



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-3

February 21, 1997

Lee W. Mercer, Treasurer  
National Association of Small Business  
Investment Companies Political  
Action Committee  
666 11th Street NW, Suite 750  
Washington, DC 20001

Identification Number: C00109991

Reference: 30 Day Post-General (10/17/96-11/25/96) and Year End (11/26/96-12/31/96) Reports

Dear Mr. Mercer:

This letter is to inform you that as of February 20, 1997, the Commission has not received your response to our request for additional information, dated January 29, 1997. This notice requests information essential to full public disclosure of your federal election campaign finances. To ensure compliance with the provisions of the Federal Election Campaign Act (the Act), please respond to this request (copy enclosed).

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions regarding this matter, please contact Ken Davis on our toll-free number (800) 424-9530 or our local number (202) 219-3580.

Sincerely,

John D. Gibson  
Assistant Staff Director  
Reports Analysis Division

Enclosure



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-2

JAN 29 1997

Peter F. McNeish, Treasurer  
National Association of Small  
Business Investment Companies  
Political Action Committee  
666 11th Street, NW  
Suite 750  
Washington, DC 20001

Identification Number: C00109991

Reference: 30 Day Post-General (10/17/96-11/25/96) and Year End (11/26/96-12/31/96) Reports

Dear Mr. McNeish:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Please provide the totals for Line 11(d), Columns A and B of the Detailed Summary Page. Note that changes in your figures may affect your Column B totals on this report and/or on subsequent reports.

-It appears that the figures you have disclosed on Lines 17 through 20 of the Detailed Summary Page have been inadvertently recorded on the incorrect lines. Please amend your report to disclose your figures on the correct lines of the Detailed Summary Page.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,

A handwritten signature in black ink that reads "Kenneth R. Davis, Jr." with a stylized flourish at the end.

Kenneth R. Davis, Jr.  
Reports Analyst  
Reports Analysis Division