



**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

**Securities Industry and Financial Markets Association Political Action Committee**

Report Covering the Period: From:  /  /  To:  /  /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2014"/>	<input type="text" value="249148.88"/>	<input type="text" value="249148.88"/>
(b) Cash on Hand at Beginning of Reporting Period.....	<input type="text" value="165499.45"/>	
(c) Total Receipts (from Line 19) .....	<input type="text" value="53319.84"/>	<input type="text" value="94569.86"/>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<input type="text" value="218819.29"/>	<input type="text" value="343718.74"/>
7. Total Disbursements (from Line 31).....	<input type="text" value="47203.50"/>	<input type="text" value="172102.95"/>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<input type="text" value="171615.79"/>	<input type="text" value="171615.79"/>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<input type="text" value="0.00"/>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<input type="text" value="0.00"/>	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

**DETAILED SUMMARY PAGE**  
of Receipts

Write or Type Committee Name

**Securities Industry and Financial Markets Association Political Action Committee**

Report Covering the Period: From:  /  /  To:  /  /

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	41742.56	69223.43
(ii) Unitemized .....	1373.78	4243.48
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	43116.34	73466.91
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	10000.00	20000.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....	53116.34	93466.91
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	203.50	1102.95
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶	53319.84	94569.86
20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶	53319.84	94569.86

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	203.50	1102.95
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	203.50	1102.95
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	47000.00	171000.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements .....	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	47203.50	172102.95
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	47203.50	172102.95

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	53116.34	93466.91
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	53116.34	93466.91
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ..... ▶	203.50	1102.95
37. Offsets to Operating Expenditures (from Line 15, page 3).....	203.50	1102.95
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....▶	0.00	0.00

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 6 OF 31
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Mr Jerome F Lombard Jr**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 34 Villa Drive  
 City Ambler State PA Zip Code 19002-5064  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Janney Montgomery Scott LLC Occupation President  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **2000.00**

Date of Receipt **03 / 07 / 2014**  
**Transaction ID : 21625378**  
 Amount of Each Receipt this Period **2000.00**  
 Contribution

**B. Mr. Timothy C. Scheve**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 410 South 21st Street  
 City Philadelphia State PA Zip Code 19146-1239  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Janney Montgomery Scott LLC Occupation President and CEO  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **5000.00**

Date of Receipt **03 / 07 / 2014**  
**Transaction ID : 21625379**  
 Amount of Each Receipt this Period **5000.00**  
 Contribution

**C. David S Penn**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 735 Harriton Rd  
 City Bryn Mawr State PA Zip Code 19010-2907  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Janney Montgomery Scott LLC Occupation EVP  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **1000.00**

Date of Receipt **03 / 07 / 2014**  
**Transaction ID : 21625380**  
 Amount of Each Receipt this Period **1000.00**  
 Contribution

<b>SUBTOTAL</b> of Receipts This Page (optional).....	<b>8000.00</b>
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 OF 31
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. John D. Maine**  
Full Name (Last, First, Middle Initial)

Mailing Address 8523 Ardmore Ave

City Wyndmoor State PA Zip Code 19038-8454

FEC ID number of contributing federal political committee. **C**

Name of Employer Janney Montgomery Scott LLC Occupation Managing Director

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 2000.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 03 / 07 / 2014  
**Transaction ID : 21625381**

Amount of Each Receipt this Period  
 2000.00

Contribution

**B. Gordon Eide**  
Full Name (Last, First, Middle Initial)

Mailing Address 13870 W Salisbury Road

City Midlothian State VA Zip Code 23113-4163

FEC ID number of contributing federal political committee. **C**

Name of Employer Janney Montgomery Scott LLC Occupation Senior VP, Branch Manager

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 03 / 17 / 2014  
**Transaction ID : 21631954**

Amount of Each Receipt this Period  
 1000.00

Contribution

**C. James Foley**  
Full Name (Last, First, Middle Initial)

Mailing Address 3073 Swansea Cres W

City Allison Park State PA Zip Code 15101-1561

FEC ID number of contributing federal political committee. **C**

Name of Employer Janney Montgomery Scott LLC Occupation Senior VP Complex Manager

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 03 / 17 / 2014  
**Transaction ID : 21631955**

Amount of Each Receipt this Period  
 250.00

Contribution

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	3250.00
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 OF 31
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Mr. John G. Taft**  
Full Name (Last, First, Middle Initial)

Mailing Address 1418 Mount Curve Avenue

City State Zip Code  
Minneapolis MN 55403-1010

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
RBC Wealth Management President and CEO

