REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

05/09/2001 09 : 41

1. NAME OF COMMITTEE (in full)
Auction Markets Political Action Committee of the Chicago Board of Trade

ADDRESS (number and street) ☐ Check if different than previously reported
1455 Pennsylvania Avenue, N.W.

2. FEC IDENTIFICATION NUMBER
C00055832

CITY, STATE, and ZIP CODE
Washington DC 20004

3. ☒ This committee has qualified as a multi-candidate committee (see FEC Form 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report

☐ July 15 Quarterly Report

☐ October 15 Quarterly Report

☐ January 31 Year End Report

☐ July 31 Mid-Year Report (Non-election Year Only)

☐ Termination report

Monthly Report Due On:

☐ February 20	☐ June 20	☐ October 20
☐ March 20	☐ July 20	☐ November 20
☐ April 20	☐ August 20	☐ December 20
☒ May 20	☐ September 20	☐ January 31

☐ Twelfth day report preceding _____ (election type)
election on _____ in the State of _____

☐ Thirtieth day report following the General Election
on _____ in the State of _____

(b) Is this Report an Amendment ☐ YES ☒ NO

SUMMARY 5. Covering Period 04/01/2001 through 04/30/2001	**COLUMN A This Period**	**COLUMN B Calendar Year-to-Date**
6. (a) Cash on Hand, January 1, 2001		135634.90
(b) Cash on Hand at Beginning of Reporting Period	147266.57	
(c) Total Receipts (from line 19)	2429.79	34462.46
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	149696.36	170097.36
7. Total Disbursements (from line 30)	16284.42	36685.42
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	133411.94	133411.94
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct, and complete.

Type or Print Name of Treasurer
Electronically Filed by Mr. Glen M. Johnson

Signature of Treasurer

Date
05/20/2001

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 9/98)

4-00173

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
(PAGE 2, FEC FORM 3X) (revised 1/1/91)

NAME OF COMMITTEE
Auction Markets Political Action Committee of the Chicago Board of Trade

REPORT COVERING PERIOD
FROM 04/01/2001 TO: 04/30/2001

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year	
11. Contributions (other than loans) From:			
a. Individual/Persons Other Than Political Committees			
i. Itemized (use Schedule A)	1850.00	30250.00	11.a.i.
ii. Unitemized	200.00	2700.00	11.a.ii.
iii. Total (add i and ii)»	2050.00	32950.00	11.a.iii.
b. Political Party Committees	0.00	0.00	11.b.
c. Other Political Committees (such as PACs)	0.00	0.00	11.c.
d. Total Contributions (add a iii, b and c)»	2050.00	32950.00	11.d.
12. Transfers From Affiliated/Other Party Committees	0.00	0.00	12.
13. All Loans Received	0.00	0.00	13.
14. Loan Repayments Received	0.00	0.00	14.
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)	0.00	0.00	15.
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	0.00	0.00	16.
17. Other Federal Receipts (Dividends, Interest, etc.)	379.79	1512.46	17.
18. Transfers From Nonfederal Account for Joint Activity	0.00	0.00	18.
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18)»	2429.79	34462.46	19.
20. Total Federal Receipts (subtract line 18 from line 19)»	2429.79	34462.46	20.
II. Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			
i. Federal Share	0.00	0.00	21.a.i.
ii. Non-Federal Share	0.00	0.00	21.a.ii.
b. Other Federal Operating Expenditures	237.12	237.12	21.b.
c. Total Operating Expenditures (add a i, a ii, and b)»	237.12	237.12	21.c.
22. Transfers to Affiliated/Other Party Committees	0.00	0.00	22.
23. Contributions to Federal Candidates/Committees and Other Political Committees	16000.00	33500.00	23.
24. Independent Expenditures (use Schedule E)	0.00	0.00	24.
25. Coordinated Expenditures Made by Party Committees (2 U.S.C 441a(d)) (use Sch. F)	0.00	0.00	25.
26. Loan Repayments Made	0.00	0.00	26.
27. Loans Made	0.00	0.00	27.
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees	0.00	0.00	28.a.
b. Political Party Committees	0.00	0.00	28.b.
c. Other Political Committees (such as PACs)	0.00	0.00	28.c.
d. Total Contributions Refunds (add a, b, and c)»	0.00	0.00	28.d.
29. Other Disbursements	47.30	2948.30	29.
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29)»	16284.42	36685.42	30.
31. Total Federal Disbursements (subtract line 21 a II from line 30)»	16284.42	36685.42	31.
III. Net Contributions / Operating Expenditures			
32. Total Contributions (other than loans) (from line 11d)	2050.00	32950.00	32.
33. Total Contribution Refunds (from line 28d)	0.00	0.00	33.
34. Net Contributions (other than loans) (subtract line 33 from 32)	2050.00	32950.00	34.
35. Total Federal Operating Expenditures (add 21 a I and 21 b)»	237.12	237.12	35.
36. Offsets to Operating Expenditures (from line 15)	0.00	0.00	36.
37. Net Operating Expenditures (subtract line 36 from 35)»	237.12	237.12	37.

