

**FEC
FORM 3X**

**REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **USE FEC MAILING LABEL OR TYPE OR PRINT** Example: If typing, type over the lines

The Caterpillar Employee Political Action Committee

ADDRESS (number and street) 100 N.E. Adams

Check if different than previously reported. (ACC) Peoria IL 61629 1430

2. **FEC IDENTIFICATION NUMBER** CITY STATE ZIP CODE

C00148031 3. IS THIS REPORT X NEW (N) OR AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

Feb 20 (M2) May 20 (M5) Aug 20 (M8) Nov 20 (M11) (Non-Election Year Only)

Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only)

Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (M13)

April 15 Quarterly Report(Q1)

July 15 Quarterly Report(Q2)

October 15 Quarterly Report(Q3)

January 31 Quarterly Report(YE)

July 31 Mid-Year Report(Non-election Year Only) (MY)

Termination Report (TER)

(b) Monthly Report Due On:

(c) 12-Day PRE Election Report for the: Primary (12P) General (12G) Runoff (12R)

Convention (12C) Special (12S)

Election on in the State of

(d) 30-Day Post -Election Report for the: X General (30G) Runoff (30R) Special (30S)

Election on 11 05 2002 in the State of

5. Covering Period 10 17 2002 through 11 25 2002

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Gary Vest

Signature of Treasurer Electronically Filed by Gary Vest Date 12 05 2002

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Revised 1/2001)

Page 2

Write or Type Committee Name
The Caterpillar Employee Political Action Committee

Report Covering the Period: From: ^{Month} 10 ^{Day} 17 ^{Year} 2002 To: ^{Month} 11 ^{Day} 25 ^{Year} 2002

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 ^{Year} 2002		105903.70
(b) Cash on Hand at Beginning of Reporting Period	7911.83	
(c) Total Receipts (from Line 19)	14429.51	137573.64
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	22341.34	243377.34
7. Total Disbursements (from Line 30)	17120.00	238156.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	5221.34	5221.34
9. Debts and Obligations owed TO the committee (itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations owed BY the committee (itemize all on Schedule C and/or Schedule D)	0.00	

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

**DETAILED SUMMARY PAGE
OF RECEIPTS**

FEC Form 3X (Revised 1/2001)

Page 3

Write or Type Committee Name

The Caterpillar Employee Political Action Committee

Report Covering the Period: From: ^W10 ^D17 ^Y2002 To: ^W11 ^D25 ^Y2002

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	4062.50	
(ii) Unitemized	9343.20	
(iii) TOTAL (add Lines 11(a)(i) and (ii)	13405.70	136111.85
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 32, page 4)	13405.70	136111.85
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 36, page 4)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	1000.00	1000.00
17. Other Federal Receipts (Dividends, Interest, etc.)	23.81	461.79
18. Transfers from Nonfederal Account for Joint Activity	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18)	14429.51	137573.64
20. Total Federal Receipts (subtract Line 18 from Line 19)	14429.51	137573.64

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Revised 1/2001)

Page 4

II. DISBURSEMENTS		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:			
(a) Shared Federal/Non-Federal Activity (from Schedule H4)			
(i) Federal Share.....		0.00	0.00
(ii) Non-Federal Share.....		0.00	0.00
(b) Other Federal Operating Expenditures.....		0.00	237.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ▶		0.00	237.00
22. Transfers to Affiliated/Other Party Committees.....		0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....		11000.00	157000.00
24. Independent Expenditure (use Schedule E).....		0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....		0.00	0.00
26. Loan Repayments Made.....		0.00	0.00
27. Loans Made.....		0.00	0.00
28. Refunds of Contributions To:			
(a) Individuals/Persons Other Than Political Committees.....		120.00	620.00
(b) Political Party Committees.....		0.00	0.00
(c) Other Political Committees (such as PACs).....		0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))..... ▶		120.00	620.00
29. Other Disbursements.....		6000.00	80299.00
30. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), and 29)..... ▶		17120.00	238156.00
31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30)..... ▶		17120.00	238156.00
III. Net Contributions/Operating Expenditures			
32. Total Contributions (other than loans) from Line 11(d), page 3).....		13405.70	136111.85
33. Total Contribution Refunds (from Line 28(d)).....		120.00	620.00
34. Net Contributions (other than loans) (subtract Line 33 from Line 32).....		13285.70	135491.85
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))..... ▶		0.00	237.00
36. Offsets to Operating Expenditures (from Line 15, page 3).....		0.00	0.00
37. Net Operating Expenditures (subtract Line 36 from Line 35)..... ▶		0.00	237.00

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 5 / 43	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
Robert HILL

Mailing Address
116 Eldorado Ct

City State Zip Code
Minoaka IL 60447

Date of Receipt
M / D / Y Y Y Y

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Business Resource Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38054279251

B. Full Name (Last, First, Middle Initial)
JIVASKA

Mailing Address
6405 N Live Oak Ct

City State Zip Code
Peoria IL 61615

Date of Receipt
M / D / Y Y Y Y

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. VP Human Serv/ 6 Sigma Champ.

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38055359251

C. Full Name (Last, First, Middle Initial)
Donald INGS

Mailing Address
102 Trellingwood Dr

City State Zip Code
Morrisville NC 27560

Date of Receipt
M / D / Y Y Y Y

Amount of Each Receipt this Period
75.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$75.00 Monthly)
Primary General Other (specify) ▼ 750.00

Transaction ID: PR38055329251

SUBTOTAL of Receipts This Page (optional) ▶ **125.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 6 / 43	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
Mark HANBACK

Date of Receipt
M M / D D / Y Y Y Y

Mailing Address
26421 Cooper Rd.

