

**FEC
FORM 3X**

**REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

RECEIVED

2013 MAY 13 AM 10:27

FEC MAIL CENTER

1. NAME OF COMMITTEE (In full)

TYPE OR PRINT ▼

Example: If typing, type over the lines.

12FE4M5

SMITH & NEPHEW, INC. PAC

ADDRESS (number and street)

7135 GOODLETT FARMS PARKWAY



Check if different than previously reported. (ACC)

CORONA

TM

38016-

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C00374066

3. IS THIS REPORT



NEW (N)

OR



AMENDED (A)

4. TYPE OF REPORT
(Choose One)

(a) Quarterly Reports:



April 15 Quarterly Report (Q1)



July 15 Quarterly Report (Q2)



October 15 Quarterly Report (Q3)



January 31 Year-End Report (YE)



July 31 Mid-Year Report (Non-election Year Only) (MY)



Termination Report (TER)

(b) Monthly Report Due On:



Feb 20 (M2)



May 20 (M5)



Aug 20 (M8)



Nov 20 (M11) (Non-Election Year Only)



Mar 20 (M3)



Jun 20 (M6)



Sep 20 (M9)



Dec 20 (M12) (Non-Election Year Only)



Apr 20 (M4)



Jul 20 (M7)



Oct 20 (M10)



Jan 31 (YE)

(c) 12-Day PRE-Election Report for the:



Primary (12P)



General (12G)



Runoff (12R)



Convention (12C)



Special (12S)

Election on

MM/DD/YYYY

In the State of

State

(d) 30-Day POST-Election Report for the:



General (30G)



Runoff (30R)



Special (30S)

Election on

MM/DD/YYYY

In the State of

State

5. Covering Period

04/01/2013

through

04/30/2013

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Jean W. Mercer

Signature of Treasurer

Jean W. Mercer

Date

05/01/2013

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only

FEC FORM 3X
Rev. 12/2004

13031070096

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Smith & Nephew, Inc. PAC

Report Covering the Period:

From:

04 / 01 / 2013

To:

04 / 30 / 2013

**COLUMN A
This Period**

**COLUMN B
Calendar Year-to-Date**

6. (a) Cash on Hand
January 1, 2013

3536709

(b) Cash on Hand at
Beginning of Reporting Period.....

3767971

(c) Total Receipts (from Line 19)

449082

690344

(d) Subtotal (add Lines 6(b) and
6(c) for Column A and Lines
6(a) and 6(c) for Column B)

4217053

4227653

7. Total Disbursements (from Line 31)

1000000

1010000

8. Cash on Hand at Close of
Reporting Period
(subtract Line 7 from Line 6(d))

3217053

3217053

9. Debts and Obligations Owed TO
the Committee (Itemize all on
Schedule C and/or Schedule D)

10. Debts and Obligations Owed BY
the Committee (Itemize all on
Schedule C and/or Schedule D)



This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

13031070097

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Smith & Nephew Inc. PAC

Report Covering the Period:

From:

04'01'2013

To:

04'30'2013

I. Receipts

COLUMN A Total This Period

COLUMN B Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other Than Political Committees

(i) Itemized (use Schedule A).....

4490.00

6900.00

(ii) Unitemized.....

(iii) TOTAL (add

Lines 11(a)(i) and (ii)).....▶

4490.00

6900.00

(b) Political Party Committees.....

(c) Other Political Committees

(such as PACs).....

(d) Total Contributions (add Lines

11(a)(ii), (b), and (c)) (Carry

Totals to Line 33, page 5).....▶

4490.00

6900.00

12. Transfers From Affiliated/Other Party Committees.....

13. All Loans Received.....

14. Loan Repayments Received.....

15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....

17. Other Federal Receipts

(Dividends, Interest, etc.).....

082

344

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3).....

(b) Levin Funds (from Schedule H5).....

(c) Total Transfers (add 18(a) and 18(b))..

19. Total Receipts (add Lines 11(d),

12, 13, 14, 15, 16, 17, and 18(c)).....▶

4490.82

6903.44

20. Total Federal Receipts

(subtract Line 18(c) from Line 19).....▶

4490.82

6903.44

Page 4

COLUMN B
Calendar Year-to-Date

- [illegible]

Page 5

COLUMN B
Calendar Year-to-Date

33. Total Contributions (other than loans)
(from Line 11(d), page 3)
34. Total Contribution Refunds
(from Line 28(d))
35. Net Contributions (other than loans)
(subtract Line 34 from Line 33)
36. Total Federal Operating Expenditures
(add Line 21(a)(i) and Line 21(b)) ►
37. Offsets to Operating Expenditures
(from Line 15, page 3).....
38. Net Operating Expenditures
(subtract Line 37 from Line 36) ►

1. The first step in the process is to identify the problem or issue that needs to be addressed. This involves gathering information and understanding the context of the problem.

