

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

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FEC MAIL ROOM

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USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

|  |   |
|--|---|
| 1. NAME OF COMMITTEE (in full)<br><b>A.O. SMITH POLITICAL ACTION COMMITTEE</b>   |   |
| ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported<br><b>11270 W. PARK PLACE</b> | 2. FEC IDENTIFICATION NUMBER<br><b>C00104687</b>  |
| CITY, STATE and ZIP CODE<br><b>MILWAUKEE, WI 53223</b>   | 3. <input type="checkbox"/> This committee has qualified as a multicandidate committee. (see FEC FORM 1M) |

## 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report

- Monthly Report Due On:
- |                                      |                                       |                                      |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20      | <input type="checkbox"/> October 20  |
| <input type="checkbox"/> March 20    | <input type="checkbox"/> July 20      | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20    | <input type="checkbox"/> August 20    | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20      | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31  |

- 12-Day Pre-Election Report for the \_\_\_\_\_  
(Type of Election)  
election on \_\_\_\_\_ in the State of \_\_\_\_\_
- 30-Day Post-Election Report following the General Election  
on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

| SUMMARY   |                          | COLUMN A<br>This Period | COLUMN B<br>Calendar Year-to-Date   |
|---|--------------------------|-------------------------|---|
| 5. Covering Period  | 9/30/00 through 10/18/00 |                         |   |
| 6. (a) Cash on Hand January 1, 2000   |                          |                         | \$ 9,516.13   |
| (b) Cash on Hand at Beginning of Reporting Period   |                          | \$ 14,119.30            |   |
| (c) Total Receipts (from Line 19)   |                          | \$ 582.50               | \$ 5,185.67   |
| (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)                                |                          | \$ 14,701.80            | \$ 14,701.80  |
| 7. Total Disbursements (from Line 30)   |                          | \$ 12,500.00            | \$ 12,500.00  |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))   |                          | \$ 2,201.80             | \$ 2,201.80   |
| 9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)                            |                          | \$                      | For further information contact:<br>Federal Election Commission<br>888 E Street, NW<br>Washington, DC 20483<br>Toll Free 800-424-9530<br>Local 202-684-1100 |
| 10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)                           |                          | \$                      |   |
| I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. |                          |                         |   |
| Type or Print Name of Treasurer<br><b>PATRICIA K. ACKERMAN</b>  |                          | Date                    |   |
| Signature of Treasurer<br><i>Patricia K. Ackerman</i>   |                          | 10-10-00                |   |

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|

**FEC FORM 3X**  
(revised 9/99)

**DETAILED SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS  
PAGE 2, FEC FORM SX**

(revised 1/1/91)