City State Zip Code
Morton IL 61550

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Product Manager-Undercarriage

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)
Primary General Other (specify) ▼ 375.00

Transaction ID: PR38052969251

B. Full Name (Last, First, Middle Initial)
Allen HAGEN

Date of Receipt
M M / D D / Y Y Y Y

Mailing Address
6D15 N Sherwood Dr

City State Zip Code
Peoria IL 61614

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Federal & Payroll Tax Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38052769251

C. Full Name (Last, First, Middle Initial)
Stephen HYLAND

Date of Receipt
M M / D D / Y Y Y Y

Mailing Address
6721 N Chateau Pl

City State Zip Code
Peoria IL 61615

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Technical Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38055269251

SUBTOTAL of Receipts This Page (optional) ▶ **100.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
James GREEN

Date of Receipt
M / D / Y Y Y Y

Mailing Address
1133 W Brookforest Dr

City State Zip Code
Peoria IL 61615

Amount of Each Receipt this Period
45.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. General Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$45.00 Monthly)
Primary General 450.00
Other (specify) ▼

Transaction ID: PR38052269251

B. Full Name (Last, First, Middle Initial)
Albert BROWN

Date of Receipt
M / D / Y Y Y Y

Mailing Address
1414 W Glen Apt 306

City State Zip Code
Peoria IL 61614

Amount of Each Receipt this Period
35.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Chemical Prdts Mfg Mgr

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$35.00 Monthly)
Primary General 210.00
Other (specify) ▼

Transaction ID: PR38044899251

C. Full Name (Last, First, Middle Initial)
LUI DAVIS

Date of Receipt
M / D / Y Y Y Y

Mailing Address
1005 Highland Ct

City State Zip Code
Metamora IL 61546

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Business Manager II

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General 250.00
Other (specify) ▼

Transaction ID: PR38047929251

SUBTOTAL of Receipts This Page (optional) ▶ **105.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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 13 14 15 16 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Steven DAVIS

Mailing Address

1D215 Bridgeport Way

City

State

Zip Code

Hanna City

IL

61536

Date of Receipt

MM / DD / YYYY

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

25.00

Name of Employer
Caterpillar, Inc.

Occupation

Business Team Manager

Receipt For:

Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

P/R Deduction (\$25.00 Monthly)

Transaction ID: PR38047969251

Full Name (Last, First, Middle Initial)

B. Thomas DEPAUW

Mailing Address

2418 Valleybrook Rd

City

State

Zip Code

Nashville

TN

37215

Date of Receipt

MM / DD / YYYY

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

25.00

Name of Employer
Caterpillar, Inc.

Occupation

Information Systems Mgr

Receipt For:

Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

P/R Deduction (\$25.00 Monthly)

Transaction ID: PR38048389251

Full Name (Last, First, Middle Initial)

C. Donald DRAKEJR

Mailing Address

303 Rustic View

City

State

Zip Code

Decatur

IL

62521

Date of Receipt

MM / DD / YYYY

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

25.00

Name of Employer
Caterpillar, Inc.

Occupation

Operations Manager

Receipt For:

Primary General
Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

P/R Deduction (\$25.00 Monthly)

Transaction ID: PR38048019251

SUBTOTAL of Receipts This Page (optional) ▶

75.00

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 9 / 43	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

A. Brian GAREAU Date of Receipt _____
 Mailing Address _____
 4D14 W Hollow Trace Dr _____
 City _____ State _____ Zip Code _____
 Peoria IL 61615
 Amount of Each Receipt this Period _____
 FEC ID number of contributing federal political committee. _____ 25.00
 Name of Employer _____ Occupation _____
 Caterpillar, Inc. Corporate PMI Manager
 Receipt For: _____ Aggregate Year-to-Date ▼ _____
 Primary General Other (specify) ▼ _____ 250.00
 P/R Deduction (\$25.00 Monthly) _____
 Transaction ID: PR38051199251

B. Ricki BROWN Date of Receipt _____
 Mailing Address _____
 100 N.E. Adams _____
 City _____ State _____ Zip Code _____
 Peoria IL 61629
 Amount of Each Receipt this Period _____
 FEC ID number of contributing federal political committee. _____ 25.00
 Name of Employer _____ Occupation _____
 Caterpillar, Inc. Facility Manager
 Receipt For: _____ Aggregate Year-to-Date ▼ _____
 Primary General Other (specify) ▼ _____ 250.00
 P/R Deduction (\$25.00 Monthly) _____
 Transaction ID: PR38044999251

C. Michael CROMPTON Date of Receipt _____
 Mailing Address _____
 145 Fleur De Lis Drive _____
 City _____ State _____ Zip Code _____
 East Peoria IL 61811
 Amount of Each Receipt this Period _____
 FEC ID number of contributing federal political committee. _____ 25.00
 Name of Employer _____ Occupation _____
 Caterpillar, Inc. General Manager-Logistics
 Receipt For: _____ Aggregate Year-to-Date ▼ _____
 Primary General Other (specify) ▼ _____ 250.00
 P/R Deduction (\$25.00 Monthly) _____
 Transaction ID: PR38047479251

SUBTOTAL of Receipts This Page (optional) ▶ **75.00**
TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. Timothy ELDER Date of Receipt

Mailing Address
918 W Bridgetowne
City State Zip Code
Dunlap IL 61525

FEC ID number of contributing federal political committee. Amount of Each Receipt this Period
40.00

Name of Employer Occupation
Caterpillar, Inc. Director Of Corp Public Affair

Receipt For: Aggregate Year-to-Date P/R Deduction (\$40.00 Monthly)
Primary General Other (specify) ▼ 360.00

Transaction ID: PR38046529251

Full Name (Last, First, Middle Initial)
B. James ENGLISH Date of Receipt

Mailing Address
2001 21st Ave South Apt #212
City State Zip Code
Nashville TN 37212

FEC ID number of contributing federal political committee. Amount of Each Receipt this Period
30.00

Name of Employer Occupation
Caterpillar, Inc. CFSC Executive Vice President

Receipt For: Aggregate Year-to-Date P/R Deduction (\$30.00 Monthly)
Primary General Other (specify) ▼ 300.00

Transaction ID: PR38046739251

Full Name (Last, First, Middle Initial)
C. Kenneth Dennis Date of Receipt

Mailing Address
3197 Camino De Las Piedras
City State Zip Code
El Cajon CA 92019

FEC ID number of contributing federal political committee. Amount of Each Receipt this Period
30.00

Name of Employer Occupation
Caterpillar Inc - Solar Caterpillar Solar Manager

Receipt For: Aggregate Year-to-Date P/R Deduction (\$30.00 Monthly)
Primary General Other (specify) ▼ 300.00

Transaction ID: PR38046329251

SUBTOTAL of Receipts This Page (optional) ▶ **100.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

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FOR LINE NUMBER:
(check only one)

PAGE 11 / 43

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 13 14 15 16 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael DUDA

Mailing Address

71 Fox Creek Ct

City

State

Zip Code

Morton

IL

61550

Date of Receipt

MM / DD / YYYY

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

25.00

Name of Employer
Caterpillar, Inc.

