

# FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **TYPE OR PRINT ▼** Example: If typing, type over the lines.

12FE4M5

SVB Financial Group PAC

ADDRESS (number and street) ▼

3005 Tasman Dr

Check if different than previously reported. (ACC)

Santa Clara CA 95054 -

2. **FEC IDENTIFICATION NUMBER ▼** **CITY ▲** **STATE ▲** **ZIP CODE ▲**

C C00333658

3. IS THIS REPORT  NEW (N) **OR**  AMENDED (A)

4. **TYPE OF REPORT**  
(Choose One)

(a) Quarterly Reports:

- April 15 Quarterly Report (Q1)
- July 15 Quarterly Report (Q2)
- October 15 Quarterly Report (Q3)
- January 31 Year-End Report (YE)
- July 31 Mid-Year Report (Non-election Year Only) (MY)
- Termination Report (TER)

- (b) Monthly Report Due On:
- Feb 20 (M2)  May 20 (M5)  Aug 20 (M8)  Nov 20 (M11) (Non-Election Year Only)
  - Mar 20 (M3)  Jun 20 (M6)  Sep 20 (M9)  Dec 20 (M12) (Non-Election Year Only)
  - Apr 20 (M4)  Jul 20 (M7)  Oct 20 (M10)  Jan 31 (YE)

- (c) 12-Day **PRE-Election** Report for the:
- Primary (12P)  General (12G)  Runoff (12R)
  - Convention (12C)  Special (12S)
- Election on MM / DD / YYYY in the State of

- (d) 30-Day **POST-Election** Report for the:
- General (30G)  Runoff (30R)  Special (30S)
- Election on MM / DD / YYYY in the State of

5. Covering Period MM/DD/YYYY 11/01/2013 through 11/30/2013

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Rebecca Olson

Signature of Treasurer

*Rebecca Olson*

[Electronically Filed]

Date

12/09/2013

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only

**FEC FORM 3X**  
Rev. 12/2004

**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

**SVB Financial Group PAC**

Report Covering the Period: From:  /  /  To:  /  /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2013"/>	<input type="text" value="11859.07"/>	<input type="text" value="11859.07"/>
(b) Cash on Hand at Beginning of Reporting Period.....	<input type="text" value="27037.70"/>	
(c) Total Receipts (from Line 19) .....	<input type="text" value="1240.00"/>	<input type="text" value="28605.00"/>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<input type="text" value="28277.70"/>	<input type="text" value="40464.07"/>
7. Total Disbursements (from Line 31).....	<input type="text" value="0.00"/>	<input type="text" value="12186.37"/>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<input type="text" value="28277.70"/>	<input type="text" value="28277.70"/>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<input type="text" value="0.00"/>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<input type="text" value="0.00"/>	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

## DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

**SVB Financial Group PAC**

Report Covering the Period: From: M M / D D / Y Y Y Y 11 / 01 / 2013 To: M M / D D / Y Y Y Y 11 / 30 / 2013

<b>I. Receipts</b>	<b>COLUMN A Total This Period</b>	<b>COLUMN B Calendar Year-to-Date</b>
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	1230.00	25790.00
(ii) Unitemized .....	10.00	2815.00
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	1240.00	28605.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....	1240.00	28605.00
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶	1240.00	28605.00
20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶	1240.00	28605.00

**DETAILED SUMMARY PAGE**  
of Disbursements

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	12186.37
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements .....	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	0.00	12186.37
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	0.00	12186.37

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	1240.00	28605.00
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	1240.00	28605.00
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) .....	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	0.00	0.00

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 OF 9
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**SVB Financial Group PAC**

Full Name (Last, First, Middle Initial) <b>A. Jason Doren</b>		Date of Receipt M M / D D / Y Y Y Y Y 11 / 06 / 2013 <b>Transaction ID : 11AI-473</b>
Mailing Address 417 Roosevelt Way		Amount of Each Receipt this Period 500.00
City San Francisco	State CA	Zip Code 94114
FEC ID number of contributing federal political committee. C		
Name of Employer Silicon Valley Bank	Occupation Assistant General Counsel	
Receipt For: 2013 <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) <input type="checkbox"/> Calendar Year	Aggregate Year-to-Date ▼ 500.00	

Full Name (Last, First, Middle Initial) <b>B. Benjamin Johnson</b>		Date of Receipt M M / D D / Y Y Y Y Y 11 / 06 / 2013 <b>Transaction ID : 11AI-474</b>
Mailing Address 3005 Tasman Dr		Amount of Each Receipt this Period 500.00
City Santa Clara	State CA	Zip Code 95054
FEC ID number of contributing federal political committee. C		
Name of Employer SVB Financial Group	Occupation Managing Director	
Receipt For: 2013 <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) <input type="checkbox"/> Calendar Year	Aggregate Year-to-Date ▼ 500.00	

Full Name (Last, First, Middle Initial) <b>C. Michael Lempres</b>		Date of Receipt M M / D D / Y Y Y Y Y 11 / 06 / 2013 <b>Transaction ID : 11AI-475</b>
Mailing Address 4641 Clark PI NW		Amount of Each Receipt this Period 40.00
City Washington	State DC	Zip Code 20007
FEC ID number of contributing federal political committee. C		
Name of Employer SVB Financial Group	Occupation Assistant General Counsel	
Receipt For: 2013 <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) <input type="checkbox"/> Calendar Year	Aggregate Year-to-Date ▼ 730.00	

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	1040.00
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 OF 9
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12	
	<input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**SVB Financial Group PAC**