SCHEDULE A	ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	3 / 8 FOR LINE NUMBER 11A1

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Mr. Bernard P. Carey Sr. 356 E. 1500N Chesterton IN 46304 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation: Trader Aggregate Year-to-Date > $ 500.00	04/19/2001	100.00
Mr. James J. Cull 3226 N Clifton Ave Chicago IL 60657-3319 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Self Occupation: Commodities Trader Aggregate Year-to-Date > $ 500.00	04/19/2001	500.00
Mr. J. Andrew Wallace 1660 N. Lasalle St. Apt. 3201-3202 Chicago IL 60614 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	R.J. O'Brien & Associates Occupation: Trader Aggregate Year-to-Date > $ 250.00	04/03/2001	250.00
Celesta S. Jurkovich 4515 Willard Avenue Apt. 1203 South Chevy Chase MD 20815 Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Chicago Board of Trade Occupation: Sr. VP Aggregate Year-to-Date > $ 1000.00	04/05/2001	1000.00

SUBTOTALS of Receipts This Page (Optional)	
TOTALS This Period (last page this line number only)	**1850.00**

SCHEDULE A	ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	4 / 8 FOR LINE NUMBER 17

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Bank of America 231 South LaSalle Street Chicago IL 60697	Interest	04/30/2001	379.79
Receipt For: ☐ Primary ☒ General ☐ Other (specify) :	Occupation		
	Aggregate Year-to-Date > 5 1512.48		

SUBTOTALS of Receipts This Page (Optional)	
TOTALS This Period (last page this line number only)	**379.79**

SCHEDULE B	ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	5 / 8 FOR LINE NUMBER 21B

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Bank of America 231 South LaSalle Street Chicago IL 60697	Bank Charges Bank Charges Disbursement for: ☐ Primary ☐ General ☒ Other (specify) :	04/01/2001	237.12

SUBTOTALS of Disbursements This Page (Optional)	
TOTALS This Period (last page this line number only)	**237.12**

SCHEDULE B	ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	6 / 8 FOR LINE NUMBER 23

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Israel for Congress 301 4th Street, NE Suite 202 Washington DC 20002	Contribution: Steve J. Israel (NY-2-D) (House - NY - 2) Contribution: Steve J. Israel (NY-2-D) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	04/12/2001	500.00
Berry for Congress 227 Massachusetts Avenue, NE Suite 101 Washington DC 20002	Contribution: Marion Berry (AR-1-D) (House - AR - 1) Contribution: Marion Berry (AR-1-D) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	04/12/2001	500.00
Phil Crane Campaign Committee P.O. Box 276 Arlington VA 22202	Contribution: Philip M. Crane (IL-8-R) (House - IL - 8) Contribution: Philip M. Crane (IL-8-R) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	04/12/2001	500.00
Friends of Ray Lahood 4451 Brookfield Corporate Drive Suite 200 Chantilly VA 20151	Contribution: Ray LaHood (IL-1-8-R) (House - IL - 18) Contribution: Ray LaHood (IL-18-R) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	04/12/2001	1000.00
Stabenow for U.S. Senate 236 Massachusetts Avenue, NE Suite 202 Washington DC 20002	Purpose of Disbursement debt retirement debt (Senate - MI - 0) Disbursement for: [ ] Primary [X] General [ ] Other (specify) : General	04/12/2001	1000.00
McConnell Senate Committee '02 400 North Capitol Street, NW Suite 565 Washington DC 20001	Purpose of Disbursement Contribution: Mitch McConnell (KY-R) (Senate - KY - 0) Contribution: Mitch McConnell (KY-R) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	04/12/2001	2000.00
Jerry Moran for Congress 605 Upland Place Alexandria VA 22301	Purpose of Disbursement Contribution: Jerry Moran (KS-1-R) (House - KS - 1) Contribution: Jerry Moran (KS-1-R) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	04/12/2001	500.00
Stenholm for Congress Committee 227 Massachusetts Avenue, NE Suite 101 Washington DC 20002	Purpose of Disbursement Contribution: Charlie W. Stenholm (TX-17 (House - TX - 17) Contribution: Charlie W. Stenholm (TX-17-D) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	04/12/2001	1000.00
Judy Biggert for Congress PO Box 2776 Arlington VA 22202	Purpose of Disbursement Contribution: Judy Biggert (IL-13-R) (House - IL - 13) Contribution: Judy Biggert (IL-13-R) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	04/12/2001	1000.00
SUBTOTALS of Disbursements This Page (Optional)			
TOTALS This Period (last page this line number only)			