Occupation

Environmentl Health & Sfty Dir

Receipt For:

Primary General

Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

P/R Deduction (\$25.00 Mon-
thly)

Transaction ID: PR38048129251

Full Name (Last, First, Middle Initial)

B. Charles ELWYN

Mailing Address

306 Harbor Pointe Dr

City

State

Zip Code

East Peoria

IL

61611

Date of Receipt

MM / DD / YYYY

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

25.00

Name of Employer
Caterpillar, Inc.

Occupation

Tech Resources/Log Support Mgr

Receipt For:

Primary General

Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

P/R Deduction (\$25.00 Mon-
thly)

Transaction ID: PR38048629251

Full Name (Last, First, Middle Initial)

C. Joe HAEFELIN

Mailing Address

1802 W. Prairie Court

City

State

Zip Code

Dunlap

IL

61525

Date of Receipt

MM / DD / YYYY

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

25.00

Name of Employer
Caterpillar, Inc.

Occupation

Marketing Manager

Receipt For:

Primary General

Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

P/R Deduction (\$25.00 Mon-
thly)

Transaction ID: PR38052719251

SUBTOTAL of Receipts This Page (optional) ▶

75.00

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 12 / 43	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. Francis MCPHEETERS

Date of Receipt
M / D / Y Y Y Y

Mailing Address
5201 N Shag Bark Cir

City State Zip Code
Peoria IL 61614

Amount of Each Receipt this Period
90.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$90.00 Monthly)
Primary General Other (specify) ▼ 900.00

Transaction ID: PR38060479251

Full Name (Last, First, Middle Initial)
B. James MCREYNOLDS

Date of Receipt
M / D / Y Y Y Y

Mailing Address
2107 Crimson Ln

City State Zip Code
Bloomington IL 61704

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. General Manager-Truck Eng Div

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38060489251

Full Name (Last, First, Middle Initial)
C. Al BAHAJ

Date of Receipt
M / D / Y Y Y Y

Mailing Address
Caterpillar Inc 100 N.e. Adams St

City State Zip Code
Peoria IL 61629

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$30.00 Monthly)
Primary General Other (specify) ▼ 300.00

Transaction ID: PR38042649251

SUBTOTAL of Receipts This Page (optional) ▶ **145.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 13 / 43	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. John BALLOU

Date of Receipt
M / D / Y Y Y Y

Mailing Address
2651 Newton Ave

City State Zip Code
Naperville IL 60564

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Operations Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38042819251

Full Name (Last, First, Middle Initial)
B. Sidney BANWART

Date of Receipt
M / D / Y Y Y Y

Mailing Address
12818 Georgetowne Rd

City State Zip Code
Dunlap IL 61525

Amount of Each Receipt this Period
100.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$100.00 Monthly)
Primary General Other (specify) ▼ 1000.00

Transaction ID: PR38042829251

Full Name (Last, First, Middle Initial)
C. Craig BARLEY

Date of Receipt
M / D / Y Y Y Y

Mailing Address
519 Stoneway

City State Zip Code
Morton IL 61550

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Client Support Services Mgr

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38042879251

SUBTOTAL of Receipts This Page (optional) ▶ **150.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 14 / 43

11a 11b 11c 12
 13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
James BEARD

Date of Receipt
M / D / Y Y Y Y

Mailing Address
133 Prospect Hill

City State Zip Code
Nashville TN 37205

Amount of Each Receipt this Period
82.50

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$82.50 Monthly)
Primary General Other (specify) ▼ 825.00

Transaction ID: PR3804328251

B. Full Name (Last, First, Middle Initial)
Daniel BINZ

Date of Receipt
M / D / Y Y Y Y

Mailing Address
8D Crestview Dr

City State Zip Code
Oswego IL 60543

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Forest Products Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$30.00 Monthly)
Primary General Other (specify) ▼ 300.00

Transaction ID: PR38043819251

C. Full Name (Last, First, Middle Initial)
Kent ADAMS

Date of Receipt
M / D / Y Y Y Y

Mailing Address
9196 Brushboro Drive

City State Zip Code
Brentwood TN 37027

Amount of Each Receipt this Period
35.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Corp Support Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$35.00 Monthly)
Primary General Other (specify) ▼ 350.00

Transaction ID: PR38041789251

SUBTOTAL of Receipts This Page (optional) ▶ **127.50**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 15 / 43	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. Gene ANDERS

Date of Receipt
M / D / Y Y Y Y

Mailing Address
7302 N Regent Pl

City State Zip Code
Peoria IL 61614

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Engineering Manager-3

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38042109251

Full Name (Last, First, Middle Initial)
B. Richard BENSON

Date of Receipt
M / D / Y Y Y Y

Mailing Address
1013 Hillcrest Dr

City State Zip Code
Washington IL 61571

Amount of Each Receipt this Period
65.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$65.00 Monthly)
Primary General Other (specify) ▼ 650.00

Transaction ID: PR38043549251

Full Name (Last, First, Middle Initial)
C. William ATEN

Date of Receipt
M / D / Y Y Y Y

Mailing Address
4121 W Annbriar Dr

City State Zip Code
Peoria IL 61615

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Product Qty & Reliability Mgr

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38042489251

SUBTOTAL of Receipts This Page (optional) ▶ **115.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 16 / 43	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
Lois BOAZ

Date of Receipt
M / D / Y Y Y Y

Mailing Address
911 W Butterfield Ct

City State Zip Code
Peoria IL 61614

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Human Resources Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)
Primary General Other (specify) ▼ 300.00

Transaction ID: PR38044158251

B. Full Name (Last, First, Middle Initial)
David ESBECK

Date of Receipt
M / D / Y Y Y Y

Mailing Address
14083 Caminito Vistana

City State Zip Code
San Diego CA 92130

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Vice President, Engineering

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)
Primary General Other (specify) ▼ 500.00