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
5000.00

Date of Receipt  
M M M / D D D / Y Y Y Y Y Y  
03 / 17 / 2014

**Transaction ID : 21631957**

Amount of Each Receipt this Period  
5000.00

Contribution

**B. Mr William A. Johnstone**  
Full Name (Last, First, Middle Initial)

Mailing Address 219 3rd Avenue North

City State Zip Code  
Great Falls MT 59401-2418

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
Davidson Companies President & CEO

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
5000.00

Date of Receipt  
M M M / D D D / Y Y Y Y Y Y  
03 / 25 / 2014

**Transaction ID : 21638222**

Amount of Each Receipt this Period  
5000.00

Contribution

**C. Patrick Maas**  
Full Name (Last, First, Middle Initial)

Mailing Address 1001 Franklin Avenue  
RM 202

City State Zip Code  
Garden City NY 11530-2901

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
Janney Montgomery Scott LLC Senior Vice President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
250.00

Date of Receipt  
M M M / D D D / Y Y Y Y Y Y  
03 / 25 / 2014

**Transaction ID : 21638225**

Amount of Each Receipt this Period  
250.00

Contribution

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	10250.00
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 OF 31
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Mr. Gerald Scheinker**  
Full Name (Last, First, Middle Initial)

Mailing Address 2800 Quarry Lake Drive, Suite 160

City Baltimore	State MD	Zip Code 21209-3750
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FEC ID number of contributing federal political committee. **C**

Name of Employer Janney Montgomery Scott LLC	Occupation Executive Vice President/Wealth Manage
---	--

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
1000.00

Date of Receipt  
03 / 25 / 2014  
**Transaction ID : 21638227**

Amount of Each Receipt this Period  
1000.00

Contribution

**B. Mr. Richard A. Keetley**  
Full Name (Last, First, Middle Initial)

Mailing Address 2320 Kings Arm Drive

City Fallston	State MD	Zip Code 21047-1247
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FEC ID number of contributing federal political committee. **C**

Name of Employer Janney Montgomery Scott LLC	Occupation Senior Vice President
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Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
300.00

Date of Receipt  
03 / 25 / 2014  
**Transaction ID : 21638232**

Amount of Each Receipt this Period  
300.00

Contribution

**C. Mr. Rudolph Sander**  
Full Name (Last, First, Middle Initial)

Mailing Address 1801 Market Street

City Philadelphia	State PA	Zip Code 19103-1628
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FEC ID number of contributing federal political committee. **C**

Name of Employer Janney Montgomery Scott LLC	Occupation Financial Advisor
---	---------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
250.00

Date of Receipt  
03 / 25 / 2014  
**Transaction ID : 21638233**

Amount of Each Receipt this Period  
250.00

Contribution

<b>SUBTOTAL</b> of Receipts This Page (optional).....	1550.00
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 10 OF 31
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

<input type="checkbox"/> 12	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Mr. Christopher R. Wilkinson**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 26 Warren Ave  
 City Plymouth State MA Zip Code 02360-2444  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Janney Montgomery Scott LLC Occupation Senior V.P., Regional Manager  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **500.00**

Date of Receipt **03 / 25 / 2014**  
**Transaction ID : 21638235**  
 Amount of Each Receipt this Period **500.00**  
 Contribution

**B. Phil Stevens**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 188 Marshbrook Lane  
 City Dalton State PA Zip Code 18414  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Janney Montgomery Scott LLC Occupation Vice President / Private Client Group  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **250.00**

Date of Receipt **03 / 25 / 2014**  
**Transaction ID : 21638237**  
 Amount of Each Receipt this Period **250.00**  
 Contribution

**C. Valerie Brown**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 2304 The Strand  
 City Manhattan Beach State CA Zip Code 90266-4318  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Cetera Financial Group Occupation CEO  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **5000.00**

Date of Receipt **03 / 20 / 2014**  
**Transaction ID : 21641361**  
 Amount of Each Receipt this Period **5000.00**  
 Contribution

<b>SUBTOTAL</b> of Receipts This Page (optional).....	<b>5750.00</b>
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 11 OF 31
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Mr. Charles J. Noble III**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 38 Broadfield Road  
 City Hamden State CT Zip Code 06517-1544  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Janney Montgomery Scott LLC Occupation Executive Vice President  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt 03 / 05 / 2014  
**Transaction ID : 21674533**  
 Amount of Each Receipt this Period 250.00  
 Contribution

