

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT Example: If typing, type over the lines. 12FE4M5 The Caterpillar Inc. Employee Political Action Committee

ADDRESS (number and street) 100 N.E. Adams Street Peoria IL 61629-1430

2. FEC IDENTIFICATION NUMBER C C00148031 3. IS THIS REPORT NEW (N) OR AMENDED (A)

4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15, July 15, October 15, January 31, July 31 Mid-Year, Termination Report (b) Monthly Report Due On: Feb 20, Mar 20, Apr 20, May 20, Jun 20, Jul 20, Aug 20, Sep 20, Oct 20, Nov 20, Dec 20, Jan 31 (c) 12-Day PRE-Election Report for the: Primary, General, Runoff, Convention, Special (d) 30-Day POST-Election Report for the: General, Runoff, Special

5. Covering Period 02 / 01 / 2020 through 02 / 29 / 2020

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. James, Danielle, , ,

Type or Print Name of Treasurer Signature of Treasurer James, Danielle, , , [Electronically Filed] Date 03 / 16 / 2020

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 52 U.S.C. § 30109.

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: / / To: / /

| | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------|-----------------------------------|
| 6. (a) Cash on Hand January 1, <input type="text" value="2020"/> | | 432423.22 |
| (b) Cash on Hand at Beginning of Reporting Period..... | 401513.97 | |
| (c) Total Receipts (from Line 19) | 59540.12 | 122145.12 |
| (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)..... | 461054.09 | 554568.34 |
| 7. Total Disbursements (from Line 31)..... | 21019.80 | 114534.05 |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))..... | 440034.29 | 440034.29 |
| 9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) | 0.00 | |
| 10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) | 0.00 | |

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: M M / D D / Y Y Y Y 02 / 01 / 2020 To: M M / D D / Y Y Y Y 02 / 29 / 2020

| I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|---------------------------------------|---|
| 11. Contributions (other than loans) From: | | |
| (a) Individuals/Persons Other Than Political Committees | | |
| (i) Itemized (use Schedule A)..... | 34119.38 | 55480.17 |
| (ii) Unitemized | 25409.87 | 66642.31 |
| (iii) TOTAL (add Lines 11(a)(i) and (ii)).....▶ | 59529.25 | 122122.48 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs)..... | 0.00 | 0.00 |
| (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) | 59529.25 | 122122.48 |
| 12. Transfers From Affiliated/Other Party Committees..... | 0.00 | 0.00 |
| 13. All Loans Received | 0.00 | 0.00 |
| 14. Loan Repayments Received..... | 0.00 | 0.00 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)..... | 0.00 | 0.00 |
| 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees..... | 0.00 | 0.00 |
| 17. Other Federal Receipts (Dividends, Interest, etc.)..... | 10.87 | 22.64 |
| 18. Transfers from Non-Federal and Levin Funds | | |
| (a) Non-Federal Account (from Schedule H3)..... | 0.00 | 0.00 |
| (b) Levin Funds (from Schedule H5) | 0.00 | 0.00 |
| (c) Total Transfers (add 18(a) and 18(b)).. | 0.00 | 0.00 |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)).....▶ | 59540.12 | 122145.12 |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19).....▶ | 59540.12 | 122145.12 |

DETAILED SUMMARY PAGE
of Disbursements

| II. Disbursements | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 21. Operating Expenditures: | | |
| (a) Allocated Federal/Non-Federal Activity (from Schedule H4) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) Non-Federal Share..... | 0.00 | 0.00 |
| (b) Other Federal Operating Expenditures | 19.80 | 34.05 |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) | 19.80 | 34.05 |
| 22. Transfers to Affiliated/Other Party Committees..... | 0.00 | 0.00 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees..... | 5000.00 | 64000.00 |
| 24. Independent Expenditures (use Schedule E) | 0.00 | 0.00 |
| 25. Coordinated Party Expenditures (52 U.S.C. § 30116(d)) (use Schedule F)..... | 0.00 | 0.00 |
| 26. Loan Repayments Made..... | 0.00 | 0.00 |
| 27. Loans Made..... | 0.00 | 0.00 |
| 28. Refunds of Contributions To: | | |
| (a) Individuals/Persons Other Than Political Committees | 1500.00 | 1500.00 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs)..... | 0.00 | 0.00 |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c))..... | 1500.00 | 1500.00 |
| 29. Other Disbursements (Including Non-Federal Donations)..... | 14500.00 | 49000.00 |
| 30. Federal Election Activity (52 U.S.C. § 30101(20)) | | |
| (a) Allocated Federal Election Activity (from Schedule H6) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) "Levin" Share..... | 0.00 | 0.00 |
| (b) Federal Election Activity Paid Entirely With Federal Funds | 0.00 | 0.00 |
| (c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) | 0.00 | 0.00 |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) .. | 21019.80 | 114534.05 |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)..... | 21019.80 | 114534.05 |

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5

| III. Net Contributions/ Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|---------------------------------------|---|
| 33. Total Contributions (other than loans) (from Line 11(d), page 3) | 59529.25 | 122122.48 |
| 34. Total Contribution Refunds (from Line 28(d)) | 1500.00 | 1500.00 |
| 35. Net Contributions (other than loans) (subtract Line 34 from Line 33) | 58029.25 | 120622.48 |
| 36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) | 19.80 | 34.05 |
| 37. Offsets to Operating Expenditures (from Line 15, page 3)..... | 0.00 | 0.00 |
| 38. Net Operating Expenditures (subtract Line 37 from Line 36) | 19.80 | 34.05 |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 6 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Abbott, Brian, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) WW Product Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 244.80

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-430282
 Amount of Each Receipt this Period
 122.40
 Memo Item

B. Aiken, Kathryn, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 510 Lake Cook Road
 City Deerfield State IL Zip Code 60015
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Segment HR Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 833.32

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-431061
 Amount of Each Receipt this Period
 416.66
 Memo Item

C. Akif, Sammy, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1208 14th St
 City Northlake State TX Zip Code 76226-2139
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Progress Rail Services Occupation (for Individual) Manager
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 201.90

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 27 / 2020
Transaction ID : A2020-430240
 Amount of Each Receipt this Period
 40.38
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 579.44 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 7 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Alger, Matt, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address Illinois Route 29 at Old Galena Ro
 PO Box 610
 City Mossville State IL Zip Code 61552
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Life Cycle Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 322.68

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-431311
 Amount of Each Receipt this Period 161.34
 Memo Item

B. Ames, Sean, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Marketing Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 333.50

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430340
 Amount of Each Receipt this Period 166.75
 Memo Item

C. Anderson, Mat, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 200 NE Adams Street
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Senior Corporate Counsel
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 398.20

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430802
 Amount of Each Receipt this Period 199.10
 Memo Item

| | |
|--|--------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 527.19 |
| TOTAL This Period (last page this line number only).....▶ | |

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 8 OF 65 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Bailey, Doug, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 10203 Sam Houston Park Drive
 Suite 4000
 City Houston State TX Zip Code 77064
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Industry Territory Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 299.24

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-431200
 Amount of Each Receipt this Period 149.62
 Memo Item

B. Balkema, Chris, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 325 Route 31 South (Caterpillar Dr
 PO Box 348
 City Aurora State IL Zip Code 60507
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Product Supply Mgr III
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 338.78

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-431348
 Amount of Each Receipt this Period 169.39
 Memo Item

C. Balkom, Mike, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 8565 Old Marsh Way
 City Montgomery State AL Zip Code 36117
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Progress Rail Services Occupation (for Individual) Manager Sales
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 212.04

Date of Receipt 02 / 13 / 2020
Transaction ID : A2020-288708
 Amount of Each Receipt this Period 53.01
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 372.02 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 9 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Balkom, Mike, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 8565 Old Marsh Way
 City Montgomery State AL Zip Code 36117
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Progress Rail Services Occupation (for Individual) Manager Sales
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 265.05

Date of Receipt **02 / 27 / 2020**
Transaction ID : A2020-429939
 Amount of Each Receipt this Period 53.01
 Memo Item

B. Barber, Kathy, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Sr. Governmental Affairs Rep
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 244.74

Date of Receipt **02 / 28 / 2020**
Transaction ID : A2020-430998
 Amount of Each Receipt this Period 122.37
 Memo Item

C. Bennes, Bob, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 2120 West End Avenue P O Box 340001
 City Nashville State TN Zip Code 37203
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President CFSC
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 455.26

Date of Receipt **02 / 28 / 2020**
Transaction ID : A2020-430537
 Amount of Each Receipt this Period 227.63
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 403.01 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 10 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Bloch, Stephen, E, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 3701 South Street
 City Lafayette State IN Zip Code 47905
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Manufacturing Project Engr 1
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 203.52