| NAME OF COMMITTEE<br><b>A.O. SMITH POLITICAL ACTION COMMITTEE</b>                        |  | REPORT COVERING PERIOD        |                           |            |
|--|--|-------------------------------|---------------------------|------------|
|  |  | FROM <b>9/30/00</b>           | TO <b>10/18/00</b>        |            |
|  |  | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year |            |
| <b>I. Receipts</b>   |  |                               |                           |            |
| 11. Contributions (other than loans) From:   |  |                               |                           |            |
| a. Individual/Persons Other Than Political Committees                                    |  | 80.00                         | 1,300.00                  | 11(a)(i)   |
| i. Itemized (use Schedule A)   |  | 502.50                        | 3,782.50                  | 11(a)(ii)  |
| ii. Unitemized   |  | 582.50                        | 5,082.50                  | 11(a)(iii) |
| iii. Total   | (add i and ii) >                                 |                               |                           | 11(b)      |
| b. Political Party Committees  |  |                               |                           | 11(c)      |
| c. Other Political Committees (such as PACs)   |  |                               |                           | 11(d)      |
| d. Total Contributions   | (add a ii, b and c) >                            |                               |                           | 12         |
| 12. Transfers From Affiliated/Other Party Committees                                     |  |                               |                           | 13         |
| 13. All Loans Received   |  |                               |                           | 14         |
| 14. Loan Repayments Received   |  |                               |                           | 15         |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)                           |  |                               |                           | 16         |
| 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees   |  | -0-                           | 103.17                    | 17         |
| 17. Other Federal Receipts (Dividends, Interest, etc.)                                   |  |                               |                           | 18         |
| 18. Transfers from Nonfederal Account for Joint Activity                                 |  | 582.50                        | 5,185.67                  | 19         |
| 19. Total Receipts   | (add 11d, 12, 13, 14, 15, 16, 17, and 18) >      |                               |                           | 20         |
| 20. Total Federal Receipts   | (subtract line 16 from line 19) >                |                               |                           |            |
| <b>II. Disbursements</b>   |  |                               |                           |            |
| 21. Operating Expenditures:  |  |                               |                           |            |
| a. Shared Federal/Non-Federal Activity (from Schedule H4)                                |  |                               |                           | 21(a)(K)   |
| i. Federal Share   |  |                               |                           | 21(a)(L)   |
| ii. Non-Federal Share  |  |                               |                           | 21(b)      |
| b. Other Federal Operating Expenditures  |  |                               |                           | 21(c)      |
| c. Total Operating Expenditures  | (add a i, a ii, and b) >                         |                               |                           | 22         |
| 22. Transfers to Affiliated/Other Party Committees                                       |  | 12,500.00                     | 12,500.00                 | 23         |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees        |  |                               |                           | 24         |
| 24. Independent Expenditures (use Schedule E)  |  |                               |                           | 25         |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441(d)) (use Schedule F) |  |                               |                           | 26         |
| 26. Loan Repayments Made   |  |                               |                           | 27         |
| 27. Loans Made   |  |                               |                           | 28(a)      |
| 28. Refunds of Contributions To:   |  |                               |                           | 28(b)      |
| a. Individual/Persons Other Than Political Committees                                    |  |                               |                           | 28(c)      |
| b. Political Party Committees  |  |                               |                           | 28(d)      |
| c. Other Political Committees (such as PACs)   |  |                               |                           | 29         |
| d. Total Contribution Refunds  | (add a, b and c) >                               |                               |                           | 30         |
| 29. Other Disbursements  |  | 12,500.00                     | 12,500.00                 | 31         |
| 30. Total Disbursements  | (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) > |                               |                           |            |
| 31. Total Federal Disbursements  | (subtract line 21 a ii from line 30) >           |                               |                           |            |
| <b>III. Net Contributions/Operating Expenditures</b>                                     |  |                               |                           |            |
| 32. Total Contributions (other than loans) (from line 11d)                               |  |                               |                           | 32         |
| 33. Total Contribution Refunds (from line 28d)   |  |                               |                           | 33         |
| 34. Net Contributions (other than loans) (subtract line 33 from 32)                      |  |                               |                           | 34         |
| 35. Total Federal Operating Expenditures   | (add 21 a i and 21 b) >                          |                               |                           | 35         |
| 36. Offsets to Operating Expenditures (from line 15)                                     |  |                               |                           | 36         |
| 37. Net Operating Expenditures   | (subtract line 36 from 35) >                     |                               |                           | 37         |

For Federal Report

SCHEDULE A

ITEMIZED RECEIPTS

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose for soliciting contributions or for commercial purpose, other than using the name and address of any political committee to solicit contributions from such committee.