**B. Mr. David Findlay**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 2 World Financial Center Bldg B  
 City New York State NY Zip Code 10281-1008  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Nomura Securities International, Inc. Occupation Chief Legal Officer  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 5000.00

Date of Receipt 03 / 21 / 2014  
**Transaction ID : 21674538**  
 Amount of Each Receipt this Period 5000.00  
 Contribution

**C. Mr. John J. Maurello Jr.**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 120 Broadway 35th Floor  
 City New York State NY Zip Code 10271-3599  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 1000.00

Date of Receipt 03 / 21 / 2014  
**Transaction ID : 21674539**  
 Amount of Each Receipt this Period 1000.00  
 Contribution

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	6250.00
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 12 OF 31
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

<input type="checkbox"/> 12	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial) <b>A. Mr. Kenneth E. Bentsen Jr.</b>		Date of Receipt 03 / 05 / 2014 <b>Transaction ID : 21680531</b>
Mailing Address 1101 New York Ave, NW 8th Floor		Amount of Each Receipt this Period 192.35
City Washington	State DC	Zip Code 20005-4269
FEC ID number of contributing federal political committee. C		
Name of Employer Securities Industry and Financial Mark	Occupation President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 961.75	

Full Name (Last, First, Middle Initial) <b>B. Ms. Kyle Brandon</b>		Date of Receipt 03 / 05 / 2014 <b>Transaction ID : 21680533</b>
Mailing Address 120 Broadway 35th Floor		Amount of Each Receipt this Period 96.15
City New York	State NY	Zip Code 10271-3599
FEC ID number of contributing federal political committee. C		
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director, DOR	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 480.75	

Full Name (Last, First, Middle Initial) <b>C. Ms. Cheryl Crispen</b>		Date of Receipt 03 / 05 / 2014 <b>Transaction ID : 21680537</b>
Mailing Address 1101 New York Ave, NW 8th Floor		Amount of Each Receipt this Period 96.15
City Washington	State DC	Zip Code 20005-4279
FEC ID number of contributing federal political committee. C		
Name of Employer Securities Industry and Financial Mark	Occupation Executive Vice President, Communicatio	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 480.75	

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	384.65
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 13 OF 31
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial) <b>A. Marin E. Gibson</b>		Date of Receipt M M M / D D D / Y Y Y Y Y Y 03 / 05 / 2014 <b>Transaction ID : 21680541</b>
Mailing Address 120 Broadway 35th Floor		Amount of Each Receipt this Period 76.92
City New York	State NY	Zip Code 10271-3599
FEC ID number of contributing federal political committee. C		
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director & Counsel	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 384.60	

Full Name (Last, First, Middle Initial) <b>B. Mr. Carter McDowell</b>		Date of Receipt M M M / D D D / Y Y Y Y Y Y 03 / 05 / 2014 <b>Transaction ID : 21680543</b>
Mailing Address 1101 New York Ave, NW 8th Floor		Amount of Each Receipt this Period 288.46
City Washington	State DC	Zip Code 20005-4269
FEC ID number of contributing federal political committee. C		
Name of Employer Securities Industry and Financial Mark	Occupation Senior Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1346.19	

Full Name (Last, First, Middle Initial) <b>C. Mr. Kenneth E. Bentsen Jr.</b>		Date of Receipt M M M / D D D / Y Y Y Y Y Y 03 / 19 / 2014 <b>Transaction ID : 21680548</b>
Mailing Address 1101 New York Ave, NW 8th Floor		Amount of Each Receipt this Period 192.35
City Washington	State DC	Zip Code 20005-4269
FEC ID number of contributing federal political committee. C		
Name of Employer Securities Industry and Financial Mark	Occupation President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1154.10	

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	557.73
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 14 OF 31
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Ms. Kyle Brandon**  
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway  
35th Floor

City New York State NY Zip Code 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director, DOR

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **576.90**

Date of Receipt  
**03 / 19 / 2014**

**Transaction ID : 21680550**

Amount of Each Receipt this Period  
**96.15**

**B. Ms Kim Chamberlain**  
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway  
35th Floor

City New York State NY Zip Code 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director & Counsel, State Gov

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **231.00**

Date of Receipt  
**03 / 19 / 2014**

**Transaction ID : 21680552**

Amount of Each Receipt this Period  
**38.50**

**C. Ms. Cheryl Crispen**  
Full Name (Last, First, Middle Initial)

Mailing Address 1101 New York Ave, NW  
8th Floor

City Washington State DC Zip Code 20005-4279

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Executive Vice President, Communicatio

