

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

ADDRESS (number and street) ▼

7700 Mills Civic Parkway

☐ Check if different than previously reported. (ACC)

West Des Moines

IA

50266

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00180901

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☒ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Richard C. Cohan, Jr.

Signature of Treasurer

Richard C. Cohan, Jr.

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y 09 / 01 / 2012 To: M M / D D / Y Y Y Y Y Y 09 / 30 / 2012

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y Y 2012		11654.83
(b) Cash on Hand at Beginning of Reporting Period.....	21957.11	
(c) Total Receipts (from Line 19)	3101.66	30653.94
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	25058.77	42308.77
7. Total Disbursements (from Line 31)	5250.00	22500.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	19808.77	19808.77
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

Report Covering the Period:

From:

M M / D D / Y Y Y Y Y
09 01 2012

To:

M M / D D / Y Y Y Y Y
09 30 2012

I. Receipts

COLUMN A
Total This Period

COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

3081.66

27663.30

(ii) Unitemized

20.00

2990.64

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

3101.66

30653.94

(b) Political Party Committees

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5)

3101.66

30653.94

12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

13. All Loans Received

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

0.00

17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3)

0.00

0.00

(b) Levin Funds (from Schedule H5)

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),
12, 13, 14, 15, 16, 17, and 18(c))..... ▶

3101.66

30653.94

20. Total Federal Receipts

(subtract Line 18(c) from Line 19)

3101.66

30653.94

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	13500.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	5250.00	9000.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	5250.00	22500.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	5250.00	22500.00

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	3101.66	30653.94
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	3101.66	30653.94
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	0.00	0.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

Full Name (Last, First, Middle Initial)

A. Phyllis A Davis

Mailing Address 2981 Walnut Trail

City

Stuart

State

IA

Zip Code

50250-8530

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

09 / 07 / 2012

Transaction ID : A336238A36D804325A9A

Amount of Each Receipt this Period

15.00

Full Name (Last, First, Middle Initial)

B. Steven L Hinrichs

Mailing Address 2900 Orchard Drive

City

West Des Moines

State

IA

Zip Code

50266-2142

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

354.11

Date of Receipt

09 / 07 / 2012

Transaction ID : AE1BC8DFAF8994AEB879

Amount of Each Receipt this Period

20.83

Full Name (Last, First, Middle Initial)

C. Richard C Cohan

Mailing Address 7700 Mills Civic Parkway

City

West Des Moines

State

IA

Zip Code

50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

EVP, General Counsel & Secretary

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

09 / 07 / 2012

Transaction ID : A63AC17E661AE4D049CC

Amount of Each Receipt this Period

100.00

SUBTOTAL of Receipts This Page (optional)..... ►

135.83

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

Full Name (Last, First, Middle Initial)

A. Rhonda R Elming

Mailing Address 6704 Augustine Court

City State Zip Code
 Johnston IA 50131-4700

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

Senior Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

510.00

Date of Receipt

09 / 07 / 2012

Transaction ID : AD61C60F833E048E19E4

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

B. Christopher L Jones

Mailing Address 7700 Mills Civic Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

EVP-Chief Marketing Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1400.00

Date of Receipt

09 / 07 / 2012

Transaction ID : A47AAD001E8B343178A6

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

c. Christopher R Welp

Mailing Address 7700 Mills Civic Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

EVP Insurance Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2125.00

Date of Receipt

09 / 07 / 2012

Transaction ID : A4B85FF65BAD746BA8FF

Amount of Each Receipt this Period

125.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

255.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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(check only one)

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NAME OF COMMITTEE (In Full)

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

Full Name (Last, First, Middle Initial)

A. Matthew G Haney

Mailing Address 7700 Mills Civic Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

M M / D D / Y Y Y Y Y
 09 / 07 / 2012

Transaction ID : AD287723A73F2495C9FC

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. Joseph W Wittrock

Mailing Address 7700 Mills Civic Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

Chief Investment Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2070.00

Date of Receipt

M M / D D / Y Y Y Y Y
 09 / 07 / 2012

Transaction ID : A95F6E0179944492199A

Amount of Each Receipt this Period

115.00

Full Name (Last, First, Middle Initial)

C. Brenda J Cushing

Mailing Address 7700 Mills Civic Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

EVP, CFO & Treasurer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3400.00

Date of Receipt

M M / D D / Y Y Y Y Y
 09 / 07 / 2012

Transaction ID : AB70BA91A03DC45889E6

Amount of Each Receipt this Period

200.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

365.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

Full Name (Last, First, Middle Initial)

A. John D Currier

Mailing Address 7700 Mills Civic Parkway

City

West Des Moines

State

IA

Zip Code

50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

EVP and Chief Actuary

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1700.00

Date of Receipt

09 / 07 / 2012

Transaction ID : AC2EE7CCB7D7044F5BE1

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

B. Michael H Miller

Mailing Address 7700 Mills Civic Parkway

City

West Des Moines

State

IA

Zip Code

50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

EVP, Sales & Distribution

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

3600.00

Date of Receipt

09 / 07 / 2012

Transaction ID : AE893B63722C94EC1AAC

Amount of Each Receipt this Period

200.00

Full Name (Last, First, Middle Initial)