Transaction ID: PR38048859251

C. Full Name (Last, First, Middle Initial)
Thomas GALES

Date of Receipt
M / D / Y Y Y Y

Mailing Address
9587 SW 67th Court

City State Zip Code
Pinecrest FL 33156

Amount of Each Receipt this Period
90.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$90.00 Monthly)
Primary General Other (specify) ▼ 900.00

Transaction ID: PR38051089251

SUBTOTAL of Receipts This Page (optional) ▶ **190.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 17 / 43	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
Robin BERAN

Date of Receipt
M / D / Y Y Y Y

Mailing Address
3250 W Westport Rd

City State Zip Code
Peoria IL 61615

Amount of Each Receipt this Period
45.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Director Corporate Tax

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$45.00 Monthly)
Primary General Other (specify) ▼ 450.00

Transaction ID: PR38043569251

B. Full Name (Last, First, Middle Initial)
Lawrence Campbell

Date of Receipt
M / D / Y Y Y Y

Mailing Address
1843 Bedford Place

City State Zip Code
Escondido CA 92029

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Sr Atty

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)
Primary General Other (specify) ▼ 275.00

Transaction ID: PR38045749251

C. Full Name (Last, First, Middle Initial)
Christopher GLYNN

Date of Receipt
M / D / Y Y Y Y

Mailing Address
63 St Marks Circle

City State Zip Code
Morton IL 61550

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Director Of Corp Human Rel

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)
Primary General Other (specify) ▼ 460.00

Transaction ID: PR38051749251

SUBTOTAL of Receipts This Page (optional) ▶ **145.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 18 / 43	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
Edward GOODRICH

Mailing Address
6224 Hillsboro Road

City State Zip Code
Nashville TN 37215

Date of Receipt
M / D / Y Y Y Y

Amount of Each Receipt this Period
35.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Customer Business Center Mgr

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$35.00 Monthly)
Primary General Other (specify) ▼ 350.00

Transaction ID: PR38051949251

B. Full Name (Last, First, Middle Initial)
Ms. Rita CASTLE

Mailing Address
308 Oakwood Circle

City State Zip Code
Washington IL 61571

Date of Receipt
M / D / Y Y Y Y

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Issues Analysis Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38046139251

C. Full Name (Last, First, Middle Initial)
Kevin COLGAN

Mailing Address
12822 Georgetowne Rd

City State Zip Code
Dunlap IL 61525

Date of Receipt
M / D / Y Y Y Y

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Corporate Treasurer

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38046839251

SUBTOTAL of Receipts This Page (optional) ▶ **85.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 19 / 43	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
				<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. Douglas CREW

Date of Receipt
M / D / Y Y Y Y

Mailing Address
900 W Bridgetowne Ct

City State Zip Code
Dunlap IL 61525

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Governmental Affairs Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)
Primary General Other (specify) ▼ 400.00

Transaction ID: PR38047429251

Full Name (Last, First, Middle Initial)
B. Brad CHAMBERS

Date of Receipt
M / D / Y Y Y Y

Mailing Address
1B Crystal Court

City State Zip Code
Morton IL 61550

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Business Resource Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)
Primary General Other (specify) ▼ 350.00

Transaction ID: PR38046239251

Full Name (Last, First, Middle Initial)
C. Erik DROCHNER

Date of Receipt
M / D / Y Y Y Y

Mailing Address
640 Brittany Ct

City State Zip Code
Mt Zion IL 62549

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Product Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)
Primary General Other (specify) ▼ 500.00

Transaction ID: PR38048059251

SUBTOTAL of Receipts This Page (optional) ▶ **140.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 20 / 43	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
Stephen LARSON

Date of Receipt
M / D / Y Y Y Y

Mailing Address
4B19 Weaver Ridge Blvd

City State Zip Code
Peoria IL 61615

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Regional Mgr

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$30.00 Monthly)
Primary General Other (specify) ▼ 300.00

Transaction ID: PR38057879251

B. Full Name (Last, First, Middle Initial)
Richard LAVIN

Date of Receipt
M / D / Y Y Y Y

Mailing Address
4716 N Knoxville Av

City State Zip Code
Peoria IL 61614

Amount of Each Receipt this Period
80.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$80.00 Monthly)
Primary General Other (specify) ▼ 700.00

Transaction ID: PR38057999251

C. Full Name (Last, First, Middle Initial)
Paul LEBER

Date of Receipt
M / D / Y Y Y Y

Mailing Address
2105 Foxtail Rd

City State Zip Code
Bloomington IL 61704

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Marketing/Customer Svcs Mgr

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38058079251

SUBTOTAL of Receipts This Page (optional) ▶ **145.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 21 / 43	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
				<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
Edwin MATHISON

Date of Receipt
M / D / Y Y Y Y

Mailing Address
295 Lockmeade Way

City State Zip Code
Fayetteville GA 30215

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. General Facilities Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38056629251

B. Full Name (Last, First, Middle Initial)
William MAYO

Date of Receipt
M / D / Y Y Y Y

Mailing Address
400 E Jefferson St

City State Zip Code
Morton IL 61550

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Product Manager-Large TTT

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)
Primary General Other (specify) ▼ 375.00

Transaction ID: PR38056789251

C. Full Name (Last, First, Middle Initial)
Mark MCDANIEL

Date of Receipt
M / D / Y Y Y Y

Mailing Address
100 N.E. Adams

City State Zip Code
Peoria IL 61629

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Director General

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)
Primary General Other (specify) ▼ 400.00

Transaction ID: PR38060089251

SUBTOTAL of Receipts This Page (optional) ▶ **115.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 22 / 43	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. Thomas MCDANIEL

Date of Receipt
M / D / Y Y Y Y

Mailing Address
921 James Ct

City State Zip Code
Forsyth IL 62535

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Business Resource Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)
Primary General Other (specify) ▼ 400.00

Transaction ID: PR38060099251

Full Name (Last, First, Middle Initial)
B. Dalee MESSINGER

Date of Receipt
M / D / Y Y Y Y

Mailing Address
206 Orleans Dr

City State Zip Code
Bloomington IL 61701

Amount of Each Receipt this Period
35.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Fuel Systems Product Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$35.00 Monthly)
Primary General Other (specify) ▼ 350.00

Transaction ID: PR38060829251

Full Name (Last, First, Middle Initial)
C. Robert MEYER

Date of Receipt
M / D / Y Y Y Y

Mailing Address
408 Elizabeth Pointe Dr

City State Zip Code
Metamora IL 61548

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Sr Engineering Tech Team Leadr

