Image# 15950528136			_		PAGE 1 / 9
FEC	REPORT OF AND DISBUR for Other Than An Auth	SEMENTS	S	Office	Jse Only
1. NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typir over the lines.	ng, type 12	2FE4M5	
ADDRESS (number and street)	1100 PLAZA FIVE				
Check if different than previously reported. (ACC)				IJ 0792	22
2. FEC IDENTIFICATION NU		Y 🔺	STA	TE 🔺	ZIP CODE
C C00555979	3. IS Rf		IEW N) OR	AMENDED (A))
 4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report (Q July 15 Quarterly Report (Q 	1) (c) 12-Day	20 (M3)	May 20 (M5) Jun 20 (M6) Jul 20 (M7)	Aug 20 (M8) Sep 20 (M9) Oct 20 (M10 General (12G)	(Non-Election Year Only) Dec 20 (M12) (Non-Election Year Only)
October 15 Quarterly Report (Q X January 31 Year-End Report (YI July 31 Mid-Year Report (Non-electior Year Only) (MY)	E) (d) 30-Day	n on General (30G		Special (12S)	in the State of Special (30S)
Termination Report (TER)	Election	n on	D D / Y	Y Y Y Y	in the State of
5. Covering Period	25 / YYYY 2014	v through	12 /		014
I certify that I have examined thi Type or Print Name of Treasurer	-	my knowledge and b	pelief it is true, c	correct and comple	ete.
Signature of Treasurer	Worman	[Electronically	<i>Filed]</i> Date	01 / D 3	0 / Y Y Y Y Y 2015
NOTE: Submission of false, errone	ous, or incomplete information	may subject the pers	son signing this F	Report to the penal	ties of 2 U.S.C. §437g.
Office Use Only					C FORM 3X Rev. 12/2004

01/30/2015 13 : 47

—	SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS
FEC Form 3X (Rev. 02/2003)	
Write or Type Committee Name	
ICAP NORTH AMERICA INC PAC	

Page 2

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2014		0.00
	(b) Cash on Hand at Beginning of Reporting Period	29324.96	
	(c) Total Receipts (from Line 19)	22341.49	51666.45
	(d) Subtotal (add Lines 6(b) and6(c) for Column A and Lines6(a) and 6(c) for Column B)	51666.45	51666.45
7.	Total Disbursements (from Line 31)	0.00	0.00
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	51666.45	51666.45
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DET	AILED SUMMARY PAGE	
FEC Form 3X (Rev. 06/2004)	of Receipts	Page 3
Write or Type Committee Name		
ICAP NORTH AMERICA INC PAC		
M M /	/ D D / Y Y Y Y	M = M / D = D / Y = Y = Y = Y
Report Covering the Period: From: 11	25 2014	To: 12 31 2014
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other		
Than Political Committees (i) Itemized (use Schedule A)	21249.93	50291.57
() (
(ii) Unitemized (iii) TOTAL (add	1091.56	1374.88
Lines 11(a)(i) and (ii)	22341.49	51666.45
		0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines		
11(a)(iii), (b), and (c)) (Carry	22341.49	51666.45
Totals to Line 33, page 5)	, , , , , , , , , , , , , , , , , , , ,	
Party Committees	0.00	0.00
40 All Loope Descind	0.00	0.00
13. All Loans Received		
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures		
(Refunds, Rebates, etc.)	0.00	0.00
(Carry Totals to Line 37, page 5) 16. Refunds of Contributions Made		0.00
to Federal Candidates and Other		
Political Committees	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.)	0.00	0.00
18. Transfers from Non-Federal and Levin Funds	7 7 7 8000	
(a) Non-Federal Account	0.00	
(from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
19. Total Receipts (add Lines 11(d),		
12, 13, 14, 15, 16, 17, and 18(c))▶	22341.49	51666.45
20. Total Federal Receipts		
(subtract Line 18(c) from Line 19)►	22341.49	51666.45
	7 7 7	7 7 7

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)		Page 4
II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
 Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4) 		
(i) Federal Share	0.00	0.0
(ii) Non-Federal Share	0.00	0.0
(b) Other Federal Operating Expenditures	0.00	0.0
(c) Total Operating Expenditures		
(add 21(a)(i), (a)(ii), and (b)) Transfers to Affiliated/Other Party	• 0.00	0.0
Committees Contributions to	0.00	0.0
Federal Candidates/Committees and Other Political Committees	0.00	0.00
Independent Expenditures (use Schedule E)	0.00	0.0
(use Schedule E) Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.0
Loan Repayments Made		0.00
Loans Made	0.00	0.00
Refunds of Contributions To: (a) Individuals/Persons Other Than Political Committees	0.00	0.0
(b) Delitical Darty Committees	0.00	0.0
(b) Political Party Committees(c) Other Political Committees		
(such as PACs)	0.00	0.0
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	0.0
Other Disbursements	0.00	0.0
Endered Election Activity (2010 - \$421(2)		
Federal Election Activity (2 U.S.C. §431(2) (a) Allocated Federal Election Activity		
(from Schedule H6) (i) Federal Share	0.00	0.0
(ii) "Levin" Share(b) Federal Election Activity Paid Entirely	0.00	0.0
With Federal Funds	0.00	0.0
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))		0.00
Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	0.00	0.0
Total Federal Disbursements		
(subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)	0.00	0.00
	7 7	