1. The first step in the process is to identify the problem or issue that needs to be addressed. This involves gathering information and understanding the context of the problem.

2. Once the problem is identified, the next step is to define the objectives and goals of the project. This helps to clarify what needs to be achieved and provides a clear direction for the team.

3. The third step is to develop a plan or strategy to address the problem. This involves breaking down the problem into smaller, manageable tasks and determining the resources needed to complete each task.

4. The fourth step is to implement the plan. This involves putting the strategy into action and monitoring progress regularly to ensure that the project is on track.

5. The final step is to evaluate the results of the project. This involves comparing the actual outcomes with the objectives and goals to determine the effectiveness of the project and identify areas for improvement.

FE6AN026

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE **1** OF **5**
(check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
13 14 15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

Smith & Nephew, Inc. PAC

Full Name (Last, First, Middle Initial)

A. *Willis, Victoria*

Mailing Address

1630 Linden Ave

City

Memphis, TN 38014

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Smith & Nephew, Inc.

Occupation

Director, Compliance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

04/26/2013

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. *Carlson, David*

Mailing Address

668 Rocky Point Road

City

Memphis, TN 38018

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Smith & Nephew, Inc.

Occupation

Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

100.00

Date of Receipt

04/28/2013

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

C. *Seltman, Paul*

Mailing Address

514 Ashford Road

City

Silver Spring, MD

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Smith & Nephew, Inc.

Occupation

SV VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1,000.00

Date of Receipt

04/26/2013

Amount of Each Receipt this Period

200.00

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

270.00

13031070101

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:		PAGE 2 OF 5	
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
		<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)

Smith & Nephew, Inc. PAC

Full Name (Last, First, Middle Initial)

A. *Reid, Scott*

Mailing Address

1404 Gladstone Drive

City

Rockville

State

MD

Zip Code

20851

FEC ID number of contributing
federal political committee.

C

Name of Employer

Smith & Nephew, Inc.

Occupation

VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

04' 26' 2013

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

B. *Stacy, George*

Mailing Address

150 Minuteman Rd

City

Andover

State

MA

Zip Code

01810

FEC ID number of contributing
federal political committee.

C

Name of Employer

Smith & Nephew, Inc.

Occupation

Assoc Gen'l Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

275.00

Date of Receipt

04' 26' 2013

Amount of Each Receipt this Period

75.00

Full Name (Last, First, Middle Initial)

C. *Whitsitt, Laura*

Mailing Address

6365 Woodbridge

City

Memphis, TN

State

38119

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Smith & Nephew, Inc.

Occupation

DSUP Research & Gen Tech

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

04' 26' 2013

Amount of Each Receipt this Period

100.00

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

275.00

13031070102

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:		PAGE <u>3</u> OF <u>5</u>	
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
		<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)

Smith & Nephew, Inc. PAC

Full Name (Last, First, Middle Initial)

A. *Metzger, Sue*

Mailing Address

15 Hope Hill Road

City

Derry

State

NH

Zip Code

03028

FEC ID number of contributing federal political committee.

C

Name of Employer

Smith & Nephew, Inc.

Occupation

Sr VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

04/26/2013

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. *Biringer, Keith*

Mailing Address

41 Brookdale Ave

City

Methuen

State

MA

Zip Code

01844

FEC ID number of contributing federal political committee.

C

Name of Employer

Smith & Nephew, Inc.

Occupation

Group Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

100.00

Date of Receipt

04/26/2013

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

C. *Dugan, Thomas*

Mailing Address

11 Baymont St #1007

City

Clearwater, FL

State

FL

Zip Code

33767

FEC ID number of contributing federal political committee.

C

Name of Employer

Smith & Nephew, Inc.

Occupation

President NA AWM

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2650.00

Date of Receipt

04/26/2013

Amount of Each Receipt this Period

2600.00

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

2670.00

13031070103

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:		PAGE <u>Y</u> OF <u>5</u>	
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Smith & Nephew, Inc. PAC

Full Name (Last, First, Middle Initial)

A. *Navarra, Frank*

Mailing Address

517 S. Goodlett

City

Memphis

State

TN

Zip Code

38117

FEC ID number of contributing
federal political committee.