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38060849251

SUBTOTAL of Receipts This Page (optional) ▶ **100.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 23 / 43	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. Lary LORIMOR

Date of Receipt
M / D / Y Y Y Y

Mailing Address
23728 Douglas Dr

City State Zip Code
Plainfield IL 60544

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Hydraulic Sys/Qlty Support Mgr

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38058629251

Full Name (Last, First, Middle Initial)
B. William MCCOWAN

Date of Receipt
M / D / Y Y Y Y

Mailing Address
5116 N Rathmere Rd

City State Zip Code
Peoria IL 61615

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Supplier Management Director

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38058699251

Full Name (Last, First, Middle Initial)
C. Daniel MURPHY

Date of Receipt
M / D / Y Y Y Y

Mailing Address
5804 N Prospect Rd

City State Zip Code
Peoria IL 61614

Amount of Each Receipt this Period
90.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$90.00 Monthly)
Primary General Other (specify) ▼ 900.00

Transaction ID: PR38062049251

SUBTOTAL of Receipts This Page (optional) ▶ **140.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 24 / 43	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
				<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
Douglas OBERHELMAN

Date of Receipt
M / D / Y Y Y Y

Mailing Address
6005 N Kickapoo Edward

City State Zip Code
Edwards IL 61528

Amount of Each Receipt this Period
75.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Group President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$75.00 Monthly)
Primary General Other (specify) ▼ 750.00

Transaction ID: PR38062969251

B. Full Name (Last, First, Middle Initial)
James OWENS

Date of Receipt
M / D / Y Y Y Y

Mailing Address
5504 N Prospect Rd

City State Zip Code
Peoria Heights IL 61616

Amount of Each Receipt this Period
100.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Group President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$100.00 Monthly)
Primary General Other (specify) ▼ 1000.00

Transaction ID: PR38063369251

C. Full Name (Last, First, Middle Initial)
Gerard PALMER

Date of Receipt
M / D / Y Y Y Y

Mailing Address
2415 Fawn Lake Cir

City State Zip Code
Naperville IL 60564

Amount of Each Receipt this Period
85.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$85.00 Monthly)
Primary General Other (specify) ▼ 730.00

Transaction ID: PR38063429251

SUBTOTAL of Receipts This Page (optional) ▶ **260.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 25 / 43	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
Thomas PELGER

Date of Receipt
M / D / Y Y Y Y

Mailing Address
100 N.E. Adams

City State Zip Code
Peoria IL 61629

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Marketing Processes Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38063689251

B. Full Name (Last, First, Middle Initial)
Alan RASSI

Date of Receipt
M / D / Y Y Y Y

Mailing Address
5856 N Elm Ln

City State Zip Code
Peoria IL 61614

Amount of Each Receipt this Period
100.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$100.00 Monthly)
Primary General Other (specify) ▼ 1000.00

Transaction ID: PR38064799251

C. Full Name (Last, First, Middle Initial)
James REEB

Date of Receipt
M / D / Y Y Y Y

Mailing Address
605 Bethel Dr

City State Zip Code
Joliet IL 60435

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Operations Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)
Primary General Other (specify) ▼ 500.00

Transaction ID: PR38064579251

SUBTOTAL of Receipts This Page (optional) ▶ **175.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 26 / 43				
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16	<input type="checkbox"/> 17		

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. Craig RIEDIGER

Mailing Address
100 N.E. Adams

City State Zip Code
Peoria IL 61629

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Pending

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$45.00 Monthly)
Primary General Other (specify) ▼ 450.00

Amount of Each Receipt this Period
45.00

Transaction ID: PR38065069251

Full Name (Last, First, Middle Initial)
B. Marvin ROSSER

Mailing Address
310 Grabner Road

City State Zip Code
Metamora IL 61548

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Operations Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)
Primary General Other (specify) ▼ 500.00

Amount of Each Receipt this Period
50.00

Transaction ID: PR38065089251

Full Name (Last, First, Middle Initial)
C. John RUTHMAN, MD

Mailing Address
326 W Eagle Nest Rd

City State Zip Code
Dunlap IL 61525

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Plant Medical Director

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)
Primary General Other (specify) ▼ 300.00

Amount of Each Receipt this Period
40.00

Transaction ID: PR38066189251

SUBTOTAL of Receipts This Page (optional) ▶ **135.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 27 / 43	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
Thomas RUXLOW

Date of Receipt
M / D / Y Y Y Y

Mailing Address
212 W Woodridge Dr

City State Zip Code
Dunlap IL 61525

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Director, Corp Security Svcs

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)
Primary General Other (specify) ▼ 400.00

Transaction ID: PR38066199251

B. Full Name (Last, First, Middle Initial)
Bruce SCHUER

Date of Receipt
M / D / Y Y Y Y

Mailing Address
4928 Daermeadow Dr

City State Zip Code
Peoria IL 61615

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. General Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)
Primary General Other (specify) ▼ 400.00

Transaction ID: PR38067059251

C. Full Name (Last, First, Middle Initial)
Cecilia SCOTT-STANFEL

Date of Receipt
M / D / Y Y Y Y

Mailing Address
37 Spinnaker Way

City State Zip Code
Coronado Cays CA 92118

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Chief Financial Officer

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)
Primary General Other (specify) ▼ 350.00

Transaction ID: PR38067139251

SUBTOTAL of Receipts This Page (optional) ▶ **120.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 28 / 43	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
Gerald SHAHEEN

Mailing Address
119 E Pawnee Ct

City State Zip Code
Peoria IL 61615

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Group President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$63.00 Monthly)
Primary General Other (specify) ▼ 630.00

Transaction ID: PR38067419251

Date of Receipt
M / D / Y Y Y Y

Amount of Each Receipt this Period
63.00

B. Full Name (Last, First, Middle Initial)
William SMITH

Mailing Address
12314 N Wood Ridge Ct

City State Zip Code
Dunlap IL 61525

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Commerical Systems & Svcs Mgr

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$30.00 Monthly)
Primary General Other (specify) ▼ 300.00

Transaction ID: PR38068449251

Date of Receipt
M / D / Y Y Y Y

Amount of Each Receipt this Period
30.00

C. Full Name (Last, First, Middle Initial)
Mark THOMPSON

Mailing Address
10 Diamond Pt

City State Zip Code
Morton IL 61550

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Business Resource Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)
Primary General Other (specify) ▼ 320.00

Transaction ID: PR38070379251

Date of Receipt
M / D / Y Y Y Y

Amount of Each Receipt this Period
50.00

SUBTOTAL of Receipts This Page (optional) ▶ **143.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 29 / 43	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. Richard THOMPSON

Date of Receipt
M M / D D / Y Y Y Y

Mailing Address
2311 E Tanglewood Ln

City State Zip Code
Peoria IL 61614

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Group President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)
Primary General Other (specify) ▼ 400.00

Transaction ID: PR38070389251

Full Name (Last, First, Middle Initial)
B. Stephen Turnbull

Date of Receipt
M M / D D / Y Y Y Y

Mailing Address
5135 Ellsworth St.

