REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

RECEIVED
FEDERAL ELECTION
COMMISSION MAIL ROOM

JAN 29 10 28 AM '98

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
The Penn Mutual Political Action Committee

ADDRESS (number and street) ☐ Check if different than previously reported
600 Dresher Road

CITY, STATE and ZIP CODE
Horsham, PA 19044

2. FEC IDENTIFICATION NUMBER
C00142372

3. ☐ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report

☐ July 15 Quarterly Report

☐ October 15 Quarterly Report

☐ January 31 Year End Report October 23, 1997 Revised

☐ July 31 Mid Year Report (Non-election Year Only)

☐ Termination Report

Monthly Report Due On:

☐ February 20	☐ June 20	☐ October 20
☐ March 20	☐ July 20	☐ November 20
☐ April 20	☐ August 20	☐ December 20
☐ May 20	☐ September 20	☐ January 31

☐ 12-Day Pre-Election Report for the _____ (Type of Election)
election on _____ in the State of _____

☐ 30-Day Post-Election Report following the General Election
on _____ in the State of _____

(b) Is this Report an Amendment? ☐ YES ☐ NO

SUMMARY

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period 9/23/97 through 10/23/97		
6. (a) Cash on Hand January 1, 19 97		$ 17,746.67
(b) Cash on Hand at Beginning of Reporting Period	$ 27,877.79	
(c) Total Receipts (from Line 19)	$ 2,045.88	$ 20,212.30
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$ 29,923.67	$ 37,958.97
7. Total Disbursements (from Line 30)	$ 0	$ 8,035.30
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$ 29,923.67	$ 29,923.67
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
Steven M. Herzberg

Signature of Treasurer

Date
1/29/98

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 9/93)

F87AN047

REVISED

DETAILED SUMMARY PAGE

OF RECEIPTS AND DISBURSEMENTS

PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE: The Penn Mutual Political Action Committee

REPORT COVERING PERIOD FROM 9/23/97 TO: 10/23/97

	COLUMN A Total This Period	COLUMN B Calendar Year	
I. Receipts			
11. Contributions (other than loans) From:			
a. Individual/Persons Other Than Political Committees			
i. Itemized (use Schedule A)	1,586.68	11,501.85	11(a)(i)
ii. Unitemized	351.34	7,967.38	11(a)(ii)
iii. Total (add i and ii) ➤			11(a)(iii)
b. Political Party Committees			11(b)
c. Other Political Committees (such as PACs)			11(c)
d. Total Contributions (add a iii, b and c) ➤	1,938.02	19,469.23	12
12. Transfers From Affiliated/Other Party Committees			13
13. All Loans Received			14
14. Loan Repayments Received			15
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)			16
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees			17
17. Other Federal Receipts (Dividends, Interest, etc.)	107.86	743.07	18
18. Transfers from Nonfederal Account for Joint Activity			19
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) ➤	2,045.88	20,212.30	20
20. Total Federal Receipts (subtract line 18 from line 19) ➤	2,045.88	20,212.30	
II. Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			21(a)
i. Federal Share			21(a)(i)
ii. Non-Federal Share			21(a)(ii)
b. Other Federal Operating Expenditures			21(b)
c. Total Operating Expenditures (add a i, a ii, and b) ➤			22
22. Transfers to Affiliated/Other Party Committees			23
23. Contributions to Federal Candidates/Committees and Other Political Committees		6,785.30	24
24. Independent Expenditures (use Schedule E)			25
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)			26
26. Loan Repayments Made			27
27. Loans Made			
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees			28(a)
b. Political Party Committees			28(b)
c. Other Political Committees (such as PACs)			28(c)
d. Total Contribution Refunds (add a, b and c) ➤			28(d)
29. Other Disbursements		1,250.00	29
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) ➤		8,035.30	30
31. Total Federal Disbursements (subtract line 21 a ii from line 30) ➤			31
III. Net Contributions/Operating Expenditures			
32. Total Contributions (other than loans)(from line 11d)	1,938.02	19,469.23	32
33. Total Contribution Refunds (from line 28d)	0	0	33
34. Net Contributions (other than loans)(subtract line 33 from 32)	1,938.02	19,469.23	34
35. Total Federal Operating Expenditures (add 21 a i and 21 b) ➤			35
36. Offsets to Operating Expenditures (from line 15)			36
37. Net Operating Expenditures (subtract line 36 from 35) ➤			37

FE7.A1047

SCHEDULE A ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE OF

FOR LINE NUMBER

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

The Penn Mutual Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Robert E. Chappell 198 Blacksmith Road Oley, PA 19547 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Penn Mutual Life Ins. Occupation: Chairman & CEO Aggregate Year-to-Date $ 3,681.25	9/23/97 to 10/23/97	193.75 x2 387.50
B. Nina M. Mulrooney 268 Copper Beech Drive Blue Bell, PA 19422 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Penn Mutual Life Ins. Occupation: General Auditor Aggregate Year-to-Date $ 670.66	9/23/97 to 10/23/97	40.50 x2 81.00
C. Richard J. Liburdi 2 Jorrocks Land Malvern, PA 19355 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Penn Mutual Life Ins. Occupation: SVP/CAS Aggregate Year-to-Date $ 1,082.43	9/23/97 to 10/23/97	56.97 x2 113.94
D. Daniel J. Toran 616 Creek Land Flourtown, PA 19031 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Penn Mutual Life Ins. 165.18 Occupation: President & COO Aggregate Year-to-Date $ 2,294.28	9/23/97 to 10/23/97	165.18 x2 330.36
E. Nancy S. Brodie 1536 Naudain Street Philadelphia, PA 19146 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Penn Mutual Life Ins. Occupation: EVP & CFO Aggregate Year-to-Date $ 1,384.35	9/23/97 to 10/23/97	84.37 x2 168.74
F. John M. Albanese 3354 Mill Rd. Collegeville, PA 19426 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Penn Mutual Life Ins. Occupation: VP Systems & Service Aggregate Year-to-Date $ 920.23	9/23/97 to 10/23/97	52.81 x2 105.62
G. Donald J. McDonald 408 S. 12th Street Philadelphia, PA 19141 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Penn Mutual Life Ins. Occupation: 2nd VP Marketing Aggregate Year-to-Date $ 590.56	9/23/97 to 10/23/97	-0-

SUBTOTAL of Receipts This Page (optional) .....

