

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines. 12FE4M5

The Options Clearing Corporation PAC

ADDRESS (number and street) 125 S. Franklin Street
Suite 1200
 Check if different than previously reported. (ACC) Chicago IL 60606

2. FEC IDENTIFICATION NUMBER ▼ CITY ▲ STATE ▲ ZIP CODE ▲

C C00255877

3. IS THIS REPORT NEW (N) OR AMENDED (A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

- April 15 Quarterly Report (Q1)
- July 15 Quarterly Report (Q2)
- October 15 Quarterly Report (Q3)
- January 31 Year-End Report (YE)
- July 31 Mid-Year Report (Non-election Year Only) (MY)
- Termination Report (TER)

- (b) Monthly Report Due On:
- | | | | |
|---|--------------------------------------|---------------------------------------|--|
| <input checked="" type="checkbox"/> Feb 20 (M2) | <input type="checkbox"/> May 20 (M5) | <input type="checkbox"/> Aug 20 (M8) | <input type="checkbox"/> Nov 20 (M11) (Non-Election Year Only) |
| <input type="checkbox"/> Mar 20 (M3) | <input type="checkbox"/> Jun 20 (M6) | <input type="checkbox"/> Sep 20 (M9) | <input type="checkbox"/> Dec 20 (M12) (Non-Election Year Only) |
| <input type="checkbox"/> Apr 20 (M4) | <input type="checkbox"/> Jul 20 (M7) | <input type="checkbox"/> Oct 20 (M10) | <input type="checkbox"/> Jan 31 (YE) |

- (c) 12-Day PRE-Election Report for the:
- | | | |
|---|--|---------------------------------------|
| <input type="checkbox"/> Primary (12P) | <input type="checkbox"/> General (12G) | <input type="checkbox"/> Runoff (12R) |
| <input type="checkbox"/> Convention (12C) | <input type="checkbox"/> Special (12S) | |

Election on M M M / D D D / Y Y Y Y Y Y in the State of

- (d) 30-Day POST-Election Report for the:
- | | | |
|--|---------------------------------------|--|
| <input type="checkbox"/> General (30G) | <input type="checkbox"/> Runoff (30R) | <input type="checkbox"/> Special (30S) |
|--|---------------------------------------|--|

Election on M M M / D D D / Y Y Y Y Y Y in the State of

5. Covering Period M M M / D D D / Y Y Y Y Y Y 01 / 01 / 2020 through M M M / D D D / Y Y Y Y Y Y 01 / 31 / 2020

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Shelly, Amy, , ,

Type or Print Name of Treasurer

Signature of Treasurer

Shelly, Amy, , ,

[Electronically Filed]

Date

M M M / D D D / Y Y Y Y Y Y 02 / 07 / 2020

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 52 U.S.C. § 30109.

Office Use Only									
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**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

The Options Clearing Corporation PAC

Report Covering the Period: From: / / To: / /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2020"/>		<input type="text" value="211457.30"/>
(b) Cash on Hand at Beginning of Reporting Period.....	<input type="text" value="211457.30"/>	
(c) Total Receipts (from Line 19)	<input type="text" value="7622.92"/>	<input type="text" value="7622.92"/>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<input type="text" value="219080.22"/>	<input type="text" value="219080.22"/>
7. Total Disbursements (from Line 31).....	<input type="text" value="0.00"/>	<input type="text" value="0.00"/>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<input type="text" value="219080.22"/>	<input type="text" value="219080.22"/>
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	<input type="text" value="0.00"/>	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	<input type="text" value="0.00"/>	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

Write or Type Committee Name

The Options Clearing Corporation PAC

Report Covering the Period: From: 01 / 01 / 2020 To: 01 / 31 / 2020

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	3750.06	3750.06
(ii) Unitemized	3872.86	3872.86
(iii) TOTAL (add Lines 11(a)(i) and (ii)).....▶	7622.92	7622.92
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	7622.92	7622.92
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)).....▶	7622.92	7622.92
20. Total Federal Receipts (subtract Line 18(c) from Line 19).....▶	7622.92	7622.92

DETAILED SUMMARY PAGE
of Disbursements

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	0.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (52 U.S.C. § 30116(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To: (a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements (Including Non-Federal Donations).....	0.00	0.00
30. Federal Election Activity (52 U.S.C. § 30101(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	0.00	0.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	0.00	0.00

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5

III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	7622.92	7622.92
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	7622.92	7622.92
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:	PAGE 6 OF 10
	(check only one)	
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15
	<input type="checkbox"/> 12	<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Options Clearing Corporation PAC

A. Shahed, Sumon, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 315 Cove Drive
 City Coppel State TX Zip Code 75019-5681
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) The Options Clearing Corporation Occupation (for Individual) Sr Software Engineer
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt **01 / 16 / 2020**
Transaction ID : 13648055
 Amount of Each Receipt this Period 250.00
 Memo Item

B. Fennell, John, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 158 N Avon
 City Elmhurst State IL Zip Code 60126-2925
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) The Options Clearing Corporation Occupation (for Individual) EVP Chief Risk Officer
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.68

Date of Receipt **01 / 31 / 2020**
Transaction ID : PR500212022133
 Amount of Each Receipt this Period 416.68
 Memo Item
 P/R Deduction (\$208.34 Semi-Monthly)