City State Zip Code
San Diego CA 92110

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar Inc. - Solar Caterpillar Solar Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)
Primary General Other (specify) ▼ 400.00

Transaction ID: PR38070749251

Full Name (Last, First, Middle Initial)
C. Michael THURMAN

Date of Receipt
M M / D D / Y Y Y Y

Mailing Address
Rt 1 Box 53a

City State Zip Code
Maquon IL 61458

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Technical Services Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38070479251

SUBTOTAL of Receipts This Page (optional) ▶ **105.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 30 / 43	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
				<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
Donald LMPLEBYIII, III

Date of Receipt
M / D / Y Y Y Y

Mailing Address
5971 Germaine Ln

City State Zip Code
La Jolla CA 92037

Amount of Each Receipt this Period
22.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Vice President, Customer Svcs

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$22.00 Monthly)
Primary General Other (specify) ▼ 220.00

Transaction ID: PR38070929251

B. Full Name (Last, First, Middle Initial)
Gary VEST

Date of Receipt
M / D / Y Y Y Y

Mailing Address
27545 Lakeland Rd

City State Zip Code
Morton IL 61550

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Western Hemisphere Tax Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38071299251

C. Full Name (Last, First, Middle Initial)
Thomas WALTERS

Date of Receipt
M / D / Y Y Y Y

Mailing Address
331 Dover Dr

City State Zip Code
Chatham IL 62829

Amount of Each Receipt this Period
30.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Governmental Affairs Rep

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$30.00 Monthly)
Primary General Other (specify) ▼ 280.00

Transaction ID: PR38071679251

SUBTOTAL of Receipts This Page (optional) ▶ **77.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 31 / 43	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. Gary STAMPANATO

Date of Receipt
M / D / Y Y Y Y

Mailing Address
8D1 Deerwood Ridge

City State Zip Code
Lafayette IN 47905

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. General Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)
Primary General Other (specify) ▼ 400.00

Transaction ID: PR3806889251

Full Name (Last, First, Middle Initial)
B. Sally STILES

Date of Receipt
M / D / Y Y Y Y

Mailing Address
212 Harbor Pointe Dr

City State Zip Code
East Peoria IL 61611

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Asia-Pacific Tax Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38068239251

Full Name (Last, First, Middle Initial)
C. Gary STROUP

Date of Receipt
M / D / Y Y Y Y

Mailing Address
12107 Travertine Ct

City State Zip Code
Poway CA 92064

Amount of Each Receipt this Period
80.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$80.00 Monthly)
Primary General Other (specify) ▼ 800.00

Transaction ID: PR38069519251

SUBTOTAL of Receipts This Page (optional) ▶ **145.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 32 / 43	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
				<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. William SPRINGER

Date of Receipt
M / D / Y Y Y Y

Mailing Address
420 Ravinswood Rd

City State Zip Code
Peoria IL 61615

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)
Primary General Other (specify) ▼ 400.00

Transaction ID: PR38068758251

Full Name (Last, First, Middle Initial)
B. Paul WROBLEWSKI

Date of Receipt
M / D / Y Y Y Y

Mailing Address
175 Streamview Ct.

City State Zip Code
Fayetteville GA 30215

Amount of Each Receipt this Period
45.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Global Tech Services Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$45.00 Monthly)
Primary General Other (specify) ▼ 450.00

Transaction ID: PR38073138251

Full Name (Last, First, Middle Initial)
C. Steven WUNNING

Date of Receipt
M / D / Y Y Y Y

Mailing Address
471 E High Point Drive

City State Zip Code
Peoria IL 61614

Amount of Each Receipt this Period
85.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$85.00 Monthly)
Primary General Other (specify) ▼ 850.00

Transaction ID: PR38073148251

SUBTOTAL of Receipts This Page (optional) ▶ **170.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 33 / 43

11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
Dorell VIERLING

Mailing Address
42 Waldheim Rd

City State Zip Code
Morton IL 61550

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Logistics Information Svcs Mgr

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)
Primary General Other (specify) ▼ 500.00

Date of Receipt
M / D / Y Y Y Y

Amount of Each Receipt this Period
50.00

Transaction ID: PR38071319251

B. Full Name (Last, First, Middle Initial)
William WENDLE

Mailing Address
6862 N Fox Point Dr

City State Zip Code
Peoria IL 61614

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Business Resource Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$30.00 Monthly)
Primary General Other (specify) ▼ 300.00

Date of Receipt
M / D / Y Y Y Y

Amount of Each Receipt this Period
30.00

Transaction ID: PR38072089251

C. Full Name (Last, First, Middle Initial)
Kenneth ZIKA

Mailing Address
12202 Wake Robin Way

City State Zip Code
Dunlap IL 61525

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Corporate Controller

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)
Primary General Other (specify) ▼ 500.00

Date of Receipt
M / D / Y Y Y Y

Amount of Each Receipt this Period
50.00

Transaction ID: PR38073409251

SUBTOTAL of Receipts This Page (optional) ▶ **130.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 34 / 43	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
				<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. Frank WEINBERG

Date of Receipt
M / D / Y Y Y Y

Mailing Address
5626 West Grande Circle

City State Zip Code
Peoria IL 61615

Amount of Each Receipt this Period
25.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Defense & Federal Products Mgr

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$25.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR38071979251

Full Name (Last, First, Middle Initial)
B. Sheril WEST

Date of Receipt
M / D / Y Y Y Y

Mailing Address
1 D Kara Ct

City State Zip Code
Washington IL 61571

Amount of Each Receipt this Period
80.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$80.00 Monthly)
Primary General Other (specify) ▼ 800.00

Transaction ID: PR38072139251

Full Name (Last, First, Middle Initial)
C. Gregory FOLLEY

Date of Receipt
M / D / Y Y Y Y

Mailing Address
6526 N St Marys Rd

City State Zip Code
Peoria IL 61614

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Director of Comp + Benefits

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)
Primary General Other (specify) ▼ 320.00

Transaction ID: PR48971679251

SUBTOTAL of Receipts This Page (optional) ▶ **135.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 35 / 43	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. Stephen GOLDMAN

Date of Receipt
M / D / Y Y Y Y

Mailing Address
4323 Longmesadow Ct.