SCHEDULE B — ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER 23

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Blagojevich for Congress 110-B East Broad Street Falls Church VA 22046	Contribution: Rod R. Blagojevich (IL-5-D) Contribution: Rod R. Blagojevich (IL-5-D) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	04/12/2001	1000.00
Friends of Dick Durbin 301 Fourth Street, NE Suite 201 Washington DC 20002	Contribution: Richard J. Durbin (IL-D) Contribution: Richard J. Durbin (IL-D) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	04/12/2001	500.00
Friends of Dick Durbin 301 Fourth Street, NE Suite 201 Washington DC 20002	Contribution: Richard J. Durbin (IL-D) Contribution: Richard J. Durbin (IL-D) Disbursement for: [ ] Primary [X] General [ ] Other (specify) : General	04/12/2001	500.00
Jesse Jackson Jr for Congress 421 New Jersey Avenue, SE Washington DC 20003	Contribution: Jesse L. Jackson, Jr. (IL- Contribution: Jesse L. Jackson, Jr. (IL-2-D) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	04/12/2001	1000.00
Gerald C Weller for Congress 4451 Brookfield Corporate Drive Suite 200 Chantilly VA 20151	Contribution: Jerry Weller (IL-11-R) Contribution: Jerry Weller (IL-11-R) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	04/12/2001	1000.00
Baker for Congress Committee Post Office Box 1694 Baton Rouge LA 70821	Contribution: Richard H. Baker (LA-6-R) Contribution: Richard H. Baker (LA-6-R) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	04/12/2001	1000.00
Citizens for Cochran P O Box 7183 Tupelo MS 38801	Contribution: Thad Cochran (MS-R) Contribution: Thad Cochran (MS-R) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	04/12/2001	1000.00
Republican Majority Fund 1615 L Street, NW Suite 1215 Washington DC 20036	Contribution: Republican Majority Fund Contribution: Republican Majority Fund Disbursement for: [ ] Primary [ ] General [X] Other (specify) : Other	04/12/2001	1000.00
Tom Delay Congressional Committee c/o P.O. Box 5804 Arlington VA 22205	Contribution: Tom DeLay (TX-22-R) Contribution: Tom DeLay (TX-22-R) Disbursement for: [X] Primary [ ] General [ ] Other (specify) : Primary	04/12/2001	1000.00

SUBTOTALS of Disbursements This Page (Optional) .....

TOTALS This Period (last page this line number only) **16000.00**

SCHEDULE B	ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	8 / 8 FOR LINE NUMBER 29

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NAME OF COMMITTEE (In Full)
Auction Markets Political Action Committee of the Chicago Board of Trade

Full Name, Mailing Address, and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Illinois Department of Revenue PO Box 19084 Springfield IL 62794-9084	Tax Charges-2000 Tax Charges-2000 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) : Other	04/19/2001	47.30

SUBTOTALS of Disbursements This Page (Optional)	
TOTALS This Period (last page this line number only)	**47.30**