

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

FEDERAL ELECTION COMMISSION  
U.S. DEPARTMENT OF JUSTICE

APR 15 10 02 AM '98

1. NAME OF COMMITTEE (in Full) <b>Bankers Trust New York Corporation Political Action Committee</b>	
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported <b>130 Liberty Street</b>	2. FEC IDENTIFICATION NUMBER <b>C00097089</b>
CITY, STATE and ZIP CODE <b>New York, NY 10006</b>	3. <input checked="" type="checkbox"/> This committee has qualified as a multicandidate committee. (See FEC FORM 1M)

## 4. TYPE OF REPORT

(a)  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report

Monthly Report Due On:  
 February 20  June 20  October 20  
 March 20  July 20  November 20  
 April 20  August 20  December 20  
 May 20  September 20  January 31

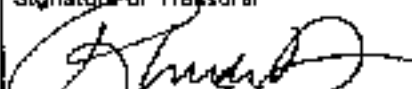
Twelfth day report preceding \_\_\_\_\_ (Type of Election)  
election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_  
in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>01/01/98</u> through <u>03/31/98</u>		
6. (a) Cash on Hand January 1, 19 <u>98</u> .....		\$ 174,386.49
(b) Cash on Hand at Beginning of Reporting Period.....	\$ 174,386.49	
(c) Total Receipts (from line 19).....	\$ 14,205.98	\$ 14,205.98
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	\$ 188,592.47	\$ 188,592.47
7. Total Disbursements (from Line 20).....	\$ 0.00	\$ 0.00
8. Cash on Hand at Close of Reporting Period (Subtract Line 7 from Line 6(d))..	\$ 188,592.47	\$ 188,592.47
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D).....	\$ 0.00	For further information: Federal Election Commission 999 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-219-3420
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D).....	\$ 0.00	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name Of Treasurer <b>Rhonda Pohl - ASST. TREAS</b>	Date <b>4/14/98</b>
Signature of Treasurer 	

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. 5437g.

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FEC FORM 3X

(Revised 9/93)

# DETAILED SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

PAGE 2, FEC FORM 3X

Revised (1/1/91)

NAME OF COMMITTEE <b>Bankers Trust New York Corporation Political Action Committee</b>	REPORT COVERING PERIOD	
	FROM:	TO:
<b>I. Receipts</b>	COLUMN A Total This Period	COLUMN B Calendar Year
11. Contributions (other than loans) From:		
a. Individual/Persons Other Than Political Committees		
i. Itemized (Use Schedule A).....	9,499.98	9,499.98
ii. Unitemized.....	4,706.00	4,706.00
iii. Total.....(add i and ii) >	14,205.98	14,205.98
b. Political Party Committees.....	0.00	0.00
c. Other Political Committees (such as PACs).....	0.00	0.00
d. Total Contributions.....(add aiii, b and c) >	14,205.98	14,205.98
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received.....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
16. Offsets To Operating Expenditures (Refunds, Rebates, etc.).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Nonfederal Account for Joint Activity.....	0.00	0.00
19. Total Receipts.....(add 11d, 12, 13, 14, 16, 16, 17, and 18) >	14,205.98	14,205.98
20. Total Federal Receipts.....(subtract line 18 from line 19) >	14,205.98	14,205.98
<b>II. Disbursements</b>		
21. Operating Expenditures:		
a. Shared Federal/Non-Federal Activity (from Schedule H4)		
i. Federal Share.....	0.00	0.00
ii. Non-Federal Share.....	0.00	0.00
b. Other Federal Operating Expenditures.....	0.00	0.00
c. Total Operating Expenditures.....(Add ai, ii, and b) >	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	0.00
24. Independent Expenditures (use Schedule E).....	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
a. Individuals/Persons Other Than Political Committees.....	0.00	0.00
b. Political Party Committees.....	0.00	0.00
c. Other Political Committees (Such As PACs).....	0.00	0.00
d. Total Contribution Refunds.....(Add a, b, and c) >	0.00	0.00
29. Other Disbursements.....	0.00	0.00
30. Total Disbursements.....(Add 21c, 22, 23, 24, 26, 26, 27, 28d, and 29) >	0.00	0.00
31. Total Federal Disbursements.....(Subtract line 21 aii from line 30) >	0.00	0.00
<b>III. Net Contributions/Operating Expenditures</b>		
32. Total Contributions (Other than loans) from line 11d).....	14,205.98	14,205.98
33. Total Contribution Refunds (from line 28d).....	0.00	0.00
34. Net Contributions (Other than loans) [subtract line 33 from 32].....	14,205.98	14,205.98
35. Total Federal Operating Expenditures.....(add 21 ai and 21 bi) >	0.00	0.00
36. Offsets to Operating Expenditures (from line 15).....	0.00	0.00
37. Net Operating Expenditures.....(subtract line 36 from 35) >	0.00	0.00