City State Zip Code
Peoria IL 61615

Amount of Each Receipt this Period
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Medical Director

Receipt For: Aggregate Year-to-Date P/R Deduction (\$40.00 Monthly)
Primary General Other (specify) ▼ 240.00

Transaction ID: PR49885658251

Full Name (Last, First, Middle Initial)
B. Paul HODGINS

Date of Receipt
M / D / Y Y Y Y

Mailing Address
3woodhaven Court

City State Zip Code
Metamora IL 61548

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. Assistant Medical Director

Receipt For: Aggregate Year-to-Date P/R Deduction (\$50.00 Monthly)
Primary General Other (specify) ▼ 300.00

Transaction ID: PR49912349251

Full Name (Last, First, Middle Initial)
C. Craig JOHNSON

Date of Receipt
M / D / Y Y Y Y

Mailing Address
780 N Granados Av

City State Zip Code
Solana Beach CA 92075

Amount of Each Receipt this Period
50.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Caterpillar, Inc. General Counsel

Receipt For: Aggregate Year-to-Date P/R Deduction (\$50.00 Monthly)
Primary General Other (specify) ▼ 250.00

Transaction ID: PR49927519251

SUBTOTAL of Receipts This Page (optional) ▶ **140.00**

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)				PAGE 36 / 43	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. Michael TAYLOR

Date of Receipt
M M / D D / Y Y Y Y

Mailing Address
120 Maple Rdg Dr

City State Zip Code
Morton IL 61550

FEC ID number of contributing federal political committee. Amount of Each Receipt this Period
75.00

Name of Employer Caterpillar, Inc.	Occupation Assistant Medical Director
---------------------------------------	--

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$75.00 Monthly)
 Primary General Other (specify) ▼ 450.00

Transaction ID: PR50077609251

B.

C.

SUBTOTAL of Receipts This Page (optional)	▶	75.00
TOTAL This Period (last page this line number only)	▶	4062.50

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)				PAGE 37 / 43
	<input type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input checked="" type="checkbox"/> 12 16	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. Forbes for Congress

Date of Receipt
N M / D E / Y Y Y Y
11 / 07 / 2002

Mailing Address
1128 N. Battlefield Blvd

City State Zip Code
Chesapeake VA 23328

Amount of Each Receipt this Period
1000.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation

Receipt For: 2002 Aggregate Year-to-Date ▼ Refund
Primary X General 1000.00
Other (specify) ▼

Transaction ID: 7404830

B.

C.

SUBTOTAL of Receipts This Page (optional)	▶	1000.00
TOTAL This Period (last page this line number only)	▶	1000.00

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)				PAGE 38 / 43
	<input type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16	<input checked="" type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)
A. First Bank

Mailing Address
240 SW Jefferson St.

City State Zip Code
Peoria IL 61602

Date of Receipt
M M / D D / Y Y Y Y
10 / 31 / 2002

FEC ID number of contributing federal political committee.

Name of Employer Occupation

Amount of Each Receipt this Period
23.81

Receipt For: Aggregate Year-to-Date ▼
Primary General
Other (specify) ▼ 461.79

Transaction ID: 7365856

B.

C.

SUBTOTAL of Receipts This Page (optional)	▶	23.81
TOTAL This Period (last page this line number only)	▶	23.81

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25
<input type="checkbox"/> 26	<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c
<input type="checkbox"/> 29				

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial) A. Manzullo for Congress		Date of Disbursement 10 / 29 / 2002	
Mailing Address P.O. Box 7763 City State Zip Code Rockford IL 61125		Amount of Each Disbursement this Period 1000.00	
Purpose of Disbursement Contribution		011 Category/ Type	
Candidate Name DON MANZULLO		Contribution	
Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2002 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General Other (specify) ▼		
State: IL District: 16	Transaction ID: 7292419		

Full Name (Last, First, Middle Initial) B. Alaskans for Don Young		Date of Disbursement 10 / 29 / 2002	
Mailing Address 801 W. Fireweed #102 City State Zip Code Anchorage AK 99503		Amount of Each Disbursement this Period 1000.00	
Purpose of Disbursement Contribution		011 Category/ Type	
Candidate Name Don Young		Contribution	
Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2002 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General Other (specify) ▼		
State: AK District: 0	Transaction ID: 7292558		

Full Name (Last, First, Middle Initial) C. McCrery for Congress Committee		Date of Disbursement 10 / 29 / 2002	
Mailing Address P.O. Box 4650 City State Zip Code Shreveport LA 71134-0650		Amount of Each Disbursement this Period 1000.00	
Purpose of Disbursement Contribution		011 Category/ Type	
Candidate Name Jim McCrery		Contribution	
Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2002 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General Other (specify) ▼		
State: LA District: 6	Transaction ID: 7294073		

SUBTOTAL of Disbursements This Page (optional) ▶	3000.00
TOTAL This Period (last page this line number only) ▶	

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25
<input type="checkbox"/> 26	<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c
<input type="checkbox"/> 29				

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial) A. Anne Northup for Congress		Date of Disbursement 10 / 29 / 2002	
Mailing Address P. O. Box 7313 City State Zip Code Louisville KY 40257		Amount of Each Disbursement this Period 1000.00	
Purpose of Disbursement Contribution		011 Category/ Type	
Candidate Name Anne Northup		Contribution	
Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2002 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General Other (specify) ▼		
State: KY District: 3	Transaction ID: 7292537		

