

**FEC
FORM 3X**

**REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

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1. NAME OF COMMITTEE (in full) TYPE OR PRINT Example: If typing, type over the lines.

Northern Trust Corporation Federal
Political Action Committee

ADDRESS (number and street)

50 South LaSalle St. M-9

Check if different than previously reported. (ACC)

Chicago IL 60603

2. FEC IDENTIFICATION NUMBER

CITY

STATE

ZIP CODE

00505148

3. IS THIS REPORT

NEW (N)

OR

AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

- April 15 Quarterly Report (Q1)
- July 15 Quarterly Report (Q2)
- October 15 Quarterly Report (Q3)
- January 31 Year-End Report (YE)
- July 31 Mid-Year Report (Non-election Year Only) (MY)
- Termination Report (TER)

(b) Monthly Report Due On:

- Feb 20 (M2)
- Mar 20 (M3)
- Apr 20 (M4)
- May 20 (M5)
- Jun 20 (M6)
- Jul 20 (M7)
- Aug 20 (M8)
- Sep 20 (M9)
- Oct 20 (M10)
- Nov 20 (M11) (Non-Election Year Only)
- Dec 20 (M12) (Non-Election Year Only)
- Jan 31 (YE)

(c) 12-Day PRE-Election Report for the:

- Primary (12P)
- Convention (12C)
- General (12G)
- Special (12S)
- Runoff (12R)

Election on

MM / DD / YYYY

in the State of

State

(d) 30-Day POST-Election Report for the:

- General (30G)
- Runoff (30R)
- Special (30S)

Election on

MM / DD / YYYY

in the State of

State

5. Covering Period

10 / 01 / 2013

through

12 / 31 / 2013

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Wendy Mausolf

Signature of Treasurer

Wendy Mausolf

Date

01 / 07 / 2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only

FEC FORM 3X
Rev. 12/2004

14031161116

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Northern Trust Corporation Federal Political Action Committee

Report Covering the Period:

From:

10 / 01 / 2013

To:

12 / 31 / 2013

14031161117

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, 2013		7,250.00
(b) Cash on Hand at Beginning of Reporting Period.....	5,870.00	
(c) Total Receipts (from Line 19).....	280.00	6,900.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	6,150.00	14,150.00
7. Total Disbursements (from Line 31).....	5,000.00	13,000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	1,150.00	1,150.00
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D).....	000.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D).....	000.00	



This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Northern Trust Corporation Federal Political Action Committee

Report Covering the Period: From:

10 / 01 / 2013

To:

12 / 31 / 2013

I. Receipts

COLUMN A
Total This Period

COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other Than Political Committees

(i) Itemized (use Schedule A).....

260.00

6810.00

(ii) Unitemized.....

20.00

90.00

(iii) TOTAL (add Lines 11(a)(i) and (ii)).....▶

280.00

6900.00

(b) Political Party Committees.....

(c) Other Political Committees (such as PACs).....

(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5).....▶

280.00

6900.00

12. Transfers From Affiliated/Other Party Committees.....

13. All Loans Received.....

14. Loan Repayments Received.....

15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....

16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....

17. Other Federal Receipts (Dividends, Interest, etc.).....

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account (from Schedule H3).....

(b) Levin Funds (from Schedule H5).....

(c) Total Transfers (add 18(a) and 18(b))..

19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)).....▶

280.00

6900.00

20. Total Federal Receipts (subtract Line 18(c) from Line 19).....▶

280.00

6900.00

14031161118

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

14031161119

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share		
(ii) Non-Federal Share.....		
(b) Other Federal Operating Expenditures		
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))		
22. Transfers to Affiliated/Other Party Committee		
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	5,000.00	13,000.00
24. Independent Expenditures (use Schedule E)		
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....		
26. Loan Repayments Made.....		
27. Loans Made.....		
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees		
(b) Political Party Committees		
(c) Other Political Committees (such as PACs).....		
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....		
29. Other Disbursements		
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share		
(ii) "Levin" Share.....		
(b) Federal Election Activity Paid Entirely With Federal Funds		
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....		
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	5,000.00	3 13,000.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	5,000.00	13,000.00

