



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-3

April 4, 1996

Lewis Bart Stone, Esq., Treasurer
New York Republican Federal
Campaign Committee
c/o Rogers & Wells
200 Park Avenue
New York, NY 10166

Identification Number: C00055582

Reference: ~~Amended Mid-Year (1/1/95-6/30/95, dated 11/3/95) and
Year End (7/1/95-12/31/95) Reports~~

Dear Mr. Stone:

This letter is to inform you that as of April 3, 1996, the Commission has not received your response to our requests for additional information, dated March 13, 1996. These notices request information essential to full public disclosure of your federal election campaign finances. To ensure compliance with the provisions of the Federal Election Campaign Act (the Act), please respond to these requests (copy enclosed).

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions regarding this matter, please contact Donald L. Averett on our toll-free number (800) 424-9530 or our local number (202) 219-3580.

Sincerely,

A handwritten signature in cursive script that reads "John D. Gibson".

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosure



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

RQ-2

Lewis Bart Stone, Esq., Treasurer
New York Republican Federal
Campaign Committee
c/o Rogers & Wells
200 Park Avenue
New York, NY 10166

MAR 13 1996

Identification Number: C00055582

Reference: Amended Mid-Year Report (1/1/95-6/30/95) dated
11/3/95

Dear Mr. Stone:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Please clarify all expenditures for "voter registration" and "get-out-the-vote". In addition, if any of the voter registration or get-out-the-vote activities referenced House or Senate candidates, they should be allocated accordingly, unless merely incidental to the overall activity. If a portion or all of these expenditures were made on behalf of federal candidates, they should be reported on Schedule B or F for Line 23 or 25 of the Detailed Summary Page, as appropriate.

-Schedule H4 of your report discloses payments of administrative/voter drive expenses which appear to reflect improper allocation ratios. Schedule H4 indicates that payments made to National Market Share, Inc. were for expenses incurred in 1994 and were therefore allocated in accordance with the 1994 ratio, however your reports fail to disclose any debts incurred during 1994. Please provide additional information to clarify the nature of these transactions.

Please note that if your non-federal account has overpaid your federal account because of this miscalculation, it will be necessary to immediately transfer these funds back to the non-federal account. While the Commission may take further legal action concerning any impermissible overpayments by the non-federal account, your prompt action will be taken into consideration.

Celebrating the Commission's 20th Anniversary

YESTERDAY, TODAY AND TOMORROW
DEDICATED TO KEEPING THE PUBLIC INFORMED

-Your report discloses a transfer(s) to the N.Y.R.S.C. Operating Acct. which appears to be a non-federal account of your committee. 11 CFR §§106.5 and 106.6 prohibits a committee's federal account from reimbursing its non-federal account for shared allocable expenses. Also, the non-federal account is prohibited from paying the federal account's share of these expenses. These types of costs must be paid according to the allocation ratio derived from the appropriate method on Schedule H1.

If the transfer(s) in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information. If your non-federal account has paid any expenditures which should have been allocated, you are advised to correct any non-compliance with 11 CFR §106.5 and 106.6 and establish procedures to insure future compliance with allocation regulations.

Although the Commission may take further legal action regarding this improper allocation activity, your prompt action will be taken into consideration.

-On Schedule H3 supporting Line 18 of the Detailed Summary Page, you have not provided the unique identifying codes of the Direct Fundraising events for which transfers were received. Please amend your report to include this missing information. 11 CFR §104.10

-On Schedule H4 supporting Line 21(a) of the Detailed Summary Page, you have failed to include a unique identifying title or code for the payments made to U.S. Postal Service, Sprint, Alchar Printing, NYNEX, Lortech Corp., Brigar Mailing, The Mailworks, U.S. Postmaster, Nortel Communications, Times Union and MCI. 11 CFR §104.10. Please amend this report (including all affected schedules) to provide a unique identifying title or code for each PURPOSE/EVENT.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,



Donald L. Averett
Senior Reports Analyst
Reports Analysis Division

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