

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF
COMMITTEE (in full)**USE FEC MAILING LABEL
OR TYPE OR PRINT**Example: If typing, type
over the lines

E*TRADE Financial Corporation PAC

ADDRESS (number and street)

671 North Glebe Road

Ballston Tower

☐Check if different
than previously
reported. (ACC)

Arlington

VA

22203

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00356345

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15
Quarterly Report(Q1)☐July 15
Quarterly Report(Q2)☐October 15
Quarterly Report(Q3)☐January 31
Quarterly Report(YE)☐July 31 Mid-Year
Report(Non-election
Year Only) (MY)☐Termination Report
(TER)(b) Monthly
Report
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)
(Non-Election
Year Only)☒

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)
(Non-Election
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day
PRE-Election
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the
State of(d) 30-Day
Post -Election
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the
State of

5. Covering Period

02

01

2007

through

02

28

2007

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Jane Gelman

Signature of Treasurer

Electronically Filed by Jane Gelman

Date

03

19

2007

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office
Use
Only**FEC FORM 3X**
(Rev. 02/2003)

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

E*TRADE Financial Corporation PAC

Report Covering the Period:

From:

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| M | M | D | D | Y | Y | Y | Y |
| 0 | 2 | 0 | 1 | 2 | 0 | 0 | 7 |

To:

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| M | M | D | D | Y | Y | Y | Y |
| 0 | 2 | 2 | 8 | 2 | 0 | 0 | 7 |

| | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|------------------------------------------------------------------------------------------------------------------|-------------------------|-----------------------------------|
| 6. (a) Cash on Hand January 1 2007 | | 153859.73 |
| (b) Cash on Hand at Beginning of Reporting Period | 169754.96 | |
| (c) Total Receipts (from Line 19) | 10553.06 | 26448.29 |
| (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) | 180308.02 | 180308.02 |
| 7. Total Disbursements (from Line 31) | 5000.00 | 5000.00 |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) | 175308.02 | 175308.02 |
| 9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D) | 0.00 | |
| 10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D) | 0.00 | |

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

E*TRADE Financial Corporation PAC

Report Covering the Period:

From:

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| M | M | D | D | Y | Y | Y | Y |
| 0 | 2 | 0 | 1 | 2 | 0 | 0 | 7 |

To:

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| M | M | D | D | Y | Y | Y | Y |
| 0 | 2 | 2 | 8 | 2 | 0 | 0 | 7 |

| I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--------------------------------------------------------------------------------------------------------|-------------------------------|-----------------------------------|
| 11. Contributions (other than loans) From: | | |
| (a) Individuals/Persons Other Than Political Committees | 7689.56 | 19339.40 |
| (i) Itemized (use Schedule A) | | |
| (ii) Unitemized | 2757.68 | 6894.23 |
| (iii) TOTAL (add Lines 11(a)(i) and (ii) ➤ | 10447.24 | 26233.63 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) | 0.00 | 0.00 |
| (d) Total Contributions (add Lines 11(a)(iii), (b) and (c)) (Carry Totals to Line 33, page 5) ➤ | 10447.24 | 26233.63 |
| 12. Transfers From Affiliated/Other Party Committees | 0.00 | 0.00 |
| 13. All Loans Received | 0.00 | 0.00 |
| 14. Loan Repayments Received | 0.00 | 0.00 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) | 0.00 | 0.00 |
| 16. Refunds of Contributions Made to Federal candidates and Other Political Committees | 0.00 | 0.00 |
| 17. Other Federal Receipts (Dividends, Interest, etc.) | 105.82 | 214.66 |
| 18. Transfers from Non-Federal and Levin Funds | | |
| (a) Non-Federal Account (from Schedule H3) | 0.00 | 0.00 |
| (b) Levin Funds (from Schedule H5) | 0.00 | 0.00 |
| (c) Total Transfer (add 18(a) and 18(b)). | 0.00 | 0.00 |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) | 10553.06 | 26448.29 |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19) | 10553.06 | 26448.29 |