Name of Committee: A.O. Smith Political Action Committee

| Full Name  | Name of Employer   | Amount                |
|--|--|-----------------------|
| 1 Heinrich, Donald M.<br>10708 N. Gazebo Hill<br>Mequon, WI 53092    | A.O. Smith Corp. Hdqtrers<br>11270 West Park Place<br>Milwaukee, WI 53224-3690<br>VP of Business Development | OCT 16, 2000<br>20.00 |
| Calendar Year to Date Total >\$ 200.00                               |  |                       |
| 2 Massa, Ronald E.<br>8129 West Kensington Dr.<br>Mequon, WI 53216   | A.O. Smith Corp. Hdqtrers<br>11270 West Park Place<br>Milwaukee, WI 53224-3690<br>VP of Business Development | OCT 16, 2000<br>20.00 |
| Calendar Year to Date Total >\$ 200.00                               |  |                       |
| 3 O'Toole, R.<br>2401 W. Cedar Lane<br>River Hills, WI 53217         | A.O. Smith Corp Hdqtrers<br>11270 West Park Place<br>Milwaukee, WI 53224-3690<br>President & CEO             |                       |
| Calendar Year to Date Total >\$ 0.00                                 |  |                       |
| 4 Romoser, W David<br>11019 N. Wyngate Trace<br>Mequon WI 53092-5869 | A.O. Smith Corp. Hdqtrers<br>11270 West Park Place<br>Milwaukee, WI 53224-3690<br>General Secretary          | OCT 16, 2000<br>20.00 |
| Calendar Year to Date Total >\$ 200.00                               |  |                       |
| 5 Smith, Arthur O.<br>1050 W. Calumet Rd<br>Milwaukee, WI 53217      | Smith Investment Company<br>11270 West Park Place<br>Milwaukee, WI 53224-3690<br>Chairman & CEO              |                       |
| Calendar Year to Date Total >\$ 0.00                                 |  |                       |
| 6 Smith, Roger<br>11211 N. Bobolink LH.<br>Mequon, WI 53092          | A.O. Smith Corp. Hdqtrers<br>11270 West Park Place<br>Milwaukee, WI 53224-3690                               |                       |
| Calendar Year to Date Total >\$ 500.00                               |  |                       |
| 7 Lekovich, J.W.<br>3312 Poplar Chase Lane<br>Florence, SC 29501     | A.O. Smith Corp Water Products<br>U.S. Highway 1, North<br>McBee SC 29101<br>Manager-Manufacturing           | OCT 16, 2000<br>20.00 |
| Calendar Year to Date Total >\$ 200.00                               |  |                       |
| 8 Wels, H. B. III<br>4318 Willow Lane<br>Dallas, TX 75244            | A.O. Smith Water Products Company<br>5801 Trowbridge<br>El Paso, TX 79925<br>Vice President Human Resources  |                       |
| Calendar Year to Date Total >\$ 0.00                                 |  |                       |
| Subtotal of Receipts This Page ----->                                |  | 80.00                 |
| Total This Period ----->   |  | 0.00                  |

