



**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

**Securities Industry and Financial Markets Association Political Action Committee**

Report Covering the Period: From:  /  /  To:  /  /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2016"/>	<input type="text" value="95134.17"/>	<input type="text" value="95134.17"/>
(b) Cash on Hand at Beginning of Reporting Period.....	<input type="text" value="72107.41"/>	
(c) Total Receipts (from Line 19) .....	<input type="text" value="16904.58"/>	<input type="text" value="32529.94"/>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<input type="text" value="89011.99"/>	<input type="text" value="127664.11"/>
7. Total Disbursements (from Line 31).....	<input type="text" value="33173.51"/>	<input type="text" value="71825.63"/>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<input type="text" value="55838.48"/>	<input type="text" value="55838.48"/>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<input type="text" value="0.00"/>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<input type="text" value="0.00"/>	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

## DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

**Securities Industry and Financial Markets Association Political Action Committee**

Report Covering the Period: From: M M / D D / Y Y Y Y 02 / 01 / 2016 To: M M / D D / Y Y Y Y 02 / 29 / 2016

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	15773.22	24584.84
(ii) Unitemized .....	904.25	2717.99
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	16677.47	27302.83
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	5000.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....	16677.47	32302.83
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	227.11	227.11
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶	16904.58	32529.94
20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶	16904.58	32529.94

**DETAILED SUMMARY PAGE**  
of Disbursements

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	173.51	325.63
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	173.51	325.63
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	33000.00	71500.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements .....	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	33173.51	71825.63
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	33173.51	71825.63

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	16677.47	32302.83
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	16677.47	32302.83
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) .....	173.51	325.63
37. Offsets to Operating Expenditures (from Line 15, page 3).....	227.11	227.11
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	-53.60	98.52

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 OF 22
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Kenneth E. Bentsen Jr.**

Full Name (Last, First, Middle Initial)  
Kenneth E. Bentsen Jr.

Mailing Address 1101 New York Ave NW  
8th Floor

City Washington State DC Zip Code 20005

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation President & CEO

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
769.40

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 02 / 03 / 2016  
**Transaction ID : 20160218135312-1000**

Amount of Each Receipt this Period  
 192.35

Memo Item

**B. Kenneth E. Bentsen Jr.**

Full Name (Last, First, Middle Initial)  
Kenneth E. Bentsen Jr.

Mailing Address 1101 New York Ave NW  
8th Floor

City Washington State DC Zip Code 20005

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation President & CEO

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
769.40

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 02 / 17 / 2016  
**Transaction ID : 20160212125256-1000**

Amount of Each Receipt this Period  
 192.35

Memo Item

**C. Ananias Blocker**

Full Name (Last, First, Middle Initial)  
Ananias Blocker

Mailing Address 1101 New York Ave NW  
8th Floor

City Washington State DC Zip Code 20005

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation Exec VP, Pub Pol & Advoc

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
1200.00

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 02 / 03 / 2016  
**Transaction ID : 20160218135312-1002**

Amount of Each Receipt this Period  
 300.00

Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 684.70

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 OF 22
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

<input type="checkbox"/> 12	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Ananias Blocker**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1101 New York Ave NW  
 8th Floor  
 City Washington State DC Zip Code 20005  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation Exec VP, Pub Pol & Advoc  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **1200.00**

Date of Receipt **02 / 17 / 2016**  
**Transaction ID : 20160212125256-1002**  
 Amount of Each Receipt this Period **300.00**  
 Memo Item

**B. Kyle L. Brandon**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 120 Broadway  
 35th Floor  
 City New York State NY Zip Code 10271  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation MD, Dir of Research  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **384.60**

Date of Receipt **02 / 03 / 2016**  
**Transaction ID : 20160218135312-1003**  
 Amount of Each Receipt this Period **96.15**  
 Memo Item

**c. Kyle L. Brandon**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 120 Broadway  
 35th Floor  
 City New York State NY Zip Code 10271  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation MD, Dir of Research  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **384.60**

Date of Receipt **02 / 17 / 2016**  
**Transaction ID : 20160212125256-1003**  
 Amount of Each Receipt this Period **96.15**  
 Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....	<b>492.30</b>
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 OF 22
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Kevin M. Carroll**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1101 New York Ave NW  
 Ste 800  
 City Washington State DC Zip Code 20005-4279  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer: Securities Industry and Financial Mark  
 Occupation: Associate General Counsel  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt: 02 / 16 / 2016  
**Transaction ID : B9A3D322FE854476B857**  
 Amount of Each Receipt this Period: 250.00  
 Memo Item