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430590
 Amount of Each Receipt this Period 101.76
 Memo Item

B. Bluth, Tom, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 200 NE Adams Street
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 833.34

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430655
 Amount of Each Receipt this Period 416.67
 Memo Item

C. Boast, Saul, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610
 City Mossville State IL Zip Code 61552
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Electronic Engrg Sr. Mgr
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 390.52

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-431033
 Amount of Each Receipt this Period 195.26
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 713.69 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 11 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Breitschwerdt, Otto, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 954 NC Highway 42 East

| | | |
|-----------------|-------------|-------------------|
| City Clayton | State NC | Zip Code 27527 |
|-----------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|--|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) General Manager BCP Loaders |
|---|--|

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
833.32

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-430665

Amount of Each Receipt this Period
 416.66

Memo Item

B. Bromm, Jaron, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams

| | | |
|----------------|-------------|-------------------|
| City Peoria | State IL | Zip Code 61629 |
|----------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|--|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Associate General Counsel |
|---|--|

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
433.18

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-430901

Amount of Each Receipt this Period
 216.59

Memo Item

C. Bryant, Jenny, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 9401 85Th Avenue North

| | | |
|-----------------------|-------------|-------------------|
| City Brooklyn Park | State MN | Zip Code 55445 |
|-----------------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|---|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Supply Network Fac Mgr III |
|---|---|

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼
298.24

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-430776

Amount of Each Receipt this Period
 149.12

Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 782.37 |
| TOTAL This Period (last page this line number only)..... | |

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 12 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

| | | |
|---|---|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name A. Carney, Rob, , , III | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-430837 |
| Mailing Address 600 S. Second Street Suite 101 | | Amount of Each Receipt this Period 155.24 |
| City Springfield | State IL | Zip Code 62704 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Sr. Governmental Affairs Rep | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 310.48 | |

| | | |
|---|--|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carr, Ron, , , | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-430469 |
| Mailing Address 2120 West End Avenue P O Box 340001 | | Amount of Each Receipt this Period 120.85 |
| City Nashville | State TN | Zip Code 37203 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Infrastructure Specialist | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 241.70 | |

| | | |
|---|--|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Carter, Janda, , , | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-430814 |
| Mailing Address 100 NE Adams | | Amount of Each Receipt this Period 209.31 |
| City Peoria | State IL | Zip Code 61629 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Assistant General Counsel | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) | Aggregate Year-to-Date ▼ 418.62 | |

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 485.40 |
| TOTAL This Period (last page this line number only)..... | |

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 13 OF 65 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Chen, Leo, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 28194 Caterpillar Lane

| | | |
|--------------------|-------------|-------------------|
| City Washington | State IL | Zip Code 61571 |
|--------------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|--|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Engineering Manager-2 |
|---|--|

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
364.08

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | / | 28 | / | 2020 |

Transaction ID : A2020-430365

Amount of Each Receipt this Period
182.04

Memo Item

B. Chu, Maggie, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 510 Lake Cook Road

| | | |
|-------------------|-------------|-------------------|
| City Deerfield | State IL | Zip Code 60015 |
|-------------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|--|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Segment HR Director |
|---|--|

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
833.32

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | / | 28 | / | 2020 |

Transaction ID : A2020-431062

Amount of Each Receipt this Period
416.66

Memo Item

C. Clements, Mary, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 2120 West End Avenue
P O Box 340001

| | | |
|-------------------|-------------|-------------------|
| City Nashville | State TN | Zip Code 37203 |
|-------------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|--|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Digitl & Glb Transformation Dir |
|---|--|

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼
300.00

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | / | 28 | / | 2020 |

Transaction ID : A2020-430463

Amount of Each Receipt this Period
150.00

Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 748.70 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 14 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Cole, Keri, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1600 Progress Drive
 P.O. Box 1037
 City Albertville State AL Zip Code 35950
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Sr. Business Resource Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 833.34

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-430783
 Amount of Each Receipt this Period
 416.67
 Memo Item

B. Coleman, Jerry, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 3701 State Road 26 East
 City Lafayette State IN Zip Code 47905
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Product Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 500.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-431241
 Amount of Each Receipt this Period
 250.00
 Memo Item

C. Cone, Beth, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address Illinois Route 29 at Old Galena Ro
 PO Box 610
 City Mossville State IL Zip Code 61552
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Elec Comp Sr. Project Lead
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 253.32

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-431163
 Amount of Each Receipt this Period
 126.66
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 793.33 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 15 OF 65 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Daw, Andy, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 14009 Old Galena Rd.
 Bldg A
 City Mossville State IL Zip Code 61552
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Director of Procurement
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 300.00

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-431406
 Amount of Each Receipt this Period 150.00
 Memo Item

B. Dunbar, Nathan, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 3701 South Street
 City Lafayette State IN Zip Code 47905
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Quality Manager 4
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 320.98

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-431382
 Amount of Each Receipt this Period 166.66
 Memo Item

C. Eastwood, Patricia, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 2120 West End Avenue
 P O Box 340001
 City Nashville State TN Zip Code 37203
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Assistant General Counsel
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 475.64

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-431305
 Amount of Each Receipt this Period 237.82
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 554.48 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 16 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Edwards, Brian, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 4517 Montalcino Blvd

| | | |
|----------------------|-------------|-------------------|
| City Flower Mound | State TX | Zip Code 75022 |
|----------------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|--|
| Name of Employer (for Individual) Progress Rail Services | Occupation (for Individual) Manager |
|---|--|

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
268.76

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | / | 13 | / | 2020 |

Transaction ID : A2020-288685

Amount of Each Receipt this Period
67.19

Memo Item

B. Edwards, Brian, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 4517 Montalcino Blvd

| | | |
|----------------------|-------------|-------------------|
| City Flower Mound | State TX | Zip Code 75022 |
|----------------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|--|
| Name of Employer (for Individual) Progress Rail Services | Occupation (for Individual) Manager |
|---|--|

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
339.85

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | / | 27 | / | 2020 |

Transaction ID : A2020-429948

Amount of Each Receipt this Period
71.09

Memo Item

C. Evans, Mike, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 875 W Cushing Street

| | | |
|----------------|-------------|-------------------|
| City Tucson | State AZ | Zip Code 85745 |
|----------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|---|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Commercial Manager |
|---|---|

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼
343.04

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | / | 28 | / | 2020 |

Transaction ID : A2020-431234

Amount of Each Receipt this Period
171.52

Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 309.80 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 17 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Ferguson, Steve, M, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 6800 Doerr Lane
 City Schertz State TX Zip Code 78154
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 833.34

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-431245
 Amount of Each Receipt this Period
 416.67
 Memo Item

B. Finn, Bart, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Sales Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 329.64

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-431243
 Amount of Each Receipt this Period
 164.82
 Memo Item

C. Flannery, Mark, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Senior Corporate Counsel
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 361.86

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-431049
 Amount of Each Receipt this Period
 180.93
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 762.42 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 18 OF 65
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Flinn, Barrett, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1167 NC Hwy 42 East
 City Clayton State NC Zip Code 27527
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Engineering Manager-3
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 363.70

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-431257
 Amount of Each Receipt this Period 181.85
 Memo Item

B. Frake, Tom, G, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 833.34

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430674
 Amount of Each Receipt this Period 416.67
 Memo Item

C. Garman, Scott, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 3971 S Chana Rd
 City Chana State IL Zip Code 61015-9770
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Progress Rail Services Occupation (for Individual) Manager
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 201.75

Date of Receipt 02 / 27 / 2020
Transaction ID : A2020-429959
 Amount of Each Receipt this Period 40.35
 Memo Item

| | |
|--|--------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 638.87 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|--|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 19 OF 65 |
| | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13 | <input type="checkbox"/> 11b <input type="checkbox"/> 14 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Gentry-Stuenkel, Wendi, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 540 W. Madison Street
 Floor 3

City Chicago State IL Zip Code 60661

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Director Integrated Logistics

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **833.32**

Date of Receipt **02 / 28 / 2020**
Transaction ID : A2020-430273

Amount of Each Receipt this Period **416.66**

Memo Item

B. Giesfeldt, James, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 1100 Milwaukee Ave
 PO Box 500

City South Milwaukee State WI Zip Code 53172-0500

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Product Support Manager

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **374.56**

Date of Receipt **02 / 28 / 2020**
Transaction ID : A2020-430941

Amount of Each Receipt this Period **187.28**

Memo Item

C. Ginzal, Jon, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Dir Labor & Employee Relation

Receipt For: Primary General Other (specify)