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **576.90**

Date of Receipt  
**03 / 19 / 2014**

**Transaction ID : 21680554**

Amount of Each Receipt this Period  
**96.15**

**SUBTOTAL** of Receipts This Page (optional)..... **230.80**

**TOTAL** This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 15 OF 31
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Ms. Bradley Gaskins Edgell**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1101 New York Ave, NW  
 8th Floor  
 City Washington State DC Zip Code 20005-4269  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 231.00

Date of Receipt 03 / 19 / 2014  
**Transaction ID : 21680555**  
 Amount of Each Receipt this Period 38.50

**B. Marin E. Gibson**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 120 Broadway  
 35th Floor  
 City New York State NY Zip Code 10271-3599  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director & Counsel  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 461.52

Date of Receipt 03 / 19 / 2014  
**Transaction ID : 21680558**  
 Amount of Each Receipt this Period 76.92

**C. Ms. Nancy Donohoe Lancia**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 120 Broadway  
 35th Floor  
 City New York State NY Zip Code 10271-3599  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director, State Govt. Affairs  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 231.00

Date of Receipt 03 / 19 / 2014  
**Transaction ID : 21680559**  
 Amount of Each Receipt this Period 38.50

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	153.92
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 16 OF 31
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Mr. Carter McDowell**  
Full Name (Last, First, Middle Initial)

Mailing Address 1101 New York Ave, NW  
8th Floor

City Washington State DC Zip Code 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Senior Managing Director

Receipt For:  
 Primary     General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
1634.65

Date of Receipt  
03 / 19 / 2014  
**Transaction ID : 21680560**

Amount of Each Receipt this Period  
288.46

**B. Mr. Payson R. Peabody**  
Full Name (Last, First, Middle Initial)

Mailing Address 1101 New York Avenue, NW  
8th Floor

City Washington State DC Zip Code 20005-4279

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director

Receipt For:  
 Primary     General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
231.00

Date of Receipt  
03 / 19 / 2014  
**Transaction ID : 21680561**

Amount of Each Receipt this Period  
38.50

**C. Mr. Thomas F Price**  
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway  
35th Floor

City New York State NY Zip Code 10271-3599

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Managing Director

Receipt For:  
 Primary     General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
231.00

Date of Receipt  
03 / 19 / 2014  
**Transaction ID : 21680562**

Amount of Each Receipt this Period  
38.50

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 365.46

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 17 OF 31  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
---	------------------------------	------------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A.** Full Name (Last, First, Middle Initial)  
**Mr. Timothy P. O'Hara**

Mailing Address 11 Madison Ave

City State Zip Code  
New York NY 10010-3643

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation  
Credit Suisse (USA), Inc Managing Director

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
5000.00

Date of Receipt  
M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : 21697727**

Amount of Each Receipt this Period  
5000.00

Contribution

**B.** Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt  
M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

**C.** Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt  
M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	5000.00
<b>TOTAL</b> This Period (last page this line number only).....▶	41742.56

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 18 OF 31
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input checked="" type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. The Goldman Sachs Group, Inc. PAC**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 101 Constitution Ave, NW  
 Suite 1000 East  
 City Washington State DC Zip Code 20001  
 FEC ID number of contributing federal political committee. **C** C00350744  
 Name of Employer Occupation  
 Receipt For:  
 Primary  General  
 Other (specify) ▼  
 Aggregate Year-to-Date ▼  
 5000.00

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 03 / 17 / 2014  
**Transaction ID : 21631958**  
 Amount of Each Receipt this Period  
 5000.00  
 Contribution

**B. LPL Financial Corporation PAC**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address One Beacon Street  
 22nd Floor  
 City Boston State MA Zip Code 02108  
 FEC ID number of contributing federal political committee. **C** C00486217  
 Name of Employer Occupation  
 Receipt For:  
 Primary  General  
 Other (specify) ▼  
 Aggregate Year-to-Date ▼  
 5000.00

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 03 / 25 / 2014  
**Transaction ID : 21638221**  
 Amount of Each Receipt this Period  
 5000.00  
 Contribution

**C.**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address  
 City State Zip Code  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Occupation  
 Receipt For:  
 Primary  General  
 Other (specify) ▼  
 Aggregate Year-to-Date ▼

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 Amount of Each Receipt this Period

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	10000.00
<b>TOTAL</b> This Period (last page this line number only).....▶	10000.00

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 19 OF 31  
(check only one)

<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input checked="" type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Securities Industry and Financial Markets Association**

