200.00 | 261,510.00 | 19 |
| 20. Total Federal Receipts (subtract line 18 from line 19) > | 11,200.00 | 261,510.00 | 20 |
| II. Disbursements | | | |
| 21. Operating Expenditures: | | | |
| a. Shared Federal/Non-Federal Activity (from Schedule H4) | | | 21(a)(i) |
| i. Federal Share | 0.00 | 0.00 | 21(a)(ii) |
| ii. Non-Federal Share | 224.96 | 2,259.84 | 21(a)(iii) |
| b. Other Federal Operating Expenditures | 224.96 | 2,259.84 | 21(b) |
| c. Total Operating Expenditures (add a i, a ii, and b) > | 0.00 | 0.00 | 21(c) |
| 22. Transfers to Affiliated/Other Party Committees | 0.00 | 0.00 | 22 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees | 1,000.00 | 262,589.35 | 23 |
| 24. Independent Expenditures (use Schedule E) | 0.00 | 0.00 | 24 |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F) | 0.00 | 0.00 | 25 |
| 26. Loan Repayments Made | 0.00 | 0.00 | 26 |
| 27. Loans Made | 0.00 | 0.00 | 27 |
| 28. Refunds of Contributions To: | | | |
| a. Individual/Persons Other Than Political Committees | 0.00 | 0.00 | 28(a) |
| b. Political Party Committees | 0.00 | 0.00 | 28(b) |
| c. Other Political Committees (such as PACs) | 0.00 | 0.00 | 28(c) |
| d. Total Contribution Refunds (add a, b and c) > | 0.00 | 0.00 | 28(d) |
| 29. Other Disbursements | 200.00 | 64,200.00 | 29 |
| 30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) > | 1,424.96 | 328,059.19 | 30 |
| 31. Total Federal Disbursements (subtract line 21 a ii from line 30) > | 1,424.96 | 328,059.19 | 31 |
| III. Net Contributions/Operating Expenditures | | | |
| 32. Total Contributions (other than loans)(from line 11d) | 11,200.00 | 261,510.00 | 32 |
| 33. Total Contribution Refunds (from line 28d) | 0.00 | 0.00 | 33 |
| 34. Net Contributions (other than loans)(subtract line 33 from 32) | 11,200.00 | 261,510.00 | 34 |
| 35. Total Federal Operating Expenditures (add 21 a i and 21 b) > | 224.96 | 2,259.84 | 35 |
| 36. Offsets to Operating Expenditures (from line 15) | 0.00 | 0.00 | 36 |
| 37. Net Operating Expenditures (subtract line 36 from 35) > | 224.96 | 2,259.84 | 37 |

SCHEDULE B

ITEMIZED DISBURSEMENTS

Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Political Action Committee of the American Association of Orthopaedic Surgeons

| A. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement | Date (month, day, year) | Amount of Each Disbursement This Period |
|--|---|-------------------------|---|
| Stevens for Senate Committee PO Box 100878 Anchorage, AK 99510 | Ted Stevens, U.S. SENATE AK Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) 2000 DEBT RETIREMENT | 12/12/08 | 1,000.00 |
| B. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) | Date (month, day, year) | Amount of Each Disbursement This Period |
| C. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) | Date (month, day, year) | Amount of Each Disbursement This Period |
| D. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) | Date (month, day, year) | Amount of Each Disbursement This Period |
| E. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) | Date (month, day, year) | Amount of Each Disbursement This Period |
| F. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) | Date (month, day, year) | Amount of Each Disbursement This Period |
| G. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) | Date (month, day, year) | Amount of Each Disbursement This Period |
| H. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) | Date (month, day, year) | Amount of Each Disbursement This Period |
| I. Full Name, Mailing Address and ZIP Code | Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) | Date (month, day, year) | Amount of Each Disbursement This Period |

| | |
|--|----------|
| SUBTOTAL of Disbursements (This Page (optional)) | 1,000.00 |
| TOTAL This Period (last page this line number only) | 1,000.00 |

RECEIVED
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2001 MAY 22 P 3 32



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

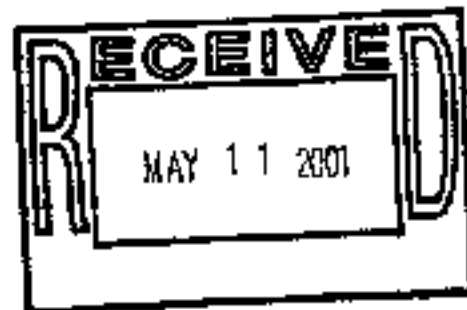
RQ-2

MAY 9 2001

James G. Davis, Treasurer
Political Action Committee of the American
Association of Orthopaedic Surgeons
317 Massachusetts Avenue, NE 1st Floor
Washington, DC 20002