Aggregate Year-to-Date ▼ **227.12**

Date of Receipt **02 / 28 / 2020**
Transaction ID : A2020-430499

Amount of Each Receipt this Period **113.56**

Memo Item

SUBTOTAL of Receipts This Page (optional)..... **717.50**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 20 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Girard, Kristin, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Distribution Svcs Reg Mgr
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 833.34

Date of Receipt **02 / 28 / 2020**
Transaction ID : A2020-430285
 Amount of Each Receipt this Period 416.67
 Memo Item

B. Glawe, Regg, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 14009 Old Galena Rd. Bldg E
 City Mossville State IL Zip Code 61552
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Engineering Manager-2
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 361.02

Date of Receipt **02 / 28 / 2020**
Transaction ID : A2020-430592
 Amount of Each Receipt this Period 180.51
 Memo Item

C. Gorman, Chris, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 954 NC Highway 42 East
 City Clayton State NC Zip Code 27527
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Supply Chain Manager 2
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 303.80

Date of Receipt **02 / 28 / 2020**
Transaction ID : A2020-430897
 Amount of Each Receipt this Period 151.90
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 749.08 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 21 OF 65 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Guilford, Jerome, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 100 NE Adams

| | | |
|----------------|-------------|-------------------|
| City Peoria | State IL | Zip Code 61629 |
|----------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|---|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) General Manager BHL/TH |
|---|---|

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
500.00

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | / | 28 | / | 2020 |

Transaction ID : A2020-430420

Amount of Each Receipt this Period
250.00

Memo Item

B. Gutgesell, Peg, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address Illinois Route 29 at Old Galena Ro
PO Box 610

| | | |
|-------------------|-------------|-------------------|
| City Mossville | State IL | Zip Code 61552 |
|-------------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|---|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Principal IT Architect |
|---|---|

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
329.76

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | / | 28 | / | 2020 |

Transaction ID : A2020-430545

Amount of Each Receipt this Period
164.88

Memo Item

C. Halloran, Jim, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 100 NE Adams

| | | |
|----------------|-------------|-------------------|
| City Peoria | State IL | Zip Code 61629 |
|----------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|---|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) California Reg Affairs Mgr |
|---|---|

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼
361.36

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | / | 28 | / | 2020 |

Transaction ID : A2020-430600

Amount of Each Receipt this Period
180.68

Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 595.56 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 22 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Hanback, Corey, B, ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 11601 93rd Ave N

| | | |
|--------------------|-------------|-------------------|
| City MapleGrove | State MN | Zip Code 55369 |
|--------------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|---|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) WW Product Manager |
|---|---|

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
320.00

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | / | 28 | / | 2020 |

Transaction ID : A2020-431294

Amount of Each Receipt this Period
160.00

Memo Item

B. Harris, Stephen, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 12085 New Day Dr

| | | |
|--------------------|-------------|------------------------|
| City Fort Worth | State TX | Zip Code 76179-9168 |
|--------------------|-------------|------------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|--|
| Name of Employer (for Individual) Progress Rail Services | Occupation (for Individual) Manager |
|---|--|

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
400.00

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | / | 13 | / | 2020 |

Transaction ID : A2020-288695

Amount of Each Receipt this Period
100.00

Memo Item

C. Harris, Stephen, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 12085 New Day Dr

| | | |
|--------------------|-------------|------------------------|
| City Fort Worth | State TX | Zip Code 76179-9168 |
|--------------------|-------------|------------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|--|
| Name of Employer (for Individual) Progress Rail Services | Occupation (for Individual) Manager |
|---|--|

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼
500.00

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | / | 27 | / | 2020 |

Transaction ID : A2020-429958

Amount of Each Receipt this Period
100.00

Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 360.00 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 23 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Hart, Andrea, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 2120 West End Avenue
 P O Box 340001
 City Nashville State TN Zip Code 37203
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Human Resources Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 331.16

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-431368
 Amount of Each Receipt this Period 165.58
 Memo Item

B. Haycraft, Martin, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 404 E Main St
 City Albertville State AL Zip Code 35950-2444
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Progress Rail Services Occupation (for Individual) VP/CMO LRS Sales
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 995.66

Date of Receipt 02 / 13 / 2020
Transaction ID : A2020-288702
 Amount of Each Receipt this Period 200.22
 Memo Item

C. Haycraft, Martin, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 404 E Main St
 City Albertville State AL Zip Code 35950-2444
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Progress Rail Services Occupation (for Individual) VP/CMO LRS Sales
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 1195.88

Date of Receipt 02 / 27 / 2020
Transaction ID : A2020-429965
 Amount of Each Receipt this Period 200.22
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 566.02 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 24 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

| | | |
|---|---|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name A. Hays, Katie, , , | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-430938 |
| Mailing Address Suite 400 1425 K Street | | Amount of Each Receipt this Period 188.35 |
| City Washington | State DC | Zip Code 20005 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Int'l Fed & Govt Affairs Dir | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 376.70 | |

| | | |
|---|--|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Heiar, Keith, J, , | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-430502 |
| Mailing Address 100 Tractor Drive Building CV | | Amount of Each Receipt this Period 163.03 |
| City East Peoria | State IL | Zip Code 61630 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) MH&U Strategy Manager | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 326.06 | |

| | | |
|---|--|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Hermann, Eric, , , | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-430283 |
| Mailing Address 10203 Sam Houston Park Drive Suite 4000 | | Amount of Each Receipt this Period 177.86 |
| City Houston | State TX | Zip Code 77064 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Integrated Services Manager | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) | Aggregate Year-to-Date ▼ 355.72 | |

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 529.24 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 25 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. High, Lance, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Associate General Counsel
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 833.34

Date of Receipt **02 / 28 / 2020**
Transaction ID : A2020-430659
 Amount of Each Receipt this Period 416.67
 Memo Item

B. Hill, Crystal, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Sr Staff Acct II Legal Entity
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 245.86

Date of Receipt **02 / 28 / 2020**
Transaction ID : A2020-430632
 Amount of Each Receipt this Period 122.93
 Memo Item

C. Hillman, Jonathan, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 14009 Old Galena Rd. Bldg A
 City Mossville State IL Zip Code 61552
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Global Procurement Manager II
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 426.20

Date of Receipt **02 / 28 / 2020**
Transaction ID : A2020-431343
 Amount of Each Receipt this Period 213.10
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 752.70 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 26 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Hoeft, David, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 P.O. Box 17689
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Assistant General Counsel
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 375.40

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-430841
 Amount of Each Receipt this Period
 187.70
 Memo Item

B. Hutt, Joe, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 812 W Washington Street
 City East Peoria State IL Zip Code 61611
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Lead Human Resource Mgr
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 357.94

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-430494
 Amount of Each Receipt this Period
 178.97
 Memo Item

C. Ibrahim, Daniel, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address Illinois Route 29 at Old Galena Ro
 PO Box 610
 City Mossville State IL Zip Code 61552
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Engineering Manager-3
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 390.28

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-430636
 Amount of Each Receipt this Period
 195.14
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 561.81 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 27 OF 65 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Johnson, Alicia, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 510 Lake Cook Road
 City Deerfield State IL Zip Code 60015
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Segment HR Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 441.66

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-431184
 Amount of Each Receipt this Period
 220.83
 Memo Item

B. Johnson, Cheryl, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 510 Lake Cook Road
 City Deerfield State IL Zip Code 60015
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Chief Human Resources Officer
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 833.32

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-431050
 Amount of Each Receipt this Period
 416.66
 Memo Item

C. Johnson, Craig, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 2200 Pacific Highway
 City San Diego State CA Zip Code 92101
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Associate General Counsel
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 833.34

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-431282
 Amount of Each Receipt this Period
 416.67
 Memo Item

| | |
|---|---------|
| SUBTOTAL of Receipts This Page (optional)..... | 1054.16 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 28 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

| | | |
|---|--|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name A. Johnson, Denise, , , | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-430930 |
| Mailing Address 510 Lake Cook Road | | Amount of Each Receipt this Period 416.67 |
| City Deerfield | State IL | Zip Code 60015 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Group President | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 833.34 | |

| | | |
|---|---|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Karol, Kathryn, , , | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-430976 |
| Mailing Address Suite 400 1425 K Street | | Amount of Each Receipt this Period 416.67 |
| City Washington | State DC | Zip Code 20005 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Vice President | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 833.34 | |

| | | |
|---|---|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Kibby, Steve, , , | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-430519 |
| Mailing Address 200 NE Adams Street | | Amount of Each Receipt this Period 225.22 |
| City Peoria | State IL | Zip Code 61629 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Senior Corporate Counsel | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) | Aggregate Year-to-Date ▼ 450.44 | |

| | |
|--|---------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 1058.56 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 29 OF 65 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Knepp, Wes, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Labor & Employee Relations Mgr
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 361.36