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

| II. DISBURSEMENTS | | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|-------------------------------------------------------------------------------------------------|--|-------------------------------|-----------------------------------|
| 21. Operating Expenditures: | | | |
| (a) Shared Federal/Non-Federal Activity (from Schedule H4) | | 0.00 | 0.00 |
| (i) Federal Share..... | | | |
| (ii) Non-Federal Share..... | | 0.00 | 0.00 |
| (b) Other Federal Operating Expenditures..... | | 0.00 | 0.00 |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... | | 0.00 | 0.00 |
| 22. Transfers to Affiliated/Other Party Committees..... | | 0.00 | 0.00 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees..... | | 5000.00 | 5000.00 |
| 24. Independent Expenditure (use Schedule E) | | 0.00 | 0.00 |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)..... | | 0.00 | 0.00 |
| 26. Loan Repayments Made..... | | 0.00 | 0.00 |
| 27. Loans Made..... | | 0.00 | 0.00 |
| 28. Refunds of Contributions To: | | | |
| (a) Individuals/Persons Other Than Political Committees | | 0.00 | 0.00 |
| (b) Political Party Committees | | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) | | 0.00 | 0.00 |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) | | 0.00 | 0.00 |
| 29. Other Disbursements..... | | 0.00 | 0.00 |
| 30. Federal Election Activity (2 U.S.C 431(20)) | | | |
| (a) Shared Federal Election Activity (from Schedule H6) | | | |
| (i) Federal Share | | 0.00 | 0.00 |
| (ii) "Levin" Share | | 0.00 | 0.00 |
| (b) Federal Election Activity Paid Entirely With Federal Funds | | 0.00 | 0.00 |
| (c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)).... | | 0.00 | 0.00 |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)).. | | 5000.00 | 5000.00 |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31)..... | | 5000.00 | 5000.00 |

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

| III. Net Contributions/Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|-------------------------------------------------------------------------------------|-------------------------------|-----------------------------------|
| 33. Total Contributions (other than loans) from Line 11(d), page 3) | 10447.24 | 26233.63 |
| 34. Total Contribution Refunds (from Line 28(d)) | 0.00 | 0.00 |
| 35. Net Contributions (other than loans) (subtract Line 34 from Line 33) | 10447.24 | 26233.63 |
| 36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))..... | 0.00 | 0.00 |
| 37. Offsets to Operating Expenditures (from Line 15, page 3) | 0.00 | 0.00 |
| 38. Net Operating Expenditures (subtract Line 37 from Line 36) | 0.00 | 0.00 |

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 18

(check only one)

☐ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☒ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A.

Full Name (Last, First, Middle Initial)

E*Trade Bank

Mailing Address PO Box 1542

City

Merrifield

State

VA

Zip Code

22110-9949

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

214.66

Date of Receipt

M M / D D / Y Y Y Y
0 2 / 2 8 / 2 0 0 7

Transaction ID: 13867057

Amount of Each Receipt this Period

105.82

Bank Interest

SUBTOTAL of Receipts This Page (optional)

105.82

TOTAL This Period (last page this line number only)

105.82

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| A. Full Name (Last, First, Middle Initial) Carol Phelan-Marsh Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation VP, Marketing Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 385.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1012220418588 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly) |
| B. Full Name (Last, First, Middle Initial) David Grove Mailing Address 440 South LaSalle City State Zip Code Chicago IL 60605 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE--Dempsey Occupation SVP, Market Making Ops Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 385.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1045600318588 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly) |
| C. Full Name (Last, First, Middle Initial) Daniel Howe Mailing Address 440 South LaSalle City State Zip Code Chicago IL 60605 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Brokerage Holdings Occupation EVP, Retail Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 673.10 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1045600418588 Amount of Each Receipt this Period 269.24 P/R Deduction (\$134.62 Bi-Weekly) |