C. Kathy J Bauer

Mailing Address 7700 Mills Civic Parkway

City

West Des Moines

State

IA

Zip Code

50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

SVP Human Resources

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1720.00

Date of Receipt

09 / 07 / 2012

Transaction ID : AFC41A3862898470CA8D

Amount of Each Receipt this Period

100.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

400.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

Full Name (Last, First, Middle Initial)

A. Christopher J Littlefield

Mailing Address 7700 Mills Civic Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Aviva USA Corporation

Occupation
 President & CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3400.00

Date of Receipt

MM / DD / YYYY
 09 / 07 / 2012

Transaction ID : A82BA2996353747A7933

Amount of Each Receipt this Period

200.00

Full Name (Last, First, Middle Initial)

B. Jeffery Allen Fitch

Mailing Address 7700 Mills Civic Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Aviva USA Corporation

Occupation
 SVP-Chief Risk Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1600.00

Date of Receipt

MM / DD / YYYY
 09 / 07 / 2012

Transaction ID : AC33E439761F64A91BA4

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

C. Danne E Jones

Mailing Address 77 Arapahoe Drive

City State Zip Code
 Des Moines IA 50312-4505

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Aviva USA Corporation

Occupation
 Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

MM / DD / YYYY
 09 / 07 / 2012

Transaction ID : A5295BAE703C341BA84E

Amount of Each Receipt this Period

15.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

315.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

Full Name (Last, First, Middle Initial)

A. Jeffrey A Frazee

Mailing Address 7760 Office Plaza Drive

City State Zip Code
Johnston IA 50131

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

SVP-CIO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

09 / 07 / 2012

Transaction ID : A1FDA0A2564124094BB2

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

B. Jeffrey A Frazee

Mailing Address 7760 Office Plaza Drive

City State Zip Code
Johnston IA 50131

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

SVP-CIO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

340.00

Date of Receipt

09 / 21 / 2012

Transaction ID : A7364945A292B4706821

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

C. Danne E Jones

Mailing Address 77 Arapahoe Drive

City State Zip Code
Des Moines IA 50312-4505

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.00

Date of Receipt

09 / 21 / 2012

Transaction ID : A77D4EEEE0B2743ECB14

Amount of Each Receipt this Period

15.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

55.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

Full Name (Last, First, Middle Initial)

A. Jeffery Allen Fitch

Mailing Address 7700 Mills Civic Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Aviva USA Corporation

Occupation
 SVP-Chief Risk Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1700.00

Date of Receipt

09 / 21 / 2012

Transaction ID : A4B1081ADBC1D4009ABF

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

B. Christopher J Littlefield

Mailing Address 7700 Mills Civic Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Aviva USA Corporation

Occupation
 President & CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3600.00

Date of Receipt

09 / 21 / 2012

Transaction ID : A2BCA24F7C89E47A3AF4

Amount of Each Receipt this Period

200.00

Full Name (Last, First, Middle Initial)

C. Kathy J Bauer

Mailing Address 7700 Mills Civic Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Aviva USA Corporation

Occupation
 SVP Human Resources

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1820.00

Date of Receipt

09 / 21 / 2012

Transaction ID : A9BF3330081C94BC39D5

Amount of Each Receipt this Period

100.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

400.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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(check only one)

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NAME OF COMMITTEE (In Full)

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

Full Name (Last, First, Middle Initial)

A. D T Doan

Mailing Address 7700 Mills Civi Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

Assistant To The Chairman

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
 09 21 2012

Transaction ID : A444ED9C73ECB4E82AF8

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

B. John D Currier

Mailing Address 7700 Mills Civic Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

EVP and Chief Actuary

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1800.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
 09 21 2012

Transaction ID : A6BE25D6868F04FD3AD2

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

C. Michael H Miller

Mailing Address 7700 Mills Civic Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

EVP, Sales & Distribution

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3800.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
 09 21 2012

Transaction ID : AA29F50CA7D2A45248DD

Amount of Each Receipt this Period

200.00

SUBTOTAL of Receipts This Page (optional)..... ►

400.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

Full Name (Last, First, Middle Initial)

A. Brenda J Cushing

Mailing Address 7700 Mills Civic Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Aviva USA Corporation

Occupation
 EVP,CFO & Treasurer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3600.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
 09 / 21 / 2012

Transaction ID : AC80AD8D9451D4322A14

Amount of Each Receipt this Period

200.00

Full Name (Last, First, Middle Initial)

B. Christopher R Welp

Mailing Address 7700 Mills Civic Parkway

City State Zip Code
 West Des Moines IA 50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Aviva USA Corporation

Occupation
 EVP Insurance Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2250.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
 09 / 21 / 2012

Transaction ID : A0C9BBF6CA32D452D800

Amount of Each Receipt this Period

125.00

Full Name (Last, First, Middle Initial)

C. Steven L Hinrichs

Mailing Address 2900 Orchard Drive

City State Zip Code
 West Des Moines IA 50266-2142

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Aviva USA Corporation

Occupation
 Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y Y
 09 / 21 / 2012

