



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

Clay Patrick, Treasurer
Kentucky State Democratic Central
Executive Committee
P.O. Box 694
Frankfort, KY 40602

DEC 23 1998

Identification Number: C00011197

Reference: October Quarterly Report (7/1/98-9/30/98)

Dear Mr. Patrick:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A of your report (pertinent portion(s) attached) discloses a contribution(s) which appears to exceed the limits set forth in the Act. 2 U.S.C. §441a(f) and 11 CFR §110.1(d) preclude a committee and its affiliates from receiving contributions from another political committee or person in excess of \$5,000 per calendar year.

If the contribution(s) in question was incompletely or incorrectly disclosed, you should amend your original report with the clarifying information. If the contribution(s) you received exceeded the limits, you must seek reattribution of the contribution pursuant to 11 CFR §110.1(k), transfer-out the amount in excess of \$5,000 to an account not used to influence federal elections or refund the excessive amount to the donor(s) in accordance with 11 CFR §103.3(b). In the best interest of your committee, all reattributions, transfers-out, and refunds should be made within sixty days of the treasurer's receipt of the contribution(s). In order to protect the donor's interests, the Commission recommends that you inform the contributor(s) in writing to provide the donor(s) with the option of granting written authorization for a reattribution or transfer-out to another account or receiving a refund.

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of your check for the transfer-out or refund. In addition, any reattributions should be reported as memo entries on Schedule A of the report covering the period during which the authorization for the reattribution is received. Any transfers-out or refunds should be disclosed on Schedule B supporting Line 22 or 28 of the report during which the transaction was made.

Although the Commission may take further legal action regarding the acceptance of an excessive contribution(s), prompt action by your committee to seek reattribution, transfer-out or refund the excessive amount will be taken into consideration.

-The limitation on making coordinated expenditures on behalf of a candidate for the 1998 general election is \$191,850. Your reports, however, disclose coordinated expenditures made on behalf of Scotty Baesler totalling \$276,500, which appear to exceed the limitations under 2 U.S.C. §441a(d) (pertinent portions attached).

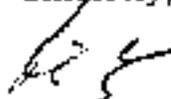
If the expenditures in question were incompletely or incorrectly disclosed, you should amend your original report with clarifying information. If you have made an excessive coordinated expenditure, you should notify the candidate and request a refund of the amount in excess of the limitation.

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of the refund request sent to the candidate. In addition, any refunds should be disclosed on Schedule A supporting Line 16 of the report covering the period during which they are received.

Although the Commission may take further legal action concerning the excessive coordinated expenditures, prompt action in obtaining a refund will be taken into consideration

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 694-1130.

Sincerely,



Richard Ng
Reports Analyst
Reports Analysis Division

SCHEDULE A ITEMIZED RECEIPTS

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME of COMMITTEE (in Full)
KENTUCKY STATE DEMOCRATIC CENTRAL EXECUTIVE COMMITTEE

RN

Full Name, Mailing Address and Zip Co ASDC/Dollars for Democrats 430 South Capitol St SE Washington, DC 20003	Name of Employer ASDC	Date (month, day, year) 8/1/98	Amount of Each Receipt This Period \$5,000.00
	Occupation Dollars For Democrats		
Receipt for: <input type="checkbox"/> Other (specify) <input type="checkbox"/> Primary <input type="checkbox"/> General	Aggregate Year-to-Date \$ \$13,136.25		

Full Name, Mailing Address and Zip Co Committee For Wendell Ford 1582 Casper Court Lexington, Ky 40511-2314	Name of Employer Committee for Wendell Ford	Date (month, day, year) 8/18/98 7/13/98	Amount of Each Receipt This Period \$10,000.00 \$10,000.00
	Occupation Senator		
Receipt for: <input type="checkbox"/> Other (specify) <input type="checkbox"/> Primary <input type="checkbox"/> General	Aggregate Year-to-Date \$ \$20,000.00		

Full Name, Mailing Address and Zip Co Democratic Senator's Campaign Committee 430 South Capitol Street SE Washington, D.C. 20003	Name of Employer DSCC	Date (month, day, year) 9/25/98 9/24/98 9/17/98 9/30/98	Amount of Each Receipt This Period \$250,000.00 \$175,000.00 \$175,000.00 \$26,500.00
	Occupation Affiliated Committee		
Receipt for: <input type="checkbox"/> Other (specify) <input type="checkbox"/> Primary <input type="checkbox"/> General	Aggregate Year-to-Date \$ \$626,500.00		

Full Name, Mailing Address and Zip Co DNC 450 South Capitol St SE Washington, DC 20003	Name of Employer Democratic National Committee	Date (month, day, year) 9/28/98 9/4/98 7/13/98	Amount of Each Receipt This Period \$4,090.79 \$3,145.17 \$4,304.54
	Occupation National Party		
Receipt for: <input type="checkbox"/> Other (specify) <input type="checkbox"/> Primary <input type="checkbox"/> General	Aggregate Year-to-Date \$ \$26,801.77		

TOTAL This Period (last page this line number only)..... \$663,041.50

SUBTOTAL of Receipts This page (optional).....