SUBTOTAL of Receipts This Page (optional)

577.24

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | | | |
|---------------------------------------------------------------------------------------------------------------------------------|--|-----------------------------------------------|------------------------------------------------------------------|--|
| A. Full Name (Last, First, Middle Initial) Adam Townsend | | | Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div> | |
| Mailing Address 10951 White Rock Road | | | Transaction ID: PR1045600618588 | |
| City State Zip Code Rancho Cordova CA 95670 | | | Amount of Each Receipt this Period <div>154.00</div> | |
| FEC ID number of contributing federal political committee. <div>C</div> | | | | |
| Name of Employer E*TRADE Financial Corp. | | Occupation SVP, Investor Relations | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | Aggregate Year-to-Date ▼ <div>385.00</div> | | |
| | | | P/R Deduction (\$77.00 Bi-Weekly) | |
| B. Full Name (Last, First, Middle Initial) Amit Banerjee | | | Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div> | |
| Mailing Address 671 North Glebe Road | | | Transaction ID: PR1045600918588 | |
| City State Zip Code Arlington VA 22203 | | | Amount of Each Receipt this Period <div>154.00</div> | |
| FEC ID number of contributing federal political committee. <div>C</div> | | | | |
| Name of Employer E*TRADE Financial Corp. | | Occupation VP, Software Engineering | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | Aggregate Year-to-Date ▼ <div>385.00</div> | | |
| | | | P/R Deduction (\$77.00 Bi-Weekly) | |
| C. Full Name (Last, First, Middle Initial) Edouard Dejoux | | | Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div> | |
| Mailing Address 135 East 57th Street | | | Transaction ID: PR1067673018588 | |
| City State Zip Code New York NY 10022 | | | Amount of Each Receipt this Period <div>154.00</div> | |
| FEC ID number of contributing federal political committee. <div>C</div> | | | | |
| Name of Employer E*TRADE Securities, LLC | | Occupation SVP, Global Trading | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | Aggregate Year-to-Date ▼ <div>385.00</div> | | |
| | | | P/R Deduction (\$77.00 Bi-Weekly) | |

SUBTOTAL of Receipts This Page (optional)

462.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|------------------------------------------------------------------------------------------------------------------------------------|
| A. Full Name (Last, First, Middle Initial) Nicholas Utton Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. C | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1078579118588 Amount of Each Receipt this Period 384.60 |
| Name of Employer E*TRADE Financial Corporation Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | | Occupation Chief Marketing Officer Aggregate Year-to-Date ▼ 961.50 |
| B. Full Name (Last, First, Middle Initial) Christine Wolf Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1090244518588 Amount of Each Receipt this Period 154.00 |
| Name of Employer E*TRADE Financial Corporation Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | | Occupation EVP, Human Resources Aggregate Year-to-Date ▼ 385.00 |
| C. Full Name (Last, First, Middle Initial) Paul Halpern Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. C | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1142911318588 Amount of Each Receipt this Period 154.00 |
| Name of Employer ETrade Financial Corporation Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | | Occupation SVP, Bank Marketing Aggregate Year-to-Date ▼ 385.00 |

P/R Deduction (\$192.30 Bi-Weekly)

P/R Deduction (\$77.00 Bi-Weekly)

P/R Deduction (\$77.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

692.60

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

A. Full Name (Last, First, Middle Initial)
Mitchell Caplan
Mailing Address 671 North Glebe Road

City State Zip Code
Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corp.

Occupation
CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

961.50

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR408625118588

Amount of Each Receipt this Period

384.60

P/R Deduction (\$192.30 Bi-Weekly)

B. Full Name (Last, First, Middle Initial)
Russell Elmer
Mailing Address 671 North Glebe Road

City State Zip Code
Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*Trade Financial Corp.