TOTAL This Period (last page this line number only) .....

SCHEDULE A

ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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FOR LINE NUMBER

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The Penn Mutual Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Harvey Alter 1409 Patrick Ct Ambler, PA 19002 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Penn Mutual Life Ins. Occupation: Systems Consultant Aggregate Year-to-Date > $ 237.50	9/23/97 to 10/23/97	12.50 x2 25.00
B. James Clary 2630 Summit Avenue Broomall, PA 19008 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Penn Mutual Life Ins. Occupation: VP Sales Operations Aggregate Year-to-Date > $ 308.75	9/23/97 to 10/23/97	16.25 x2 32.50
C. Anthony Fakouri CLU 589 Valley Club Circle Little Rock, AR 72212 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Penn Mutual Life Ins. Occupation: Regional Dir IFN Aggregate Year-to-Date > $ 475.00	9/23/97 to 10/23/97	25.00 x2 50.00
D. Stockton Illoway Rd #2 CrickettHill Farm Phoenixville, PA 19460 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Penn Mutual Life Ins. Occupation: Sr Vice President Aggregate Year-to-Date > $ 475.00	9/23/97 to 10/23/97	25.00 x2 50.00
E. Marsha Kohl 304 Center Street Westmont, NJ 08108 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Penn Mutual Life Ins. Occupation: Mgr. Ann & Tech New Bus Aggregate Year-to-Date > $ 300.01	9/23/97 to 10/23/97	15.79 x2 31.58
F. John Letter 711 Raynham Road Collegeville, PA 19426 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Penn Mutual Life Ins. Occupation: Director Data Center Aggregate Year-to-Date > $ 237.50	9/23/97 to 10/23/97	12.50 x2 25.00
G. George Limbach 200 Merion Ave. Narbeth, PA 19072 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Penn Mutual Life Ins. Occupation: VP Field Director Aggregate Year-to-Date > $ 285.00	9/23/97 to 10/23/97	15.00 x2 30.00

SUBTOTAL of Receipts This Page (optional) >

TOTAL This Period (last page this line number only) >

SCHEDULE A ITEMIZED RECEIPTS

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FOR LINE NUMBER

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NAME OF COMMITTEE (In Full)
The Penn Mutual Political Action Committee

Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
A. Harold Maude 23 Highview Dr Radnor, PA 19087 Receipt For: Primary General Other (specify):	Penn Mutual Life Ins. Occupation: Sr VP IFN Aggregate Year-to-Date > $ 380.00	9/23/97 to 10/23/97	20.00 x2 40.00
B. Frederick Rackovan 70 Bayberry Lane Phoenixville, PA 19460 Receipt For: Primary General Other (specify):	Penn Mutual Life Ins. Occupation: VP New Business Aggregate Year-to-Date > $ 237.50	9/23/97 to 10/23/97	12.50 x2 25.00
C. Thomas J. Smalley 50683 Harbour View Dr New Baltimore, MI 48047 Receipt For: Primary General Other (specify):	Penn Mutual Life Ins. Occupation: Regional Dir IFN Aggregate Year-to-Date > $ 375.05	9/23/97 to 10/23/97	-0-
D. Richard Snebold 2623 Lansdale Drive Wexford, PA 15090 Receipt For: Primary General Other (specify):	Penn Mutual Life Ins. Occupation: General Agent Aggregate Year-to-Date > $ 296.97	9/23/97 10/23/97	15.63 x2 31.26
E. Benjamin Teekell 503A Old Pinks Hideaway Monroe, LA 71203 Receipt For: Primary General Other (specify):	Penn Mutual Life Ins. Occupation: Regional Director Aggregate Year-to-Date > $ 385.00	9/23/97 to 10/23/97	15.00 x2 30.00
F. Walter Wendel 4333 Carrollwood Vill. Rd. Tampa, Fl 33624 Receipt For: Primary General Other (specify):	Penn Mutual Life Ins. Occupation: Regional Dir. IFN Aggregate Year-to-Date > $ 277.21	9/23/97 to 10/23/97	14.59 x2 29.18
G. Full Name, Mailing Address and ZIP Code Receipt For: Primary General Other (specify):	Name of Employer Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional) .....

TOTAL This Period (last page this line number only) 158.68

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was recived.

☒	Hand Delivered	Date of Receipt 1-29-98
☐	First Class Mail	POSTMARKED
☐	Registerd/Certified Mail	POSTMARKED
☐	No Postmark	
☐	Postmark Illegible	
☐	Received from the House office of Records and Registration	Date of Receipt
☐	Received from the Senate Office of Public Records	Date of Receipt
☐	Other (Specify):	Postmarked _____ and/or Date of Receipt
☐	Electronic Filing	
	MWD	1-29-98
	PREPARER	DATE PREPARED

(7/97)