Full Name (Last, First, Middle Initial)  
Mailing Address 120 Broadway  
Floor 35

City New York State NY Zip Code 10271

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
1102.95

Date of Receipt  
03 / 25 / 2014  
**Transaction ID : 21638223**

Amount of Each Receipt this Period  
203.50

Reimbursement of Bank Fees

**B.**

Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

**C.**

Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	203.50
<b>TOTAL</b> This Period (last page this line number only).....▶	203.50

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. PNC Bank**

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement  
Bank Fee

**001**  
Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

/  /

**Transaction ID : 21697715**

Amount of Each Disbursement this Period

Bank Fee

Full Name (Last, First, Middle Initial)

**B. PNC Bank**

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement  
Credit Card Fees

**001**  
Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

/  /

**Transaction ID : 21697717**

Amount of Each Disbursement this Period

Credit Card Fees

Full Name (Last, First, Middle Initial)

**C. PNC Bank**

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement  
Credit Card Fees

**001**  
Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

/  /

**Transaction ID : 21697718**

Amount of Each Disbursement this Period

Credit Card Fees

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Fitzpatrick For Congress**

Mailing Address 115 North Broad Street

City Doylestown State PA Zip Code 18901

Purpose of Disbursement Contribution

011

Candidate Name

**Rep. Michael Fitzpatrick**

Category/Type

Office Sought:  House  Senate  President

Disbursement For: 2014  Primary  General  Other (specify) ▼

State: PA District: 08

Date of Disbursement

MM / DD / YYYY  
03 / 04 / 2014

**Transaction ID : 21598021**

Amount of Each Disbursement this Period

1000.00

Contribution

Full Name (Last, First, Middle Initial)

**B. Tiberi For Congress**

Mailing Address 2931 E Dublin Granville Road Suite 190

City Columbus State OH Zip Code 43231

Purpose of Disbursement Contribution

011

Candidate Name

**Rep. Patrick J. Tiberi**

Category/Type

Office Sought:  House  Senate  President

Disbursement For: 2014  Primary  General  Other (specify) ▼

State: OH District: 12

Date of Disbursement

MM / DD / YYYY  
03 / 04 / 2014

**Transaction ID : 21598024**

Amount of Each Disbursement this Period

1000.00

Contribution

Full Name (Last, First, Middle Initial)

**C. Cantor For Congress**

Mailing Address P.O. Box 17813

City Richmond State VA Zip Code 23226

Purpose of Disbursement Contribution

011

Candidate Name

**Rep. Eric I. Cantor**

Category/Type

Office Sought:  House  Senate  President

Disbursement For: 2014  Primary  General  Other (specify) ▼

State: VA District: 07

Date of Disbursement

MM / DD / YYYY  
03 / 04 / 2014

**Transaction ID : 21598026**

Amount of Each Disbursement this Period

2500.00

Contribution

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

4500.00

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Walsh For Montana**

Mailing Address PO Box 1724

City Helena State MT Zip Code 59624

Purpose of Disbursement  
Contribution

011

Candidate Name  
**John Walsh**

Category/  
Type

Office Sought:  House  
 Senate  
 President  
State: MT District:

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY  
03 / 04 / 2014

**Transaction ID : 21598027**

Amount of Each Disbursement this Period

2500.00

Contribution

Full Name (Last, First, Middle Initial)

**B. Yoder For Congress, Inc**

Mailing Address PO Box 26742

City Overland Park State KS Zip Code 66225

Purpose of Disbursement  
Contribution

011

Candidate Name  
**Rep. Kevin Yoder**

Category/  
Type

Office Sought:  House  
 Senate  
 President  
State: KS District: 03

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY  
03 / 04 / 2014

**Transaction ID : 21624171**

Amount of Each Disbursement this Period

1000.00

Contribution

Full Name (Last, First, Middle Initial)

**C. Conaway For Congress**

Mailing Address PO Box 51272

City Midland State TX Zip Code 79710

Purpose of Disbursement  
Contribution

011

Candidate Name  
**Rep. Michael K. Conaway**

Category/  
Type

Office Sought:  House  
 Senate  
 President  
State: TX District: 11

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY  
03 / 12 / 2014

**Transaction ID : 21626334**

Amount of Each Disbursement this Period

1000.00

Contribution

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

4500.00

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Steve Fincher For Congress**

Mailing Address PO Box 11153

City Jackson State TN Zip Code 38308

Purpose of Disbursement  
Contribution

011

Candidate Name

**Rep. Stephen Lee Fincher**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: TN District: 08

Date of Disbursement

MM / DD / YYYY  
03 / 12 / 2014

**Transaction ID : 21626338**

Amount of Each Disbursement this Period

1000.00

Contribution

Full Name (Last, First, Middle Initial)