Occupation
General Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

961.50

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR408625318588

Amount of Each Receipt this Period

384.60

P/R Deduction (\$192.30 Bi-Weekly)

C. Full Name (Last, First, Middle Initial)
Arlen W. Gelbard
Mailing Address 671 North Glebe Road

City State Zip Code
Arlington VA 22203

FEC ID number of contributing
federal political committee.

C

Name of Employer
E*TRADE Bank

Occupation
President, E*TRADE Bank

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

961.50

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR408625618588

Amount of Each Receipt this Period

384.60

P/R Deduction (\$192.30 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional)

1153.80

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| A. Full Name (Last, First, Middle Initial) Robert Lilien Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation President & COO Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 961.50 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR408626118588 Amount of Each Receipt this Period 384.60 P/R Deduction (\$192.30 Bi-Weekly) |
| B. Full Name (Last, First, Middle Initial) Shane O. Mulron Mailing Address 10951 White Rock Road City State Zip Code Rancho Cardova CA 95670 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Brokerage Holdings Occupation EVP, Retail Finance Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 675.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR408626418588 Amount of Each Receipt this Period 270.00 P/R Deduction (\$135.00 Bi-Weekly) |
| C. Full Name (Last, First, Middle Initial) Todd W. Roadman Mailing Address 10951 White Rock Road City State Zip Code Cordova CA 95670 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation SVP, Financial P&A Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 385.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR408626618588 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly) |

SUBTOTAL of Receipts This Page (optional)

808.60

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| A. Full Name (Last, First, Middle Initial) Connie Dotson Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation Chief Communications Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 955.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR540115718588 Amount of Each Receipt this Period 382.00 P/R Deduction (\$191.00 Bi-Weekly) |
| B. Full Name (Last, First, Middle Initial) James Bidwell Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation Chief Risk Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 961.50 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR540115818588 Amount of Each Receipt this Period 384.60 P/R Deduction (\$192.30 Bi-Weekly) |
| C. Full Name (Last, First, Middle Initial) Todd Mackay Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation Mg Director, Corp. Dev. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 670.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR540117018588 Amount of Each Receipt this Period 268.00 P/R Deduction (\$134.00 Bi-Weekly) |

SUBTOTAL of Receipts This Page (optional)

1034.60

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| A. Full Name (Last, First, Middle Initial) Curtis Smith Mailing Address 1650 Union Hill Road City Alpharetta State GA Zip Code 30005 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation SVP, Global Infrastructure Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 385.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR540118518588 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly) |
| B. Full Name (Last, First, Middle Initial) James Swartwout Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Securities Inc. Occupation VP, Order Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 308.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR540118618588 Amount of Each Receipt this Period 77.00 P/R Deduction (\$77.00 Bi-Weekly) |
| C. Full Name (Last, First, Middle Initial) Greg Framke Mailing Address 535 Madison Ave 35th Floor City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation Mg Director, CIO Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 675.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR799168118588 Amount of Each Receipt this Period 270.00 P/R Deduction (\$135.00 Bi-Weekly) |

SUBTOTAL of Receipts This Page (optional)

501.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| A. Full Name (Last, First, Middle Initial) Kenneth Marienau Mailing Address 4005 Windward Plaza Drive City State Zip Code Alpharetta GA 30005 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Financial Corp. Occupation VP, General Services Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 385.00 | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR799168218588 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly) |
| B. Full Name (Last, First, Middle Initial) Matthew J. Audette Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Bank Occupation EVP, Corp. Controller Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 773.10 | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR799168418588 Amount of Each Receipt this Period 309.24 P/R Deduction (\$154.62 Bi-Weekly) |
| C. Full Name (Last, First, Middle Initial) Michael Curcio Mailing Address 135 East 57th St. 31st Floor City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Securities LLC Occupation Mg Director, Global Retail Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 673.10 | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR799168618588 Amount of Each Receipt this Period 269.24 P/R Deduction (\$134.62 Bi-Weekly) |