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-431314
 Amount of Each Receipt this Period 180.68
 Memo Item

B. Kobrak, Harry, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams Ocean Gate Minato Mirai
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Sales Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 396.36

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430517
 Amount of Each Receipt this Period 198.18
 Memo Item

C. Kreisel, Leopold, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 877 Cloudberry Branch Way
 City Jacksonville State FL Zip Code 32259-4453
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Progress Rail Services Occupation (for Individual) Manager
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 211.25

Date of Receipt 02 / 27 / 2020
Transaction ID : A2020-429957
 Amount of Each Receipt this Period 42.25
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 421.11 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 30 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

| | | |
|---|---|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name A. Kruse, Brian, , , | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-430404 |
| Mailing Address 560 Rehoboth Road | | Amount of Each Receipt this Period 100.38 |
| City Griffin | State GA | Zip Code 30224 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Global Solutions Manager | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 200.76 | |

| | | |
|---|---|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lagacy, Julie, , , | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-430554 |
| Mailing Address 812 W Washington Street | | Amount of Each Receipt this Period 416.67 |
| City East Peoria | State IL | Zip Code 61611 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Vice President | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 833.34 | |

| | | |
|---|---|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. LaHood, Dan, , , | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-430284 |
| Mailing Address 100 NE Adams | | Amount of Each Receipt this Period 172.18 |
| City Peoria | State IL | Zip Code 61629 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Region Finance Mgr | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) | Aggregate Year-to-Date ▼ 344.36 | |

| | |
|--|--------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 689.23 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|---------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 31 OF 65 |
| | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 | |
| | <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Lautzenheiser, Scott, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Product Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 215.26

Date of Receipt **02 / 28 / 2020**
Transaction ID : A2020-431205
 Amount of Each Receipt this Period 107.63
 Memo Item

B. Long, Suzette, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 510 Lake Cook Road
 City Deerfield State IL Zip Code 60015
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) General Counsel-Corp Secretary
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 833.32

Date of Receipt **02 / 28 / 2020**
Transaction ID : A2020-431126
 Amount of Each Receipt this Period 416.66
 Memo Item

C. Lusher, Jason, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 14009 Old Galena Rd. Bldg F
 City Mossville State IL Zip Code 61552
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Engineering Manager-3
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 315.70

Date of Receipt **02 / 28 / 2020**
Transaction ID : A2020-430326
 Amount of Each Receipt this Period 157.85
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 682.14 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|---------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 32 OF 65 |
| | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 | |
| | <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Maples, Gregory, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 2673 Bethsaida Rd.
 City Boaz State AL Zip Code 35957
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Progress Rail Services Occupation (for Individual) Analyst I Financial
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 215.40

Date of Receipt 02 / 13 / 2020
Transaction ID : A2020-288713
 Amount of Each Receipt this Period 53.85
 Memo Item

B. Maples, Gregory, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 2673 Bethsaida Rd.
 City Boaz State AL Zip Code 35957
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Progress Rail Services Occupation (for Individual) Analyst I Financial
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 269.25

Date of Receipt 02 / 27 / 2020
Transaction ID : A2020-429944
 Amount of Each Receipt this Period 53.85
 Memo Item

C. Martin, Alex, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 2120 West End Avenue P O Box 340001
 City Nashville State TN Zip Code 37203
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Funding Manager II
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 272.78

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430751
 Amount of Each Receipt this Period 136.39
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 244.09 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 33 OF 65 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Matheny, Steve, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1600 Progress Drive
 P.O. Box 1037
 City Albertville State AL Zip Code 35950
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Assistant General Counsel
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 410.30

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-430820
 Amount of Each Receipt this Period
 205.15
 Memo Item

B. Matteo, James, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 Uozumi-Cho
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Global Procurement Manager III
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 227.14

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-430681
 Amount of Each Receipt this Period
 113.57
 Memo Item

C. McCartney, Sandi, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 Tractor Drive
 Building CV
 City East Peoria State IL Zip Code 61630
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Lead Human Resources Mgr
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 436.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-430474
 Amount of Each Receipt this Period
 218.00
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 536.72 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 34 OF 65 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. McGuire, Andrew, A, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 200 NE Adams Street
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Associate General Counsel
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 833.34

Date of Receipt **02 / 28 / 2020**
Transaction ID : A2020-431095
 Amount of Each Receipt this Period 416.67
 Memo Item

B. Menke, Charlie, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 28194 Caterpillar Lane
 City Washington State IL Zip Code 61571
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Machine Development Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 426.20

Date of Receipt **02 / 28 / 2020**
Transaction ID : A2020-430441
 Amount of Each Receipt this Period 213.10
 Memo Item

C. Milman, Kelsey, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Deputy General Counsel
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 832.00

Date of Receipt **02 / 28 / 2020**
Transaction ID : A2020-431218
 Amount of Each Receipt this Period 416.00
 Memo Item

| | |
|---|---------|
| SUBTOTAL of Receipts This Page (optional)..... | 1045.77 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 35 OF 65 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Mitts, Marsha, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Manager II-Export Controls
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 291.00

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-431230
 Amount of Each Receipt this Period 145.50
 Memo Item

B. Montgomery, Djuana, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 812 W Washington Street
 City East Peoria State IL Zip Code 61611
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Information Technology Mgr
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 294.22

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-431242
 Amount of Each Receipt this Period 147.11
 Memo Item

C. Mooney, Martin, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 3000 North 27th Street
 City Decatur State IL Zip Code 62526
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Facilities Engineering Mgr 1
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 329.54

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430293
 Amount of Each Receipt this Period 164.77
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 457.38 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 36 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

| | | |
|---|---|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name A. Moore, Jann, , , | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-430986 |
| Mailing Address 100 NE Adams | | Amount of Each Receipt this Period 125.68 |
| City Peoria | State IL | Zip Code 61629 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Sr. Governmental Affairs Rep | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 251.36 | |

| | | |
|---|--|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Moore, Rich, , , | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-430548 |
| Mailing Address 510 Lake Cook Road | | Amount of Each Receipt this Period 200.00 |
| City Deerfield | State IL | Zip Code 60015 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Corporate Treasurer | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 400.00 | |

| | | |
|---|--|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Moss, Jarrod, , , | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-431338 |
| Mailing Address 6000 W. Caterpillar Trail | | Amount of Each Receipt this Period 169.45 |
| City Green Valley | State AZ | Zip Code 85614 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Engineering Manager-3 | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) | Aggregate Year-to-Date ▼ 336.58 | |

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| SUBTOTAL of Receipts This Page (optional)..... | 495.13 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 37 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

| | | |
|---|---|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name A. Myers, Chris, , , | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-430772 |
| Mailing Address Suite 400 1425 K Street | | Amount of Each Receipt this Period 301.44 |
| City Washington | State DC | Zip Code 20005 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Federal Government Affairs Mgr | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 602.88 | |

| | | |
|---|--|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Nguyen, Chau, , , | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-430564 |
| Mailing Address 540 W. Madison Street Floor 3 | | Amount of Each Receipt this Period 111.88 |
| City Chicago | State IL | Zip Code 60661 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Marketing & Ops Support Mgr | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 223.76 | |

| | | |
|---|--|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Norlin, John, , , | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-431167 |
| Mailing Address 14009 N Old Galena Rd Tech Center Bldg K | | Amount of Each Receipt this Period 164.88 |
| City Mossville | State IL | Zip Code 61552 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Engineering Manager-1 | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) | Aggregate Year-to-Date ▼ 329.76 | |

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| SUBTOTAL of Receipts This Page (optional)..... | 578.20 |
| TOTAL This Period (last page this line number only)..... | |

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 38 OF 65 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Nunez Abe, Pepe, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 Diaz Ordaz no 140 Piso9 Col Santa
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Managing Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 401.50

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430700
 Amount of Each Receipt this Period 200.75
 Memo Item

B. O'Neal, Edward, A, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 2540 Bruce Rd.
 City Boaz State AL Zip Code 35957
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Progress Rail Services Occupation (for Individual) VP Health & Safety
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 349.76

Date of Receipt 02 / 13 / 2020
Transaction ID : A2020-288707
 Amount of Each Receipt this Period 87.44
 Memo Item

C. O'Neal, Edward, A, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 2540 Bruce Rd.
 City Boaz State AL Zip Code 35957
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Progress Rail Services Occupation (for Individual) VP Health & Safety
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 437.20

