



**The Options  
Clearing Corporation**

440 South LaSalle Street  
Chicago, Illinois 60605-1050  
312.322.3200

RECEIVED  
FEC MAIL ROOM

2001 JUL 16 A 11:19

July 11, 2001

**VIA OVERNIGHT MAIL**

Federal Election Committee  
999 E Street, N.W.  
Washington, D.C. 20463

To Whom It May Concern:

On Behalf of The Options Clearing Corporation Political Action Committee ("OCC PAC"), I am enclosing FEC Form 3X, a report of receipts and disbursements of OCC PAC ("the Report"). The Report is the mid year report non election year.

If you have any questions with respect to this report or OCC PAC, please call me at (312) 322-2027.

Very truly yours,

Frank J. Larocca  
Assistant Treasurer

Enclosure

FJL/mp  
FEC 12-2000



**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Revised 1/01)

Page 2

Write or Type Committee Name

The Options Clearing Corporation - Political Action Committee

Report Covering the Period: From: M M I D D I Y Y Y Y 01 01 2001 To: M M I D D I Y Y Y Y 06 30 2001

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand <span style="margin-left: 20px;">Y Y Y Y</span> January 1, 2001		1,417 .17
(b) Cash on Hand at Beginning of Reporting Period .....	1,417 .17	
(c) Total Receipts (from Line 19) .....	.00	.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	1,417 .17	1,417 .17
7. Total Disbursements (from Line 30) .....	1,000 .00	1,000 .00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	417 .17	417 .17
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) .....	.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) .....	.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202 694-1100

**DETAILED SUMMARY PAGE**  
of Receipts

FEC Form 3X (Revised 1/01)

Page 3

Write or Type Committee Name

The Options Clearing Corporation - Political Action Committee

Report Covering the Period: From:

M N O P Q R S T U V W X Y Z

To:

V W X Y Z

I. Receipts	COLUMN A Total This Period			COLUMN B Calendar Year-to-Date		
<b>11. Contributions (other than loans) From:</b>						
(a) Individuals/Persons Other Than Political Committees						
(i) Itemized (use Schedule A) .....	\$	\$	*			
(ii) Unitemized .....	\$	\$	*			
(iii) TOTAL (add Lines 11(a)(i) and (ii)) .....	\$	\$	*	\$	\$	**
(b) Political Party Committees .....	\$	\$	*	\$	\$	**
(c) Other Political Committees (such as PACs) .....	\$	\$	*	\$	\$	**
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 32, page 4) .....	\$	\$	*	\$	\$	**
<b>12. Transfers From Affiliated/Other Party Committees .....</b>	\$	\$	*	\$	\$	**
<b>13. All Loans Received .....</b>	\$	\$	*	\$	\$	**
<b>14. Loan Repayments Received .....</b>	\$	\$	*	\$	\$	**
<b>15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 38, page 4) .....</b>	\$	\$	*	\$	\$	**
<b>16. Refunds of Contributions Made to Federal Candidates and Other Political Committees .....</b>	\$	\$	*	\$	\$	**
<b>17. Other Federal Receipts (Dividends, Interest, etc.) .....</b>	\$	\$	*	\$	\$	**
<b>18. Transfers from Nonfederal Account for Joint Activity .....</b>	\$	\$	*	\$	\$	**
<b>19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18) .....</b>	\$	\$	*	\$	\$	**
<b>20. Total Federal Receipts (subtract Line 18 from Line 19) .....</b>	\$	\$	*	\$	\$	**

**DETAILED SUMMARY PAGE**  
of Disbursements

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Page 4

<b>II. Disbursements</b>		<b>COLUMN A</b> Total This Period		<b>COLUMN B</b> Calendar Year-to-Date	
21. Operating Expenditures:					
(a) Shared Federal/Non-Federal Activity (from Schedule H4)					
(i) Federal Share .....		\$	\$	\$	\$
(ii) Non-Federal Share .....		\$	\$	\$	\$
(b) Other Federal Operating Expenditures .....		\$	\$	\$	\$
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	▶	\$	\$	\$	\$
22. Transfers to Affiliated/Other Party Committees .....		\$	\$	\$	\$
23. Contributions to Federal Candidates/Committees and Other Political Committees .....		\$	1,000.00	\$	1,000.00
24. Independent expenditures (use Schedule E) .....		\$	\$	\$	\$
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. §441a(d)) (use Schedule F) .....		\$	\$	\$	\$
26. Loan Repayments Made .....		\$	\$	\$	\$
27. Loans Made .....		\$	\$	\$	\$
28. Refunds of Contributions To:					
(a) Individuals/Persons Other Than Political Committees .....		\$	\$	\$	\$
(b) Political Party Committees .....		\$	\$	\$	\$
(c) Other Political Committees (such as PACs) .....		\$	\$	\$	\$
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....	▶	\$	\$	\$	\$
29. Other Disbursements .....		\$	\$	\$	\$
30. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), and 29) .....	▶	\$	1,000.00	\$	1,000.00
31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30) .....	▶	\$	1,000.00	\$	1,000.00
<b>III. Net Contributions/Operating Expenditures</b>					
32. Total Contributions (other than loans) (from Line 11(d), page 3) .....		\$	\$	\$	\$
33. Total Contribution Refunds (from Line 28(d)) .....		\$	\$	\$	\$
34. Net Contributions (other than loans) (subtract Line 33 from Line 32) .....		\$	\$	\$	\$
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) .....	▶	\$	\$	\$	\$
36. Offsets to Operating Expenditures (from Line 15, page 3) .....		\$	\$	\$	\$
37. Net Operating Expenditures (subtract Line 36 from Line 35) .....	▶	\$	\$	\$	\$

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE OF

21b  22  23  24  25  
 26  27  28a  28b  28c  28

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

The Options Clearing Corporation- Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** Friends of Phil Gramm

Mailing Address  
900 2nd Street, N.E. Suite 114

City State Zip Code  
Washington DC 20002

Purpose of Disbursement  
Lunch in Chicago

Candidate Name  
Phil Gramm

011  
Category/  
Type

Office Sought:  House  Senate  President  
Disbursement For:  Primary  General  
Other (specify) ▼

State: TX District:

Date of Disbursement

03 07 2001

Amount of Each Disbursement this Period

1 000 00

Full Name (Last, First, Middle Initial)

**B.**

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Category/  
Type

Office Sought:  House  Senate  President  
Disbursement For:  Primary  General  
Other (specify) ▼

State: District:

Date of Disbursement

W N I D O I V Y Y Y

Amount of Each Disbursement this Period

0 0 00

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Category/  
Type

Office Sought:  House  Senate  President  
Disbursement For:  Primary  General  
Other (specify) ▼

State: District:

Date of Disbursement

M W I D O I V Y Y Y

Amount of Each Disbursement this Period

0 0 00

SUBTOTAL of Disbursements This Page (optional) ▶

0 0 00

TOTAL This Period (last page this line number only) ▶

0 0 00