**B. Huizenga For Congress**

Mailing Address 441 Williams Court

City Zeeland State MI Zip Code 49464

Purpose of Disbursement  
Contribution

011

Candidate Name

**Rep. Bill Huizenga**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: MI District: 02

Date of Disbursement

MM / DD / YYYY  
03 / 12 / 2014

**Transaction ID : 21626339**

Amount of Each Disbursement this Period

1000.00

Contribution

Full Name (Last, First, Middle Initial)

**C. Randy Hultgren For Congress**

Mailing Address PO Box 717

City St Charles State IL Zip Code 60174

Purpose of Disbursement  
Contribution

011

Candidate Name

**Rep. Randy Hultgren**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: IL District: 14

Date of Disbursement

MM / DD / YYYY  
03 / 12 / 2014

**Transaction ID : 21626340**

Amount of Each Disbursement this Period

1500.00

Contribution

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

3500.00

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Friends Of Bill Posey**

Mailing Address P. O. Box 360877

City Melbourne State FL Zip Code 32936

Purpose of Disbursement  
Contribution

011

Candidate Name

**Rep. Bill Posey**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: FL District: 15

Date of Disbursement

MM / DD / YYYY  
03 / 12 / 2014

**Transaction ID : 21626341**

Amount of Each Disbursement this Period

1000.00

Contribution

Full Name (Last, First, Middle Initial)

**B. Crowley For Congress**

Mailing Address 84-56 Grand Avenue

City Elmhurst State NY Zip Code 11373

Purpose of Disbursement  
Contribution

011

Candidate Name

**Rep. Joseph Crowley**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: NY District: 07

Date of Disbursement

MM / DD / YYYY  
03 / 13 / 2014

**Transaction ID : 21626946**

Amount of Each Disbursement this Period

500.00

Contribution

Full Name (Last, First, Middle Initial)

**C. Crowley For Congress**

Mailing Address 84-56 Grand Avenue

City Elmhurst State NY Zip Code 11373

Purpose of Disbursement  
Contribution

011

Candidate Name

**Rep. Joseph Crowley**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: NY District: 07

Date of Disbursement

MM / DD / YYYY  
03 / 13 / 2014

**Transaction ID : 21626949**

Amount of Each Disbursement this Period

500.00

Contribution

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

2000.00

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Steve Israel For Congress Committee**

Mailing Address P.O. Box 777

City State Zip Code  
Deer Park NY 11729

Purpose of Disbursement  
Contribution

011  
Category/  
Type

Candidate Name

**Rep. Steve J. Israel**

Office Sought:  House  
 Senate  
 President  
State: NY District: 02

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY  
03 / 13 / 2014

**Transaction ID : 21626954**

Amount of Each Disbursement this Period

2000.00

Contribution

Full Name (Last, First, Middle Initial)

**B. Stivers For Congress**

Mailing Address 4679 Winterset Drive

City State Zip Code  
Columbus OH 43220

Purpose of Disbursement  
Contribution

011  
Category/  
Type

Candidate Name

**Rep. Steve Stivers**

Office Sought:  House  
 Senate  
 President  
State: OH District: 15

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY  
03 / 13 / 2014

**Transaction ID : 21626966**

Amount of Each Disbursement this Period

500.00

Contribution

Full Name (Last, First, Middle Initial)

**C. Stivers For Congress**

Mailing Address 4679 Winterset Drive

City State Zip Code  
Columbus OH 43220

Purpose of Disbursement  
Contribution

011  
Category/  
Type

Candidate Name

**Rep. Steve Stivers**

Office Sought:  House  
 Senate  
 President  
State: OH District: 15

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY  
03 / 13 / 2014

**Transaction ID : 21626967**

Amount of Each Disbursement this Period

5000.00

Contribution

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

**TOTAL** This Period (last page this line number only)..... ▶

7500.00

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Price for Congress**

Mailing Address PO Box 425

City Roswell State GA Zip Code 30077

Purpose of Disbursement  
Contribution

011

Candidate Name

**Rep. Thomas Price M.D.**

Category/  
Type

Office Sought:  House  
 Senate  
 President  
State: GA District: 06

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY  
03 / 13 / 2014

**Transaction ID : 21626969**

Amount of Each Disbursement this Period

4000.00

Contribution

Full Name (Last, First, Middle Initial)