Date of Receipt 02 / 27 / 2020
Transaction ID : A2020-429938
 Amount of Each Receipt this Period 87.44
 Memo Item

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|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 375.63 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 39 OF 65 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. O'Neil, Ed, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 Tractor Drive
 Building CV
 City East Peoria State IL Zip Code 61630
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) GM Earthmoving Operations
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 500.00

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430524
 Amount of Each Receipt this Period 250.00
 Memo Item

B. Parks, Brett, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 2120 West End Avenue
 P O Box 340001
 City Nashville State TN Zip Code 37203
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Senior Corporate Counsel
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 400.56

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430312
 Amount of Each Receipt this Period 200.28
 Memo Item

C. Paternoga, Bob, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address Illinois Route 29 at Old Galena Ro
 PO Box 610
 City Mossville State IL Zip Code 61552
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) General Manager Reman
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 833.34

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430690
 Amount of Each Receipt this Period 416.67
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 866.95 |
| TOTAL This Period (last page this line number only)..... | |

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 40 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Pellette, Tom, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 2200 Pacific Hwy
 City San Diego State CA Zip Code 92101
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Solar Turbines Occupation (for Individual) Senior Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 833.34

Date of Receipt **02 / 28 / 2020**
Transaction ID : A2020-430703
 Amount of Each Receipt this Period 416.67
 Memo Item

B. Potts, Rachel, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 510 Lake Cook Road
 City Deerfield State IL Zip Code 60015
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Dir Enterprise Communications
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 400.00

Date of Receipt **02 / 28 / 2020**
Transaction ID : A2020-431143
 Amount of Each Receipt this Period 200.00
 Memo Item

C. Quigg, Jim, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610
 City Mossville State IL Zip Code 61552
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Dir Product Source Plng. & RE
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 833.32

Date of Receipt **02 / 28 / 2020**
Transaction ID : A2020-431259
 Amount of Each Receipt this Period 416.66
 Memo Item

| | |
|---|---------|
| SUBTOTAL of Receipts This Page (optional)..... | 1033.33 |
| TOTAL This Period (last page this line number only)..... | |

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 41 OF 65 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Rassi, A.J., , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 14009 Old Galena Rd.
Bldg A

| | | |
|-------------------|-------------|-------------------|
| City Mossville | State IL | Zip Code 61552 |
|-------------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|--|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Global Procurement Manager II |
|---|--|

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
212.92

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | | 28 | | 2020 |

Transaction ID : A2020-431077

Amount of Each Receipt this Period
106.46

Memo Item

B. Rea, David, M, ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 875 W Cushing Street

| | | |
|----------------|-------------|-------------------|
| City Tucson | State AZ | Zip Code 85745 |
|----------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|--|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Product Manager |
|---|--|

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
833.32

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | | 28 | | 2020 |

Transaction ID : A2020-431198

Amount of Each Receipt this Period
416.66

Memo Item

C. Rich, Steve, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 875 W Cushing Street

| | | |
|----------------|-------------|-------------------|
| City Tucson | State AZ | Zip Code 85745 |
|----------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|---|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Mining General Manager |
|---|---|

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼
400.00

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | | 28 | | 2020 |

Transaction ID : A2020-430573

Amount of Each Receipt this Period
200.00

Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 723.12 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 42 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

| | | |
|---|--|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name A. Roethler, Mary, , , | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-431265 |
| Mailing Address 100 NE Adams | | Amount of Each Receipt this Period 191.18 |
| City Peoria | State IL | Zip Code 61629 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Product Support Manager | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 382.36 | |

| | | |
|---|--|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rooney, Jim, , , | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-430366 |
| Mailing Address 100 NE Adams | | Amount of Each Receipt this Period 416.67 |
| City Peoria | State IL | Zip Code 61629 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Associate General Counsel | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 833.34 | |

| | | |
|---|---|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Russell, Melissa, , , | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-431012 |
| Mailing Address Suite 400 1425 K Street | | Amount of Each Receipt this Period 167.00 |
| City Washington | State DC | Zip Code 20005 |
| FEC ID number of contributing federal political committee. C | | <input type="checkbox"/> Memo Item |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Manager Governmental Affairs | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) | Aggregate Year-to-Date ▼ 334.00 | |

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 774.85 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 43 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Saad, Jeffrey, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 100 NE Adams

| | | |
|----------------|-------------|-------------------|
| City Peoria | State IL | Zip Code 61629 |
|----------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|--|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Business Resource Manager |
|---|--|

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
413.50

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | / | 28 | / | 2020 |

Transaction ID : A2020-430512

Amount of Each Receipt this Period
206.75

Memo Item

B. Sage, Jason, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 400 Regency Forest Drive
Suite 400

| | | |
|--------------|-------------|-------------------|
| City Cary | State NC | Zip Code 27518 |
|--------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|---|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Lead Human Resources Mgr |
|---|---|

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
453.38

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | / | 28 | / | 2020 |

Transaction ID : A2020-431179

Amount of Each Receipt this Period
226.69

Memo Item

C. Salahuddin, Jihad, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 501 SW Jefferson

| | | |
|----------------|-------------|-------------------|
| City Peoria | State IL | Zip Code 61629 |
|----------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|---|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Developmental Assignment |
|---|---|

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼
306.82

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | / | 28 | / | 2020 |

Transaction ID : A2020-431320

Amount of Each Receipt this Period
153.41

Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 586.85 |
| TOTAL This Period (last page this line number only)..... | |

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 44 OF 65 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Santilli, Stefano, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 2120 West End Avenue
P O Box 340001

City Nashville State TN Zip Code 37203

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Risk Analytics Manager

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 377.69

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-431066

Amount of Each Receipt this Period 188.57

Memo Item

B. Savage, Jean, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 875 W Cushing Street

City Tucson State AZ Zip Code 85745

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 916.80

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430844

Amount of Each Receipt this Period 458.40

Memo Item

C. Seely, Mary, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 875 W Cushing Street

City Tucson State AZ Zip Code 85745

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Lead Human Resources Mgr

Receipt For: Primary General Other (specify)

Aggregate Year-to-Date ▼ 686.34

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-431407

Amount of Each Receipt this Period 343.17

Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 990.14 |
| TOTAL This Period (last page this line number only)..... | |

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 45 OF 65 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Shankwitz, Phil, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 600 Balderson Blvd
P O Box 6

City Wamego State KS Zip Code 66547

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Chief Engineer

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **376.26**

Date of Receipt **02 / 28 / 2020**

Transaction ID : A2020-430526

Amount of Each Receipt this Period **188.13**

Memo Item

B. Sheffield, Maria, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 2120 West End Avenue
P O Box 340001

City Nashville State TN Zip Code 37203

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) President Insurance Services

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **406.16**

Date of Receipt **02 / 28 / 2020**

Transaction ID : A2020-431016

Amount of Each Receipt this Period **203.08**

Memo Item

C. Shurman, Rod, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

City Houston State TX Zip Code 77064

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) General Manager Oil & Gas

Receipt For: Primary General Other (specify)

Aggregate Year-to-Date ▼ **541.32**

Date of Receipt **02 / 28 / 2020**

Transaction ID : A2020-431264

Amount of Each Receipt this Period **270.66**

Memo Item

| | |
|---|---------------|
| SUBTOTAL of Receipts This Page (optional)..... | 661.87 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 46 OF 65 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Sluga, Maureen, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

| | | |
|-----------------|-------------|-------------------|
| City Houston | State TX | Zip Code 77064 |
|-----------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|---|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Special Assignment |
|---|---|

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
346.88

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020

Transaction ID : A2020-430498

Amount of Each Receipt this Period
173.44

Memo Item

B. Smith, Mary, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 3101 Bethsaida Rd

| | | |
|--------------|-------------|-------------------|
| City Boaz | State AL | Zip Code 35957 |
|--------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|--|
| Name of Employer (for Individual) Progress Rail Services | Occupation (for Individual) Manager |
|---|--|

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
475.08

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 13 / 2020

Transaction ID : A2020-288712

Amount of Each Receipt this Period
118.77

Memo Item

C. Smith, Mary, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 3101 Bethsaida Rd

| | | |
|--------------|-------------|-------------------|
| City Boaz | State AL | Zip Code 35957 |
|--------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|--|
| Name of Employer (for Individual) Progress Rail Services | Occupation (for Individual) Manager |
|---|--|