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DETAILED SUMMARY PAGE

of Disbursements

II. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
 Total Contributions (other than loans) (from Line 11(d), page 3) 	22341.49	51666.45
 Total Contribution Refunds (from Line 28(d)) 	0.00	0.00
. Net Contributions (other than loans) (subtract Line 34 from Line 33)	22341.49	51666.45
. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))►	0.00	0.00
Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page FOR LINE NUMBER:

(check only one)

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			Detailed Summary Page		< 11a		11b	11c		12							
					13		14	15		16		17					
	y information copied from such Reports and for commercial purposes, other than using																
	NAME OF COMMITTEE (In Full)		, , , , , , , , , , , , , , , , , , ,														
$ \rangle$	ICAP NORTH AMERICA INC	PAC															
\square																	
	Full Name (Last, First, Middle Initial) Matthew Aliapoulios				Data a	τD	int										
Α.	Mailing Address 1100 Plaza Five			_	Date o		· .			N/	N/						
	Harborside Financial Cente	r			12	1	11) / ү		014	Y						
	City	State	Zip Code		Trans	sac	tion ID :	SA11AI.									
	Jersey City	NJ	07922	Amount of Each Receipt this Period													
	FEC ID number of contributing	С															
	federal political committee.	U			<u> </u>	1	7		-			4					
	Name of Employer	Occupation		- '	Check c	ont	ribution										
	ICAP North America LLC	Broker															
	Receipt For:	Aggregate	Year-to-Date ▼														
	Primary General Other (specify)		3000.00	11													
			<i>a</i>	11													
	Full Name (Last, First, Middle Initial)																
	Gregory Compa				Date o	f R	eceipt										
	Mailing Address 1100 Plaza Five				M – M) / Y		Y	Y						
	Harborside Financial Cente	er State	Zip Code	_	12	١.	31)14	_						
	Jersey City							Transaction ID : SA11AI.4172 Amount of Each Receipt this Period									
	FEC ID number of contributing									chica	_	-					
	federal political committee.	С			L		7			375.	.00						
	Name of Employer	Occupation		\$	Semi-mo	onth	ly payro	ll deducti	on -	\$125.	.00						
	ICAP North America LLC		liance Officer - SEF														
	Receipt For:		Year-to-Date ▼														
	Primary General	riggrogato		11.													
	Other (specify)		375.00	4													
<u>с.</u>	Full Name (Last, First, Middle Initial) Todd Creek				Date o	f R	eceint										
	Mailing Address 1100 Plaza Five				M M		/ D C) / Y	Y	Y	Y						
	Harborside Financial Cente				12		04		20)14							
	City Jersey City	State NJ	Zip Code 07922					SA11AI.									
			01322	-	Amoun	t of	Each H	leceipt th	is F	'eriod	_	_					
	FEC ID number of contributing federal political committee.							2500.00 Check contribution									
	Name of Employer	Occupation				,0111	indution										
	ICAP North America LLC	President I	CAP Energy LLC														
	Receipt For:	Aggregate	Year-to-Date ▼														
	Other (specify)		2500.00	2500.00													

TOTAL This Period (last page this line number only).....

FEC Schedule A (Form 3X) Rev. 02/2003

SCHEDULE A (FEC Form 3X) FMIZED RECEIPTS

Use separate schedule(s)

FOR LINE NUMBER:

(check only one)

PAGE

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TIEMIZED RECEIPTS	Detailed Summar	
		sed by any person for the purpose of soliciting contributions cal committee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full)	NC PAC	
Full Name (Last, First, Middle Initial) A. Nicholas Deflora Mailing Address 1100 Plaza Five Harborside Financial (City Jersey City FEC ID number of contributing federal political committee. Name of Employer ICAP North America LLC Receipt For: Primary General Other (specify)	State Zip Code NJ 07922 C Occupation Chief Exec Officer - Voice Brokin Aggregate Year-to-Date ▼	Date of Receipt Date of Receipt 11 25 2014 Transaction ID : SA11AI.4150 Amount of Each Receipt this Period Amount of Each Receipt this Period Check contribution 3000.00
B. Full Name (Last, First, Middle Initial) Mailing Address 1100 Plaza Five Harborside Financial	Center	Date of Receipt
City Jersey City FEC ID number of contributing federal political committee. Name of Employer	State Zip Code NJ 07922	Transaction ID : SA11AI.4156 Amount of Each Receipt this Period 2000.00 Check contribution
ICAP North America LLC Receipt For: Primary General Other (specify) ▼	President ICAP Securities USA L Aggregate Year-to-Date ▼	LLC 2000.00
Full Name (Last, First, Middle Initial) C. Daniel Lago		Date of Receipt
Mailing Address 1100 Plaza Five Harborside Financial City Jersey City FEC ID number of contributing federal political committee. Name of Employer ICAP North America LLC Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07922 C Occupation Head of Equities Aggregate Year-to-Date ▼	12 31 2014 Transaction ID : SA11AL.4166 Amount of Each Receipt this Period 312.48 Semi-monthly payroll deduction - \$104.16
SUBTOTAL of Receipts This Page (opti	onal)	5312.48

TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X) EMIZED RECEIPTS

Use separate schedule(s)

FOR LINE NUMBER:

(check only one)

PAGE

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	for each category of the Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16 17
or for commercial purposes, other than usin	nd Statements may not be sold or used by any p g the name and address of any political committe	
NAME OF COMMITTEE (IN Full)	C PAC	
Full Name (Last, First, Middle Initial) A. Gil Mandelzis Mailing Address 1100 Plaza Five Harborside Financial Cer City Jersey City FEC ID number of contributing federal political committee. Name of Employer ICAP North America LLC Receipt For: Primary General Other (specify) ▼	ter State Zip Code NJ 07922 C Occupation Chief Executive Officer - EBS Aggregate Year-to-Date ▼ 2000.00	Date of Receipt 12 23 2014 Transaction ID : SA11AI.4162 Amount of Each Receipt this Period 2000.00 Check contribution
B. John Nixon Mailing Address 1100 Plaza Five Harborside Financial Cer City Jersey City	nter State Zip Code NJ 07922	Date of Receipt
FEC ID number of contributing federal political committee. Name of Employer ICAP North America LLC Receipt For:	C Occupation Executive Director Aggregate Year-to-Date ▼ 833.30	Semi-monthly payroll deduction - \$166.66
Full Name (Last, First, Middle Initial) C. Laurent Paulhac Mailing Address 1100 Plaza Five Harborside Financial Cer City Jersey City FEC ID number of contributing federal political committee. Name of Employer ICAP North America LLC Receipt For: Primary General Other (specify)	nter State Zip Code NJ 07922 C Occupation Chief Executive Officer - ICAP SEF Aggregate Year-to-Date ▼ 5000.00	Date of Receipt
SUBTOTAL of Receipts This Page (optional	al)	7499.98

TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X) ľ

Use separate schedule(s)

FOR LINE NUMBER:

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iπ.			Use separate schedule(s)	(check onl	y one)				
11	EMIZED RECEIPTS		for each category of the Detailed Summary Page	X 11a	11b	11c	12	_	17
Ar	ny information copied from such Reports a	nd Statements ma	y not be sold or used by any p	erson for the	purpose o	f soliciting	contrib	utions	
or	for commercial purposes, other than using	g the name and a	ddress of any political committee	e to solicit co	ntributions	from such	n commi	ttee.	
	NAME OF COMMITTEE (In Full)	C PAC							
Α.	Full Name (Last, First, Middle Initial) Christina Sanchez Hall			Date o	f Receipt				
	Mailing Address 1100 Plaza Five Harborside Financial Cen	tor		12	/ 0		2014	Y	
	City	State	Zip Code		action ID			-	
	Jersey City	NJ	07922		t of Each I			d	
	FEC ID number of contributing federal political committee.	С				7	31	2.48	
	Name of Employer	Occupation		Semi-m	onthly payr	oll deduct	ion - \$10	94.16	
	ICAP North America LLC	Broker							
	Receipt For:	Aggregate	Year-to-Date ▼						
	Primary General Other (specify) ▼		312.48	1					
В.	Full Name (Last, First, Middle Initial) Gerard Varjacques			Date o	f Receipt				
	Mailing Address 1100 Plaza Five Harborside Financial Cer	ter		M M 11	/ D 25		2014	Y	
	City	State	Zip Code	Trans	action ID :	SA11AL	4152		
	Jersey City	NJ	07922	Amoun	t of Each I	Receipt th	is Perio	d	
	FEC ID number of contributing federal political committee.	С			y	7	200	0.00	
	Name of Employer ICAP North America LLC	Occupation Global Head	l of EBS sales	Check c	ontribution				
	Receipt For:	Aggregate	Year-to-Date ▼						
	Other (specify) ▼		, 2000.00]					
— c.	Full Name (Last, First, Middle Initial) Nick Vitalo			Date o	f Receipt				
	Mailing Address 1100 Plaza Five Harborside Financial Cer			12	/ D 31		2014	Y	
	City Jersey City	State NJ	Zip Code 07922		saction ID				
	FEC ID number of contributing federal political committee.	С		Amoun	t of Each I	Receipt th		u 9.99	٦
	Name of Employer	Occupation		Semi-m	onthly payr	oll deduct	ion - \$83	3.33	
	ICAP North America LLC	Senior Vice	President						
	Receipt For:		Year-to-Date V						
	Primary General Other (specify) ▼	Aggregate	249.99	1					

TOTAL This Period (last page this line number only)					212	249.93
	_	- 7	-	f		