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	28000	690000
34. Total Contribution Refunds (from Line 28(d))		
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	28000	690000
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))		
37. Offsets to Operating Expenditures (from Line 15, page 3)		
38. Net Operating Expenditures (subtract Line 37 from Line 36)		

14031161120

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: (check only one)	PAGE	OF
<input checked="" type="checkbox"/> 11a		
<input type="checkbox"/> 11b		
<input type="checkbox"/> 11c		
<input type="checkbox"/> 12		
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15
<input type="checkbox"/> 16	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Northern Trust Corporation Federal Political Action Committee

Full Name (Last, First, Middle Initial)
A. Dezanis, Marie

Mailing Address
175 E. Delaware Place #6301

City **Chicago** State **IL** Zip Code **60611**

FEC ID number of contributing federal political committee. **C**

Name of Employer **Northern Trust** Occupation **Banker**

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
400.00

Date of Receipt
12 ' 31 ' 2013

Amount of Each Receipt this Period
160.00

Full Name (Last, First, Middle Initial)
B. Decker, Sylvia

Mailing Address
2239 Lincoln Park West #3F

City **Chicago** State **IL** Zip Code **60614**

FEC ID number of contributing federal political committee. **C**

Name of Employer **Northern Trust** Occupation **Banker**

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
200.00

Date of Receipt
12 ' 31 ' 2013

Amount of Each Receipt this Period
40.00

Full Name (Last, First, Middle Initial)
C. Jacobs, Peter

Mailing Address
2500 N. Orchard St.

City **Chicago** State **IL** Zip Code **60614**

FEC ID number of contributing federal political committee. **C**

Name of Employer **Northern Trust** Occupation **Banker**

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
300.00

Date of Receipt
12 ' 31 ' 2013

Amount of Each Receipt this Period
60.00

SUBTOTAL of Receipts This Page (optional) ▶ **260.00**

TOTAL This Period (last page this line number only) ▶ **260.00**

14031161121

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 1 OF 1

21b 22 23 24 25 26
 27 28a 28b 28c 29 30b

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NAME OF COMMITTEE (In Full)

Northern Trust Corporation Federal Political Action Committee

Full Name (Last, First, Middle Initial)

A. Roskam for Congress

Mailing Address: P.O. Box 713

City: Wheaton State: IL Zip Code: 60189

Purpose of Disbursement: Political Contribution

Candidate Name: Peter Roskam

Office Sought: House Senate President

Disbursement For: Primary General Other (specify) ▼

State: IL District: 6

Date of Disbursement: 12/17/2013

Amount of Each Disbursement this Period: 150000

Category/Type: 011

B. Roskam for Congress

Mailing Address: P.O. Box 713

City: Wheaton State: IL Zip Code: 60189

Purpose of Disbursement: Political Contribution

Candidate Name: Peter Roskam

Office Sought: House Senate President

Disbursement For: Primary General Other (specify) ▼

State: IL District: 6

Date of Disbursement: 12/17/2013

Amount of Each Disbursement this Period: 250000

Category/Type: 011

C. Randy Hultgren for Congress

Mailing Address: 104 Hume Avenue

City: Alexandria State: VA Zip Code: 22301

Purpose of Disbursement: Political Contribution

Candidate Name: Randy Hultgren

Office Sought: House Senate President

Disbursement For: Primary General Other (specify) ▼

State: IL District: 14

Date of Disbursement: 12/17/2013

Amount of Each Disbursement this Period: 100000

Category/Type: 011

SUBTOTAL of Disbursements This Page (optional).....▶

500000

TOTAL This Period (last page this line number only).....▶

500000

14031161122

50 South LaSalle Street
Chicago, Illinois 60603

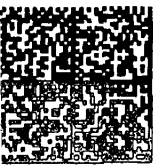
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Northern Trust

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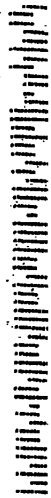
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Next Business Day Delivery

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Received from Senate Public Records Office Date of Receipt

Received from Electronic Filing Office Date of Receipt

Other (Specify): Date of Receipt or Postmarked


PREPARER
(8/2013)

1/23/14
DATE PREPARED

14031161124