**B. Schock For Congress**

Mailing Address PO Box 10555

City Peoria State IL Zip Code 61612

Purpose of Disbursement  
Contribution

011

Candidate Name

**Rep. Aaron Jon Schock**

Category/  
Type

Office Sought:  House  
 Senate  
 President  
State: IL District: 18

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY  
03 / 21 / 2014

**Transaction ID : 21637507**

Amount of Each Disbursement this Period

1000.00

Contribution

Full Name (Last, First, Middle Initial)

**C. Tom Reed For Congress**

Mailing Address PO Box 450

City Victor State NY Zip Code 14564

Purpose of Disbursement  
Contribution

011

Candidate Name

**Rep. Tom Reed**

Category/  
Type

Office Sought:  House  
 Senate  
 President  
State: NY District: 29

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY  
03 / 21 / 2014

**Transaction ID : 21637558**

Amount of Each Disbursement this Period

1000.00

Contribution

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

6000.00

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Citizens For Cochran**

Mailing Address PO Box 7183

City State Zip Code  
Tupelo MS 38802

Purpose of Disbursement  
Contribution

011

Candidate Name

**Sen. Thad Cochran**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: MS District:

Date of Disbursement

MM / DD / YYYY  
03 / 21 / 2014

**Transaction ID : 21637559**

Amount of Each Disbursement this Period

2500.00

Contribution

Full Name (Last, First, Middle Initial)

**B. Friends Of Jeb Hensarling**

Mailing Address PO Box 820504

City State Zip Code  
Dallas TX 75382

Purpose of Disbursement  
Contribution

011

Candidate Name

**Rep. Jeb Hensarling**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: TX District: 05

Date of Disbursement

MM / DD / YYYY  
03 / 21 / 2014

**Transaction ID : 21637561**

Amount of Each Disbursement this Period

5000.00

Contribution

Full Name (Last, First, Middle Initial)

**C. Friends Of Mark Warner**

Mailing Address 201 North Union Street Suite 300

City State Zip Code  
Alexandria VA 22314

Purpose of Disbursement  
Contribution

011

Candidate Name

**Sen. Mark Robert Warner**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: VA District:

Date of Disbursement

MM / DD / YYYY  
03 / 21 / 2014

**Transaction ID : 21637563**

Amount of Each Disbursement this Period

500.00

Contribution

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

8000.00

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Friends Of Mark Warner**

Mailing Address 201 North Union Street Suite 300

City Alexandria State VA Zip Code 22314

Purpose of Disbursement Contribution

011

Candidate Name

**Sen. Mark Robert Warner**

Category/Type

Office Sought:  House  Senate  President

Disbursement For: 2014  Primary  General  Other (specify) ▼

State: VA District:

Date of Disbursement

MM / DD / YYYY  
03 / 21 / 2014

**Transaction ID : 21637566**

Amount of Each Disbursement this Period

2000.00

Contribution

Full Name (Last, First, Middle Initial)

**B. Charles Boustany Jr. Md For Congress, Inc.**

Mailing Address PO Box 80126

City Lafayette State LA Zip Code 70598

Purpose of Disbursement Contribution

011

Candidate Name

**Rep. Charles W. Boustany Jr.**

Category/Type

Office Sought:  House  Senate  President

Disbursement For: 2014  Primary  General  Other (specify) ▼

State: LA District: 07

Date of Disbursement

MM / DD / YYYY  
03 / 24 / 2014

**Transaction ID : 21637663**

Amount of Each Disbursement this Period

1000.00

Contribution

Full Name (Last, First, Middle Initial)

**C. Ruben Hinojosa For Congress**

Mailing Address 10125 N. 10th Street, Suite E

City Mcallen State TX Zip Code 78504

Purpose of Disbursement Contribution

011

Candidate Name

**Rep. Ruben Hinojosa**

Category/Type

Office Sought:  House  Senate  President

Disbursement For: 2014  Primary  General  Other (specify) ▼

State: TX District: 15

Date of Disbursement

MM / DD / YYYY  
03 / 24 / 2014

**Transaction ID : 21637664**

Amount of Each Disbursement this Period

1000.00

Contribution

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

4000.00

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Devin Nunes Campaign Committee**

Mailing Address PO Box 6545

City Visalia State CA Zip Code 93290

Purpose of Disbursement  
Contribution

011  
Category/  
Type

Candidate Name

**Rep. Devin G. Nunes**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: CA District: 21

Date of Disbursement

MM / DD / YYYY  
03 / 24 / 2014

**Transaction ID : 21637665**

Amount of Each Disbursement this Period

1000.00

Contribution

Full Name (Last, First, Middle Initial)