**SCHEDULE B**

**ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 4 OF 6  
FOR LINE NUMBER 23

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

A.O. SMITH POLITICAL ACTION COMMITTEE

| A. Full Name, Mailing Address and ZIP Code                                    | Purpose of Disbursement   | Date (month, day, year) | Amount of Each Disbursement This Period |
|---|---|-------------------------|---|
| SENATOR SLADE GORDON<br>P.O. BOX 3348<br>BELLEVUE, WA. 98004                  | SLADE GORDON<br>U.S. SENATOR<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) y-t-d \$1,000     | 10/2/00                 | \$1,000                                 |
| ASHCOFT 2000<br>2326 MILPARK DR.<br>ST. LOUIS, MO 63043                       | JOHN ASHCROFT<br>U.S. SENATOR<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) Y T D \$1,000    | 10/2/00                 | \$1,000                                 |
| ABRAHAM SENATE 2000<br>26555 EVERGREEN RD., SUITE 120<br>SOUTHFIELD, MI 48076 | SPENCE ABRAHAM<br>U.S. SENATOR<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) Y T D \$1,000   | 10/2/00                 | \$1,000                                 |
| FRIENDS OF JON PORTER<br>1111 MARY CREST ROAD SUITE G<br>HENDERSON, NV 89015  | JON PORTER<br>U.S. CONGRESS<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) Y T D \$500        | 10/2/00                 | \$500                                   |
| HASTERT FOR CONGRESS<br>P.O. BOX 625<br>BATAVIA IL 60510                      | J. DENNIS HASTERT<br>U.S. CONGRESS<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) Y T D \$500 | 10/2/00                 | \$500                                   |
| DENNIS REHBERG<br>1201 GRAND AVE. SUITE 1<br>BILLINGS, MT 59106               | DENNIS REHBERG<br>U.S. CONGRESS<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) Y T D \$500    | 10/2/00                 | \$500                                   |
| ROGERS FOR CONGRESS<br>P.O. BOX 581<br>BRIGHTON, MI 48116                     | MIKE ROGERS<br>U.S. CONGRESS<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) Y T D \$500       | 10/2/00                 | \$500                                   |
| MARK KIRK<br>28 GREENBAY RD.<br>WINNETKA, IL 60093                            | MARK KIRK<br>U.S. CONGRESS<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) y t d \$500         | 10/2/00                 | \$500                                   |
| FRIENDS OF GEORGE ALLEN<br>P.O. BOX 573<br>RICHMOND, VIRGINIA 23218           | GEORGE ALLEN<br>U.S. SENATOR<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) Y T D \$1,000     | 10/2/00                 | \$1,000                                 |

SUBTOTAL of Disbursements This Page (optional) .....

\$6,500.00

TOTAL This Period (last page this line number only) .....

**SCHEDULE B**

**ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

PAGE 5 OF 16  
FOR LINE NUMBER  
23

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**NAME OF COMMITTEE (In Full)**

A.O. SMITH POLITICAL ACTION COMMITTEE

| A. Full Name, Mailing Address and ZIP Code                                       | Purpose of Disbursement   | Date (month, day, year) | Amount of Each Disbursement This Period |
|--|---|-------------------------|---|
| WHITEFIELD FOR CONGRESS<br>P.O. BOX 391<br>HOPKINSVILLE, KY 42240                | EDWARD WHITEFIELD<br>U.S. CONGRESS<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) T D \$500     | 10/2/00                 | \$500                                   |
| ANNE NORTHUP FOR CONGRESS<br>P.O. BOX 7313<br>LOUISVILLE, KY 40257               | ANNE NORTHUP<br>U.S. CONGRESS<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) Y T D \$500        | 10/2/00                 | \$500                                   |
| FLETCHER FOR CONGRESS<br>P.O. BOX 4703<br>LEXINGTON, KY 40544-4703               | ERNEST LEE FLETCHER<br>U.S. CONGRESS<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) Y T D \$500 | 10/2/00                 | \$500                                   |
| ROGAN CAMPAIGN COMMITTEE<br>P.O. BOX 36<br>MONTROSE, CA 91021                    | JAMES E. ROGAN<br>U.S. CONGRESS<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) y t d \$500      | 10/2/00                 | \$500                                   |
| BRIAN BILBRAY FOR CONGRESS<br>3051 CLAREMONT DR<br>SAN DIEGO, CA 92117           | BRIAN BILBRAY<br>U.S. CONGRESS<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) Y T D \$500       | 10/2/00                 | \$500                                   |
| MELISSA HART<br>P.O. BOX 435<br>WEXFORD, PA 15090                                | MELISSA HART<br>U.S. CONGRESS<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) Y T D \$500        | 10/2/00                 | \$500                                   |
| TIBERI 2000<br>2021 EAST DUBLIN-GRANVILLE RD<br>SUITE 2000<br>COLUMBUS, OH 43229 | PATRICK J. TIBERI<br>U.S. CONGRESS<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) Y T D \$500   | 10/2/00                 | \$500                                   |
| PETE SESSION<br>P.O. BOX 38585<br>DALLAS, TX 75238-0585                          | PETE SESSIONS<br>U.S. CONGRESS<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) Y T D \$500       | 10/2/00                 | \$500                                   |
| ENSIGN FOR SENATE<br>P.O. BOX 575<br>BROOKFIELD, WI 53008                        | JOHN ENSIGN<br>U.S. SENATOR<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) Y T D \$1,000        | 10/2/00                 | \$1,000                                 |

SUBTOTAL of Disbursements This Page (optional) .....