**SCHEDULE A**

**ITEMIZED RECEIPTS**

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address or any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in full)  
**Bankers Trust New York Corporation Political Action Committee**

<b>A. Full Name, Mailing Address and Zip Code</b> <b>Richard A. Marin</b> <b>280 Park Ave.</b> <b>3E</b> <b>New York, NY 10017</b>		<b>Name of Employer</b> <b>Bankers Trust</b>	<b>Date (Month day, Year)</b>  <b>Payroll</b>  <b>Deduction</b>	<b>Amount of Each Receipt this Period</b>  <b>450.00</b> <b>(\$150.00)</b> <b>Monthly)</b>
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)		<b>Aggregate Year-to-date &gt; \$</b> 450.00		
<b>B. Full Name, Mailing Address and Zip Code</b> <b>Frank Newman</b> <b>130 Liberty Street</b> <b>34th Floor</b> <b>New York, NY 10006</b>		<b>Name of Employer</b> <b>Bankers Trust</b>	<b>Date (Month day, Year)</b>  <b>Payroll</b>  <b>Deduction</b>	<b>Amount of Each Receipt this Period</b>  <b>1,249.98</b> <b>(\$416.66)</b> <b>Monthly)</b>
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)		<b>Aggregate Year-to-date &gt; \$</b> 1,249.98		
<b>C. Full Name, Mailing Address and Zip Code</b> <b>Frank V. Nash</b> <b>130 Liberty Street</b> <b>34th Floor</b> <b>New York, NY 10006</b>		<b>Name of Employer</b> <b>BT Alex. Brown</b>	<b>Date (Month day, Year)</b>  <b>Payroll</b>  <b>Deduction</b>	<b>Amount of Each Receipt this Period</b>  <b>300.00</b> <b>(\$100.00)</b> <b>Monthly)</b>
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)		<b>Aggregate Year-to-date &gt; \$</b> 300.00		
<b>D. Full Name, Mailing Address and Zip Code</b> <b>Jody Meth</b> <b>4 Albany Street</b> <b>New York, NY</b>		<b>Name of Employer</b> <b>Bankers Trust</b>	<b>Date (Month day, Year)</b>  <b>Payroll</b>  <b>Deduction</b>	<b>Amount of Each Receipt this Period</b>  <b>225.00</b> <b>(\$75.00)</b> <b>Monthly)</b>
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)		<b>Aggregate Year-to-date &gt; \$</b> 225.00		
<b>E. Full Name, Mailing Address and Zip Code</b> <b>Tom Keilbach</b> <b>130 Liberty</b> <b>New York, NY 10006</b>		<b>Name of Employer</b> <b>Bankers Trust</b>	<b>Date (Month day, Year)</b>  <b>Payroll</b>  <b>Deduction</b>	<b>Amount of Each Receipt this Period</b>  <b>300.00</b> <b>(\$100.00)</b> <b>Monthly)</b>
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)		<b>Aggregate Year-to-date &gt; \$</b> 300.00		
<b>F. Full Name, Mailing Address and Zip Code</b> <b>Robert Hysbe</b> <b>280 Park Avenue</b> <b>33 West</b> <b>New York, NY</b>		<b>Name of Employer</b> <b>Bankers Trust</b>	<b>Date (Month day, Year)</b>  <b>Payroll</b>  <b>Deduction</b>	<b>Amount of Each Receipt this Period</b>  <b>225.00</b> <b>(\$75.00)</b> <b>Monthly)</b>
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)		<b>Aggregate Year-to-date &gt; \$</b> 225.00		
<b>G. Full Name, Mailing Address and Zip Code</b> <b>Salvatore Iannuzzi</b> <b>130 Liberty Street</b> <b>33rd Floor</b> <b>New York, NY 10006</b>		<b>Name of Employer</b> <b>Bankers Trust</b>	<b>Date (Month day, Year)</b>  <b>Payroll</b>  <b>Deduction</b>	<b>Amount of Each Receipt this Period</b>  <b>1,200.00</b> <b>(\$400.00)</b> <b>Monthly)</b>
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)		<b>Aggregate Year-to-date &gt; \$</b> 1,200.00		
SUB TOTAL of Receipts This Page (Optional).....>				
TOTAL this Period (Last page this line number only).....>				<b>3,949.98</b>