**B. New Democrat Coalition PAC**

Mailing Address 1255 C Street, SE

City Washington State DC Zip Code 20003

Purpose of Disbursement  
Contribution

011  
Category/  
Type

Candidate Name

**New Democrat Coalition PAC**

Office Sought:  House  
 Senate  
 President

Disbursement For:  
 Primary  General  
 Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY  
03 / 24 / 2014

**Transaction ID : 21637844**

Amount of Each Disbursement this Period

2500.00

Contribution

Full Name (Last, First, Middle Initial)

**C. COMMITTEE FOR HISPANIC CAUSES/BUILDING OUR LEADERSHIP DIVERSITY PAC (CHC BOLD PAC)**

Mailing Address PO BOX 70980

City Washington State DC Zip Code 20024

Purpose of Disbursement  
Contribution

011  
Category/  
Type

Candidate Name

COMMITTEE FOR HISPANIC CAUSES/BUILDING OUR LEADERSHIP DIVERSITY PAC (CHC BOLD PAC)

Office Sought:  House  
 Senate  
 President

Disbursement For:  
 Primary  General  
 Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY  
03 / 24 / 2014

**Transaction ID : 21637845**

Amount of Each Disbursement this Period

2500.00

Contribution

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

**TOTAL** This Period (last page this line number only)..... ▶

6000.00

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Hoyer For Congress**

Mailing Address 700 13th Street, Nw  
Suite 600

City Washington State DC Zip Code 20005

Purpose of Disbursement  
Contribution

Candidate Name

**Rep. Steny H. Hoyer**

Office Sought:  House  
 Senate  
 President  
State: MD District: 05

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

011  
Category/  
Type

Date of Disbursement

MM / DD / YYYY  
03 / 24 / 2014

**Transaction ID : 21638019**

Amount of Each Disbursement this Period

2500.00

Contribution

Full Name (Last, First, Middle Initial)

**B. Perlmutter For Congress**

Mailing Address 3440 Youngfield Street  
#264

City Wheat Ridge State CO Zip Code 80033

Purpose of Disbursement  
Contribution

Candidate Name

**Rep. Edwin Perlmutter**

Office Sought:  House  
 Senate  
 President  
State: CO District: 07

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

011  
Category/  
Type

Date of Disbursement

MM / DD / YYYY  
03 / 24 / 2014

**Transaction ID : 21638024**

Amount of Each Disbursement this Period

1500.00

Contribution

Full Name (Last, First, Middle Initial)

**C. Perlmutter For Congress**

Mailing Address 3440 Youngfield Street  
#264

City Wheat Ridge State CO Zip Code 80033

Purpose of Disbursement  
Contribution

Candidate Name

**Rep. Edwin Perlmutter**

Office Sought:  House  
 Senate  
 President  
State: CO District: 07

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

011  
Category/  
Type

Date of Disbursement

MM / DD / YYYY  
03 / 24 / 2014

**Transaction ID : 21638027**

Amount of Each Disbursement this Period

1000.00

Contribution

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

5000.00

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Beatty For Congress**

Mailing Address PO Box 172

City Columbus State OH Zip Code 43216

Purpose of Disbursement  
Contribution

011

Candidate Name

**Rep. Joyce Beatty**

Category/  
Type

Office Sought:  House  
 Senate  
 President  
State: OH District: 03

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	28	/	2014

**Transaction ID : 21643221**

Amount of Each Disbursement this Period

1000.00
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Contribution

Full Name (Last, First, Middle Initial)

**B. BRIDGE PAC**

Mailing Address 499 South Capitol Street, SW  
Suite 422

City Washington State DC Zip Code 20003

Purpose of Disbursement  
Voided Disbursement from 2/24/2014

011

Candidate Name

**BRIDGE PAC**

Category/  
Type

Office Sought:  House  
 Senate  
 President  
State: District:

Disbursement For:  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	14	/	2014

**Transaction ID : 21696760**

Amount of Each Disbursement this Period

-5000.00
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Voided Disbursement from 2/24/2014

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Category/  
Type

Office Sought:  House  
 Senate  
 President  
State: District:

Disbursement For:  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
	/		/	

Amount of Each Disbursement this Period

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**SUBTOTAL** of Disbursements This Page (optional)..... ▶

-4000.00
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**TOTAL** This Period (last page this line number only)..... ▶

47000.00
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