\$5,000

TOTAL This Period (last page this line number only) .....

**SCHEDULE B**

**ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 6 OF 16  
FOR LINE NUMBER 23

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NAME OF COMMITTEE (In Full)

A.O. SMITH POLITICAL ACTION COMMITTEE

| A. Full Name, Mailing Address and ZIP Code  | Purpose of Disbursement   | Date (month, day, year) | Amount of Each Disbursement This Period |
|---|---|-------------------------|---|
| SENSENBRENNER COMMITTEE<br>P.O. BOX 575<br>BROOKFIELD, WI 53008   | JAMES SENSENBRENNER<br>U.S. CONGRESS<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) Y T D \$500 | 10/2/00                 | \$500                                   |
| B. Full Name, Mailing Address and ZIP Code<br>FRIENDS OF JOHN TANNER<br>P.O. BOX 3301<br>ALEXANDRIA, VA 22302 | JOHN TANNER<br>U.S. CONGRESS<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) Y T D \$500         | 10/2/00                 | \$500                                   |
| C. Full Name, Mailing Address and ZIP Code  | Purpose of Disbursement<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify)                          | Date (month, day, year) | Amount of Each Disbursement This Period |
| D. Full Name, Mailing Address and ZIP Code  | Purpose of Disbursement<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify)                          | Date (month, day, year) | Amount of Each Disbursement This Period |
| E. Full Name, Mailing Address and ZIP Code  | Purpose of Disbursement<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify)                          | Date (month, day, year) | Amount of Each Disbursement This Period |
| F. Full Name, Mailing Address and ZIP Code  | Purpose of Disbursement<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify)                          | Date (month, day, year) | Amount of Each Disbursement This Period |
| G. Full Name, Mailing Address and ZIP Code  | Purpose of Disbursement<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify)                          | Date (month, day, year) | Amount of Each Disbursement This Period |
| H. Full Name, Mailing Address and ZIP Code  | Purpose of Disbursement<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify)                          | Date (month, day, year) | Amount of Each Disbursement This Period |
| I. Full Name, Mailing Address and ZIP Code  | Purpose of Disbursement<br>Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify)                          | Date (month, day, year) | Amount of Each Disbursement This Period |

SUBTOTAL of Disbursements This Page (optional) .....

\$1,000


TOTAL This Period (last page this line number only) .....

\$12,500

Federal Election Commission

**ENVELOPE REPLACEMENT PAGE  
FOR INCOMING DOCUMENTS**

The Commission has added this page to the end of this filing to indicate how it was received.

|   |                                      |
|---|--------------------------------------|
| <input type="checkbox"/> Hand Delivered   | Date of Receipt                      |
| <input type="checkbox"/> First Class Mail   | POSTMARKED                           |
| <input checked="" type="checkbox"/> Registered/Certified Mail                                   | POSTMARKED (R/C)<br>10/11/00         |
| <input type="checkbox"/> No Postmark  |                                      |
| <input type="checkbox"/> Postmark Illegible   |                                      |
| <input type="checkbox"/> Received from the House office of Records and Registration             | Date of Receipt                      |
| <input type="checkbox"/> Received from the Senate Office of Public Records                      | Date of Receipt                      |
| <input type="checkbox"/> Other ( Specify):  | Postmarked<br>and/or Date of Receipt |
| <input type="checkbox"/> Electronic Filing  |                                      |
| <br>PREPARER | 10/11/00<br>DATE PREPARED            |