**B. Cheryl L. Crispen**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1101 New York Ave NW  
 8th Floor  
 City Washington State DC Zip Code 20005  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer: Securities Industry and Financial Mark  
 Occupation: EVP, Communication & Mktg  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 384.60

Date of Receipt: 02 / 03 / 2016  
**Transaction ID : 20160218135312-1006**  
 Amount of Each Receipt this Period: 96.15  
 Memo Item

**c. Cheryl L. Crispen**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1101 New York Ave NW  
 8th Floor  
 City Washington State DC Zip Code 20005  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer: Securities Industry and Financial Mark  
 Occupation: EVP, Communication & Mktg  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 384.60

Date of Receipt: 02 / 17 / 2016  
**Transaction ID : 20160212125256-1006**  
 Amount of Each Receipt this Period: 96.15  
 Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....	442.30
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 OF 22
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

<input type="checkbox"/> 12	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Andrew S. Duff**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 800 Nicollet Mall  
 City Minneapolis State MN Zip Code 55402-7000  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Piper Jaffray & Co Occupation Chairman & CEO  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 1000.00

Date of Receipt 02 / 23 / 2016  
**Transaction ID : FF6E110D79DF4703AD09**  
 Amount of Each Receipt this Period 1000.00  
 Memo Item

**B. Marin Gibson**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 120 Broadway 35th Floor  
 City New York State NY Zip Code 10271  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation MD, Associate Gen Counsel  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 384.60

Date of Receipt 02 / 03 / 2016  
**Transaction ID : 20160218135312-1010**  
 Amount of Each Receipt this Period 96.15  
 Memo Item

**c. Marin Gibson**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 120 Broadway 35th Floor  
 City New York State NY Zip Code 10271  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation MD, Associate Gen Counsel  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 384.60

Date of Receipt 02 / 17 / 2016  
**Transaction ID : 20160212125256-1011**  
 Amount of Each Receipt this Period 96.15  
 Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	1192.30
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 10 OF 22
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Suni Harford**  
Full Name (Last, First, Middle Initial)  
Mailing Address 390 Greenwich St  
City New York State NY Zip Code 10013-2375  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Citi Occupation Head of Global Markets  
Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date 2500.00

Date of Receipt 02 / 08 / 2016  
**Transaction ID : 2D77DA46AFEC41F7B36D**  
Amount of Each Receipt this Period 2500.00  
 Memo Item

**B. David B. Krasner**  
Full Name (Last, First, Middle Initial)  
Mailing Address 120 Broadway 35th Floor  
City New York State NY Zip Code 10271  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Securities Industry and Financial Mark Occupation Chief Fin/Admin Officer  
Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date 769.40

Date of Receipt 02 / 03 / 2016  
**Transaction ID : 20160218135312-1012**  
Amount of Each Receipt this Period 192.35  
 Memo Item

**C. David B. Krasner**  
Full Name (Last, First, Middle Initial)  
Mailing Address 120 Broadway 35th Floor  
City New York State NY Zip Code 10271  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Securities Industry and Financial Mark Occupation Chief Fin/Admin Officer  
Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date 769.40

Date of Receipt 02 / 17 / 2016  
**Transaction ID : 20160212125256-1014**  
Amount of Each Receipt this Period 192.35  
 Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 2884.70  
**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 11 OF 22
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. Jerome F Lombard Jr**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1801 Market St  
 City Philadelphia State PA Zip Code 19103-1628  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Janney Montgomery Scott LLC Occupation President  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **2500.00**

Date of Receipt **02 / 16 / 2016**  
**Transaction ID : D1AB16B6AF954B50A84F**  
 Amount of Each Receipt this Period **2500.00**  
 Memo Item

**B. John J. Maurello Jr.**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 120 Broadway FI 35  
 City New York State NY Zip Code 10271-3599  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation Managing Director  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **1000.00**

Date of Receipt **02 / 09 / 2016**  
**Transaction ID : 0EDB3E011A4E44788A1F**  
 Amount of Each Receipt this Period **1000.00**  
 Memo Item

**C. Carter K. McDowell**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1101 New York Ave NW 8th Floor  
 City Washington State DC Zip Code 20005  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Securities Industry and Financial Mark Occupation MD, Associate Gen Counsel  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **1153.84**

Date of Receipt **02 / 03 / 2016**  
**Transaction ID : 20160218135312-1016**  
 Amount of Each Receipt this Period **288.46**  
 Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....	<b>3788.46</b>
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 12 OF 22
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)  
**A. Carter K. McDowell**