**SCHEDULE A**

**ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (in full)  
**Bankers Trust New York Corporation Political Action Committee**

<b>A. Full Name, Mailing Address and Zip Code</b> Rodney McLaughlan 130 Liberty 34th Floor New York, NY 10006	Name of Employer <b>Bankers Trust</b>	Date (Month day, Year) Payroll	Amount of Each Receipt this Period 510.00 (\$170.00 Monthly)
	Occupation <b>Banker</b>	Deduction	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)	Aggregate Year-to-date > \$ <b>510.00</b>		
<b>B. Full Name, Mailing Address and Zip Code</b> Timothy Keaney 130 Liberty Street 21 Floor New York, NY 10006	Name of Employer <b>Bankers Trust</b>	Date (Month day, Year) Payroll	Amount of Each Receipt this Period 240.00 (\$80.00 Monthly)
	Occupation <b>Bankers</b>	Deduction	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)	Aggregate Year-to-date > \$ <b>240.00</b>		
<b>C. Full Name, Mailing Address and Zip Code</b> Peter K. Scaturro 280 Park Avenue 3E New York, NY	Name of Employer <b>Bankers Trust</b>	Date (Month day, Year) Payroll	Amount of Each Receipt this Period 225.00 (\$75.00 Monthly)
	Occupation <b>Banker</b>	Deduction	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)	Aggregate Year-to-date > \$ <b>225.00</b>		
<b>D. Full Name, Mailing Address and Zip Code</b> David L. Hinds 130 Liberty Street New York, NY 10006	Name of Employer <b>Bankers Trust</b>	Date (Month day, Year) Payroll	Amount of Each Receipt this Period 300.00 (\$100.00 Monthly)
	Occupation <b>Banker</b>	Deduction	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)	Aggregate Year-to-date > \$ <b>300.00</b>		
<b>E. Full Name, Mailing Address and Zip Code</b> Roger Baumann 130 Liberty Street 33rd Floor New York, NY 10006	Name of Employer <b>Bankers Trust</b>	Date (Month day, Year) Payroll	Amount of Each Receipt this Period 300.00 (\$100.00 Monthly)
	Occupation <b>Banker</b>	Deduction	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)	Aggregate Year-to-date > \$ <b>300.00</b>		
<b>F. Full Name, Mailing Address and Zip Code</b> Thomas C. Kang 130 Liberty Street 33rd Floor New York, NY 10006	Name of Employer <b>BT Alex. Brown</b>	Date (Month day, Year) Payroll	Amount of Each Receipt this Period 225.00 (\$75.00 Monthly)
	Occupation <b>Banker</b>	Deduction	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)	Aggregate Year-to-date > \$ <b>225.00</b>		
<b>G. Full Name, Mailing Address and Zip Code</b> Frank Minard 130 Liberty Street 35th Floor New York, NY 10006	Name of Employer <b>Bankers Trust</b>	Date (Month day, Year) Payroll	Amount of Each Receipt this Period 600.00 (\$200.00 Monthly)
	Occupation <b>Banker</b>	Deduction	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)	Aggregate Year-to-date > \$ <b>600.00</b>		

SUB TOTAL of Receipts This Page (Optional).....> **2,400.00**

TOTAL this Period (Last page this line number only).....>

**SCHEDULE A**

**ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (in full)  
**Bankers Trust New York Corporation Political Action Committee**

A. Full Name, Mailing Address and Zip Code	Name of Employer	Date (Month day, Year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)			
Occupation Aggregate Year-to-date > \$			
B. Full Name, Mailing Address and Zip Code			
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)			
Occupation Aggregate Year-to-date > \$			
C. Full Name, Mailing Address and Zip Code <b>Arthur Penn</b> <b>130 Liberty Street</b> <b>37th Floor</b> <b>New York, NY 10006</b>			
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)			
Occupation Aggregate Year-to-date > \$ <b>300.00</b>			
D. Full Name, Mailing Address and Zip Code <b>Jeff Gilbert</b> <b>130 Liberty</b> <b>New York, NY 10006</b>			
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)			
Occupation Aggregate Year-to-date > \$ <b>300.00</b>			
E. Full Name, Mailing Address and Zip Code <b>Douglas Kidd</b> <b>130 Liberty Street</b> <b>27th Floor</b> <b>New York, NY 10006</b>			
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)			
Occupation Aggregate Year-to-date > \$ <b>450.00</b>			
F. Full Name, Mailing Address and Zip Code <b>Dalton Dwyer</b> <b>130 Liberty Street</b> <b>30th Floor</b> <b>New York, NY 10006</b>			
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)			
Occupation Aggregate Year-to-date > \$ <b>300.00</b>			
G. Full Name, Mailing Address and Zip Code <b>Richard Daniel</b> <b>130 Liberty Street</b> <b>31st Floor</b> <b>New York, NY 10006</b>			
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)			
Occupation Aggregate Year-to-date > \$ <b>1,200.00</b>			
SUB TOTAL of Receipts This Page (Optional).....>			<b>2,550.00</b>
TOTAL this Period (Last page this line number only).....>			