SUBTOTAL of Receipts This Page (optional)

732.48

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 18

(check only one)

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| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 | <input type="checkbox"/> 17 |
|-----------------------------------------|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|-----------------------------------------------------------------------------------------------------------------------------------|
| A. Full Name (Last, First, Middle Initial) Dennis E. Webb Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR799168818588 Amount of Each Receipt this Period 154.00 |
| Name of Employer E*TRADE Global Asset Mgmt, Inc. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | Occupation President, Capital Markets Aggregate Year-to-Date ▼ 385.00 P/R Deduction (\$77.00 Bi-Weekly) |
| B. Full Name (Last, First, Middle Initial) Robert Simmons Mailing Address 10951 White Rock Road City State Zip Code Rancho Cordova CA 95670 FEC ID number of contributing federal political committee. C | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR799169018588 Amount of Each Receipt this Period 380.00 |
| Name of Employer E*Trade Financial Corp. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | Occupation Chief Financial Officer Aggregate Year-to-Date ▼ 950.00 P/R Deduction (\$190.00 Bi-Weekly) |
| C. Full Name (Last, First, Middle Initial) Lance Ullom Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR946040718588 Amount of Each Receipt this Period 154.00 |
| Name of Employer E*TRADE Global Asset Mgmt Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | Occupation EVP, Fixed Income Aggregate Year-to-Date ▼ 385.00 P/R Deduction (\$77.00 Bi-Weekly) |
| SUBTOTAL of Receipts This Page (optional) | | 688.00 |
| TOTAL This Period (last page this line number only) | | |

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| A. Full Name (Last, First, Middle Initial) Hartley Caldwell Mailing Address 1650 Union Hill Road City State Zip Code Alpharetta GA 30005 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Financial Corp. Occupation VP, Re-Engineering Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 385.00 | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950171218588 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly) |
| B. Full Name (Last, First, Middle Initial) Matthew Geary Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C Name of Employer E*Trade Bank Occupation EVP, Risk & Credit Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 673.10 | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950171318588 Amount of Each Receipt this Period 269.24 P/R Deduction (\$134.62 Bi-Weekly) |
| C. Full Name (Last, First, Middle Initial) Sunil Malik Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Global Asset Mgmt. Occupation VP, Whole Loans & MBS Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 385.00 | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950172418588 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly) |

SUBTOTAL of Receipts This Page (optional)

577.24

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

| | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| A. Full Name (Last, First, Middle Initial) Michael Klena Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Securities LLC Occupation SVP, Investing Ops Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 385.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950173018588 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly) |
| B. Full Name (Last, First, Middle Initial) Christopher Larkin Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Securities LLC Occupation VP, Active Trader Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 385.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950173118588 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly) |
| C. Full Name (Last, First, Middle Initial) Paul Vienick Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. C Name of Employer E*TRADE Securities LLC Occupation SVP, Prodcut Dev. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 385.00 | | | Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR950173718588 Amount of Each Receipt this Period 154.00 P/R Deduction (\$77.00 Bi-Weekly) |

SUBTOTAL of Receipts This Page (optional)

462.00

TOTAL This Period (last page this line number only)

7689.56

SCHEDULE B (FEC Form 3X) **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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| | | | | | |
|------------------------------|------------------------------|----------------------------------------|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

E*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Growth and Prosperity PAC

Mailing Address 1155 21st St. NW
Suite 300

City Washington State DC Zip Code 20036

Purpose of Disbursement
Contribution

Candidate Name

011
Category/
Type

Office Sought: ☐ House
☐ Senate
☐ President

State: District:

Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

Transaction ID: 13865266

Date of Disbursement

MM / DD / YY
02 / 26 / 2007

Amount of Each Disbursement this Period

5000.00

Contribution

SUBTOTAL of Disbursements This Page (optional)

5000.00

TOTAL This Period (last page this line number only)

5000.00