Identification Number: C00343137

Reference: Year End Report (11/28/00-12/31/00)



Dear Mr. Davis:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-All reports must bear an original signature of the treasurer or designated agent. Filing a photocopy of your report does not fulfill this requirement. Please file a report bearing an appropriate original signature. 2 U.S.C. §434(a)(1) and 11 CFR §104.14

-Schedule B supporting Line 23 of your report discloses a contribution(s) to a candidate(s) for the general election; however, the funds were disbursed after the election date(s) (pertinent portion(s) attached). Please note that contributions may not be designated for an election which has already occurred unless the funds are to be used to reduce a candidate committee's debts incurred during that election campaign.

If the contribution(s) in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information. If you have made an impermissible contribution, you should notify the recipient and request a refund and/or notify the recipient in writing of your redesignation of the contribution. In the best interest of your committee, all

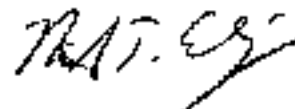
refunds and redesignations should be made within sixty days of the treasurer's receipt of the contribution(s).

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of the refund or redesignation request sent to the recipient committee(s). In addition, any refunds should be disclosed on Schedule A supporting Line 16 of the report covering the period during which they are received. Any redesignations should be disclosed as memo entries on Schedule B supporting Line 23 of the report covering the period during which the redesignation is made. 11 CFR §110.1(b)

Although the Commission may take further legal action regarding this impermissible activity, your prompt action in obtaining a refund and/or redesignating the contribution(s) will be taken into consideration.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division). My local number is (202) 694-1130.

Sincerely,



Nicholas T. Ebinger
Reports Analyst
Reports Analysis Division



POLITICAL ACTION COMMITTEE of THE AMERICAN ASSOCIATION of ORTHOPAEDIC SURGEONS
317 Massachusetts Avenue NE Suite 100 Washington, D.C. 20002-5701 Phone 202/546-4430 Fax 202/546-5051 Internet www.aaos.org

May 22, 2001

Tim McKeever
Stevens for Senate Committee

VIA FACSIMILE

Dear Mr. McKeever;

We are contacting you to request a refund of check # 90260 for \$1000 made payable to the Political Action Committee of the American Association of Orthopaedic Surgeons, as the result of an improper contribution made to your 2000 election cycle. For your reference, we have enclosed a copy of the letter we received from the Federal Election Commission.

Due to an inadvertent software error, the check was designated for the 2000 general election instead of debt retirement. In order to comply with Federal election law, we must request that you issue a refund for the check. We will be happy to immediately reissue this check as a 2001 general election contribution.

The refund of this check will resolve the matter with the FEC and put us in compliance with the law.

If you have any questions concerning this matter, please do not hesitate to contact myself or Marissa Valeri in my office at (202) 546-4430. Thank you for your prompt consideration of this matter.

Sincerely,

David A. Lovett
PAC Liaison

Enclosures

Executive Committee:

Paul Collins, MD
Chairman
 Boise, Idaho

James G. Davis, MD
Treasurer
 Birmingham, Alabama

Gilbert M. Auer, MD
Secretary
 Huntsville, Alabama

James H. Herndon, MD
2nd Vice President, AAOS
 Boston, Massachusetts

Lewey Jones, Jr., MD
Chair-Elect, AAOS-BOC
 Kansas City, Missouri

Ex-Officio Members:

William W. Tipton, Jr., MD
Executive Vice President, AAOS
 Rosemont, Illinois


PAC Liaisons

David A. Lovett, JD
Director, AAOS Washington Office

Federal Election Commission

**ENVELOPE REPLACEMENT PAGE
FOR INCOMING DOCUMENTS**

The Commission has added this page to the end of this filing to indicate how it was received.

| | |
|---|--------------------------------------|
| <input checked="" type="checkbox"/> Hand Delivered | Date of Receipt <i>5-22-01</i> |
| <input type="checkbox"/> First Class Mail | POSTMARKED |
| <input type="checkbox"/> Registered/Certified Mail | POSTMARKED (R/C) |
| <input type="checkbox"/> No Postmark | |
| <input type="checkbox"/> Postmark Illegible | |
| <input type="checkbox"/> Received from the House office of Records and Registration | Date of Receipt |
| <input type="checkbox"/> Received from the Senate Office of Public Records | Date of Receipt |
| <input type="checkbox"/> Other (Specify): | Postmarked and/or Date of Receipt |
| <input type="checkbox"/> Electronic Filing | |
|  PREPARER | <i>5-23-01</i> DATE PREPARED |