Mailing Address 1101 New York Ave NW  
8th Floor

City Washington State DC Zip Code 20005

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation MD, Associate Gen Counsel

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
1153.84

Date of Receipt  
MM / DD / YYYY  
02 / 17 / 2016  
**Transaction ID : 20160212125256-1018**

Amount of Each Receipt this Period  
288.46

Memo Item

Full Name (Last, First, Middle Initial)  
**B. Timothy C. Scheve**

Mailing Address 1801 Market St

City Philadelphia State PA Zip Code 19103-1628

FEC ID number of contributing federal political committee. **C**

Name of Employer Janney Montgomery Scott LLC Occupation President and CEO

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
5000.00

Date of Receipt  
MM / DD / YYYY  
02 / 16 / 2016  
**Transaction ID : DABB869AE8244CECB3F3**

Amount of Each Receipt this Period  
5000.00

Memo Item

Full Name (Last, First, Middle Initial)  
**C. Karen Shakoske**

Mailing Address 1801 Market St

City Philadelphia State PA Zip Code 19103-1602

FEC ID number of contributing federal political committee. **C**

Name of Employer Janney Montgomery Scott LLC Occupation SVP - Director of Marketing

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
1000.00

Date of Receipt  
MM / DD / YYYY  
02 / 09 / 2016  
**Transaction ID : 2C9DE51D6DB040E4B02B**

Amount of Each Receipt this Period  
1000.00

Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....	6288.46
<b>TOTAL</b> This Period (last page this line number only).....	15773.22

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 13 OF 22
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input checked="" type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**Securities Industry and Financial Markets Association Political Action Committee**

**A. SIFMA**  
Full Name (Last, First, Middle Initial)

Mailing Address 1101 New York Avenue, NW  
8th Floor

City Washington State DC Zip Code 20005

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
227.11

Date of Receipt  
MM / DD / YYYY  
02 / 10 / 2016

**Transaction ID : BA15E84BBD8240C1985A**

Amount of Each Receipt this Period  
53.60

Memo Item  
Reimbursement of Bank Fees

**B. SIFMA**  
Full Name (Last, First, Middle Initial)

Mailing Address 1101 New York Avenue, NW  
8th Floor

City Washington State DC Zip Code 20005

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
227.11

Date of Receipt  
MM / DD / YYYY  
02 / 26 / 2016

**Transaction ID : 2EB8BBBEE05646FFB5CD**

Amount of Each Receipt this Period  
173.51

Memo Item  
Reimbursement of Bank Fees

**C.**  
Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt  
MM / DD / YYYY

Amount of Each Receipt this Period

Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....	227.11
<b>TOTAL</b> This Period (last page this line number only).....	227.11

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. PNC Bank**

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement  
Bank Fee

Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

/  /

**Transaction ID : 193DF6A16AADEB54068**

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

**B. PNC Bank**

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement  
Bank fee

Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

/  /

**Transaction ID : 730B6DCD0835EBB0C8A**

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

**C. PNC Bank**

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement  
Credit Card Fee

Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

/  /

**Transaction ID : 35786FEC8674B407C15**

Amount of Each Disbursement this Period

Memo Item

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. PNC Bank**

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement  
Credit Card Fee

Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

/  /

**Transaction ID : 569F60336CD09B053B0**

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

**B.**

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

/  /

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

/  /

Amount of Each Disbursement this Period

Memo Item

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. AMERIPAC: The Fund for a Greater America**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
02	/	04	/	2016

Mailing Address 700 13th Street NW  
Suite 600

City Washington State DC Zip Code 20005

**Transaction ID : 340F061784A9E187D90**

Purpose of Disbursement  
2016 Contribution

011

Amount of Each Disbursement this Period

Candidate Name

**AMERIPAC: The Fund for a Greater America**

Category/  
Type

5000.00
---------

Office Sought:  House  
 Senate  
 President

Disbursement For: 2016  
 Primary  General  
 Other (specify) **Contribution**

Memo Item

Full Name (Last, First, Middle Initial)

**B. Blum for Congress**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
02	/	09	/	2016

Mailing Address 2728 Asbury Road Suite 400

City Dubuque State IA Zip Code 52001

**Transaction ID : 394B173CB966591A1F4**

Purpose of Disbursement  
2016 Primary

011

Amount of Each Disbursement this Period

Candidate Name

**Rodney Leland Blum**

Category/  
Type

1000.00
---------

Office Sought:  House  
 Senate  
 President  
State: IA District: 01

Disbursement For: 2016  
 Primary  General  
 Other (specify) **Contribution**

Memo Item

Full Name (Last, First, Middle Initial)