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼
597.27

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 27 / 2020

Transaction ID : A2020-429943

Amount of Each Receipt this Period
122.19

Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 414.40 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 47 OF 65 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | | <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Smith, Wayne, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 500 N Morton Ave

| | | |
|----------------|-------------|-------------------|
| City Morton | State IL | Zip Code 61550 |
|----------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|---|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Supply Chain Process Mgr 1 |
|---|---|

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
303.10

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | | 28 | | 2020 |

Transaction ID : A2020-430403

Amount of Each Receipt this Period
151.55

Memo Item

B. Sonnemaker, Brian, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 812 W Washington Street

| | | |
|---------------------|-------------|-------------------|
| City East Peoria | State IL | Zip Code 61611 |
|---------------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|--|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Group CIO IT Engagement Mgr |
|---|--|

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
500.00

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | | 28 | | 2020 |

Transaction ID : A2020-430661

Amount of Each Receipt this Period
250.00

Memo Item

C. Speake, Laura, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 100 NE Adams

| | | |
|----------------|-------------|-------------------|
| City Peoria | State IL | Zip Code 61629 |
|----------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|---|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Regional Chief Economist |
|---|---|

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼
295.28

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | | 28 | | 2020 |

Transaction ID : A2020-431001

Amount of Each Receipt this Period
147.64

Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 549.19 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 48 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Staley, Daniel, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 200 NE Adams Street
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Senior Corporate Counsel
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 298.14

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430999
 Amount of Each Receipt this Period 149.07
 Memo Item

B. Stanley, Jim, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 14009 Old Galena Rd. Bldg E
 City Mossville State IL Zip Code 61552
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Technology Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 206.78

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430757
 Amount of Each Receipt this Period 103.39
 Memo Item

C. Starr, Jim, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 875 W Cushing Street
 City Tucson State AZ Zip Code 85745
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Global Accounts General Mgr
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 374.00

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430381
 Amount of Each Receipt this Period 187.00
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 439.46 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 49 OF 65 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Steiner, Kevin, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Engineering Manager-1
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 303.38

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-430410
 Amount of Each Receipt this Period
 151.69
 Memo Item

B. Stevens, Janet, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 3701 State Road 26 East
 City Lafayette State IN Zip Code 47905
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Business Support Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 306.34

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-431002
 Amount of Each Receipt this Period
 153.17
 Memo Item

C. Stevenson, Brad, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) EHS Manager II
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 289.10

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-430513
 Amount of Each Receipt this Period
 144.55
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 449.41 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 50 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Stockwell, Tammi, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 200 NE Adams Street
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Risk & Compliance Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 244.16

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430854
 Amount of Each Receipt this Period 122.08
 Memo Item

B. Stone, Brian, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610
 City Mossville State IL Zip Code 61552
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Manufacturing Engrg Mgr 1
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 236.64

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-431083
 Amount of Each Receipt this Period 118.32
 Memo Item

C. Stone, Jonathan, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 27Th & Pershing Rd PO Box 1430
 City Decatur State IL Zip Code 62525
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Developmental Assignment
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 329.63

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-431084
 Amount of Each Receipt this Period 164.07
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 404.47 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 51 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Stratton, Mark, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1720 W Kingsbury
 City Seguin State TX Zip Code 78155
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) GM IPSD Large Engines
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 833.34

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-430572
 Amount of Each Receipt this Period
 416.67
 Memo Item

B. Strother, Art, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 Cileungsi Bogor
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Facility Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 319.72

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-431204
 Amount of Each Receipt this Period
 159.86
 Memo Item

C. Stroup, Dana, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 Tractor Drive
 Building CV
 City East Peoria State IL Zip Code 61630
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Global Procurement Manager III
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 427.84

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-431038
 Amount of Each Receipt this Period
 213.92
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 790.45 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|---------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 52 OF 65 |
| | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 | |
| | <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Sundell, Dan, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address Illinois Route 29 at Old Galena Ro
 PO Box 610
 City Mossville State IL Zip Code 61552
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) GM of Operations
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 273.30

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-430559
 Amount of Each Receipt this Period
 136.65
 Memo Item

B. Taphorn, Matt, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 Tractor Drive
 Building CV
 City East Peoria State IL Zip Code 61630
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Sr. Business Resource Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 246.70

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-431150
 Amount of Each Receipt this Period
 123.35
 Memo Item

C. Taylor, Carietha, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1720 W Kingsbury
 City Seguin State TX Zip Code 78155
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Master Black Belt Manager
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 234.22

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-431112
 Amount of Each Receipt this Period
 117.11
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 377.11 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 53 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Thompson, Clay, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 701 Waterford Way
 Suite 600
 City Miami State FL Zip Code 33126
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President CFSC
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 833.32

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430677
 Amount of Each Receipt this Period 416.66
 Memo Item

B. Tomlins, Greg, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 Tractor Drive
 Building CV
 City East Peoria State IL Zip Code 61630
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Engineering Manager-1
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 328.16

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430625
 Amount of Each Receipt this Period 164.08
 Memo Item

c. Umpleby, Donald, , , III
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Chief Executive Officer
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 833.34

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430309
 Amount of Each Receipt this Period 416.67
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 997.41 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 54 OF 65 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Utley, Tana, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address Illinois Route 29 at Old Galena Ro
 PO Box 610
 City State Zip Code
 Mossville IL 61552
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Occupation (for Individual)
 Caterpillar Inc. Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼
 833.34

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-430542
 Amount of Each Receipt this Period
 416.67
 Memo Item

B. Vanek, Jeff, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 Suite 902
 City State Zip Code
 Peoria IL 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Occupation (for Individual)
 Caterpillar Inc. District Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼
 276.46

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-431279
 Amount of Each Receipt this Period
 138.23
 Memo Item

C. Varghese, Asha, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 510 Lake Cook Road
 City State Zip Code
 Deerfield IL 60015
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Occupation (for Individual)
 Caterpillar Inc. President Cat Foundation
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼
 386.04

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-430275
 Amount of Each Receipt this Period
 193.02
 Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 747.92 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 55 OF 65 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Walters, Tom, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 600 S. Second Street
 Suite 101
 City Springfield State IL Zip Code 62704
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) US State & Americas GGCA Dir.
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 450.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-430378
 Amount of Each Receipt this Period
 225.00
 Memo Item

B. Walton, Dave, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 2120 West End Avenue
 P O Box 340001
 City Nashville State TN Zip Code 37203
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 833.32

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-431251
 Amount of Each Receipt this Period
 416.66
 Memo Item

C. Walton, Jay, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) GM Caterpillar Defense
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 833.32

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 02 / 28 / 2020
Transaction ID : A2020-430688
 Amount of Each Receipt this Period
 416.66
 Memo Item

| | |
|---|---------|
| SUBTOTAL of Receipts This Page (optional)..... | 1058.32 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 56 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Waters, Bill, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 100 NE Adams

| | | |
|----------------|-------------|-------------------|
| City Peoria | State IL | Zip Code 61629 |
|----------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|---|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Business Support Manager |
|---|---|

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
302.46

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | / | 28 | / | 2020 |

Transaction ID : A2020-431347

Amount of Each Receipt this Period
151.23

Memo Item

B. Weiss, Karl, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 14009 Old Galena Rd.
Bldg A

| | | |
|-------------------|-------------|-------------------|
| City Mossville | State IL | Zip Code 61552 |
|-------------------|-------------|-------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|---|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Vice President |
|---|---|

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
833.34

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | / | 28 | / | 2020 |

Transaction ID : A2020-430281

Amount of Each Receipt this Period
416.67

Memo Item

C. Whiteley, Douglas, , ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 2416 Mid-America Industrial Dr

| | | |
|-------------------|-------------|------------------------|
| City Boonville | State MO | Zip Code 65233-2635 |
|-------------------|-------------|------------------------|

FEC ID number of contributing federal political committee. **C**

| | |
|---|---|
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Facility Manager |
|---|---|