C

Name of Employer

Smith & Nephew, Inc.

Occupation

Director - Patient Advocacy

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

100.00

Date of Receipt

04' 29' 2013

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

B. *Tranchemontagne, Alain*

Mailing Address

651 Warwick Neck Ave

City

Warwick

State

RI

Zip Code

02889

FEC ID number of contributing
federal political committee.

C

Name of Employer

Smith & Nephew, Inc.

Occupation

Sr VP ASD

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1,000.00

Date of Receipt

04' 29' 2013

Amount of Each Receipt this Period

1,000.00

Full Name (Last, First, Middle Initial)

C. *Dawkins, Simon*

Mailing Address

655 Apacache Cir NE

City

St Petersburg

State

FL

Zip Code

33702

FEC ID number of contributing
federal political committee.

C

Name of Employer

Smith & Nephew, Inc.

Occupation

VP Strategic Mkts

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

50.00

Date of Receipt

04' 29' 2013

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

1,150.00

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:		PAGE 5 OF 5	
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
		<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)

Smith & Nephew, Inc. PAC

Full Name (Last, First, Middle Initial)

A. *Hersman, Christine*

Mailing Address

4 Bishop Way

City

Groton

State

MA

Zip Code

01450

FEC ID number of contributing
federal political committee.

C

Name of Employer

Smith & Nephew, Inc.

Occupation

VP Sales

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000

Date of Receipt

04 / 26 / 2013

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. *Haydock, Paul*

Mailing Address

11 Starwood Ave

City

Plaistow

State

NH

Zip Code

03865

FEC ID number of contributing
federal political committee.

C

Name of Employer

Smith & Nephew, Inc.

Occupation

DSVP Strategic Initiatives

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2500

Date of Receipt

04 / 26 / 2013

Amount of Each Receipt this Period

2500

Full Name (Last, First, Middle Initial)

C. *Steele, David*

Mailing Address

92 Robin Hill Rd

City

Groton, MA

State

Zip Code

01450

FEC ID number of contributing
federal political committee.

C

Name of Employer

Smith & Nephew, Inc.

Occupation

SVP operation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000

Date of Receipt

04 / 26 / 2013

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

12500

4490.00

13031070105

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE / OF

☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25 ☐ 26
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)

Smith & Nephew Inc. PAC

Full Name (Last, First, Middle Initial)

A. *Hagen for U S Senate, Inc.*

Mailing Address

PO Box 29103

City

Greensboro

State

NC

Zip Code

27429

Purpose of Disbursement

Contribution

Candidate Name

Kay Hagen

011
Category/
Type

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

State: *NC*

District:

Date of Disbursement

04' 01' 2013

Amount of Each Disbursement this Period

4000.00

B. *Diane Black for Congress*

Mailing Address

PO Box 1437

City

Gallatin

State

TN

Zip Code

37066

Purpose of Disbursement

Contribution

Candidate Name

Diane Black

011
Category/
Type

Office Sought:

☒ House
☐ Senate
☐ President

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

State: *TN*

District: *06*

Date of Disbursement

04' 04' 2013

Amount of Each Disbursement this Period

2000.00

C. *Marsha Blackburn for Congress Inc.*

Mailing Address

PO Box 3750

City

Brentwood

State

TN

Zip Code

37024

Purpose of Disbursement

Contribution

Candidate Name

Marsha Blackburn

011
Category/
Type

Office Sought:

☒ House
☐ Senate
☐ President

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

State: *TN*

District: *07*

Date of Disbursement

04' 09' 2013

Amount of Each Disbursement this Period

4000.00

SUBTOTAL of Disbursements This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

10000.00
10000.00

Federal Election Commission
ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS
The FEC added this page to the end of this filing to indicate how it was received.

☐

Hand Delivered

Date of Receipt

☒

USPS First Class Mail

Postmarked

5/2/13

☐

USPS Registered/Certified

Postmarked (R/C)

☐

USPS Priority Mail

Postmarked

Delivery Confirmation™ or Signature Confirmation™ Label ☐

☐

USPS Express Mail

Postmarked

☐

Postmark Illegible

☐

No Postmark

☐

Overnight Delivery Service (Specify):

Shipping Date

Next Business Day Delivery ☐

☐

Received from House Records & Registration Office

Date of Receipt

☐

Received from Senate Public Records Office

Date of Receipt

☐

Received from Electronic Filing Office

Date of Receipt

☐

Other (Specify):

Date of Receipt or Postmarked

Jan W

PREPARER

5/13/13

DATE PREPARED

(3/2005)

13031070107