C. Busby, Daniel, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 226 N. Catherine Avenue
 City La Grange State IL Zip Code 60525-1826
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) The Options Clearing Corporation Occupation (for Individual) SVP Operations
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt **01 / 31 / 2020**
Transaction ID : PR500213322133
 Amount of Each Receipt this Period 250.00
 Memo Item
 P/R Deduction (\$125.00 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶	916.68
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 7 OF 10
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Options Clearing Corporation PAC

A. Knabjian, Denise, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1000 Lake Street
 Apt 1317
 City Oak Park State IL Zip Code 60301-1146
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) The Options Clearing Corporation Occupation (for Individual) SVP Investor Education
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.68

Date of Receipt 01 / 31 / 2020
Transaction ID : PR500215922133
 Amount of Each Receipt this Period 416.68
 Memo Item
 P/R Deduction (\$208.34 Semi-Monthly)

B. McGarr, Emmett, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1441 S. Plymouth Court Unit K
 City Chicago State IL Zip Code 60605-3364
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) The Options Clearing Corporation Occupation (for Individual) VP Application Services
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt 01 / 31 / 2020
Transaction ID : PR500218322133
 Amount of Each Receipt this Period 250.00
 Memo Item
 P/R Deduction (\$125.00 Semi-Monthly)

C. Michaels, Dale, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 217 Kacie Ct
 City Westmont State IL Zip Code 60559-3298
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) The Options Clearing Corporation Occupation (for Individual) EVP Financial Risk Management
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 416.68

Date of Receipt 01 / 31 / 2020
Transaction ID : PR500225922133
 Amount of Each Receipt this Period 416.68
 Memo Item
 P/R Deduction (\$208.34 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....	1083.36
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 8 OF 10
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Options Clearing Corporation PAC

A. Adamczyk, Joseph, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1023 Na-Wa-Ta Ave
 City Mt Prospect State IL Zip Code 60656
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) The Options Clearing Corporation Occupation (for Individual) SVP Chief Compliance Officer
 Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 291.68

Date of Receipt **01 / 31 / 2020**
Transaction ID : PR501852522133
 Amount of Each Receipt this Period 291.68
 Memo Item
 P/R Deduction (\$145.84 Semi-Monthly)

B. Thakkar, Vishal, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 3281 Haverhill Drive
 City Aurora State IL Zip Code 60502-7085
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) The Options Clearing Corporation Occupation (for Individual) SVP, Enterprise Risk Management
 Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 250.00

Date of Receipt **01 / 31 / 2020**
Transaction ID : PR501857622133
 Amount of Each Receipt this Period 250.00
 Memo Item
 P/R Deduction (\$125.00 Semi-Monthly)

C. Hoag, David, A, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1481 Inverrary Dr
 City Naperville State IL Zip Code 60563-2234
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) The Options Clearing Corporation Occupation (for Individual) SVP Chief Information Officer
 Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 250.00

Date of Receipt **01 / 31 / 2020**
Transaction ID : PR690507522133
 Amount of Each Receipt this Period 250.00
 Memo Item
 P/R Deduction (\$125.00 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....	791.68
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:	PAGE 9 OF 10
	(check only one)	
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15
	<input type="checkbox"/> 12	<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Options Clearing Corporation PAC

A. Morrison, Mark, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 617 Green Bay Road
 Apt# 210
 City Wilmette State IL Zip Code 60091-2584
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) The Options Clearing Corporation Occupation (for Individual) SVP Chief Security Officer
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2020
Transaction ID : PR690508122133
 Amount of Each Receipt this Period
 250.00
 Memo Item
 P/R Deduction (\$125.00 Semi-Monthly)

B. Meagher, Michael, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 103 8th Avenue
 City La Grange State IL Zip Code 60525-6411
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) The Options Clearing Corporation Occupation (for Individual) FVP Chief Technology Architect
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2020
Transaction ID : PR742837422133
 Amount of Each Receipt this Period
 250.00
 Memo Item
 P/R Deduction (\$125.00 Semi-Monthly)

C. Hughes, John, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 84 Paganica Dr
 City Barrington State IL Zip Code 60010-2644
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) The Options Clearing Corporation Occupation (for Individual) SVP Renaissance Program Business Le
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 01 / 31 / 2020
Transaction ID : PR837554522133
 Amount of Each Receipt this Period
 250.00
 Memo Item
 P/R Deduction (\$125.00 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....	750.00
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 10
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
The Options Clearing Corporation PAC

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jamshed, Saqib, , ,

Mailing Address **2345 N. Lincoln Ave
Apt 1506**

City **Chicago** State **IL** Zip Code **60614-4822**

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) **The Options Clearing Corporation** Occupation (for Individual) **SVP Model Risk Governance**

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **208.34**

Date of Receipt

M M	/	D D	/	Y Y Y Y
01	/	31	/	2020

Transaction ID : PR860233922133

Amount of Each Receipt this Period

208.34

 Memo Item

P/R Deduction (\$208.34 Semi-Monthly)

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Occupation (for Individual)

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M	/	D D	/	Y Y Y Y
-----	---	-----	---	---------

Amount of Each Receipt this Period

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 Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Occupation (for Individual)

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼

Date of Receipt

M M	/	D D	/	Y Y Y Y
-----	---	-----	---	---------

Amount of Each Receipt this Period

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 Memo Item

SUBTOTAL of Receipts This Page (optional).....▶	208.34
TOTAL This Period (last page this line number only).....▶	3750.06