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼
361.36

Date of Receipt

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | / | 28 | / | 2020 |

Transaction ID : A2020-431162

Amount of Each Receipt this Period
180.68

Memo Item

| | |
|---|--------|
| SUBTOTAL of Receipts This Page (optional)..... | 748.58 |
| TOTAL This Period (last page this line number only)..... | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|------------------------------|-----------------------------------|---|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 57 OF 65 |
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

| | | | |
|---|--|-------------------|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name A. Withers, Chad, , , | | | Date of Receipt MM / DD / YYYY 02 / 28 / 2020 Transaction ID : A2020-431013 |
| Mailing Address 510 Lake Cook Road | | | Amount of Each Receipt this Period 416.66 |
| City Deerfield | State IL | Zip Code 60015 | <input type="checkbox"/> Memo Item |
| FEC ID number of contributing federal political committee. C | | | |
| Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Chief Tax Officer | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 833.32 | | |

| | | | |
|---|--|------------------------|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wolbert, Robert, , , | | | Date of Receipt MM / DD / YYYY 02 / 13 / 2020 Transaction ID : A2020-288700 |
| Mailing Address 3570 Granite Way | | | Amount of Each Receipt this Period 76.98 |
| City Martinez | State GA | Zip Code 30907-8974 | <input type="checkbox"/> Memo Item |
| FEC ID number of contributing federal political committee. C | | | |
| Name of Employer (for Individual) Progress Rail Services | Occupation (for Individual) Manager | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 307.92 | | |

| | | | |
|---|--|------------------------|---|
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Wolbert, Robert, , , | | | Date of Receipt MM / DD / YYYY 02 / 27 / 2020 Transaction ID : A2020-429963 |
| Mailing Address 3570 Granite Way | | | Amount of Each Receipt this Period 76.98 |
| City Martinez | State GA | Zip Code 30907-8974 | <input type="checkbox"/> Memo Item |
| FEC ID number of contributing federal political committee. C | | | |
| Name of Employer (for Individual) Progress Rail Services | Occupation (for Individual) Manager | | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) | Aggregate Year-to-Date ▼ 384.90 | | |

| | |
|--|--------|
| SUBTOTAL of Receipts This Page (optional).....▶ | 570.62 |
| TOTAL This Period (last page this line number only).....▶ | |

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | |
|---|-----|-----------------------------------|---------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | | FOR LINE NUMBER: (check only one) | PAGE 58 OF 65 |
| <input checked="" type="checkbox"/> | 11a | <input type="checkbox"/> | 11b |
| <input type="checkbox"/> | 13 | <input type="checkbox"/> | 14 |
| <input type="checkbox"/> | | <input type="checkbox"/> | 11c |
| <input type="checkbox"/> | | <input type="checkbox"/> | 12 |
| <input type="checkbox"/> | | <input type="checkbox"/> | 15 |
| <input type="checkbox"/> | | <input type="checkbox"/> | 16 |
| <input type="checkbox"/> | | <input type="checkbox"/> | 17 |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Wurtzbacher, Corey, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 Sanford Street
 City East Peoria State IL Zip Code 61611
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Product Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 420.00

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430740
 Amount of Each Receipt this Period 210.00
 Memo Item

B. Yergler, Daren, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 100 NE Adams
 City Peoria State IL Zip Code 61629
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Lead Human Resource Mgr
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 335.02

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430615
 Amount of Each Receipt this Period 167.51
 Memo Item

C. Younessi, Ramin, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 510 Lake Cook Road
 City Deerfield State IL Zip Code 60015
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Caterpillar Inc. Occupation (for Individual) Group President
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 833.34

Date of Receipt 02 / 28 / 2020
Transaction ID : A2020-430991
 Amount of Each Receipt this Period 416.67
 Memo Item

| | |
|---|----------|
| SUBTOTAL of Receipts This Page (optional)..... | 794.18 |
| TOTAL This Period (last page this line number only)..... | 34119.38 |

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | |
|------------------------------|------------------------------|--|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 26 | <input type="checkbox"/> 27 |
| <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Bluegrass Committee

Mailing Address 220 1/2 E St. NE

City Washington State DC Zip Code 20002

Purpose of Disbursement Contribution

011
Category/
Type

Candidate Name

Office Sought: House Senate President

Disbursement For: 2020
 Primary General
 Other (specify) **Not Applicable**

State: District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y
02 / 18 / 2020

FEC Identification Number

C C00235655

Transaction ID : B756921

Amount of Each Disbursement this Period

2500.00

Memo Item

Full Name (Last, First, Middle Initial)

B. Himes for Congress

Mailing Address 857 Post Road #312

City Fairfield State CT Zip Code 06824

Purpose of Disbursement Contribution

011
Category/
Type

Candidate Name

Himes, Jim, , ,

Office Sought: House Senate President

Disbursement For: 2020
 Primary General
 Other (specify) **Convention**

State: CT District: 04

Date of Disbursement

M M / D D / Y Y Y Y Y Y
02 / 18 / 2020

FEC Identification Number

C C00434191

Transaction ID : B756922

Amount of Each Disbursement this Period

2500.00

Memo Item

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought: House Senate President

Disbursement For:
 Primary General
 Other (specify) **▼**

State: District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y

FEC Identification Number

C

Amount of Each Disbursement this Period

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶

5000.00

TOTAL This Period (last page this line number only)..... ▶

5000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | |
|---|------------------------------|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input type="checkbox"/> 23 | <input type="checkbox"/> 26 | <input type="checkbox"/> 27 |
| <input checked="" type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

| | | | |
|---|--|--|--|
| Full Name (Last, First, Middle Initial) A. Becker, Jason, , , | | Date of Disbursement MM / DD / YYYY 02 / 18 / 2020 | |
| Mailing Address 2630 Monte Terrace | | FEC Identification Number C [] | |
| City Apex | State NC | Zip Code 27502 | Transaction ID : B756964 |
| Purpose of Disbursement Refund to Employee | | Category/ Type 010 | Amount of Each Disbursement this Period 1500.00 |
| Candidate Name | | Memo Item <input type="checkbox"/> | |
| Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President | Disbursement For: 2020 <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify) ▼ Not Applicable | | |
| State: District: | Refund of portion of 2018-2020 contributions | | |

| | | | |
|---|--|--|---|
| Full Name (Last, First, Middle Initial) B. | | Date of Disbursement MM / DD / YYYY | |
| Mailing Address | | FEC Identification Number C [] | |
| City | State | Zip Code | Amount of Each Disbursement this Period |
| Purpose of Disbursement | | Category/ Type | Memo Item <input type="checkbox"/> |
| Candidate Name | | | |
| Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President | Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) | | |
| State: District: | | | |

| | | | |
|---|--|--|---|
| Full Name (Last, First, Middle Initial) C. | | Date of Disbursement MM / DD / YYYY | |
| Mailing Address | | FEC Identification Number C [] | |
| City | State | Zip Code | Amount of Each Disbursement this Period |
| Purpose of Disbursement | | Category/ Type | Memo Item <input type="checkbox"/> |
| Candidate Name | | | |
| Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President | Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | | |
| State: District: | | | |

| | |
|--|---------|
| SUBTOTAL of Disbursements This Page (optional).....▶ | 1500.00 |
| TOTAL This Period (last page this line number only).....▶ | 1500.00 |

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | |
|------------------------------|------------------------------|------------------------------|--|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input type="checkbox"/> 23 | <input type="checkbox"/> 26 | <input type="checkbox"/> 27 |
| <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input checked="" type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Bob Archuleta for Senate

Full Name (Last, First, Middle Initial)
Mailing Address 1100 O Street Suite 200

City Sacramento State CA Zip Code 95814

Purpose of Disbursement
P-2022 State Senate 32 CA

Candidate Name
Archuleta, Bob, , ,

Office Sought: House Senate President
State: CA District: 32

Disbursement For: 2022
 Primary General
 Other (specify) ▼

Date of Disbursement
MM / DD / YYYY
02 / 18 / 2020

FEC Identification Number
C
Transaction ID : B756863
Amount of Each Disbursement this Period
1500.00

Memo Item

B. Gipson for Assembly 2020 ID#1414387

Full Name (Last, First, Middle Initial)
Mailing Address 921 11th Street Suite 904

City Sacramento State CA Zip Code 95814

Purpose of Disbursement
P-2020 State House 64 CA

Candidate Name
Gipson, Mike, A, ,

Office Sought: House Senate President
State: CA District: 64

Disbursement For: 2020
 Primary General
 Other (specify)

Date of Disbursement
MM / DD / YYYY
02 / 18 / 2020

FEC Identification Number
C
Transaction ID : B756862
Amount of Each Disbursement this Period
2000.00

Memo Item

C. Tom Umberg for State Senate

Full Name (Last, First, Middle Initial)
Mailing Address P.O. Box 984

City Santa Ana State CA Zip Code 92702

Purpose of Disbursement
P-2022 State Senate 34 CA

Candidate Name
Umberg, Tom, , ,

Office Sought: House Senate President
State: CA District: 34

Disbursement For: 2022
 Primary General
 Other (specify) ▼

Date of Disbursement
MM / DD / YYYY
02 / 18 / 2020

FEC Identification Number
C
Transaction ID : B756864
Amount of Each Disbursement this Period
1500.00

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶ 5000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | |
|------------------------------|------------------------------|------------------------------|--|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input type="checkbox"/> 23 | <input type="checkbox"/> 26 | <input type="checkbox"/> 27 |
| <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input checked="" type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Beskin for Justice Inc.