**C. Brady for Congress**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
02	/	29	/	2016

Mailing Address PO Box 8277

City the Woodlands State TX Zip Code 77387-8277

**Transaction ID : 07DF2DC3F9C8A7C63E0**

Purpose of Disbursement  
2016 Primary

011

Amount of Each Disbursement this Period

Candidate Name

**Kevin Patrick Brady**

Category/  
Type

4000.00
---------

Office Sought:  House  
 Senate  
 President  
State: TX District: 08

Disbursement For: 2016  
 Primary  General  
 Other (specify) **Contribution**

Memo Item

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

**TOTAL** This Period (last page this line number only)..... ▶

10000.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Friends of Dave Joyce**

Mailing Address 320 Kenarden Drive

City Cleveland State OH Zip Code 44143-3710

Purpose of Disbursement  
2016 Primary

011  
Category/  
Type

Candidate Name

**David Patrick Joyce**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2016  
 Primary  General  
 Other (specify) ▼

State: OH District: 14

Date of Disbursement

MM / DD / YYYY  
02 / 04 / 2016

**Transaction ID : 41453E2BF2C7D7CD849**

Amount of Each Disbursement this Period

1000.00

Memo Item

Full Name (Last, First, Middle Initial)

**B. Friends of Dennis Ross**

Mailing Address Post Office Box 7310

City Lakeland State FL Zip Code 33807

Purpose of Disbursement  
2016 Primary

011  
Category/  
Type

Candidate Name

**Dennis Alan Ross**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2016  
 Primary  General  
 Other (specify) ▼

State: FL District: 15

Date of Disbursement

MM / DD / YYYY  
02 / 04 / 2016

**Transaction ID : 5AE1CC0E06BE2FFE93B**

Amount of Each Disbursement this Period

1500.00

Memo Item

Full Name (Last, First, Middle Initial)

**C. Heller for Senate**

Mailing Address PO Box 371907

City Las Vegas State NV Zip Code 89137

Purpose of Disbursement  
2018 Primary

011  
Category/  
Type

Candidate Name

**Dean Arthur Heller**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2018  
 Primary  General  
 Other (specify) ▼

State: NV District:

Date of Disbursement

MM / DD / YYYY  
02 / 04 / 2016

**Transaction ID : F21DC6816A4ACB92909**

Amount of Each Disbursement this Period

1500.00

Memo Item

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

4000.00

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jim Costa for Congress**

Mailing Address 2037 W Bullard Avenue  
# 355

City Fresno State CA Zip Code 93711-1200

Purpose of Disbursement  
2016 Primary

Candidate Name

**James M. Costa**

Office Sought:  House  
 Senate  
 President  
State: CA District: 16

Disbursement For: 2016  
 Primary  General  
 Other (specify) ▼

**011**  
Category/  
Type

Date of Disbursement

/  /

**Transaction ID : 7F6C101AE0112212457**

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

**B. Jim Renacci for Congress**

Mailing Address 150 Smokerise Drive

City Wadsworth State OH Zip Code 44281-8701

Purpose of Disbursement  
2016 Primary

Candidate Name

**James B. Renacci**

Office Sought:  House  
 Senate  
 President  
State: OH District: 16

Disbursement For: 2016  
 Primary  General  
 Other (specify) ▼

**011**  
Category/  
Type

Date of Disbursement

/  /

**Transaction ID : 966CDC48ED5038BE053**

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

**C. Kuster for Congress, Inc**

Mailing Address PO Box 1498

City Concord State NH Zip Code 03302

Purpose of Disbursement  
2016 Primary

Candidate Name

**Ann McLane Kuster**

Office Sought:  House  
 Senate  
 President  
State: NH District: 02

Disbursement For: 2016  
 Primary  General  
 Other (specify) ▼

**011**  
Category/  
Type

Date of Disbursement

/  /

**Transaction ID : 27150D33E4F8F297B8B**

Amount of Each Disbursement this Period

Memo Item

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kyrsten Sinema for Congress**

Mailing Address PO Box 25879

City State Zip Code  
Tempe AZ 85285

Purpose of Disbursement  
2016 General

011

Candidate Name

**Kyrsten Sinema**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2016  
 Primary  General  
 Other (specify) ▼

State: AZ District: 09

Date of Disbursement

MM / DD / YYYY  
02 / 04 / 2016

**Transaction ID : A508EAD25A74B8FC736**

Amount of Each Disbursement this Period

1500.00

Memo Item

Full Name (Last, First, Middle Initial)