**A. Michael Lempres**  
Full Name (Last, First, Middle Initial)

Mailing Address 4641 Clark PI NW

City Washington      State DC      Zip Code 20007

FEC ID number of contributing federal political committee. **C**

Name of Employer SVB Financial Group      Occupation Assistant General Counsel

Receipt For: 2013  
 Primary     General  
 Other (specify) **▼** Calendar Year

Aggregate Year-to-Date **▼**  
730.00

Date of Receipt  
M M M / D D D / Y Y Y Y Y Y  
11 / 15 / 2013

**Transaction ID : 11AI-481**

Amount of Each Receipt this Period  
40.00

**B. Christie Ma**  
Full Name (Last, First, Middle Initial)

Mailing Address 3003 Tasman Dr

City Santa Clara      State CA      Zip Code 95054

FEC ID number of contributing federal political committee. **C**

Name of Employer SVB Financial Group      Occupation Attorney

Receipt For: 2013  
 Primary     General  
 Other (specify) **▼** Calendar Year

Aggregate Year-to-Date **▼**  
440.00

Date of Receipt  
M M M / D D D / Y Y Y Y Y Y  
11 / 06 / 2013

**Transaction ID : 11AI-476**

Amount of Each Receipt this Period  
20.00

**c. Christie Ma**  
Full Name (Last, First, Middle Initial)

Mailing Address 3003 Tasman Dr

City Santa Clara      State CA      Zip Code 95054

FEC ID number of contributing federal political committee. **C**

Name of Employer SVB Financial Group      Occupation Attorney

Receipt For: 2013  
 Primary     General  
 Other (specify) **▼** Calendar Year

Aggregate Year-to-Date **▼**  
440.00

Date of Receipt  
M M M / D D D / Y Y Y Y Y Y  
11 / 15 / 2013

**Transaction ID : 11AI-482**

Amount of Each Receipt this Period  
20.00

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 80.00

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 8 OF 9
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**SVB Financial Group PAC**

**A. Mark Maclennan**  
Full Name (Last, First, Middle Initial)

Mailing Address 3005 Tasman Dr

City Santa Clara State CA Zip Code 95054

FEC ID number of contributing federal political committee. **C**

Name of Employer SVB Financial Group Occupation Managing Director

Receipt For: 2013  
 Primary  General  
 Other (specify)  Calendar Year

Aggregate Year-to-Date **375.00**

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 06 / 2013

**Transaction ID : 11AI-477**

Amount of Each Receipt this Period  
 25.00

**B. Mark Maclennan**  
Full Name (Last, First, Middle Initial)

Mailing Address 3005 Tasman Dr

City Santa Clara State CA Zip Code 95054

FEC ID number of contributing federal political committee. **C**

Name of Employer SVB Financial Group Occupation Managing Director

Receipt For: 2013  
 Primary  General  
 Other (specify)  Calendar Year

Aggregate Year-to-Date **375.00**

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 15 / 2013

**Transaction ID : 11AI-488**

Amount of Each Receipt this Period  
 25.00

**C. Brendan Smith-Bentley**  
Full Name (Last, First, Middle Initial)

Mailing Address 224 S Morrison Ave

City San Jose State CA Zip Code 95126

FEC ID number of contributing federal political committee. **C**

Name of Employer SVB Financial Group Occupation Internal Communications Manage

Receipt For: 2013  
 Primary  General  
 Other (specify)  Calendar Year

Aggregate Year-to-Date **220.00**

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 06 / 2013

**Transaction ID : 11AI-479**

Amount of Each Receipt this Period  
 10.00

**SUBTOTAL** of Receipts This Page (optional)..... **60.00**

**TOTAL** This Period (last page this line number only).....



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 9 OF 9
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**SVB Financial Group PAC**

Full Name (Last, First, Middle Initial) <b>A. Brendan Smith-Bentley</b>		Date of Receipt 11 / 15 / 2013 <b>Transaction ID : 11AI-486</b>
Mailing Address 224 S Morrison Ave		Amount of Each Receipt this Period 10.00
City San Jose	State CA	Zip Code 95126
FEC ID number of contributing federal political committee. C		
Name of Employer SVB Financial Group	Occupation Internal Communications Manage	
Receipt For: 2013 <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) <input type="checkbox"/> Calendar Year	Aggregate Year-to-Date ▼ 220.00	

Full Name (Last, First, Middle Initial) <b>B. Robert Vogelsang</b>		Date of Receipt 11 / 06 / 2013 <b>Transaction ID : 11AI-480</b>
Mailing Address 3005 Tasman Dr		Amount of Each Receipt this Period 20.00
City Santa Clara	State CA	Zip Code 95054
FEC ID number of contributing federal political committee. C		
Name of Employer SVB Financial Group	Occupation Senior Advisor	
Receipt For: 2013 <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) <input type="checkbox"/> Calendar Year	Aggregate Year-to-Date ▼ 300.00	

Full Name (Last, First, Middle Initial) <b>C. Robert Vogelsang</b>		Date of Receipt 11 / 15 / 2013 <b>Transaction ID : 11AI-487</b>
Mailing Address 3005 Tasman Dr		Amount of Each Receipt this Period 20.00
City Santa Clara	State CA	Zip Code 95054
FEC ID number of contributing federal political committee. C		
Name of Employer SVB Financial Group	Occupation Senior Advisor	
Receipt For: 2013 <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) <input type="checkbox"/> Calendar Year	Aggregate Year-to-Date ▼ 300.00	

<b>SUBTOTAL</b> of Receipts This Page (optional).....	50.00
<b>TOTAL</b> This Period (last page this line number only).....	1230.00