



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

May 12, 2014

DONNIE MILLER, TREASURER
EUGENE YU FOR US SENATE
PO BOX 2000
EVANS, GA 30809

Response Due Date
06/16/2014

IDENTIFICATION NUMBER: C00547158

REFERENCE: YEAR-END REPORT (10/01/2013 - 12/31/2013)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **An adequate response must be received at the Senate Public Records Office by the response date noted above. Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 5 item(s):

1. The totals listed on Line(s) 11(a)(iii), 11(e), 13(a), 13(c), 14, 16, 17, 19(a), 19(c), 20(a), 20(d), and 22, Column B of the Detailed Summary Page(s) appear to be incorrect. Column B figures for the Summary and Detailed Summary Pages should equal the sum of the Column B figures on your previous report and the Column A figures on this report. Please file an amendment to your report to correct the Column B discrepancies for this report and all subsequent report(s) which may be affected by this correction. Note that Column B should reflect only the election cycle-to-date totals (11/5/08 through 11/4/14). (2 U.S.C. § 434(b) and 11 CFR § 104.3)
2. The beginning cash balance of this report does not equal the ending balance of your October Quarterly Report. Please correct this discrepancy and amend all subsequent reports that may be affected by the correction. (2 U.S.C. § 434(b)(1) and 11 CFR § 104.3(a)(1))
3. Commission Regulations require the continuous reporting of all outstanding loans. (11 CFR §§ 104.3(d) and 104.11) This report omits the loan(s) itemized on your previous report(s). Please amend your report(s) to indicate the current status of the following loan(s):

EUGENE C YU 5/15/2013 \$2,800.00

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EUGENE C YU	5/24/2013	\$40,000.00
EUGENE C YU	6/12/2013	\$1,500.00
EUGENE C YU	6/29/2013	\$500.00
EUGENE C YU	7/17/2013	\$50,000.00
EUGENE C YU	9/30/2013	\$55,000.00

4. Commission Regulations require that a committee discloses the identification of all individuals who contribute in excess of \$200 in an election cycle. (11 CFR § 104.3(a)(4)(i)) Identification for an individual is defined as the full name (initials for first or last name are not acceptable), complete mailing address, occupation, and name of employer. (11 CFR § 100.12) Your report discloses contributions from individuals for which the identification is not complete.

The following employer and occupation entries appear on your report and are not considered acceptable: "Blank/Blank."

You must provide the missing information, or if you are unable to do so, you must demonstrate that "best efforts" have been used to obtain the information. To establish "best efforts," you must provide the Commission with a detailed description of your procedures for requesting the information. Establishing "best efforts" is a three-fold process.

First, your original solicitation must include a clear and conspicuous request for the contributor information and must inform the contributor of the requirements of federal law for the reporting of such information. (11 CFR § 104.7(b)(1)) See 11 CFR § 104.7(b)(1)(B) for examples of acceptable statements regarding the requirements of federal law.

Second, if the information is not provided, you must make one follow-up, stand alone effort to obtain this information, regardless of whether the contribution(s) was solicited or not. This effort must occur no later than 30 days after receipt of the contribution and may be in the form of a written request or an oral request documented in writing. (11 CFR § 104.7(b)(2)) The requests must:

- clearly ask for the missing information, without soliciting a contribution;
- inform the contributor of the requirements of federal law for the reporting of such information, and
- if the request is written, include a pre-addressed post card or return envelope.

Third, if you receive contributor information after the contribution(s) has been

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reported, you should either a) file with your next regularly scheduled report, an amended memo Schedule A listing all the contributions for which additional information was received; or b) file on or before your next regularly scheduled reporting date, amendments to the report(s) originally disclosing the contribution(s). (11 CFR § 104.7(b)(4))

Please amend your report to provide the missing information or a detailed description of your procedures for requesting the information. For more information on demonstrating "best efforts," please refer to the Campaign Guide.

5. Schedule C of your report fails to include information required by Commission Regulations. With every report submitted, you must provide the date incurred, the original source and amount of the loan, the due date, the interest rate, the cumulative payment, and the outstanding balance. In addition, if there are any endorsers or guarantors, their mailing address, along with the name of their employer and occupation, must be disclosed. (11 CFR §§ 100.52(a), 100.52(b) and 104.3(d)) Please amend your report to include the due date for the following loan(s):

EUGENE C YU	10/1/2013	\$55,000.00
EUGENE C YU	10/15/2013	\$29,829.71
EUGENE C YU	10/31/2013	\$3,522.50
EUGENE C YU	11/15/2013	\$8,451.38
EUGENE C YU	11/18/2013	\$80,000.00
EUGENE C YU	11/26/2013	\$20,000.00
EUGENE C YU	12/11/2013	\$25,000.00
EUGENE C YU	12/15/2013	\$14,986.55
EUGENE C YU	12/18/2013	\$1,000.00
EUGENE C YU	12/19/2013	\$50,000.00
EUGENE C YU	12/27/2013	\$3,000.00

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

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A written response or an amendment to your original report(s) correcting the above problems should be filed with the Senate Public Records Office. Please contact the Senate Public Records Office at (202) 224-0322 for instructions on how and where to file an amendment. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1395.

Sincerely,

A handwritten signature in black ink that reads "Benjamin G. Holly". The signature is written in a cursive style with a large, stylized "B" and "H".

Ben Holly
Senior Campaign Finance Analyst
Reports Analysis Division