Transaction ID : A57DE3DD8A9684D48BB8

Amount of Each Receipt this Period

20.83

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

345.83

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

Full Name (Last, First, Middle Initial)

A. Christopher L Jones

Mailing Address 7700 Mills Civic Parkway

City

West Des Moines

State

IA

Zip Code

50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

EVP-Chief Marketing Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

09 / 21 / 2012

Transaction ID : A8D97798864054F4D872

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

B. Rhonda R Elming

Mailing Address 6704 Augustine Court

City

Johnston

State

IA

Zip Code

50131-4700

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

Senior Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

540.00

Date of Receipt

09 / 21 / 2012

Transaction ID : A7CDCBC6B86C2494D8A2

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

C. Matthew G Haney

Mailing Address 7700 Mills Civic Parkway

City

West Des Moines

State

IA

Zip Code

50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

09 / 21 / 2012

Transaction ID : AD63E40EEE697404E874

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

180.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

Full Name (Last, First, Middle Initial)

A. Richard C Cohan

Mailing Address 7700 Mills Civic Parkway

City

West Des Moines

State

IA

Zip Code

50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

EVP, General Counsel & Secretary

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1600.00

Date of Receipt

09 / 21 / 2012

Transaction ID : AC6B4ED6CEAB045A79DC

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

B. Phyllis A Davis

Mailing Address 2981 Walnut Trail

City

Stuart

State

IA

Zip Code

50250-8530

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.00

Date of Receipt

09 / 21 / 2012

Transaction ID : A3445D78A2AEB4E5D905

Amount of Each Receipt this Period

15.00

Full Name (Last, First, Middle Initial)

C. Joseph W Wittrock

Mailing Address 7700 Mills Civic Parkway

City

West Des Moines

State

IA

Zip Code

50266-3862

FEC ID number of contributing
federal political committee.

C

Name of Employer

Aviva USA Corporation

Occupation

Chief Investment Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2185.00

Date of Receipt

09 / 21 / 2012

Transaction ID : A3AE9981C70EB4BCFA33

Amount of Each Receipt this Period

115.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

230.00

3081.66

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
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(check only one)

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NAME OF COMMITTEE (In Full)

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

Full Name (Last, First, Middle Initial)

A. Behn For Senate

Mailing Address 1313 Quill Ave.

City	State	Zip Code
Boone	IA	50036

Purpose of Disbursement
Contribution-IA State Senate

Candidate Name

Office Sought:	☐ House
	☐ Senate
	☐ President

State: District:

Disbursement For: 2012
☐ Primary ☒ General
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09	/	25	/	2012

Transaction ID : B416D2656C60B4230A79

Amount of Each Disbursement this Period

1500.00

Full Name (Last, First, Middle Initial)

B. McCarthy For State Representative

Mailing Address 5220 SE 31st Court

City	State	Zip Code
Des Moines	IA	50320

Purpose of Disbursement
Contribution-IA State House

Candidate Name

Office Sought:	☐ House
	☐ Senate
	☐ President

State: District:

Disbursement For: 2012
☐ Primary ☒ General
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09	/	25	/	2012

Transaction ID : B31A55FB452814452BF8

Amount of Each Disbursement this Period

1500.00

Full Name (Last, First, Middle Initial)

C. Paulsen For State House Committee

Mailing Address P.O. Box 250

City	State	Zip Code
Hiawatha	IA	52233

Purpose of Disbursement
Contribution-IA State House

Candidate Name

Office Sought:	☐ House
	☐ Senate
	☐ President

State: District:

Disbursement For: 2012
☐ Primary ☒ General
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09	/	25	/	2012

Transaction ID : B4472B0CECBA4446BAB1

Amount of Each Disbursement this Period

1500.00

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

4500.00

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SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTSUse separate schedule(s)
for each category of the
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☐ 27	☐ 28a	☐ 28b	☐ 28c	☒ 29	☐ 30b

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NAME OF COMMITTEE (In Full)

AVIVA USA Corporation POLITICAL ACTION COMMITTEE

Full Name (Last, First, Middle Initial)

A. Baltimore for Iowa House

Mailing Address PO Box 367

City	State	Zip Code
Boone	IA	50036-0367

Purpose of Disbursement
Contribution-IA State House

Candidate Name

Office Sought:	☐ House
	☐ Senate
	☐ President

State: District:

Disbursement For: 2012
☐ Primary ☒ General
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	25	/	2012

Transaction ID : BD03D6916D1304420BDD

Amount of Each Disbursement this Period

750.00

B.

Full Name (Last, First, Middle Initial)

Mailing Address

City	State	Zip Code
------	-------	----------

Purpose of Disbursement

Candidate Name

Office Sought:	☐ House
	☐ Senate
	☐ President

State: District:

Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
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Amount of Each Disbursement this Period

--

C.

Full Name (Last, First, Middle Initial)

Mailing Address

City	State	Zip Code
------	-------	----------

Purpose of Disbursement

Candidate Name

Office Sought:	☐ House
	☐ Senate
	☐ President

State: District:

Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
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Amount of Each Disbursement this Period

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SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

750.00

5250.00
