



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-3

September 6, 2001

John Siben, Treasurer
Halter Marine Group Inc. PAC
P.O. Box 3029
13085 Seaway Road
Gulfport, MS 39505

Identification Number: C00321802

Reference: Mid-Year (1/1/99-6/30/99), Year End (7/1/99-12/31/99), July Quarterly (4/1/00-6/30/00) and October Quarterly (7/1/00-9/30/00) Reports

Dear Mr. Siben:

This letter is to inform you that as of September 5, 2001, the Commission has not received your response to our requests for additional information dated August 15, 2001. These notices request information essential to full public disclosure of your federal election campaign finances. To ensure compliance with the provisions of the Federal Election Campaign Act (the Act), please respond to these requests (copies enclosed).

An adequate response must be received at the Commission by September 26, 2001. Adequate responses received on or before this date will be taken into consideration in determining whether audit action will be initiated. **Requests for extensions of time in which to respond will not be considered.** Failure to provide an adequate response by this date may result in an audit of the committee. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action.

If you should have any questions regarding this matter, please contact Julie Perry on our toll-free number (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division) or our local number (202) 694-1130.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosures



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

John Siben, Treasurer
Halter Marine Group Inc. PAC
P.O. Box 3029
13085 Seaway Road
Gulfport, MS 39505

AUG 15 2001

Identification Number: C00321802

Reference: Year End Report (7/1/99-12/31/99)

Dear Mr. Siben:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Commission Regulations require that a committee disclose the identification of all individuals who contribute in excess of \$200 in a calendar year. (11 CFR §104.3(a)(4)(i)) Identification for an individual is defined as the full name, mailing address, occupation and name of employer. (11 CFR §100.12) Your report discloses contributions from individuals for which the identification is not complete.

You must provide the missing information, or if you are unable to do so, you must demonstrate that "best efforts" have been used to obtain the information. To establish "best efforts," you must provide the Commission with a detailed description of your procedures for requesting the information. Establishing "best efforts" is a three-fold process.

First, your original solicitation must include a clear and conspicuous request for the contributor information and must inform the contributor of the requirements of federal law for the reporting of such information. (11 CFR §104.7(b)(1))

Second, if the information is not provided, you must make one follow-up, stand alone effort to obtain this information, regardless of whether the contribution(s) was solicited or not. This effort must occur no later than 30 days after receipt of the contribution and may be in the form of a written request or an oral request documented in writing. (11 CFR § 104.7(b)(2))
The request must:

- clearly ask for the missing information, without soliciting a contribution;
- inform the contributor of the requirements of federal law for the reporting of such information, and
- if the request is written, include a pre-addressed post card or return envelope.

Third, if you receive contributor information after the contribution(s) has been reported, you shall either a) file with your next regularly scheduled report, an amended memo Schedule A listing all the contributions for which additional information was received; or b) file on or before your next regularly scheduled reporting date, amendments to the report(s) originally disclosing the contribution(s). (11 CFR §104.7(b)(4))

Please provide the missing information or a detailed description of your procedures for requesting the information. For more information on demonstrating "best efforts," please refer to the Campaign Guide.

-Your report discloses a total of \$4,492.24 in disbursements to Halter Marine Group for "Refund over funding contribution account" (pertinent portion(s) attached). 2 U.S.C. §441b prohibits a corporation or labor organization from contributing or expending funds for the purpose of influencing any federal election, except that a connected organization may pay for the solicitation and administrative costs of its separate segregated fund.

Please amend your report to clarify the nature of the transaction(s) in question and provide the date of the original deposit in your account. If the funds were deposited in your committee's account in error, the original receipt must also be disclosed on Schedule A supporting the appropriate line of the Detailed Summary Page on an amended report covering the period during which the receipt occurred. Although the Commission may take further legal action concerning this matter, your prompt action will be taken into consideration.

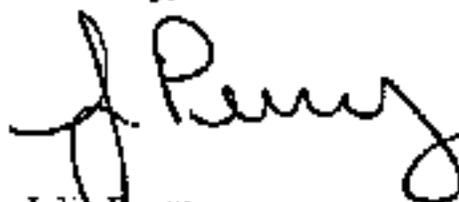
-For your information, each category on the Detailed Summary Page for which your committee discloses activity must have a separate schedule. Please note this for future filings.

-All reports must bear an original signature of the treasurer or designated agent. Filing a photocopy of your report does not fulfill this requirement. Please file a report bearing an appropriate original signature. 2 U.S.C. §434(a)(1) and 11 CFR §104.14

-Please amend Schedule B supporting Line 23 by providing the Address, Office Sought (House, Senate, or President), State, and Congressional District (if applicable) for each contribution made. 11 CFR §104.3(b)(3)(ii) and (v)

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division). My local number is (202) 694-1130.

Sincerely,

A handwritten signature in black ink, appearing to read "Julie Perry". The signature is fluid and cursive, with a large loop at the end.

Julie Perry
Reports Analyst
Reports Analysis Division

SCHEDULE B		ITEMIZED DISBURSMENTS		Use separate columns for each category of the Disbursement Page		PAGE 1 OF 1 FOR LINE 15492	
Any information copied from such Filings and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than making the name and address of any political committee to solicit contributions from such committee.							
NUMBER OF COMMITTEES (in full) Heller Marine PAC							
A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period				
Vicker for Congress 2000	Contribution Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	7/20/99	6,000 ⁰⁰				
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period				
Jefferson Committee	Contribution Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	7/20/99	6,000 ⁰⁰				
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period				
Hunter for Congress	Contribution Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	8/24/99	5,000 ⁰⁰				
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period				
Grant Taylor for Congress Box 51 Ray St. Louis, MO 39520	Contribution Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	9/7/99	4,000 ⁰⁰				
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period				
Roger Wicker for Congress Box 874 Tupelo, MS 38802	Contribution Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/1/99	1,000 ⁰⁰				
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period				
Richard Baker for Congress 5558 Wilson Ave Baton Rouge, LA 70808	Contribution Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/6/99	1,000 ⁰⁰				
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period				
Heller Marine Group 13095 Beauty Road Gulfport, MS 39505	Political Party Funding Contribution Account Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/12/99	4,492 ²⁴				
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period				
Victory PAC	Contribution Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	11/3/99	1,000 ⁰⁰				
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period				
GRAND TOTAL of Disbursements This Page (top line)			15,492 ²⁴				
TOTAL This Period (last page if a line number only)			15,492 ²⁴				