SCHEDULE F

**ITEMIZED COORDINATED EXPENDITURES MADE BY
POLITICAL PARTY COMMITTEES OR DESIGNATED AGENTS(S)
ON BEHALF OF CANDIDATES FOR FEDERAL OFFICE
(2 U.S.C. §441a(d))**

(To be used only by Political Committees in the General Election)

Name of Political Committee (in Full) Kentucky State Democratic Central Executive Committee																																																																												
Has your Committee been designated to make coordinated expenditures by a political party committee? <input type="checkbox"/> YES <input type="checkbox"/> NO If YES, name the designating committee:																																																																												
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<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:30%;">Full Name, Mailing Address and ZIP Code of Each Payee</th> <th style="width:20%;">Name of Federal Candidate Supported, State, District & Office Sought</th> <th style="width:20%;">Purpose of Expenditure</th> <th style="width:15%;">Date (month, day, year)</th> <th style="width:15%;">Amount</th> </tr> </thead> <tbody> <tr> <td rowspan="2">Trippi McMahon & Squier 1029 N Royal ST Alexandria, VA 22314</td> <td rowspan="2">Scotty Baesler KY U.S. Senate</td> <td rowspan="2">Media Media</td> <td>9/25/98</td> <td>\$250,000.00</td> </tr> <tr> <td>9/30/98</td> <td>\$26,500.00</td> </tr> <tr> <td colspan="2">Aggregate General Election Expenditure for this Candidate—\$ 276,500.00</td> <td></td> <td></td> <td></td> </tr> <tr> <th style="width:30%;">Full Name, Mailing Address and ZIP Code of Each Payee</th> <th style="width:20%;">Name of Federal Candidate Supported, State, District & Office Sought</th> <th style="width:20%;">Purpose of Expenditure</th> <th style="width:15%;">Date (month, day, year)</th> <th style="width:15%;">Amount</th> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td colspan="2">Aggregate General Election Expenditure for this Candidate—\$</td> <td></td> <td></td> <td></td> </tr> <tr> <th style="width:30%;">Full Name, Mailing Address and ZIP Code of Each Payee</th> <th style="width:20%;">Name of Federal Candidate Supported, State, District & Office Sought</th> <th style="width:20%;">Purpose of Expenditure</th> <th style="width:15%;">Date (month, day, year)</th> <th style="width:15%;">Amount</th> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td colspan="2">Aggregate General Election Expenditure for this Candidate—\$</td> <td></td> <td></td> <td></td> </tr> <tr> <th style="width:30%;">Full Name, Mailing Address and ZIP Code of Each Payee</th> <th style="width:20%;">Name of Federal Candidate Supported, State, District & Office Sought</th> <th style="width:20%;">Purpose of Expenditure</th> <th style="width:15%;">Date (month, day, year)</th> <th style="width:15%;">Amount</th> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td colspan="2">Aggregate General Election Expenditure for this Candidate—\$</td> <td></td> <td></td> <td></td> </tr> <tr> <td colspan="4">SUBTOTAL of Expenditures This Page (optional)</td> <td align="right">\$276,500.00</td> </tr> <tr> <td colspan="4">TOTAL This Period (last page this line number only)</td> <td align="right">\$276,500.00</td> </tr> </tbody> </table>					Full Name, Mailing Address and ZIP Code of Each Payee	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount	Trippi McMahon & Squier 1029 N Royal ST Alexandria, VA 22314	Scotty Baesler KY U.S. Senate	Media Media	9/25/98	\$250,000.00	9/30/98	\$26,500.00	Aggregate General Election Expenditure for this Candidate—\$ 276,500.00					Full Name, Mailing Address and ZIP Code of Each Payee	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount						Aggregate General Election Expenditure for this Candidate—\$					Full Name, Mailing Address and ZIP Code of Each Payee	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount						Aggregate General Election Expenditure for this Candidate—\$					Full Name, Mailing Address and ZIP Code of Each Payee	Name of Federal Candidate Supported, State, District & Office Sought	Purpose of Expenditure	Date (month, day, year)	Amount						Aggregate General Election Expenditure for this Candidate—\$					SUBTOTAL of Expenditures This Page (optional)				\$276,500.00	TOTAL This Period (last page this line number only)				\$276,500.00
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