**B. McCaul for Congress, Inc**

Mailing Address 815-A Brazos St  
Pmb 230

City State Zip Code  
Austin TX 78701-2514

Purpose of Disbursement  
2016 Primary

011

Candidate Name

**Michael Thomas McCaul Sr.**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2016  
 Primary  General  
 Other (specify) ▼

State: TX District: 10

Date of Disbursement

MM / DD / YYYY  
02 / 09 / 2016

**Transaction ID : A8C71EA5E6BC12EB1B5**

Amount of Each Disbursement this Period

1000.00

Memo Item

Full Name (Last, First, Middle Initial)

**C. Perlmutter for Congress**

Mailing Address 3440 Youngfield Street  
#264

City State Zip Code  
Wheat Ridge CO 80033

Purpose of Disbursement  
2016 Primary

011

Candidate Name

**Edwin George Perlmutter**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2016  
 Primary  General  
 Other (specify) ▼

State: CO District: 07

Date of Disbursement

MM / DD / YYYY  
02 / 04 / 2016

**Transaction ID : 1987ECF515A0AC48F9D**

Amount of Each Disbursement this Period

2000.00

Memo Item

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

4500.00

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Roskam for Congress Committee**

Mailing Address PO Box 713

City State Zip Code  
Wheaton IL 60187

Purpose of Disbursement  
2016 Primary

**011**  
Category/  
Type

Candidate Name

**Peter James Roskam**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2016  
 Primary  General  
 Other (specify) ▼

State: IL District: 06

Date of Disbursement

MM / DD / YYYY  
02 / 25 / 2016

**Transaction ID : 2E354050D3090A77E17**

Amount of Each Disbursement this Period

1000.00

Memo Item

Full Name (Last, First, Middle Initial)

**B. Rothfus for Congress**

Mailing Address PO Box 435

City State Zip Code  
Sewickley PA 15143

Purpose of Disbursement  
2016 Primary

**011**  
Category/  
Type

Candidate Name

**Keith James Rothfus**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2016  
 Primary  General  
 Other (specify) ▼

State: PA District: 12

Date of Disbursement

MM / DD / YYYY  
02 / 18 / 2016

**Transaction ID : C6FC6F97F53DB0942D6**

Amount of Each Disbursement this Period

1000.00

Memo Item

Full Name (Last, First, Middle Initial)

**C. Scott Peters for Congress**

Mailing Address PO Box 75357

City State Zip Code  
Washington DC 20013

Purpose of Disbursement  
2016 General

**011**  
Category/  
Type

Candidate Name

**Scott H. Peters**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2016  
 Primary  General  
 Other (specify) ▼

State: CA District: 52

Date of Disbursement

MM / DD / YYYY  
02 / 18 / 2016

**Transaction ID : 9DA8CDDBB8187A53E26**

Amount of Each Disbursement this Period

1000.00

Memo Item

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

3000.00

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Securities Industry and Financial Markets Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Scott Peters for Congress**

Mailing Address PO Box 75357

City Washington State DC Zip Code 20013

Purpose of Disbursement  
2016 Primary

011

Category/  
Type

Candidate Name

**Scott H. Peters**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2016  
 Primary  General  
 Other (specify) ▼

State: CA District: 52

Date of Disbursement

MM / DD / YYYY  
02 / 18 / 2016

Transaction ID : 5F6D589339A9C1E65F3

Amount of Each Disbursement this Period

1500.00

Memo Item

Full Name (Last, First, Middle Initial)

**B. Terri Sewell for Congress**

Mailing Address PO Box 1964

City Birmingham State AL Zip Code 35201

Purpose of Disbursement  
2016 Primary

011

Category/  
Type

Candidate Name

**Terri Andrea Sewell**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2016  
 Primary  General  
 Other (specify) ▼

State: AL District: 07

Date of Disbursement

MM / DD / YYYY  
02 / 09 / 2016

Transaction ID : 92D1C4089D042480374

Amount of Each Disbursement this Period

1000.00

Memo Item

Full Name (Last, First, Middle Initial)

**C. Tony Cardenas for Congress**

Mailing Address 249 E. Ocean Blvd. Suite 685

City Long Beach State CA Zip Code 90802

Purpose of Disbursement  
2016 Primary

011

Category/  
Type

Candidate Name

**Tony Cardenas**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2016  
 Primary  General  
 Other (specify) ▼

State: CA District: 29

Date of Disbursement

MM / DD / YYYY  
02 / 25 / 2016

Transaction ID : 65AAA613D0E28C89B80

Amount of Each Disbursement this Period

1000.00

Memo Item

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

3500.00

**TOTAL** This Period (last page this line number only)..... ▶

33000.00