Full Name (Last, First, Middle Initial) B. Radanovich For Congress		Date of Disbursement 10 / 29 / 2002	
Mailing Address 4451 Brookfield Corporate Drive Suite 200 City State Zip Code Chantilly VA 20151		Amount of Each Disbursement this Period 1000.00	
Purpose of Disbursement Contribution		011 Category/ Type	
Candidate Name George P. Radanovich		Contribution	
Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2002 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General Other (specify) ▼		
State: VA District: 19	Transaction ID: 7292555		

Full Name (Last, First, Middle Initial) C. Darrell Issa for U.S. Congress		Date of Disbursement 10 / 29 / 2002	
Mailing Address P O Box 780 City State Zip Code Vista CA 92085-0780		Amount of Each Disbursement this Period 1000.00	
Purpose of Disbursement Contribution		011 Category/ Type	
Candidate Name Darrell Issa		Contribution	
Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2002 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General Other (specify) ▼		
State: CA District: 48	Transaction ID: 7292414		

SUBTOTAL of Disbursements This Page (optional) ▶	3000.00
TOTAL This Period (last page this line number only) ▶	

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25
<input type="checkbox"/> 26	<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c
<input type="checkbox"/> 29				

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial) A. Kennedy 2002		Date of Disbursement 10 / 29 / 2002	
Mailing Address P O Box 1000 City: Wabertown State: MN Zip Code: 55388		Amount of Each Disbursement this Period 1000.00	
Purpose of Disbursement Contribution		011 Category/ Type	
Candidate Name Mark Kennedy		Contribution	
Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2002 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General Other (specify) ▼	Transaction ID: 7292418	
State: MN District: 2			

Full Name (Last, First, Middle Initial) B. Brose McVey For Congress		Date of Disbursement 10 / 29 / 2002	
Mailing Address PO Box 30555 Box 82084 City: Indianapolis State: IN Zip Code: 46290		Amount of Each Disbursement this Period 1000.00	
Purpose of Disbursement Contribution		011 Category/ Type	
Candidate Name Brose McVey		Contribution	
Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2002 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General Other (specify) ▼	Transaction ID: 7292421	
State: IN District: 7			

Full Name (Last, First, Middle Initial) C. Bob Beauprez For Congress Committee		Date of Disbursement 10 / 29 / 2002	
Mailing Address 14142 Denver West Parkway Ste. 27D City: Lakewood State: CO Zip Code: 80401		Amount of Each Disbursement this Period 1000.00	
Purpose of Disbursement Contribution		011 Category/ Type	
Candidate Name Mr. Bob Beauprez		Contribution	
Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2002 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General Other (specify) ▼	Transaction ID: 7292413	
State: CO District: 7			

SUBTOTAL of Disbursements This Page (optional) ▶	3000.00
TOTAL This Period (last page this line number only) ▶	

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25
<input type="checkbox"/> 26	<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c
<input type="checkbox"/> 29				

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial) A. Kline For Congress		Date of Disbursement 10 / 29 / 2002	
Mailing Address 14101 Southcross Dr. W. Suite 175 City State Zip Code Burnsville MN 55337		Amount of Each Disbursement this Period 1000.00	
Purpose of Disbursement Contribution		011 Category/ Type	
Candidate Name Mr. John Kline		Contribution	
Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2002 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General Other (specify) ▼		
State: MN District: 2	Transaction ID: 7292417		

Full Name (Last, First, Middle Initial) B. Forbes for Congress		Date of Disbursement 11 / 04 / 2002	
Mailing Address 1128 N. Battlefield Blvd City State Zip Code Chesapeake VA 23328		Amount of Each Disbursement this Period 1000.00	
Purpose of Disbursement Contribution		011 Category/ Type	
Candidate Name Randy Forbes		Contribution	
Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2002 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General Other (specify) ▼		
State: VA District: 4	Transaction ID: 7314951		

C.

SUBTOTAL of Disbursements This Page (optional)	2000.00
TOTAL This Period (last page this line number only)	11000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25
<input type="checkbox"/> 26	<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c
				<input checked="" type="checkbox"/> 29

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NAME OF COMMITTEE (In Full)
The Caterpillar Employee Political Action Committee

A. Full Name (Last, First, Middle Initial) James Pata Philip Campaign Fund		Date of Disbursement 10 / 29 / 2002	
Mailing Address P.O. Box 641455 City Chicago State IL Zip Code 60664		Amount of Each Disbursement this Period 4500.00	
Purpose of Disbursement James Philip, STATE SENATE IL		Category/ Type 011	
Candidate Name James Pata Philip		James Philip, STATE SENATE IL	
Office Sought: House <input checked="" type="checkbox"/> Senate President	Disbursement For: 2002 Primary X General Other (specify) ▼	Transaction ID: 7292412	
State: IL District: 23			

B. Full Name (Last, First, Middle Initial) Citizens for Parke		Date of Disbursement 10 / 29 / 2002	
Mailing Address P.O. Box 95668 City Hoffman Estates State IL Zip Code 60196		Amount of Each Disbursement this Period 500.00	
Purpose of Disbursement Terry R. Parke, STATE HOUSE 53rd IL		Category/ Type 011	
Candidate Name Terry R. Parke		Terry R. Parke, STATE HOU-SE 53rd IL	
Office Sought: <input checked="" type="checkbox"/> House Senate President	Disbursement For: 2002 Primary X General Other (specify) ▼	Transaction ID: 7292409	
State: IL District: 53			

C. Full Name (Last, First, Middle Initial) Friends of Roger Byrne		Date of Disbursement 10 / 29 / 2002	
Mailing Address P.O. Box 6188 City Vernon Hills State IL Zip Code 60061		Amount of Each Disbursement this Period 1000.00	
Purpose of Disbursement Roger Byrne, STATE HOUSE 59th IL		Category/ Type 011	
Candidate Name Roger Byrne		Roger Byrne, STATE HOUSE 59th IL	
Office Sought: <input checked="" type="checkbox"/> House Senate President	Disbursement For: 2002 Primary X General Other (specify) ▼	Transaction ID: 7292076	
State: IL District: 69			

SUBTOTAL of Disbursements This Page (optional)	6000.00
TOTAL This Period (last page this line number only)	6000.00