Mailing Address P. O. Box 250477

City
Atlanta

State
GA

Zip Code
30325

Purpose of Disbursement
P-2022 Supreme Court Justice GA

011

Category/
Type

Candidate Name

Beskin, Elizabeth (Beth), A, ,

Office Sought: House
 Senate
 President

Disbursement For: 2022
 Primary General
 Other (specify) ▼

State: GA District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y
02 / 28 / 2020

FEC Identification Number

C []

Transaction ID : B758091

Amount of Each Disbursement this Period

[] 1000.00

Memo Item

Full Name (Last, First, Middle Initial)

B. Friends of Dan Brady

Mailing Address PO Box 769

City
Bloomington

State
IL

Zip Code
61702

Purpose of Disbursement
P-2020 State House 105 IL

011

Category/
Type

Candidate Name

Brady, Dan, , ,

Office Sought: House
 Senate
 President

Disbursement For: 2020
 Primary General
 Other (specify)

State: IL District: 10

Date of Disbursement

M M / D D / Y Y Y Y Y Y
02 / 18 / 2020

FEC Identification Number

C []

Transaction ID : B756868

Amount of Each Disbursement this Period

[] 500.00

Memo Item

Full Name (Last, First, Middle Initial)

C. Friends of Terri Bryant

Mailing Address 457 Lee Ln

City
Murphysboro

State
IL

Zip Code
62966

Purpose of Disbursement
P-2020 State Senate 58 IL

011

Category/
Type

Candidate Name

Bryant, Terri, , ,

Office Sought: House
 Senate
 President

Disbursement For: 2020
 Primary General
 Other (specify) ▼

State: IL District: 58

Date of Disbursement

M M / D D / Y Y Y Y Y Y
02 / 18 / 2020

FEC Identification Number

C []

Transaction ID : B756866

Amount of Each Disbursement this Period

[] 1000.00

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶

[] 2500.00

TOTAL This Period (last page this line number only)..... ▶

[]

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | |
|------------------------------|------------------------------|------------------------------|--|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input type="checkbox"/> 23 | <input type="checkbox"/> 26 | <input type="checkbox"/> 27 |
| <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input checked="" type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Citizens to Elect Grant Wehrle

Full Name (Last, First, Middle Initial)

Date of Disbursement

Mailing Address 1232 Atlas Ln

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 02 | | 18 | | 2020 |

City Naperville State IL Zip Code 60540

FEC Identification Number

Purpose of Disbursement
P-2020 State House 41 IL

| |
|---|
| C |
|---|

Candidate Name
Wehrli, Grant, , ,

| |
|-----|
| 011 |
|-----|

Category/
Type

Transaction ID : **B756867**

Amount of Each Disbursement this Period

Office Sought: House
 Senate
 President
State: IL District: 41

Disbursement For: 2020
 Primary General
 Other (specify) ▼

| |
|---------|
| 1000.00 |
|---------|

Memo Item

B. THE JAY LUCAS CAMPAIGN FUND

Full Name (Last, First, Middle Initial)

Date of Disbursement

Mailing Address P.O. Drawer 1408

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 02 | | 28 | | 2020 |

City Hartsville State SC Zip Code 29550

FEC Identification Number

Purpose of Disbursement
P-2020 State House 65 SC

| |
|---|
| C |
|---|

Candidate Name
LUCAS, JAY, , ,

| |
|-----|
| 011 |
|-----|

Category/
Type

Transaction ID : **B758085**

Amount of Each Disbursement this Period

Office Sought: House
 Senate
 President
State: SC District: 65

Disbursement For: 2020
 Primary General
 Other (specify) ▼

| |
|---------|
| 1000.00 |
|---------|

Memo Item

C. McCravy for House

Full Name (Last, First, Middle Initial)

Date of Disbursement

Mailing Address 1629 ByPass 72 NE

| | | | | |
|-------|---|-------|---|-----------|
| M M M | / | D D D | / | Y Y Y Y Y |
| 02 | | 28 | | 2020 |

City Greenwood State SC Zip Code 29649

FEC Identification Number

Purpose of Disbursement
P-2020 State House 13 SC

| |
|---|
| C |
|---|

Candidate Name
McCravy, John, R, , III

| |
|-----|
| 011 |
|-----|

Category/
Type

Transaction ID : **B758082**

Amount of Each Disbursement this Period

Office Sought: House
 Senate
 President
State: SC District: 13

Disbursement For: 2020
 Primary General
 Other (specify) ▼

| |
|---------|
| 1000.00 |
|---------|

Memo Item

SUBTOTAL of Disbursements This Page (optional).....▶

| |
|---------|
| 3000.00 |
|---------|

TOTAL This Period (last page this line number only).....▶

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | |
|------------------------------|------------------------------|------------------------------|--|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input type="checkbox"/> 23 | <input type="checkbox"/> 26 | <input type="checkbox"/> 27 |
| <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input checked="" type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. William Sandifer Campaign Committee

Full Name (Last, First, Middle Initial)
Mailing Address 112 Cardinal Drive

City Seneca State SC Zip Code 29672

Purpose of Disbursement
P-2020 State House 02 SC

Candidate Name
Sandifer, William, E, , III

Office Sought: House Senate President
Disbursement For: 2020 Primary General Other (specify) ▼

State: SC District: 02

Date of Disbursement: 02 / 28 / 2020

FEC Identification Number: C
Transaction ID : B758080
Amount of Each Disbursement this Period: 1000.00

Memo Item

B. Simrill for House Committee

Full Name (Last, First, Middle Initial)
Mailing Address 1515 Alexander Rd.

City Rock Hill State SC Zip Code 29732

Purpose of Disbursement
P-2020 State House 46 SC

Candidate Name
Simrill, Gary, , ,

Office Sought: House Senate President
Disbursement For: 2020 Primary General Other (specify) ▼

State: SC District: 46

Date of Disbursement: 02 / 28 / 2020

FEC Identification Number: C
Transaction ID : B758083
Amount of Each Disbursement this Period: 1000.00

Memo Item

C. Murrell Smith Campaign

Full Name (Last, First, Middle Initial)
Mailing Address P.O. Box 580

City Sumter State SC Zip Code 29150

Purpose of Disbursement
P-2020 State House 67 SC

Candidate Name
Smith, Murrell, , ,

Office Sought: House Senate President
Disbursement For: 2020 Primary General Other (specify) ▼

State: SC District: 67

Date of Disbursement: 02 / 28 / 2020

FEC Identification Number: C
Transaction ID : B758086
Amount of Each Disbursement this Period: 1000.00

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶ 3000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | |
|------------------------------|------------------------------|------------------------------|--|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input type="checkbox"/> 23 | <input type="checkbox"/> 26 | <input type="checkbox"/> 27 |
| <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input checked="" type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. J. David Weeks Campaign

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| 02 | | 28 | | 2020 |

Mailing Address 2 Marlborough Court

FEC Identification Number

| |
|---|
| C |
|---|

Transaction ID : B758084

Amount of Each Disbursement this Period

| |
|---------|
| 1000.00 |
|---------|

Memo Item

City Sumter State SC Zip Code 29154

Purpose of Disbursement
P-2020 State House 51 SC

| |
|-------------------|
| 011 |
| Category/ Type |

Candidate Name
Weeks, J. David, , ,

Office Sought: House Senate President
 Disbursement For: 2020 Primary General Other (specify) ▼
 State: SC District: 51

Full Name (Last, First, Middle Initial)

B.

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| | | | | |

Mailing Address

FEC Identification Number

| |
|---|
| C |
|---|

Amount of Each Disbursement this Period

| |
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|--|

Memo Item

City State Zip Code

Purpose of Disbursement

| |
|-------------------|
| |
| Category/ Type |

Candidate Name

Office Sought: House Senate President
 Disbursement For: Primary General Other (specify) ▼
 State: District:

Full Name (Last, First, Middle Initial)

C.

Date of Disbursement

| | | | | |
|-------|---|-------|---|-------------|
| M M M | / | D D D | / | Y Y Y Y Y Y |
| | | | | |

Mailing Address

FEC Identification Number

| |
|---|
| C |
|---|

Amount of Each Disbursement this Period

| |
|--|
| |
|--|

Memo Item

City State Zip Code

Purpose of Disbursement

| |
|-------------------|
| |
| Category/ Type |

Candidate Name

Office Sought: House Senate President
 Disbursement For: Primary General Other (specify) ▼
 State: District:

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

| |
|---------|
| 1000.00 |
|---------|

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|----------|
| 14500.00 |
|----------|