# SCHEDULE A ITEMIZED RECEIPTS

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in full)  
**Bankers Trust New York Corporation Political Action Committee**

<b>A. Full Name, Mailing Address and Zip Code</b> <b>Thomas L. Ventling</b> <b>300 S. Grand Ave.</b> <b>Los Angeles, CA 90071</b>		<b>Name of Employer</b> <b>Bankers Trust</b>	<b>Date (Month day, Year)</b>  <b>Payroll</b>	<b>Amount of Each Receipt this Period</b>  <b>225.00</b>
<b>Receipt For:</b> <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)		<b>Occupation</b> <b>Banker</b>	<b>Deduction</b>	<b>(\$75.00 Monthly)</b>
<b>B. Full Name, Mailing Address and Zip Code</b> <b>Howard M. Schneider</b> <b>130 Liberty Street</b> <b>New York, NY 10006</b>		<b>Name of Employer</b> <b>BT Alex. Brown</b>	<b>Date (Month day, Year)</b>  <b>Payroll</b>	<b>Amount of Each Receipt this Period</b>  <b>375.00</b>
<b>Receipt For:</b> <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)		<b>Occupation</b> <b>Banker</b>	<b>Deduction</b>	<b>(\$125.00 Monthly)</b>
<b>C. Full Name, Mailing Address and Zip Code</b>		<b>Name of Employer</b>	<b>Date (Month day, Year)</b>	<b>Amount of Each Receipt this Period</b>
<b>Receipt For:</b> <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)		<b>Occupation</b>	<b>Aggregate Year-to-date &gt; \$</b>	
<b>D. Full Name, Mailing Address and Zip Code</b>		<b>Name of Employer</b>	<b>Date (Month day, Year)</b>	<b>Amount of Each Receipt this Period</b>
<b>Receipt For:</b> <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)		<b>Occupation</b>	<b>Aggregate Year-to-date &gt; \$</b>	
<b>E. Full Name, Mailing Address and Zip Code</b>		<b>Name of Employer</b>	<b>Date (Month day, Year)</b>	<b>Amount of Each Receipt this Period</b>
<b>Receipt For:</b> <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)		<b>Occupation</b>	<b>Aggregate Year-to-date &gt; \$</b>	
<b>F. Full Name, Mailing Address and Zip Code</b>		<b>Name of Employer</b>	<b>Date (Month day, Year)</b>	<b>Amount of Each Receipt this Period</b>
<b>Receipt For:</b> <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)		<b>Occupation</b>	<b>Aggregate Year-to-date &gt; \$</b>	
<b>G. Full Name, Mailing Address and Zip Code</b>		<b>Name of Employer</b>	<b>Date (Month day, Year)</b>	<b>Amount of Each Receipt this Period</b>
<b>Receipt For:</b> <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (Specify)		<b>Occupation</b>	<b>Aggregate Year-to-date &gt; \$</b>	
<b>SUB TOTAL of Receipts This Page (Optional)</b>				<b>600.00</b>
<b>TOTAL this Period (Last page this line number only)</b>				<b>9,499.98</b>

Federal Election Commission

**ENVELOPE REPLACEMENT PAGE  
FOR INCOMING DOCUMENTS**

The Commission has added this page to the end of this filing to indicate how it was received.

<input checked="" type="checkbox"/> Hand Delivered	Date of Receipt 4/15/98
<input type="checkbox"/> First Class Mail	POSTMARKED
<input type="checkbox"/> Registered/Certified Mail	POSTMARKED
<input type="checkbox"/> No Postmark	
<input type="checkbox"/> Postmark Illegible	
<input type="checkbox"/> Received from the House office of Records and Registration	Date of Receipt
<input type="checkbox"/> Received from the Senate Office of Public Records	Date of Receipt
<input type="checkbox"/> Other ( Specify):	Postmarked and/or Date of Receipt
<input type="checkbox"/> Electronic Filing	
 <i>Rpg</i> PREPARER	 4/15/98 DATE PREPARED